

The Old English *to*-Infinitive: its Status & Recategorisation

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Abstract

This paper discusses the status of the Old English *to*-infinitive. The paper argues, on a variety of grounds, that the functional category positions C(omp), Agr(eement) or T(ense) are not eligible positions for *to* and suggests instead that *to* occupies the category P(reposition) and takes a dative phrase (DP) as its complement. The evidence that the Old English *to*-infinitive is a PP is provided by the fact that it occurs in coordination with ordinary PPS. Further evidence in favour of the PP-status of the *to*-infinitive is the fact that the head of the infinitival DP realises the dative case feature of *to*. The paper also argues that the Old English *to*-infinitive should be treated as forming an inseparable unit on a par with a PP where P cannot be separated from the complement DP. The syntactic unity is created by the overt movement of the infinitival verb from VP to Inf and then to D. As long as V+Inf-*to*-D movement is attested, the syntactic unity cannot be broken up by intervening elements like adverbs, objects, etc. The loss of dative case (i.e. loss of D) has two consequences on the internal structure of the Old English *to*-infinitive. The first consequence is that verb movement to D was lost resulting in the break-up of the syntactic unity of the *to*-infinitive. The second consequence concerns the appearance of the so-called split infinitive. This crucial evidence marks the drift of the *to*-infinitive towards TP behaviour.

0. Introduction

The standard view of clause structure in the Principles & Parameters (PP) framework assumed in Chomsky (1991, 1993, 1995, 1998, 1999, and 2001) involves the idea that lexical projections are dominated by functional structure. The basic clause structure is assumed to be that illustrated in (1):

- (1) [CP... [AgrSP... [TP... [AgrOP...
[VP...]]]]]

Now, if we assume that Old English (OE, henceforth) *to* occupies a functional category position, then from the above structural analysis at least three possibilities for positioning to arise: C(omp), Agr(eement), and T(ense). However, this paper will argue that firstly (functional) C, Agr, and T are not eligible positions for *to*, (and, consequently, that the structure in (1) has to be abandoned for OE *to*-infinitives) and secondly that *to* occupies the lexical category P(reposition). Under the present analysis the relevant parts of the structure of an OE infinitival clause is as follows:

(2) [PP... [DP... [InfP...
[VP...]]]]

Before we justify our analysis in (2), we will provide a brief discussion of the occurrence of the to-infinitive in OE. Once we have done that, we can review our rejections of the structure in (1) by illustrating why it cannot be base-generated in C, Agr or T. The paper is organised as follows. In section 1, we present a brief illustration of the occurrence of the to-infinitive in OE. Then, in section 2, we look at the status of to in OE infinitival clauses. In section 3, we discuss the position of pre-verbal objects with OE to-infinitives. In section 4, we consider the diachronic recategorisation of the OE to-infinitive. Finally, in section 5, we summarise the paper.

1. The to-Infinitive in Old English

There are two types of infinitives in Old English used in infinitival complements: (i) the so-called plain or bare infinitive, also called uninflected infinitive, which consists of a verb stem and the suffix -(a)n as in *sendan* 'send', *findan* 'find'; and (ii) the to-infinitive, also called inflected infinitive, involving the prepositional infinitival marker *to*, an infinitival suffix -en/an, and the dative ending -ne affixed to the infinitival verb stem, as in *to singenne* 'to sing', *to wyrccanne* 'to perform', etc (see Callaway (1913: 2), Bock (1931), Visser (1963-73: § 896), Mitchell (1985: § 921), and Traugott (1992), among others). The following exposition is partly based upon our independent investigation, and is also intended as a summary of the views of various scholars.

Traditional grammarians have observed that in OE the inflected infinitive was limited in its occurrence and was basically employed to express purpose. Callaway (1913: 20-21, 60-71) observes that the inflected infinitive occurs with verbs that take a genitive, dative (e.g. *alyfan* 'allow', *bebeodan* 'command', *beodan* 'command', *forbeodan* 'forbid', etc) or prepositional object, and that the uninflected infinitive occurs with verbs that subcategorise for an accusative object. This suggests, at the very least, that infinitives depend on case in OE (see section 2.4). Also, compare the following examples, where the same verbs subcategorise for a dative DP, as in (3), and both a dative DP and an inflected infinitive, as in (4): (This means that the verb in (4) subcategorizes for two dative arguments).

- (3) a. he him [dat.] afeode & forgefe, þæt he most heo gelæran
he him allowed and granted that he may them instruct
(Bede Eccles. History IV.16, 20; Miller (1898: 308))
'he gave him leave & permission to instruct them'
- b. syððan eft se Hælend geseah þone mann innan þam temple, and him [dat.]
bebead þas word
afterwards Christ saw the man within the temple and him commanded these
words
(Ælfric Homilies II, 54; Pope (1968: 232))
'afterwards Christ saw the man within the temple & commanded him these
words'
- c. se Hælend us[dat.] bebead on þisum halgan godspelle...
Christ us commanded in this holy Gospel...
(Ælfric Homilies XIII, 37; Pope (ibid: 498))
'Christ commanded us in this holy Gospel...'
- d. we sculen him [dat.] forbeodan þæt hie huru...
we shall them forbid that they indeed...
(Ælf. C.P. 210, 24; Visser (1963-73: § 869))
'we shall forbid them that they indeed...'

- (4) a. alyfe me [dat.] to farenne & to geseonne ðat seloste land begeondan Iordane & ða gecorenistan dune & Lebanum
allow me to go & to see that best land beyond Jordan & the goodliest mountain & Lebanon
(Ælfric Deuteronomy. III, 95; Crawford (1922: 337))
'allow me to go & to see the best land beyond Jordan & the goodliest mountain & the Lebanon'
- b. þone fulan mete þe moyses forbead godes folce [dat.] to þicgenne for þære gastlican getacnunge
the foul meat which Moses forbade God's people to taste because of its spiritual signification
(Ælfric Lives of Saints XXV, 36; Skeat (1881: 68))
'the foul meat which Moses forbade God's people to taste because of its spiritual signification'
- c. healdaþ ealle ðas word ðe ic eow to dæg bebeode, & beo<d>að ða eowrum bearnum [dat.] to healdenne & to donne
keep all those words that I you today command & command these your children to keep & to esteem
(Ælfric Deuteronomy XXXII, 46; Crawford (ibid: 374))
'keep those words that I command to you today & then command [them to] your children to keep & to esteem'
- d. þa dyde he up his hand and sealde him leaf to siþigenne forð
then lifted he up his hand and gave them leave to journey forward
(Ælfric's Lives of Saints XXXI, 384; Skeat (ibid: 244))
'then he lifted up his hand and gave them leave to journey forward'

The dative form (i.e. the inflected infinitive ending in enne/anne) was mostly distinguished from the accusative case form of the bare infinitive, which ended in -an.

- (5) a. hie... heton him sendan mara fultume
they ordered to-them send great forces
(OE Chron. 8; Davis (1953: 73))
'they ordered greater forces to be sent to them'
- b. gif sum dysig mann þas boc rætt oppe rædan gehierþ
if some foolish man this book reads or read hears
(Ælfric's Preface to Genesis 43; Davis (ibid: 79))
'if some foolish man reads this book or hears it read'

In the Middle English (MidE, henceforth) period the inflectional endings gradually died out, with the result that the inflected infinitive and the uninflected infinitive became identical, as indicated in (6):

- (6) OE up to 1100 1100-1300 1300-1500 1500 onwards

to writenne/anne	to writen (e)	to write (n)	to write
writan	writen	write(e)	write

Callaway (1913: 335), Visser (1963-73: § 897), Mitchell (1985), and others note that several verbs in OE, such as *onginnan* 'to begin', *ondrædan* 'to dread', *bebeodan* 'to bid', *bewerian* 'to forbid', *geliefan* 'to believe', *pencan* 'to think' etc. are found construed either with the uninflected infinitive, or with the inflected infinitive. From these beginnings, the use of the infinitive with *to* in place of the bare infinitive, combined with the phonetic decay and loss of the inflections, increased rapidly during the late OE and early MidE periods, with the result that in Modern English (ModE, henceforth) the infinitive with *to* is the ordinary form, the bare infinitive surviving only in particular constructions where it is connected with the preceding verb, as in the complements to perception verbs (e.g. see) and causatives (e.g. make) (see Callaway (1913: 335), Visser (1963-73: § 897), Fischer (1992), and Denison (1993: chapter 8 and references cited therein)).

Our concern here is not to account for the encroachment of the inflected infinitive upon the domain of the uninflected infinitive, but to provide a brief description of the inflected infinitive in OE purpose clauses. This, we hope, will provide us with an insight into the nature of *to* in OE purpose clauses. As a point of departure, we wish to stress the fact that *to* was only used before the dative form of the infinitive ending in *-anne/enne*. It introduced a purpose clause. This meaning of *to* is clearly perceivable in the prepositional phrases in (7) and in the infinitival clauses in (8):

- (7) a. hie ge-sohton Brettene Brettum to fultume
they came Britain to-Britons as help
(OE Chron. Davis (ibid: 73))
'they came to Britain as a help to (to help) the Britons'
- b. and hine þær of.snaþ Gode to lacc...
and him there slaughtered to-God as sacrifice
(Abraham & Isaac 31; Davis (ibid: 67))
'and slaughtered him there as a sacrifice to God'
- c. and wæs swelce a seolcen þræd ymbe his sweoran read, mannum to
sweotolunge hu he of-slægen wæs
and was such a silk thread around his neck red to-men as sign how he
slaughtered was
(King Edmund 148; Davis (ibid: 85))
'and such a silk thread was around his red neck as a sign to men how he
was slaughtered'
- d. seðe nele clypian crist him to fultume
who will not call Christ to-him as help
(Ælfric's Lives of Saints XIII, 46; Skeat (ibid: 286))
'who will not call Christ to help him'
- (8) a. gadriað ærest þone coccel, and bindaþ sceaf-mælum to forbærnenne
gather first the tare, and bind in bundles to burn
(Math, XIII, 23; Davis (ibid: 62))
'first gather the tare and bind in bundles to burn'
- b. hie heora here on tu todældon-ower æt ham beon heora lond to healdanne,
oðer ut faran to winnanne
they their army into two divided one at home be their land to keep, the
other out go to fight

(Alfred Orosius 52; Onions (1950: 24))

'they divided their army into two divisions: one to defend the country; the other to conquer other countries'

- c. an wulf wearþ asend, þurh Godes wissunge, to bewerienne þæt heafod wiþ þa oþru deor
a wolf was sent, through God's direction to guard the head against the other animals
(Ælfric King Edmund 121; Davis (ibid: 84))
'a wolf had been sent by God's direction to guard the head against other animals'
- d. Ærest he cwom to Hii þam ealonde, þonon he wæs sended Ongolþeode Godes word to bodienne & to læranne
first he came to Iona the island, thence he was sent to-English God's word to proclaim & to teach
(Bede Eccles. History IV. 24; Miller (ibid: 272))
'first he came to the isle of Iona from which he had been sent out to preach & teach God's word to the English people'

Note that *fultume*, *lace*, *sweetolunge* etc, are not verbs/infinitives. They are DPs contained in PPs and look more like the equivalent of ModE *as + DP* phrases. The point of the data from purpose clauses is to show that *to* could be a preposition introducing an infinitive, somewhat like *in order to* in ModE.

In this section we have simply given a brief illustration of the occurrence of the *to*-infinitives in purpose clauses. A crucial aspect of OE *to*-infinitives is that *to*, which is only used before the dative form of the infinitive ending in *-anne/enne*, introduces purpose clauses. On the basis of this evidence, we come to the conclusion that OE *to* is a preposition. We have seen that the purposive meaning of OE *to* is perceivable in both prepositional phrases and infinitival clauses. Let us next look at the claim that OE *to* is the head of an infinitival Complementiser Phrase (CP).

2. The Status of TO

2.1. TO as the Head of Complementiser Phrase (CP)

Here we shall examine the claim that the infinitival marker *to* in OE is the head of an infinitival CP. In that respect we draw on Kayne's (1981) paper on French and Italian prepositional complementisers. Kayne (1981) proposes that French *de* and Italian *di* occupy the C-position. In a similar vein, Wilder (1988) treats German *zu* as originating in C. Their arguments are primarily based on (9) and (10):

- (9) a. Je crois [de [PRO être intelligent]] (French)
I believe to be intelligent
- b. credo [di [PRO essere intelligente]] (Italian)
I-believe to be intelligent
- c. Ich glaube intelligent [zu [PRO sein]] (German)
I believe intelligent to be
- d. *I believe [for [PRO to be intelligent]] (ModE)

As shown in (9a-c), PRO is allowed as a complement subject under believe-type verbs in French,

Italian, and German. Under minimalist assumptions, the well-formedness of (9a-c) can be accounted for by the fact that *de*, *di*, and *zu* do not have any Case features to check with the embedded infinitival subject (in [Spec, CP]). In Modern English, on the other hand, believe-type verbs have a feature to check, and require an overt DP as lower subject (which raises to the higher [Spec, AgrOP]).

Raising constructions also play a central role in determining the position of the infinitival marker. The obligatory absence of *de* and *di* in (10) below follows straightforwardly from the fact that raising infinitivals are not CPs.

- (10) a. *Jèani semble [CP de [ei être
partii]]
'Jean seems to have left'
- b. *Gianni sembra [CP di [ei essere
partito]]
'Gianni seems to have left'

The ill-formedness of (10a-b) is accounted for by the well-known restriction on NP movement over an adjacent complementiser (cf. Rizzi (1990)).

Is it the case then that the C-analysis can account for OE *to*-infinitives? Does OE *to* behave like French *de*? We suggest not. Firstly, the C-analysis is at loggerheads with the PRO theorem, since OE *to*, lexically a preposition, must have case features which are not suitable for PRO if *to* occupies the C-position (cf. Kageyama (1992)). The assumption that OE *to* has dative case features makes it different from French *de* and argues against Roberts' (1992) claim that *to* was a complementiser in OE and was then diachronically reanalysed as the head of the infinitival TP after the loss of T-1. Secondly, the fact that OE *to* assigns dative case to the infinitive makes it different from French *de*. Thirdly, and more importantly, it should be noted that in OE *to*-infinitives the complement (pronominal and full nominal DPs) of the infinitival verb may precede *to*, as in the following examples:

- (11) a. ongyt þu þis þæt ic næbbe nænigne intingan þe to geseonne ne þe to gegretanne
learn you this that I not-have no reason you to see nor you to greet
(St. Basilla 20, 5; Herzfeld (1899: 86))
'Learn thou this that I have no reason to see you or to greet you'
- b. drihten God, beo þu gemedemad me to geheranne
Lord God be you deem me to hear
(St. Cyriac & St. Julitta 16; Herzfeld (ibid: 120))
'O Lord God, deem it worthy to hear me'
- c. he forbead swa ðeah þæt blod to þicgenne
he forbade so though that blood to eat
(Ælfric On the Old & New Testament 289; Crawford (ibid: 27))
'he forbade them nevertheless to eat the blood'
- d. hi eodon þa butu his bodunge to gehyrenne
they went then both his preaching to hear
(Ælfric's Lives of Saints XXXVI, 327; Skeat (ibid: 418))
'then they both went to hear his preaching'
- e. we synd gearwe ealle þa þincg to gehyrenne þe se hælend þe bebead

we are ready all the things to hear which the Lord you commanded
 (Ælfric's Lives of Saints X, 144; Skeat (ibid: 228))
 'we are ready to hear all those things which Jesus commanded to you'

In each of the above infinitivals a complement precedes to. This shows that to occupies a position lower than C unless we assume the complement is in [Spec, CP]. But this would be a kind of infinitival verb second (V2), which is unknown elsewhere. In addition, the assumption that to is in C is contradicted by the fact that OE lacks infinitival interrogatives like tell me where to go, and infinitival subject relatives like John is the man to fix the sink, which arguably contain a projection of C. The absence of these constructions in OE, therefore, undermines an analysis of to as the head of CP.

2.2. T0 as the Head of Agreement Phrase (AgrP)

On the basis of the evidence provided in the previous section, let us consider next the hypothesis that OE to is the head of the infinitival AgrP. In this section we will examine Kageyama's (1992) proposal that the OE infinitival marker to is the head of the infinitival AgrP, and that to embodies the external argument of an infinitival verb. He argues that analysing OE infinitival clauses as AgrPs in this way provides an explanation for the absence of morphologically passive to-infinitives, the unavailability of subject-relation infinitival relatives, and the alleged lack of both a lexical and a PRO subject in to-infinitives. Consider the following OE infinitival clauses:

- (12) a. ðas ðing sint to donne
 those things are to do
 (Læce. 62,21; Callaway (1913: 99))
 'those things are to be done'
- b. heo is to clænsienne fram leahtrum
 she is to cleanse from sins
 (Ælfric Homilies. 552,13; Kageyama (1992: 114))
 'she is to be cleansed from sins'
- c. and þas feower ana syndon to underfonne
 and these four only are to receive
 (Ælfric's Lives of Saints XVI, 222; Skeat (ibid: 336))
 'and these four only are to be received'
- d. forðon hi sendon to healdanne mid heortan onbryrdnesse
 therefore they are to keep with heart remorse
 (Litanies 3; Herzfeld (ibid: 74))
 'therefore they have to be kept with compunction of the heart'
- e. ðas ðingi sint [AgrP t'i [Agr' to donne ti]]
 |_____||_____||

Each of these infinitival forms has a passive interpretation, yet the verb form is active. The analysis of these constructions has generated a lot of discussions in the literature on OE, most of which centres on whether or not they really are passives. For example, Quirk & Wrenn (1957: § 131) say that "a passive infinitive was usually expressed with the active form". Callaway (1913: 6) proposes that they are passives, while Mitchell (1985: § 942) points out that they are active, but that they are used in a passive sense. More recently, Kageyama (1992) assumes that they are passives because the infinitival marker to behaves like the passive morpheme. He argues that the infinitival marker to not only absorbs the external theta role assigned by the infinitival verb but also absorbs the accusative Case that the internal argument requires, in a Baker, Johnson & Roberts (1989)

framework. In (12a) the DP *ðas ðing*, which is the internal argument of the infinitival verb, surfaces as the nominative subject, suggesting a parallel with syntactic passives. According to Kageyama, the fact that *to* absorbs accusative Case is responsible for the movement of *ðas ðing* to the specifier of the matrix AgrP, as schematically represented in (12e).

Rather than going into the detailed argumentation that Kageyama provides, we would like to focus on the problems raised by his basic claim concerning AgrP in OE to-infinitives. We see a significant problem with his claim in that it classifies Agr as a theta position, and hence an A(argument)-position. By assuming that the infinitival marker *to* is an argument and by inserting it under Agr, Kageyama's analysis clearly ignores the distinction between heads and arguments because arguments are always maximal projections not heads. Furthermore, if *to* occurs with a verb like *beonne* or with an unaccusative verb, then the thematic structure of the latter will not provide the necessary external argument for (the argument structure of) *to*. Note that the occurrence of *to* below with *beonne* (13) and unaccusative verbs (14) strongly argues against Kageyama's claim. Consider the following examples:

- (13) a. god ys us [dat] her to beonne
 good is us here to be
 (OE Gosp. Mt 17,4; Visser (ibid: § 903))
 'it is good for us to be here'
- b. nyste gyt þat me [dat] gebyrath to beonne on þam ðingum þe mines fæder synt?
 notknow yet that to-me befits to be in the conditions which my father are
 (OE Gosp. Luke 2: 49; Visser (ibid: § 903))
 'Don't the two of you know that it befits me to be in my father's position'
- c. and eac þa halgan canonas gehadodum forbeodað, ge bisceopum ge preostum,
 to beonne embe þeofas
 and also the holy canons clerics forbid both bishops and priests to be after thieves
 (Ælfric St. Edmund 289; Mitchell & Robinson (1992: 202))
 'and also the holy canons forbid (the ordained) clerics, both bishops and priests, to be concerned with thieves'
- (14) a. ða wæteru... begunnon to wanigenne æfter oðer healfhund daga
 the waters... began to wane after other fifty-hundred days
 (Ælfric Genesis. VIII,3; Crawford (ibid: 103))
 'the waters began to ebb away after another hundred and fifty days'
- b. ic onginne to blaci_enne
 I begin to grow pale
 (Ælfr. Gr. 212,7; Callaway (ibid: 53))
 'I begin to grow pale'

In these examples *to* would be an argument without a θ -role, yielding a violation of the θ -criterion (cf. Chomsky (1981)).

The idea that *to* is the head of the infinitival AgrP is implausible since *to* is compatible with all persons, as illustrated in (15):

- (15) ic wæs asend God to þegnienne
 I was sent God to serve
 'I was sent to serve God'

þu wære asend God to þegnienne
 you were sent God to serve
 he/hit/heo wæs asend God to
 þegnienne
 he/it/she was sent God to serve
 we/ge/hie wæron asend God to
 þegnienne
 we/you/they were sent God to
 serve

One might assume that since *to* is compatible with all persons, it patterns with phonologically null rather than overtly realised agreement morphemes.

A further potential objection to Kageyama's claim is the question as to whether the external argument *to* needs Case, and if it does, how it receives it. Kageyama has nothing to say about this. Instead, he advances an ad hoc proposal that the external argument *to* case marks the infinitival verb. Kageyama has to explain how an element like *to* can, at the same time, receive an external ?-role from the infinitival verb and case mark that same verb. This fact dramatically weakens Kageyama's claim that *to* heads AgrP. Therefore, let us consider the possibility of *to* as the head of TP.

2.3. *TO* as the Head of Tense Phrase (TP)

Pollock (1989), Chomsky (1991, 1993, 1995), Roberts (1992), and many others argue that ModE *to* may be the head of TP. Extending the ideas of these authors, we can argue along the following lines:

- (16) *T* is postulated as the eligible position for *to* iff the *to*-infinitive exhibits aspectual distinctions

ModE *to*-infinitive has one present tense expressed by the form of VP as in (17a), and two aspectual distinctions exemplified in (17b-c). The corresponding examples are given in (17'):

- (17) a. *to* + V (present tense)
 b. *to* + have + en (perfective aspect)
 c. *to* + be + ing (imperfective/progressive aspect)

- (17') a. John tries to win the race
 b. only John is known to have won the race
 c. John always wants to be eating

Since aspectual auxiliaries can be taken to be licensed by Tense, their presence suggests that ModE infinitives have Tense. Thus, the presence of these aspectual distinctions in ModE explains why *T* qualifies as the eligible position for the infinitival marker *to*. Further evidence that ModE *to* is in *T* is provided by the fact that *to* is in complementary distribution with modal auxiliaries and periphrastic *do*, which are commonly analysed as fillers of the *T*-position, i.e. *to* marks *T* [-finite], while Modal or *do* mark *T* [+finite].

Now, if we look at OE, however, we find that (16) does not hold. There are three factors which argue against treating OE *to* as the head of TP. The first factor concerns the fact that the OE *to*-infinitives do not have aspect; therefore, following (16), *to* can't be in *T*. In comparing the OE *to*-infinitive with its ModE and MidE counterparts, a crucial morphosyntactic difference becomes apparent. While the ModE and MidE *to*-infinitives exhibit aspectual distinctions such as *to* + have + V + en for perfective aspect, the OE infinitive does not. Actually, the perfect tenses exist in OE, but are not employed in the same way as they are in later periods of English. What is meant here is that the OE aspectual system is fundamentally different from that of ModE. There are two kinds of

perfect tense: one formed with *Have* and the past participle of the verb, and the other formed with *beon/wesan* 'to be' or *weorpan* 'to become' and the past participle of the verb. The perfect tenses of transitive verbs were formed by the use of the verb *Habban* and the past participle (see Visser (1963-73: § § 2001-3), Mitchell (1985: § § 724, 725-8), Traugott (1970: 93-4) & (1992: 192), and Denison (1993: chapter 12)). Originally, sentences like he had written a letter meant something like he pos-essed a written letter. The construction underwent a syntactic reanalysis accompanied by a change in the semantics of the verb *Habban* and the voice of the participle (cf. Denison (1993: 340), and Traugott (1970: 94)).

The perfect tenses of intransitive verbs were formed with *beon/wesan* or *weorpan* (see Visser (1963-73: § § 1897-1904), Mitchell (1985: § § 734-42), and Denison (1993: chapter 12)). It is important to point out is that finite forms of the perfect *Have* were attested in OE, but inflected infinitival forms came later. It is also important to point out that perfect *Have* with a bare infinitive was possible in OE, always in collocation with a modal (see Traugott (1970), Denison (1993: chap. 12) and Mitchell (1985: § 922)). We have found no examples of the perfect *Have* with inflected infinitive (cf. Miyabe (1954, 1956)).

A second argument against *to in T* derives from the fact that *if to* headed an infinitival TP, it would make an infinitive (in control structure) temporally different from a gerund, as it does in ModE:

- (18) a. John forgot [PRO to lock the car]
 b. John forgot [PRO locking the car]

Stowell (1982: 562) has observed that infinitival clauses contain a tense morpheme which has the effect of specifying that the time-frame of the infinitival clause is unrealised with respect to the tense of the matrix verb. It is this tense morpheme which makes an infinitival clause temporally different from a gerund, as illustrated in (18) above. In (18a) the tense of the infinitival complement is understood as being unrealised with respect to the tense of the matrix verb *forgot*, whereas in (18b) the understood tense of the gerund is contingent on the semantics of the matrix verb *forgot*. This is tantamount to saying that the action of locking the car in (18a) has not taken place because John forgot to do so. In (18b), on the other hand, the action of locking the car has actually taken place and John has forgotten that he has done it. We concur with Kageyama (1992: 101) that such a difference does not characterise OE *to*-infinitives as differentiated from bare infinitive, because both types of infinitives may be employed almost interchangeably in verb complementation with control structures (cf. Fischer (1996)). Consider the examples in (19) where the verbs *bebeodan* 'command/order' and *hatan* 'command/order' occur in control structures either with the inflected infinitive, as in (19a-b), or the uninflected infinitive, as in (19c-d):

- (19) a. hi nellað herian þone hælend mid sange swa swa se bisceop bebead þam
 gebroðrum to donne
 they won't praise Christ with chanting as the bishop commanded the
 brothers to do
 (Ælfric's Lives of Saints XXI, 243; Skeat (ibid: 456))
 'they will not praise Christ with chanting even as the bishop bade the
 brethren do'
- b. Ða fiondas geheht to lufianne
 the enemies commanded to love
 (Mt. P. 14, 18; Toller (1921: 338))
- c. ða bebead se bisceop ðeosne to him lædan, & in his cafortune heht him
 medmicle hus gewyrca
 then commanded the bishop this-one to him lead & in his enclosure
 ordered him small hut erected
 (Bede Eccles. History V, II, 20; Miller (ibid: 388))
 'then the bishop directed this man to be brought to him, and ordered a

small hut to be erected for him within the enclosure'

- d. tætectte se biscop hine heht steafa naman cweoðan
further the bishop him ordered letters' names say
(Bede Eccles. History V.2, 30; Miller (ibid: 388))
'the bishop further ordered him to say the names of the letters'

What these examples show is that the presence of *to* makes no temporal difference. Each of these examples has the unrealised tense reading, which is typical of control structures (according to Stowell (ibid)). This suggests that *T* is present in all cases, but *to* is not in *T* at all.

Let us now turn to a third argument against the analysis of *to* as the head of TP. This argument concerns the nominal status of the OE *to*-infinitives. Since *-ne* is the only morphological realisation of the inherent case assigned by *to*, it seems reasonable to take *-ne* as an indicator of the nominal status of the infinitival verb (cf. Lightfoot (1979)). This leads us to make the following crucial assumption: the dative ending *-ne* is a morphological head which projects a phrase of its own. This dative phrase bears the features of a DP. We adopt Stowell's (1981) proposal that clauses and DPs are [+N, -V]. In Stowell's analysis, C(omp) bears a tense operator and this tense operator requires a full proposition. The infinitival and *that*-clauses will then be distinguished in that the former have no specification for the [+PAST] feature. Gerundial clauses are like infinitival clauses in that both do not have a [+PAST] feature. They will be like DPs in not having a specification for [+TENSE]. If this is correct, then the lack of any tense or aspectual distinctions in nominals presents a strong case against analysing OE *to* as Tense because there is no temporal specification. Further and more importantly, since functional categories aren't usually thought to assign inherent Case, it seems implausible to analyse OE *to* as Tense. So only *P* remains a possible candidate.

2.4. *TO* as the Head of Prepositional Phrase (PP)

In sections 2.1., 2.2., and 2.3. we established that OE *to* is neither C(omp) nor Agr, nor T(ense). The remaining possibility is that *to* is a preposition (cf Fischer (1996)). This line of reasoning, which we will pursue below, argues that *to* heads its own prepositional phrase (PP) and takes a dative phrase (DP) as its complement. Primary evidence for this lies in the characteristic dative inflection on the head of the DP. The preposition *to* has its own inherent case feature morphologically realised on *D* as the dative inflection. The difference between OE and MidE *to*-infinitives is explained as a difference in the nature and syntactic status of the infinitival marker *to* in these two periods. Our analysis has advantages over those of Lightfoot (1979), Roberts (1992), and Kageyama (1992) in that it covers a wider range of OE facts. On the other hand, our analysis is not without problems but we will argue below that criticism of the PP-analysis can be more easily overcome than the problems created by the other analyses.

The differences between OE and MidE/ModE are explained by our assumption that the OE inflected infinitives are dominated by a PP. This assumption is supported by the fact that the OE inflected infinitives occur in coordination with ordinary PPs, as in (20):

- (20) a. ut eode to his gebede oððe to leornianne mid his geferum
out went to his prayer or to study with his comrades
(Bede Eccles. History III.5, 7; Miller (ibid: 162))
'[he] went out to his prayers or to study with his comrades'
- b. wa ðan ðe strang bið to swiðlicum drencum and to gemencgenne ða mi cclan
druncennysse
woe to-that-one that strong is to excessive drinkings and to
mingle much intoxication
(Ælfric Homilies II, 322, 15; Visser (ibid: § 897))
- c. efne þes sunderhalga...hæfde opene eagan to forhæfednysse, to ælmesdædum to

ðancigenne God...
even this Pharisee had opened eyes to abstinence to
almsdeeds to thank God
(Ælfric Cath. Hom. ii, 430. 33; Mitchell (1985: § 965))

These examples argue in favour of a PP analysis of the to-infinitive. We have found no examples of a PP coordinated with a (for) to-infinitive in MidE. The absence of this possibility shows that the to-infinitive has lost its prepositional property. It is worth recalling Callaway's (1913: 20-21, 60-71) remarks that the inflected infinitive tends to appear with verbs that take a prepositional object or an object in the dative or genitive, and that the uninflected infinitive tends to appear with verbs that subcategorise for an accusative object. This tendency underlines the close relation between infinitives and case in OE. Similarly, the regular occurrence of the to-infinitive compared with the rare occurrence of the bare infinitive with adjectives (dative case-assigners) and nouns (genitive case-assigners) further signifies the relation between to-infinitives and case (see Callaway (1913: 181), Mitchell (1985: §§ 925-929), and Visser (1963-73: §§ 926, 938)). On the basis of this evidence, we would like to claim that both bare and to-infinitives are nominal.

Traditional grammarians have observed that there is a close relation between infinitives and nouns. There are languages, for instance, Dutch, Standard Arabic, Brazilian Portuguese, etc, where infinitives can combine with articles, adjectival modifiers, etc. The following examples from Dutch (taken from Fischer & van der Leek (1981: 344)), Standard Arabic, and Brazilian Portuguese (thanks to Heloisa Salles (p.c.) for (21e & f)) illustrate:

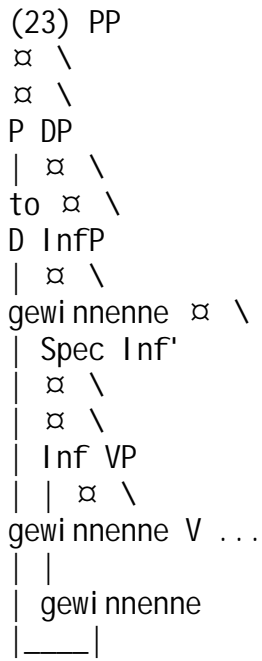
- (21) a. hethuilen staat me nader dan het lachen
(Dutch)
the cry-INF stands me closer than the laugh-INF
'I'm nearer to crying than to laughing'
- b. een keer hard schreeuwen doet een mens goed
(Dutch)
one time hard shout-INF does a man good
'to shout out loud now and then does a man good'
- c. D- Darb- u li-l-walad-i (Standard Arabic)
the beating-Nom of-the-boy-Gen
'the beating of the boy'
- d. D- Darb- u ?al aniif- u li-l- walad-i
(Standard Arabic)
the beating-Nom the violent-Nom of-the-boy-Gen
'the violent beating of the boy'
- e. o bater no garoto (Brazilian Portuguese)
the beating in-the boy
'The beating of the boy'
- f. o violento bater no garoto (Brazilian Portuguese)
the violent beating in-the boy
'the violent beating of the boy'

Building on the traditional observation, we argue that OE to-infinitival clauses behave like nominals with respect to feature checking. More specifically, the fact that the head of the dative DP shows morphological realisation of dative case, suggests that the head has a case feature, call

it the DAT-feature, which is subject to feature checking. We argue that the head of the infinitival DP covertly adjoins to the head of PP to check its DAT-feature. This is consistent with our claim that *to* is a preposition heading its own PP and taking a DP as its complement. We argue that the infinitival verb has an infinitival feature, call it the Inf-feature. We also argue that the infinitival verb, i.e. V+Inf has a nominal feature, call it the D-feature, which is subject to feature checking. The question that arises here is how the infinitival verb checks its D-feature. Assuming that the infinitival DP is dominated by a PP, there is one possible way for the head of the DP to check its feature: the infinitival head moves to a position where it can check its D-feature. Since feature-checking takes place in a highly local domain, the infinitival head must move overtly to Inf to check its Inf-feature and then the complex [Inf V+Inf] moves to D to check its D-feature and the feature contained in D. We assume that the D-feature attracts the verb or more precisely V+Inf to move to D. So in an example like (22a), whose simplified structure is given in (23), the infinitival verb moves out of its base position in VP to Inf to check its infinitival feature forming the complex [V+Inf], which moves on to D where Inf's D-feature is checked.

- (22) a. we synd gearwe nu to gewinenne þæt land
 we are ready now to conquer that land
 (Ælfric Numbers XIV, 40; Crawford (ibid: 320))
 'we are ready now to conquer that land'

- b. ond symle mid his mode wæs flegende þa heofonlecan to lufienne & to
 biddenne & to secenne
 and ever with his mind was hastened the heaven to love, & to desire & to
 seek
 (Bede Eccles. History II, 6, 7, 32; Miller (ibid: 116))
 'and ever in his mind he was in haste to love, to desire and seek the
 things of heaven'



In fact, there is quite a lot of evidence which suggests that the infinitival verb moves to D. The evidence comes from coordinated structures. In a set of coordinated infinitives, the second infinitive very commonly matches the initial one in its marker (i.e. *to* is repeated in both conjuncts) and very rarely exhibits reduced marking (i.e. *to* is not repeated in the second conjunct). The tendency towards reduced marking increases considerably in MidE (see Kenyon (1909: 159-60), Quirk & Svartvik (1970: 402-3) and Fischer (1996)). Consider the following examples where the infinitive in the second con-junct is identical to that of the first. In other words, the second *to*-infinitive is coordinated to the first, and both are governed by the matrix predicate, as the bracketing illustrates:

- (24) a. *hwæðer is ðære sawle forgifen* [[to gewyssiene] and [to styrenne]]
 hire agen lif and ealle hire dæda
 reason is the soul given to direct and to govern its own life and all
 its deeds
 (Ælfric's Lives of Saints I, 108; Skeat (ibid: 16))
 'reason is given to the soul to direct and govern its own life and all
 its deeds'
- b. *hwæðer is* [[to lufigenne] oððe hwan Iac [to offrigenne]]
 which is to love or whom sacrifice to offer
 (Ælfric's Lives of Saints XIV, 38; Skeat (ibid: 310))
 'which is to be loved, or to whom is sacrifice to be offered'
- c. *he hæfde þa gleawnesse Godes bebodu* [[to healdanne] and [to læranne]]
 he had the wisdom God's ordinances to keep and to teach
 (Bede Eccles. History III. 17, 10; Miller (ibid: 206))
 'he had the wisdom to keep and to teach God's ordinances'
- d. *heo onfeng mynster* [[to timbrenne] and [to endebyrdienne]]
 she undertook monastery to build and to put in order
 (Bede Eccles. History IV, 5; Miller (ibid: 334))
 'she undertook to construct & arrange a monastery'
- e. *þæt him leofre wære wið hiene* [[to feohtanne] þonne gafol [to
 gieldanne]]
 that to-them pleasant were against him to fight than ransom to pay
 (Alfred Orosius 13; Onions (ibid: 23))
 'they would rather fight against him than pay ransom'

The examples in (24) conform with the requirement that only phrasal constituents can be coordinated. Crucial in (24) is the fact that the appearance of the dative ending on the infinitival verb in both conjuncts is triggered by the presence of *to* immediately before the infinitival verb.

Crucially, the V+Inf-to-D movement results in the fact that *to* and the infinitival verb forms an inseparable (morphological and) syntactic unit on a par with a PP where P cannot be separated from the complement DP. As long as V+Inf-to-D movement is attested, the (morphological and) syntactic unity of the OE *to*-infinitive cannot be broken up by intervening elements like adverbs, objects, etc. The loss of V+Inf movement to D has several consequences on the internal structure of the OE *to*-infinitive. We will come back to this crucial point in more detail in section 4.2.1.

Now we return to the question as to whether or not *to* is a preposition. The fact that it was impossible for prepositions to precede the *to*-infinitive in OE provides yet another argument in favour of our claim that *to* was a preposition. This goes along with Stowell's (1981: 146) Case Resistance Principle (CRP), which states that categories with Case-assigning features can't appear in Case-marked positions. The CRP predicts that Case cannot be assigned to a category bearing the categorial feature [-V, -N], since this too is a Case-assigning category. In OE we see that this prediction is borne out. In fact, there is a good piece of evidence which suggests that PP must not be assigned Case. Specifically, PP may never appear in a Case-marked position such as the object position of a preposition which obligatorily assigns Case. It is important to bring into focus the remarks made by Callaway (1913: 78) and Visser (1963-73: 1031). Callaway points out that he has found no clear example of an infinitive used as the complement of a preposition. Visser says that in OE the *to*-infinitive does not seem to occur after prepositions. As we will see in section 4, the rise of prepositions before the (for)*to*-infinitive from 1200 onwards can be ascribed to (i) the loss of the dative case feature of *to*, (ii) the demise of the dative ending *-ne*, and (iii) the fact that prepositions started to subcategorise for sentential complements.

The idea that the OE *to*-infinitive is headed by a P explains why the *to*-infinitive as subject was

rare in OE. This fact is accounted for by the general ban on PPs in subject position. The fact that the subject to-infinitive becomes more frequent in the MidE period shows that *to* lost its prepositional property and started to function merely as an infinitival marker, as in (25):

- (25) a. for þan euel to donne nis non strence, ac is unmihte
 because evil to do is-not strength, but is impotence
 (c1200 V & V. 129/4; Holthausen (1921: 129))
 'because to do evil is no strength, but is impotence'
- b. his sedes to sowen, his medes to mowen, his plowes to drive...this is
 the cnihtes lage
 his seeds to sow, his meadows to mow, his plows to drive...this is the
 knight's duty
 (c1200 Proverb Ælfred 89; Visser (ibid: § 901))
 'to sow his seeds, to mow his meadows, to drive his plows, this is the
 knight's duty'

Callaway (1913: 7), Kenyon (1909: 112ff), Mitchell (1985: §§ 1537-9), Mustanoja (1960: 522), and Visser (1963-73: § 898) found no clear case of an inflected to-infinitive used as the subject of a verb in OE; the examples in (25) therefore show an innovation in the function of the inflected to-infinitive in early MidE. This in turn means that the inflected to-infinitive itself lost its nominal status. Lightfoot (1979) assumes that the inflected to-infinitives were nominals in OE, but underwent categorial change and became VPs in MidE. We differ from Lightfoot in that we take the infinitival verb as the only element which bears nominal features, as opposed to his claim, that the to-infinitive is nominal. We see the change from the PP status to the TP status as gradual and not simultaneous with other surface changes as is assumed by Lightfoot (1979: 194).

Concerning the morphological and categorial make-up of the inflected to-infinitive, we would like to propose that it is a combination of two features: nominal and verbal. It is nominal in that it realises the D-feature of *to*. On the other hand, it is verbal in that it has some accusative case features to check with a DP complement in the relevant configuration. This dual function of the infinitive leads us to categorise it as being [+D, +V]. We suspect that the form of the infinitive changed its categorial feature from [+D, +V] to [-D, +V]. As the process of morphological attrition went on, the infinitival verb lost some of its nominal nature and assumed more and more the character of a verb.

3. The Position of Pre-verbal DP Complements

At this point we would like to consider the position of DP complements in OE *to*-infinitives. In particular, we will focus on the relation between underlying order and surface order of DP complement+*to*-infinitive in OE. Following the assumptions of the Minimalist Program, which takes the only underlying order made available by UG to be that of head-complement, we argue that in OE *to*-infinitive the order is uniformly to-infinitive-object DP. However, surface DP-*to*-infinitive order is also found. The two orders are illustrated by the following examples:

- (26) a. þu cyst þæt ðu gecure þa tintregu to ðrowigenne
 you best that you chose the tortures to suffer
 (Ælfric's Lives of Saints VIII, 72; Skeat (ibid: 200))
 'you say that you have chosen to suffer the tortures'
- b. þær wæron binnan þære byrig seofan gebroðra cristena...þam alyfde se
 casere heora cristendom to healdenne
 there were within the city seven brothers Christian...whom allowed the
 emperor their Christianity to keep
 (Ælfric's Lives of Saints IV, 227; Skeat (ibid: 102))
 'there were within the city seven Christian brothers whom the emperor
 permitted to keep their Christianity'

- (27) a. swa ic eom forgifen fram þam ælmihtigan gode ...eow to gepingenne
 so I am given by the Almighty God....you to intercede
 (Ælfric's Lives of Saints X, 138; Skeat (ibid: 218))
 'so I am allotted by Almighty God to intercede for you'
- b. and ealle Drihtnes apostolas beoþ sende þe to bebyrgenne
 and all Lord's apostles be sent you to bury
 (Blickling Homilies XIII; Morris (1879: 137))
 'and all the Lord's apostles shall be sent to bury you'
- (28) a. ðis heo cwæð mid wope and gewilnode to ðrowigenne for cristes naman þa
 cwealmbæran wita
 this she said with weeping and desired to suffer for Christ's name the
 deadly tortures
 (Ælfric's Lives of Saints VIII, 22; Skeat (ibid: 196))
 'this she said with weeping, and desired to suffer the deadly tortures
 for Christ's name'
- b. ongan þa to secgenne þone soþan geleafan
 began then to teach the true faith
 (Ælfric's Lives of Saints X, 154; Skeat (ibid: 228))
 'then he began to teach the true faith'

These examples show that (pro)nominal objects in OE to-infinitives may either precede or follow the infinitive. Given the assumptions of the theoretical model adopted in this thesis, we can attribute the surface variation between [DP+to-inf] and [to-inf+DP] to variable strength of the D-features in to, or more precisely in the complex head [p to+V]. If they are strong, they must be eliminated before SPELL-OUT, resulting in overt movement of the object DP to the Spec position of PP, as in (26) and (27). The movement of the object DP to [Spec,PP] is represented in (29):

- (29) [PP Spec [P' to [DP Spec [D' D [Inf Spec [Inf ' Inf [VP Spec[V ' V Obj]]]]]]]]]

If the D-features of the complex head are weak, movement is delayed till LF, so that the object appears in VP at SPELL-OUT, as in (28).

It should be noted that the distribution of DP complements in OE to-infinitives contrasts with the distribution of DP complements in typical PPs. The contrast lies in the fact that in OE PPs the DP complement of the preposition cannot appear before the preposition unless it is pronominal. The following examples illustrate:

- (30) a. þæt hi us þingion to þam ælmihtigan god swa swa we on worulde heora
 wundra cyðað
 that they for-us intercede with the Almighty God as we on earth their
 miracles reveal
 (Ælfric's Lives of Saints preface, 72; Skeat (ibid: 6))
 'that they may intercede for us with Almighty God even as we on earth
 make known their miracles'
- b. þa cwæð se hælend to ðam halgan mædene
 then said the Saviour to the holy maiden
 (Ælfric's Lives of Saints II, 406; Skeat (ibid: 48))
 'then said the Saviour to the holy maiden'

- (31) a. Æfter þysum wordum heo totær hyre gewædu and cwæð him to þu eart min

fæder

after these words she tore her robes and said him to you are my father

(Ælfric's Lives of Saints II, 235; Skeat (ibid: 38))

'after these words she tare apart her robes and said to him: you are my father'

- b. he hæfde geaxod... and sende ða ardlíce þis ærendgewrit him to
he had enquired and sent then speedily this letter him to
(Ælfric's Lives of Saints XXIV 87; Skeat (ibid: 58))
'he had enquired...and sent thereupon speedily to him this letter'

We would like to suggest that in the DP P order in (31), the object moves overtly to [Spec,PP] for feature-checking; LF movement yields the surface P DP order in (30). As far as the contrast between (26) and (30) is concerned, the question that arises is: why is it possible for a nominal complement of a to-infinitive (and not possible for a nominal complement of a typical PP) to appear immediately before the preposition? Given our PP-analysis of the OE to-infinitive, the DP complement of the preposition to is the infinitive itself and the actual DP complement is the complement of the complement of to. Given that, we can conclude that it is not the complement of the preposition to that is fronted in (28), but it is the complement of the complement that is fronted.

In summary, we have established that the OE infinitival marker to is a preposition which heads its own PP and subcategorises for a dative marked DP. The evidence that the OE to-infinitive is prepositional is provided by the fact that it occurs in coordination with PPs. Further evidence in favour of the prepositional status of the infinitive is the fact that it does not appear in subject position. The appearance of the to-infinitive in subject position in early MidE shows that to lost its prepositional property and, consequently, was reanalysed as an infinitival marker. This in itself suggests that the infinitive lost (some of) its nominal property. We have argued that the dative DP needs to check its case feature. We have suggested that V, which has both an Inf-feature and a D-feature, must have its features checked with Inf and D, respectively. The D-feature is associated with to, a particular preposition. We have also suggested that the head of the dative DP must have its DAT-feature checked with the preposition to. Feature-checking takes place at PF via the right adjunction of the complex head [D V+Inf] to to. We have proposed that when the DP complement of the infinitival verb appears immediately before to, it occupies the specifier position of the infinitival PP. We have seen that this contrasts with Old English typical PPs where the DP complement of the preposition cannot appear before the preposition unless it is pronominal. We have accounted for this contrast by suggesting that since the infinitival DP is the complement of the preposition to, it cannot appear immediately before to. Therefore, it is not the infinitival DP that is fronted but the complement of the infinitival DP that is fronted.

4. The Recategorisation of the Old English To-infinitive

One rather striking difference between Old English and Middle English concerns the use of the word for in infinitival constructions, indicated in (34) and (35), respectively:

- (32) a. heo free lefnesse sealdon deofolgyld to bigongenne þam folcum
they free permission gave idols to worship the people
(Bede Eccles. History II.5,6; Miller (ibid: 112))
'they gave free permission to the people to worship idols'

- b. hwæs wilnast þu fram me to hæbbenne oppe to witenne
what desire you from me to have or to know
(Ælfric Lives of Saints XXIII, 223; Skeat (ibid: 14))
'What do you wish to have from me or to know?'

- c. he dyde monig heofonlic wundor, þa sendon ealle swiðe lange to areccanne

he did many heavenly wonders which are all very long to relate

(St. Simeon 11; Herzfeld (ibid: 130))

'he performed many divine miracles, which are all too long to relate'

- d. *ða cwað Moyses: ðis is se hlaf ðe Drihten eow seald to etenne*
then said Moses: this is the loaf that Lord you gave to eat
(Ælfric Exodus XVI, 15; Crawford (ibid: 253))
'then Moses said: this is the bread that the Lord gave you to eat'

- (33) a. *ne cam ic noht te giuen gew for-bisne of mire agene wille to donne, ac*
i cam for to donne mines fader wille
neg came I not to give you example of my own will to do, but I came to do my father's will
(1200 Vices & virtues 10, Holthausen (ibid: 15))

'I came not to give you an example of doing my own will, but I came in order to do my Father's will'

- b. *to onelich men & wymmen & to alle oþer þat desiren for to seruen god*
to only men & women & to all other who desire to serve god
(c1230 Ancrene Riwe M. 6, 11; Zettersten (1976: 2))

'to men & women & to others who wish to serve God'

- c. *he hopeth for to lyve longe and for to purchacen mucche riches for his delit*

he hopes to live long and to purchase much riches for his delight

(c1386 Chaucer Cant. T X. 1065; Benson (1987: 327))

'he hopes to live long and to acquire much wealth for his own delight'

- d. *we ben bounde forto serve hym bi oure resoun & wil*

we are bound to serve Him with our reason & will

(c1443 Pecoock Reule of Crysten Religioun 9b; Greet (1927: 24))

'we are bound to serve Him with our reason & will'

While such infinitival constructions are never introduced by *for* in OE (32), they very frequently are in MidE (33). Indeed, in the course of the MidE period we see that infinitival constructions are increasingly introduced by *for*. The central question investigated in this section is the recategorisation of the OE to-infinitives as TPs and the diachronic source of *for* in MidE to-infinitival constructions. Firstly, we discuss the traditional proposal which holds that the fading away of the dative ending facilitated the rise of *for*. Secondly, it will be argued that the disintegration of the OE case system has its repercussions on the internal structure of the to-infinitival complements. That is, the internal structure of the to-infinitive underwent a radical change such that verb movement to D was lost because D was lost. As we will see, this resulted in the disintegration of the syntactic unity of the to-infinitive.

4.1. Explanations for the Rise of *for* in Middle English *To*-Infinitive

The nature and the origin of *for* has been the subject of much speculation in traditional studies. In the majority of these studies, most attention seems to have been paid to the semantics of *for* to versus *to*, and relatively little to the syntax of *for* to versus *to*. Let us now look at the proposals that attempt to explain the rise of *for* before the MidE to-infinitives, starting with the traditional view which claims that the demise of the dative ending made it possible for *for* to rise.

4.1.1. The Demise of the Dative Ending -NE

The first explanation which has been put forward for the rise of *for* attributes its appearance to the demise of the dative ending *-ne*. Recall that OE inflected infinitival constructions are introduced by *to*, a word which governs the dative case. Consequently, the infinitive also has the dative ending *-ne*. Infinitival constructions, therefore, are marked by three elements: *to* + infinitival ending *-en/an* + the dative ending *-ne*. When after 1100 the dative ending started to die out, the infinitive becomes marked by *to* and the infinitival suffix *-en*. The disappearance of the dative ending *-ne* is ascribed in part to phonological erosion and in part to standard processes of morphological levelling which tend to apply to paradigms of inflectional morphology. According to some linguists (Lightfoot (1979: 190)) this would have effected the appearance of a new infinitival marker: *for*. In order to test this assumption, let us consider the following examples from late Old English:

- (34) a. se kyng hit dide [[for to hauene sibbe of se eorl of Angeow] & [for help to hauene togænes his nue Willelm]] the king it did to have peace from that earl of Anjou & for help to have against his nephew William (1127 Chron, I, 373, 30; Visser (ibid: § 949))
'the king did it in order to have peace from the Earl of Anjou and to have aid against his nephew William'
- b. al ðe almisse þe mon deð sunderlipe for to quemene ure drihten
all the alms which man does specially to please our Lord
(OE Homilies I; Morris (1877: 137))
'all the alms which a man does specially to please our Lord'

There is probably a connection between the disappearance of the dative ending *-ne* and the appearance of *for*, since few infinitival constructions functioning as adverbial clauses of purpose have both *for* and the dative ending *-ne*.

4.1.2. The Disintegration of the Syntactic Unity of the Old English To-Infinitive

As we mentioned in section 2.4, since D has a strong feature, the infinitival verb must move there to check its D-feature and the feature contained in D. The difference between OE and MidE reduces to a difference in movement: in OE, but not in MidE, the infinitival verb can move to D. The parameter responsible for this difference between OE and MidE is the strength of the D parameter: D is strong in OE, but not in MidE. One consequence of this is that V+Inf-to-D movement is not possible in MidE since there is no trigger for that movement.

We argue that the disintegration of the OE case system has its repercussions on the internal structure of the to-infinitival complements. That is, the internal structure of the to-infinitive underwent a radical change such that the demise of *-ne* resulted in the demise of D, and this led to the disintegration of the syntactic unity of the to-infinitive. As we saw in 2.4, this point is important because, unlike MidE and ModE, the to-infinitive in OE is a single (morphological and) syntactic unit.

An important piece of evidence for the change in the internal structure of the to-infinitive in OE (i.e. the loss of Inf-to-D movement) comes from the fact that the to-infinitive in MidE can be separated by an adverb, object, etc (see Visser (1963-73: §§ 977-982), van der Gaaf (1933), and Jarad (1997)). This is not surprising since syntactic elements can't intervene between P-DP but can between T and Inf (see the MidE structure in (37) below). Now compare the OE examples in (35) with the MidE ones in (36):

- (35) a. gif ge rohton hit to gehyrenne
if you cared it to hear
(Ælfric's Lives of Saints XXI, 122; Skeat (ibid: 440))
- b. *gif ge rohton to hit gehyrenne
- c. *gif ge rohton to[VP e]
- d. *gif ge rohton hit to not gehyrenne

e. *gif ge rohton hit to Adv gehyrenne

(36) a. he sal þe send Angels for to þe defend
he shall you send angels to you defend
(c13...Curs. Mundi 12965; Visser (ibid: § 978))
'he shall send you angels (in order) to defend you'

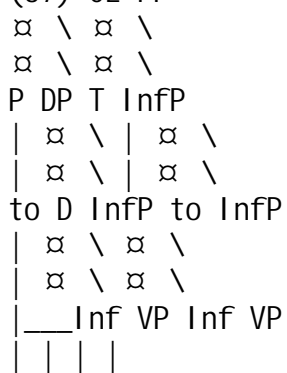
b. but wyle ye alle foure do a þyng þat Y prey yow to [VP e]
but will you all four do a thing that I beg you to
(c1303 R. of Brunne Handlyng Synne 8024; Sullens (1983: 202))
'but will all four of you do a thing that I pray you to (do)?'

c. it is good forto not ete fleisch & forto not drynk wyn
it is good to not eat flesh and to not drink wine
(c1380 Wyclif Rom. 14,21; Visser (ibid: § 979))
'it is good not to eat flesh and not to drink wine'

d. ffor þe proof of þis natural eende is ynoug to my present purpos, which
is forto þerby fynde out and proue þat god is
for the proof of this natural end is enough to my present purpose which
is to thereby find out and prove who God is
(c1443 Pecock Reule of Crysten Religioun 21b; Greet (ibid: 55))
'because the proof of this natural end is enough to my present purpose
which is thereby to find out and prove who God is'

The examples in (35b-e) are unattested in OE. We can probably assume that they are ungrammatical. The examples in (36) clearly show that the syntactic unity of the to-infinitive is broken up by elements like ad-verbs and objects. The syntactic unity of the to-infinitive is also broken up by the stranding of to, i.e. to is left on its own after the VP within the infinitival clause has been deleted, as illustrated in (36b). In fact, since there is no D any more, the relationship between to and the rest becomes looser, so that other elements can intervene. We assume that the break-up which took place in the internal structure of the to-infinitive paved the way for the rise of for. The crucial question which poses itself is: how did this break-up come about? We assume that the demise of the dative case and the consequent loss of verb movement made to and Inf end up further away from each other than they had been in OE. Given the significant occurrence of for before the to-infinitives in early MidE (i.e. 1150-1200), we take this period to be the date of the loss of dative case, and the consequent loss of V+Inf-to-D movement. This loss was the main factor in the disintegration of the syntactic unity of the internal structure of OE to-infinitives, and the consequent appearance of for before the infinitival marker to and adverbs before the infinitival verb. We also take this period to be the date of the Diachronic Reanalysis of the to-infinitive, as indicated in (37):

(37) OE PP =====> MidE TP



Following Roberts (1992) we distinguish three aspects of language change: Steps, Diachronic Reanalysis (DR) and Parametric Change. According to Roberts (1992) the notion of Step can be thought of as the diachronic relations between E-languages (cf. Chomsky (1986)). The appearance of a new construction as an alternative to an already existing one is the first step towards diachronic change. The reanalysis of one of two coexisting constructions is an example of Diachronic Reanalysis. Two crucial questions arise in connection with this: (i) how does the new construction come about? And (ii) how does the new construction replace the old one? We concur with Roberts (ibid: 159) that DRs "create the conditions for parametric variation by removing the structural evidence [and the morpho-logical evidence--emphasis added] for a given parametric setting". For example, we argue that the OE to-infinitive exhibited PP properties, but underwent a DR and became a TP in MidE. The change from the PP status to the TP status- a gradual change-took place in two steps: (i) the gradual fading away of the dative case morphologically realised on the infinitive as -ne, and (ii) the emergence of the so-called split infinitive. The change in (i), which is morphological, might have removed some evidence that infinitives were nominal PPs. It may be that only (ii) is the syntactic change. DRs are taken to be relations between the E-language of one generation and the I-language of a subsequent generation. On this view, the acquirer, on observing his/her parents' E-language utterances in which the infinitival verb does not exhibit any morphological realisation of the dative case reanalyses it as a TP. In other words, the acquirer sets a parameter of UG at a value that is appropriate to and in consonance with his/her trigger experience. Parametric changes indicate a change in the value of a parameter. Parametric changes are diachronic relations among I-languages. Parametric changes may eliminate structures which were already obsolescent, but they also cause perfectly viable structures to undergo DR. Steps, according to Roberts, can and frequently do make certain constructions rarer, but they do not eliminate them totally, in the sense that the grammatical system still permits them. DRs can radically reduce the frequency of certain constructions, but cannot eliminate the constructions in question totally. This is an example of optional rather than radical reanalysis (cf. Fischer & van der Leek (1981)). DRs typically result in the innovation of new constructions alongside older ones.

The MidE structure implies simplification of structure and elimination of one movement, i.e. Inf-to-D movement. Roberts (1992) and Clark & Roberts (1993) argue that these are the hallmarks of syntactic change. The MidE structure also shows that the positions between T and Inf are now available to adverbs, negation, and possibly scrambled objects. Further and more importantly, the absence of D in the MidE structure implies that the to-infinitive lost its PP status, i.e. the loss of D triggered the loss of the nominal property of the OE to-infinitive. The change from the PP status to the TP status took place in two steps: (i) the gradual fading away of the dative ending which began in late OE up to 1100, and (ii) the emergence of split infinitive in the 13th century and the increased frequency of adverbs used as VP-modifiers. The change in (i), which is a morphological change, removed some crucial evidence that infinitives were nominals in PPs. It may be that only (ii) represents the syntactic change. The change in (i) fed the parametric change between OE and MidE by removing the morphological evidence for nominal infinitives. It is this possibility of feedback that perpetuates syntactic change. In this respect, children acquiring MidE to-infinitives would have had to set the relevant parameter (i.e. the parameter determining the categorial nature of to-infinitives whether nominal or clausal) of their I(nternal)-language differently from the setting underlying their trigger experience, i.e. their parents' E(xternal)-language. We assume that acquirers of MidE to-infinitives chose to adopt that setting because it is consistent with their trigger experience, i.e. with the simplest structural representation they can process. A syntactic structure with more steps is supposed to be a harder structure to process than a structure with fewer steps (cf. Roberts (1992) and Clark & Roberts (1993)). The question then arises as to what they did exactly. Presumably, there was no evidence that infinitives involved [DP D InfP], so they simplified this to [InfP] and reanalysed to as an infinitival marker.

To summarise this section: we argued that the loss of D led to the breakup of the internal structure of the to-infinitive. Another aspect of the change is the recategorisation of to from P to T. We will deal with this point below.

4.1.3. The Recategorisation of the Old English To-Infinitive

The DR of the OE to-infinitive given in (37) above captures the traditional assumption that to was reduced from a preposition expressing motion, purpose, direction, etc. to a semantically empty form functioning as a mere sign of the infinitive. Recall that in OE to was only used before a dative form of the infinitive ending in *enne/anne*. It denoted a relation of purpose, as in (38):

- (38) a. gif drihten...sylð me hlaf to etenne & reaf
to werigenne
if Christ....gives me bread to eat & clothes
to wear
(Ælfric Genesis XXVIII, 20; Crawford (ibid:
157))
'if Christ gives bread to eat and clothes to
wear'
- b. gif þu wilt me befæstan cnapan to lærenne
if you wish me entrust servants to teach
(Ælfric Lives of Saints XXXVI, 76; Skeat
(ibid: 44))
'if you wish to entrust me to teach servants'

When the purposive force of to was weakened, some other device was needed to express the notion of purpose. This may have given rise to the use of for before the to-infinitive. The Oxford English Dictionary's (OED) earliest example of this is dated 1175. Shearin (1903), (cited in Kenyon (1909)) points out that there are only two cases of for to and infinitive which he has found in OE.

- (39) a. and ich bidde eou alle ðæt ge bien hym on fultume at þys cristendome Godes
yerichtten for [[to setten] and [to driuen]]
and I ask you all that you be to-him in help at this Christendom God's
dues to deposit and to pursue
(Cod. Dipl. IV, 306, 3; Visser (ibid: § 949))
- b. se kyng hit dide [[for to hauene sibbe of se eorl of Angeow] & [for help to
hauene togænes his nue Willelm]]
the king it did to have peace from that earl of Anjou & for help to have
against his nephew William
(1127 Chron, I, 373, 30; Visser (ibid: § 949))
'the king did it in order to have peace from the Earl of Anjou and to have
aid against his nephew William'

We assume that purpose clauses are always introduced by prepositions, and so we take it that for in (39) must be a preposition. For is a purposive preposition in (39a), since it is followed by conjoined to-infinitives. For is not a complementizer because it can't be followed by conjoined to-infinitives. The for-to clauses in (39) have the following structure:

(40) PP
α \
α \
P CP
| α \
for α \
C TP
α \
α \

T InfP
| α \
to α \
Inf VP

It should be noted that the complement of *for* in (40) is a CP rather than a TP because (i) clausal complements have to be CPs, and (ii) TPs cannot be complements of lexical items; they are always complements of functional heads.

In considering the emergence of *for* in infinitival constructions, Visser (1963-73: § 949) writes: "The use of *for* to instead of *to* before the infinitive of purpose may have arisen from either the fact that the directive force of *to* was too much toned down, or to a trend to reinforce the directive force of the preposition *to*. The early introduction of *for* to makes the second conjecture more probable. *for* to is widely used alongside of *to* during the whole mediaeval period". The development seems to have taken place as follows: *for* was first used in purpose-type infinitival complements only, then from the end of the 12th century there was no longer any difference of meaning between *to* and *for* to, and *for* to and *to* were used interchangeably. That is, the two forms were, at that point, in free variation. An interesting clue comes from the fact that in the 12th century, *for* without *to* is found before infinitives as the sign of purpose, as the following examples illustrate:

(41) a. Corineus was to wode ivare for hunti deor wilde

Corineus was to woods gone to hunt animals wild

(c1250 Lazamon's Brut 1422; Visser (ibid: § 976))

'Corineus had gone to the woods in order to hunt wild animals'

b. ðe king mornede swiðe for habbe hire to wi fue
the king worried greatly to have her to wife

(c1250 Lazamon's Brut B14369; Visser (ibid: § 976))

'the king worried greatly to have her as a wife'

These examples provide ample evidence that *for* could function as a purpose marker (on a par with OE *to*).

We pointed out in 2.4. that the rise of prepositions before the *to*-infinitive from 1200 onwards can be keyed to (i) the loss of the dative case feature of *to*, (ii) the demise of the dative ending *-ne*, and (iii) the fact that prepositions started to subcategorise for nonfinite sentential complements. (i) and (ii) are presumably connected and were the trigger for the DR in (37). Perhaps (iii) was also the trigger for the DR in (37), if we say that *to* vacated the P-slot, and made room for other prepositions. After the DR the complement was no longer a DP but InfP, i.e. a kind of clause. This follows from the Case Resistance Principle. In OE *for*+*to*-infinitive is ruled out because both *for* and *to* assign case. Once *to* stops being a case-assigner, *for* and other prepositions can take it as their complement. Consider the following examples:

(42) a. rædiy till to wissenn himm and lærenn
ready till to instruct him and advise
(1200 Orm. 16998; Visser (ibid: § 976))

b. þah se feor & se forð ha mahen beon istopen in sotliche to luuien þet
nanes weis ne schulen ha stewen hare heorten
but so far & so forth they may be advanced in foolishly to love that no

way no shall they subdue their hearts
(1230 Seintet Margarete 25; Millett & Browne (1990: 68))
'but they may be so advanced in foolish love that they cannot by any
means subdue their hearts'

- c. bliss of herte pat comp of god to louie
bliss of heart that comes of God to love
(1340 Ayenbite 93; Visser (ibid: § 976))
'bliss of heart that comes from the love of God'
- d. this false jage gooth now faste about to hasten his delit al that he may
this false judge goes now fast about to hasten his delit all that he may
(c1386 Chaucer Cant. T. VI, 158; Benson (ibid: 192))
'this treacherous judge went about without delay to gratify his lust'

The absence of the dative ending on the infinitival verb in the above examples clearly shows that *to* is no longer interpreted as a dative case assigner. We suspect that the absence of such evidence suggests that *to* lost its prepositional property and consequently was reanalysed as a mere infinitival marker. The decline of *to*'s ability to assign dative case might have helped other prepositions to subcategorise for *to*-infinitival clauses.

The important conclusion that must be drawn from the analysis of OE *to*-infinitive presented in section two, together with the analysis of *for*-*to*-infinitive presented in section four is along the lines of (43):

- (43) Old English: *to* is a purpose P (followed by a Dat DP); *for* is a locative/temporal/purpose P (followed by DP), so *for to* is ruled out.
Early MidE: *to* is T (followed by InfP); *for* is a purpose P (followed by CP), so *for to* is fine

5. Conclusion

In conclusion, we summarise the main points with which this article has been concerned. We have argued on the basis of evidence from OE that the infinitival marker *to*, which introduces the inflected infinitive, is neither C(omp) nor T(ense) nor Agr(eement). Instead it is a preposition which heads its own PP and takes a dative marked DP as its complement. This in itself suggests that OE *to*-infinitives exhibit nominal properties. One of the properties is that the infinitive has a D-feature. We have argued that the OE *to*-infinitive should be treated as forming an inseparable syntactic unit, and that this unity is created by the overt movement of the infinitival verb from VP to Inf and then to D. We have shown that the V-*to*-Inf-*to*-D movement is driven by the strong dative case feature of the preposition *to*.

The main goal of section 4 was to account for the recategorisation of the OE *to*-infinitive and the rise of *for* before the MidE *to*-infinitives. We have argued that the loss of D has two consequences. The first consequence is that V+Inf-*to*-D movement was lost resulting in the break-up of the (morphological and) syntactic unity of the *to*-infinitive. The second consequence, a consequence of the first consequence, concerns the appearance of the so-called split infinitive, i.e. the development of a preverbal adverb, negation and object position. This crucial evidence marks the drift of the infinitive towards VP behaviour. Given that D was lost in early MidE (i.e. 1150-1200) and the split infinitive appeared in the 13th century, we have concluded that the change from a PP to a TP status was gradual and not simultaneous with other changes, as discussed in Lightfoot (1979). We have seen that the purposive meaning of *to* was weakened in late OE, and, consequently, *for* was introduced to emphasise the idea of purpose.

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