

# Chapter 5 Counting

## 5.2 The Pigeonhole Principle

# 1. Introduction

## □ Theorem 1

### ■ The Pigeonhole Principle (page 347)

□ If  $k+1$  or more objects are related into  $k$  boxes, then there is at least one box containing two or more of the objects.

□ Proof: 用反证法(See book)

### ■ Example 1 (page 348)

□ Among any group of 367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays.

# 1. Introduction

- Theorem 1
  - Example 2 (page 349)
    - In any group of 27 English words, there must be at least two that begin with the same letter, since there are 26 letters in the English alphabet.
  - Example 3 (page 349)
    - How many students must be in a class to guarantee that at least two students receive the same score on the final exam, if the exam is graded on a scale from 0 to 100 points.
    - Solution: **102**

# 1. Introduction

## □ Theorem 1

### ■ Example 4 (page 348)

- Show that for every integer  $n$  there is a multiple of  $n$  that has only 0s and 1s in its decimal expansion.

### □ Solution:

令 $n$ 是一个正整数,考虑 $n+1$ 个整数

$1, 11, \dots, 111\dots 1$ (最后一个整数有 $n+1$ 个1)

由于一个整数被 $n$ 除后,将有 $n$ 个可能的余数,所以上述 $n+1$ 个正整数中有2个,它们被 $n$ 除后余数一样,即:这两个正整数相减后,仅有若干个0和若干个1构成,且能被 $n$ 整除.

## 2. The Generalized Pigeonhole Principle

### □ The Generalized Pigeonhole Principle

- If  $N$  objects are placed into  $k$  boxes, then there is at least one box containing at least  $\lceil N/k \rceil$  objects.
- Proof: 用反证法(See book)

### □ The Minimal Number of Objects?

- so that at least  $r$  of these objects must be in one of  $k$  boxes when these objects are distributed among the boxes.
- Solution:  $\lceil N/k \rceil \geq r$

The smallest integer  $N$  with  $N/k > r-1$ ,  
namely,

$$N = k(r-1) + 1$$

## 2. The Generalized Pigeonhole Principle

- Example 5 (page 315)
  - Among 100 people there are at least  $\lceil 100/12 \rceil = 9$  who were born in the same month.
- Example 6
  - What is the minimum number of students required in a discrete mathematics class to be sure that at least six will receive the same grade, if there are five grades, A, B, C, D, and F?
  - Solution:

$$\begin{aligned}N &= k(r-1) + 1 \\ &= 5 * (6-1) + 1 \\ &= 26\end{aligned}$$

## 2. The Generalized Pigeonhole Principle

### □ Example 7

- (1) How many cards must be selected from a standard deck (纸牌) of 52 cards to guarantee that at least three cards of the same suit are chosen?
- Solution:

$$\begin{aligned}N &= k(r-1) + 1 \\ &= 4 * (3-1) + 1 \\ &= 9\end{aligned}$$

## 2. The Generalized Pigeonhole Principle

- Example 7
  - (2) How many must be selected to guarantee that at least three hearts are selected?
  - Solution
    - Note that in the worst case, we can select all the clubs, diamonds, and spades, 39 cards in all, before we select a single heart. The next three cards will be all hearts, so we may need to select 42 cards to get three hearts.
- Example 8 and 9 (page 350~351)
  - Please read them by yourself.



### 3. Some Elegant Applications of the Pigeonhole Principle

- Example 10 (page 351)
  - During a month with 30 days a baseball team plays at least one game a day, but no more than 45 games. Show that there must be a period of some number of consecutive days during which the team must play exactly 14 games.

### 3. Some Elegant Applications of the Pigeonhole Principle

#### □ Example 10 (page 351)

##### ■ Solution:

- 令 $a_j$ 是在这个月的第 $j$ 天或第 $j$ 天之前所打的场数,则 $a_1, a_2, \dots, a_{30}$ 是不同正整数的一个递增序列,其中 $1 \leq a_j \leq 45$ 。从而 $a_1 + 14, a_2 + 14, \dots, a_{30} + 14$ 也是不同的正整数的一个递增序列,其中 $15 \leq a_j + 14 \leq 59$ 。

60个正整数 $a_1, a_2, \dots, a_{30}, a_1 + 14, a_2 + 14, \dots, a_{30} + 14$ 全都小于或等于59。因此,由鸽笼原理有两个正整数相等。因为 $a_j$  ( $j=1, 2, \dots, 30$ )都不相同,并且 $a_j + 14$  ( $j=1, 2, \dots, 30$ )也不相同,一定存在下标 $i$ 和 $j$ 满足 $a_i = a_j + 14$ 。这意味着从第 $j+1$ 天到第 $i$ 天恰好打了14场比赛。

# 3. Some Elegant Applications of the Pigeonhole Principle

## □ Example 11 (page 352)

- Show that among any  $n+1$  positive integers not exceeding  $2n$  there must be an integer that divides one of the other integers.

## ■ Solution

把  $n+1$  整数  $a_1, a_2, \dots, a_{n+1}$  中的每一个都写成 2 的幂与一个奇数的乘积。换句话说，令  $a_j = 2^{k_j} q_j$ ,  $j = 1, 2, \dots, n+1$ , 其中  $k_j$  是非负整数， $q_j$  是奇数。整数  $q_1, q_2, \dots, q_{n+1}$  都是小于  $2n$  的正整数。因为只存在  $n$  个小于  $2n$  的正奇数，由鸽笼原理， $q_1, q_2, \dots, q_{n+1}$  中必有两个相等。于是，存在整数  $i$  和  $j$  使得  $q_i = q_j$ 。令  $q_i$  与  $q_j$  的公共值是  $q$ ，那么  $a_i = 2^{k_i} q$ ,  $a_j = 2^{k_j} q$ 。因而，若  $k_i < k_j$ ，则  $a_i$  整除  $a_j$ ；若  $k_i > k_j$ ，则  $a_j$  整除  $a_i$ 。

### 3. Some Elegant Applications of the Pigeonhole Principle

- Strictly Increasing (or Decreasing) Subsequence of a Sequence
  - Example 12 (page 317)
    - The sequence  $8, 11, 9, 1, 4, 6, 12, 10, 5, 7$  contains ten terms. Note that  $10 = 3^2 + 1$ . There are four increasing subsequences of length four, namely,  $1, 4, 6, 12$ ;  $1, 4, 6, 7$ ;  $1, 4, 6, 10$ ; and  $1, 4, 5, 7$ . There is also a decreasing subsequence of length four, namely,  $11, 9, 6, 5$ .

### 3. Some Elegant Applications of the Pigeonhole Principle

#### □ Theorem 3 (page 317)

- Every sequence of  $n^2 + 1$  distinct real numbers contains a subsequence of length  $n + 1$  that is either strictly increasing or strictly decreasing.
- Proof
  - See next slide.

# 3. Some Elegant Applications of the Pigeonhole Principle

## □ Theorem 3 (page 317)

### ■ Solution

令  $a_1, a_2, \dots, a_{n^2+1}$  是  $n^2+1$  个不同实数的序列。与序列中的每一项  $a_k$  联系着一个有序对，即  $(i_k, d_k)$ ，其中  $i_k$  是从  $a_k$  开始的最长的递增子序列的长度，且  $d_k$  是从  $a_k$  开始的最长的递减子序列的长度。

假定没有长为  $n+1$  的递增子序列或递减子序列。那么  $i_k$  和  $d_k$  都是小于或等于  $n$  的正整数， $k=1, 2, \dots, n^2+1$ 。因此，有乘法规则，关于  $(i_k, d_k)$  存在  $n^2$  个可能的有序对。根据鸽笼原理， $n^2+1$  个有序对中必有两个相等。换句话说，存在  $a_s$  和  $a_t$ ， $s < t$ ，使得  $i_s = i_t$  和  $d_s = d_t$ 。我们将证明这是不可能的。由于序列的项是不同的，不是  $a_s < a_t$  就是  $a_s > a_t$ 。

如果  $a_s < a_t$ ，那么由于  $i_s = i_t$ ，那么把  $a_s$  加到从  $a_t$  开始的递增子序列前面就构造出一个从  $a_s$  开始的长为  $i_s + 1$  的递增子序列。从而产生矛盾。类似地，如果  $a_s > a_t$ ，可以证明  $d_s$  一定大于  $d_t$ ，从而产生矛盾。

### 3. Some Elegant Applications of the Pigeonhole Principle

- Ransley number  $R(m,n)$

- Example 13 (page 352)

- Assume that in a group of six people, each pair of individual consists of two friends or two enemies. Show that there are either three mutual (相互的) friends or three mutual enemies in the group.

- Solution:

- See next slide.

### 3. Some Elegant Applications of the Pigeonhole Principle

#### □ Ramsey number $R(m,n)$

##### ■ Solution

- 令A是6个人中的一人，组里的其他5个人中至少有3个人是A的朋友，或至少有3个人是A的敌人。这可以从推广的鸽笼原理得出，因为当5个物体被分成两个集合时，其中的一个集合至少有  $\lceil 5/2 \rceil = 3$  个元素。

若是前一种情况，假定B,C和D是A的朋友。如果这3个人中有2个人也是朋友，那么这2个人和A构成彼此是朋友的3人组。否则，B,C和D构成彼此为敌人的3人组。

对于后一种情况的证明，当A存在3个或更多的敌人时，可以用类似的方法处理。



# Homework

- Page 353 ~ 354
  - 2, 4, 6, 16