

面向社会经济发展水平的钢铁生产效率DEA动态评价

张启平^{1,2}, 刘业政^{1,2}, 刁翠霞^{1,2}

1. 合肥工业大学 管理学院, 合肥 230009;
2. 过程优化与智能决策教育部重点实验室, 合肥 230009

Dynamic evaluation of iron & steel production efficiency for the level of socio-economic development based on DEA approach

ZHANG Qi-ping^{1,2}, LIU Ye-zheng^{1,2}, DIAO Cui-xia^{1,2}

1. School of Management, Hefei University of Technology, Hefei 230009, China;
2. Key Laboratory of Process Optimization and Intelligent Decision-making, Ministry of Education, Hefei 230009, China

- 摘要
- 参考文献
- 相关文章

全文: [PDF \(843 KB\)](#) [HTML \(1 KB\)](#) 输出: [BibTeX](#) | [EndNote \(RIS\)](#) [背景资料](#)

摘要 提出将钢铁生产对环境造成的负外部性纳入钢铁生产效率评价,且在不同社会经济发展水平下环境负外部性的参与程度不同的评价思想.在此基础上,构建了面向社会经济发展水平的考虑环境负外部性的钢铁生产相对有效性DEA动态评价模型.算例分析表明,不同的社会经济发展阶段会令钢铁生产系统呈现不同的相对有效性,并且不同的环境污染物在相同的社会经济发展阶段对钢铁生产系统的相对有效性有着不同程度的影响.说明该钢铁生产效率评价思想是可行而且有意义的.

关键词: 外部性 社会经济发展水平 钢铁 相对有效性 数据包络分析

Abstract: The negative externalities to the environment in the iron & steel production process should be brought into the efficiency evaluation of the iron & steel production, and the participation level of undesirable outputs should be determined by the level of socio-economic development. Then the dynamic DEA model on the level of socio-economic development-oriented iron & steel production relative efficiency with negative externalities to the environment was constructed. The numerical example demonstrated that different phases of social economic development would make iron & steel production systems present different production relative efficiency, and different environmental contaminants would exert different influences on the relative efficiency of iron & steel production systems in the same social economic development phases, which figured that the method was available and meaningful.

Key words: externality level of socio-economic development iron & steel relative efficiency DEA (data envelopment analysis)



收稿日期: 2010-08-19;

基金资助:国家自然科学基金(71071047); 高等学校博士点基金(20090111110016)

引用本文:

张启平,刘业政,刁翠霞. 面向社会经济发展水平的钢铁生产效率DEA动态评价[J]. 系统工程理论实践, 2012, 32(11): 2577-2584.

ZHANG Qi-ping, LIU Ye-zheng, DIAO Cui-xia. Dynamic evaluation of iron & steel production efficiency for the level of socio-economic development based on DEA approach[J]. Systems Engineering - Theory & Practice, 2012, 32(11): 2577-2584.





- [1] 中国经济信息网研究团队. 2009中国行业年度报告系列之钢铁[R].北京:中国经济信息网, 2009.The Research Team of China Economic Information Network. The 2009 Chinese Industry Annual Report Series of Iron and Steel Industry[R]. Beijing: China Economic Information Network, 2009. 
- [2] Wei Y M, Liao H, Fan Y. An empirical analysis of energy efficiency in China's iron and steel sector[J]. Energy, 2007, 32(12): 2262-2270. 
- [3] Kim J W, Lee J Y, Kim J Y, et al. Sources of productive efficiency: International comparison of iron and steel firms[J]. Resources


服务






- ▶ 把本文推荐给朋友
- ▶ 加入我的书架
- ▶ 加入引用管理器
- ▶ E-mail Alert
- ▶ RSS

作者相关文章

- ▶ 张启平
- ▶ 刘业政
- ▶ 刁翠霞

- [4] Ma J L, Evans D G, Fuller R J, et al. Technical efficiency and productivity change of China's iron and steel industry[J]. *International Journal of Production Economics*, 2002, 76(3): 293-312. 
- [5] 戴铁军,陆钟武. 钢铁生产流程铁资源效率的分析[J]. *钢铁*, 2006, 41(6): 77-82. Dai T J, Lu Z W. Analysis of iron utilization efficiency in steel production process[J]. *Iron and Steel*, 2006, 41(6): 77-82.
- [6] Van Caneghem J, Block C, Cramm P, et al. Improving eco-efficiency in the steel industry: The ArcelorMittal Gent case[J]. *Journal Cleaner Production*, 2010, 18(8): 807-814. 
- [7] 孙振清,赵秀生,刘滨,等. 钢铁生产环境影响的ECECA方法[J]. *清华大学学报:自然科学版*, 2007, 47(9): 1541-1548. Sun Z Q, Zhao X S, Liu B, et al. ECECA-based assessment on environmental impacts of steel-making process[J]. *Journal of Tsinghua University: Science and Technology*, 2007, 47(9): 1541-1548.
- [8] 李贵奇,聂祥仁,周和敏,等. 钢铁生产的环境协调性评价[J]. *中南工业大学学报*, 2002, 33(2): 145-147. Li G Q, Nie Z R, Zhou H M, et al. Life cycle assessment for iron and steel process[J]. *Journal of Central South University of Technology: Natural Science*, 2002, 33(2): 145-147.
- [9] 蔡九菊,杜涛,陆钟武,等. 钢铁生产流程环境负荷评价体系的研究方法[J]. *钢铁*, 2002, 37(8): 66-70. Cai J J, Du T, Lu Z W, et al. Methodology evaluation system of environmental load in iron and steel manufacturing process[J]. *Iron and Steel*, 2002, 37(8): 66-70.
- [10] 杜涛,蔡九菊,陆钟武. 钢铁生产流程的物流对大气环境负荷的影响[J]. *钢铁*, 2002, 37(6): 59-67. Du T, Cai J J, Lu Z W. Influence of material in steel production on atmosphere environmental load[J]. *Iron and Steel*, 2002, 37(6): 59-67.
- [11] 周和敏,高怀,李贵奇. 钢铁生产环境负荷的累积对比分析评价[J]. *钢铁*, 2002, 37(2): 64-69. Zhou H M, Gao H, Li G Q. An accumulative comparative model for the life cycle assessment of iron and steel process[J]. *Iron and Steel*, 2002, 37(2): 64-69.
- [12] 戴铁军,陆钟武. 钢铁企业生态效率分析[J]. *东北大学学报:自然科学版*, 2005, 26(12): 1168-1173. Dai T J, Lu Z W. Analysis of eco-efficiency in steel industry[J]. *Journal of Northeastern University: Natural Science*, 2005, 26(12): 1168-1173.
- [13] 郑忠,何腊梅,高小强,等. 我国钢铁企业的节能与可持续性发展[J]. *钢铁*, 2004, 39(4): 64-68. Zheng Z, He L M, Gao X Q, et al. Sustainable development and energy saving of steel enterprises in China[J]. *Iron and Steel*, 2004, 39(4): 64-68.
- [14] Charnes A, Cooper W W, Rhodes E. Measuring the efficiency of decision making units[J]. *European Journal of Operational Research*, 1978(6): 429-444.
- [15] Zofio J L, Prieto A M. Environmental efficiency and regulatory standards: The case of CO₂ emissions from OECD industries[J]. *Resource and Energy Economics*, 2001, 23(1): 63-83. 
- [16] Korhonen P J, Luptacik M. Eco-efficiency analysis of power plants: An extension of data envelopment analysis[J]. *European Journal of Operational Research*, 2004, 154(2): 437-446. 
- [17] Yu M M. Measuring physical efficiency of domestic airports in Taiwan with undesirable outputs and environmental factors[J]. *Journal of Air Transport Management*, 2004, 10(5): 295-303. 
- [18] Hua Z S, Bian Y W, Liang L. Eco-efficiency analysis of paper mills along the Huai River: An extended DEA approach[J]. *Omega*, 2005, 33(5): 578-587. 
- [19] Zhang B, Bi J, Fan Z Y, et al. Eco-efficiency analysis of industrial system in China: A data envelopment analysis approach[J]. *Ecological Economics*, 2008, 68(1-2): 306-316. 
- [20] Yang H L, Pollitt M. Incorporating both undesirable outputs and uncontrollable variables into DEA: The performance of Chinese coal-fired power plants[J]. *European Journal of Operational Research*, 2009, 197(3): 1095-1105. 
- [21] Cooper W W, Seiford L M, Kaoru T. *Data Envelopment Analysis*[M]. Boston: Kluwer Academic Publishers, 2000. 
- [22] Portela M C A S, Thanassoulis E, Simpson G. Negative data in DEA: A directional distance approach applied to bank branches[J]. *Journal of the Operational Research Society*, 2004, 55(10): 1111-1121. 
- [23] Emrouznejad A, Anouze A L, Thanassoulis E. A semi-oriented radial measure for measuring the efficiency of decision making units with negative data, using DEA[J]. *European Journal of Operational Research*, 2010, 200(1): 297-304. 
- [24] Lovell C A K, Pastor J T, Turner J A. Measuring macroeconomic performance in the OECD: A comparison of European and non-European countries[J]. *European Journal of Operational Research*, 1995, 87(3): 507-518. 
- [25] Seiford L M, Zhu J. Modeling undesirable factors in efficiency evaluation[J]. *European Journal of Operational Research*, 2002, 142(1): 16-20. 
- [26] Reinhard S, Lovell C A K, Thijssen G J. Environmental efficiency with multiple environmentally detrimental variables; estimated with SFA and DEA[J]. *European Journal of Operational Research*, 2000, 121(2): 287-303. 
- [27] Hailu A, Veeman T S. Non-parametric productivity analysis with undesirable outputs: An application to the Canadian pulp and paper industry[J]. *American Journal of Agricultural Economics*, 2001, 83(3): 605-616. 
- [28] Fare R, Grosskopf S, Lovell C A K, et al. Multilateral productivity comparisons when some output are undesirable: A non-parametric

approach[J]. *The Review of Economics and Statistics*, 1989, 71(1): 90-98. 

- [29] Picazo-Tadeo A J, Reig-Martínez E, Hernández-Sancho F. Directional distance functions and environmental regulation[J]. *Resource and Energy Economics*, 2005, 27(2): 131-142. 
- [30] Dyckhoff H, Allen K. Measuring ecological efficiency with data envelopment analysis (DEA)[J]. *European Journal of Operational Research*, 2001, 132(2): 312-325. 
- [31] 卞亦文. 基于DEA理论的环境效率评价方法研究[D]. 合肥: 中国科学技术大学, 2006. Bian Y W. Research on eco-efficiency evaluation based on DEA[D]. Hefei: University of Science and Technology of China, 2006.
- [32] Ali A I, Seiford L M. Translation invariance in data envelopment analysis[J]. *Operations Research Letters*, 1990, 9(6): 403-405. 
- [33] Pastor J T. Translation invariance in data envelopment analysis: A generalization[J]. *Annals of Operations Research*, 1996, 66(2): 102. 
- [34] Andersen P, Petersen N C. A procedure for ranking efficient units in data envelopment analysis[J]. *Management Science*, 1993, 39(10): 1261-1264. 
- [35] Seiford L M, Zhu J. Infeasibility of super-efficiency data envelopment analysis models[J]. *INFOR*, 1999, 37(2): 174-187.
- [1] 赵萌. 中国制造业生产效率评价: 基于并联决策单元的动态DEA方法[J]. *系统工程理论与实践*, 2012, (6): 1251-1260.
- [2] 王海燕, 于荣, 郑继媛, 唐润. DEA-Gini准则在城市公共交通企业绩效评价中的应用[J]. *系统工程理论与实践*, 2012, (5): 1083-1090.
- [3] 呼大永, 冯玉强, 唐振宇, 钱巍. 基于自组织神经网络和DEA的采购拍卖获胜者确定问题模型[J]. *系统工程理论与实践*, 2012, 32(2): 398-404.