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Validity of self reported male balding patterns in epidemiological studies

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BMC Public Health 2004, 4:60 doi:10.1186/1471-2458-4-60

The electronic version of this article is the complete one and can be found online at: <http://www.biomedcentral.com/1471-2458/4/60>

Received: 17 August 2004

Accepted: 13 December 2004

Published: 13 December 2004

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Abstract

Background

Several studies have investigated the association between male pattern baldness and disease such as prostate cancer and cardiovascular disease. Limitations in the lack of standardized instruments to measure male pattern baldness have resulted in researchers measuring balding patterns in a variety of ways. This paper examines the accuracy and reliability of assessment of balding patterns by both trained observers and men themselves, using the Hamilton-Norwood classification system.

Methods

An observational study was carried out in Western Australia with 105 male volunteers aged between 30 and 70 years. Participants completed a short questionnaire and selected a picture that best represented their balding pattern. Two trained data collectors also independently assessed each participant's balding pattern using the same system and the men's self-assessment with the trained observer's assessment. In a substudy, observers assessed a photo of the man aged 35 years while the man independently rated his

Results

participants completed the questionnaire. These participants were also assessed from the Hamilton-Norwood scale which best depicted their balding pattern. Participants were not permitted to look at the photographs while completing the questionnaire.

For data analysis, we compared the assessments of the two observers with the assessment of the participants with the assessments of the observers. The reference pictures included in the Hamilton-Norwood classification system were examined separately and the percentage of cases with exact agreement on these pictures for each of the observers and the subjects, and between the two observers were then arranged into four groups according to overall balding pattern: no balding (A); vertex balding only (B); combination of frontal and vertex balding (C); and frontal balding only (D to L). Percent agreement within group was then calculated for each subject and between the two observers. The percent of agreement was then calculated for each group (younger than 50 years, and 50 years or older); self-reported ethnicity; and education. Where possible, kappa statistics were also calculated.

Results

There were 69 participants (67%) between the ages 30–49 years, and 32% between the ages 50–70 years. Whilst 70% of subjects considered themselves of Caucasian descent (Caucasian born in Australia), the remainder was made up of representatives from other ethnicities: 20.4% from Asia (4.9%), New Zealand (3.9%) and South America (1%). A total of 30.1% had completed university education or equivalent (30.1%); 22.3% had completed high school but not university; 12.6% had completed junior high school only; and, because of the nature of the study, 19.4% of subjects had an undergraduate degree and a further 15.6% had completed post-graduate study.

Overall, trained observers were found to be highly reliable at analysing balding patterns with an exact agreement of 85.4% and an agreement within balding pattern groups of 70%. Compared to the observers, men were found to be moderately accurate at identifying their current balding pattern with an exact agreement percentage ranging from 45% to 62% and an agreement as to balding group around 70%.

[Table 1.](#) Reliability and validity of assessment of balding patterns

In regards to how different demographic characteristics affect men's ability to accurately assess their balding pattern (Table 2), the characteristic with the most influence appeared to be age, with men aged 50 or above being more accurate (exact agreement 56–62%) than men aged 30–49 (exact agreement 45–52%). Men who finished high school were the most accurate at assessing their balding status followed by either those that had studied at technical college or those that had completed post-graduate studies. The least accurate were men who had completed year 10 at high school. Men who had completed post-graduate studies also performing fairly poorly. The effect of education on the ability to assess balding patterns (between Australian and non-Australian men) was not statistically significant.

[Table 2.](#) Validity of assessment of balding group by age, ethnicity and education

There were 15 subjects who provided photos of themselves aged approximately 30–49 years. Both observers examined 13 of these. The inter-observer reliability for exact agreement was 0.766, $p < 0.001$) and agreement within balding pattern groups increased to 0.833 ($p < 0.001$). Observer 2 only examined 13 subjects, so for the 15 subjects examined, the agreement between the men and the observer was 66.7% for exact match and 73.3% for agreement within the balding pattern groups.

Discussion

In this study we have shown that trained observers are very reliable in assessing balding patterns. Our data also show that, when compared to the trained observers, men accurately assess their balding patterns quite well. In particular, men are accurate in identifying the balding pattern group they have. This result is important due to previous research showing that the overall pattern of hair loss rather than extent of balding that determines the increased risk of developing negative health outcomes including prostate cancer.

There have been several studies investigating the link between male pattern baldness and prostate cancer [3-5,7,10], as well as other health issues such as cardiovascular disease. In these studies, balding patterns have been assessed using different techniques, some of which are more complex than others. There has been controversy over the use of some of these methods of assessment [6], as little research has been performed regarding the reliability of these methods. In a study performed by Hererra *et al* [11], the assessment of balding pattern involved counting the total number of bald spots found on the head. In a follow-up assessment performed six years later on the same subjects, there was a decrease in the extent of baldness in 12% of study participants. This apparent reversal of balding was attributed to regrowth from treatment or other means, and so it must be noted that the methods used to assess baldness in these participants were unreliable.

Other methods for assessing baldness have been used in clinical situations, including grids used with standardized photographs of the scalp or in vivo [12]; and macrophotographic [14] techniques in which the individual hairs are magnified. These techniques may be used in well-funded clinical trials with the aim of assessing the accuracy of self-assessment, but they are not appropriate for epidemiological studies in which often the only goal is to classify men as to their type of balding.

The majority of studies [4,7,10,15] have used variations on the Hamilton-Norwood scale [2]. This method allows for the grading of baldness in terms of pattern. The scale can be used either by independent observers, or by men themselves. Official instructions for use or training manuals are available. No previous studies have been performed to assess the accuracy and reliability of either trained independent observers or participants themselves in the assessment of balding patterns.

The strengths of our study included the recruitment of volunteers from a representative population thus allowing for extrapolation of the results back to the wider population. As the research was performed as an observational study, care was taken to avoid factors that could be influencing the results. This included not informing participants that the study was about assessing their balding pattern until after the questionnaire was completed. We also refrained from giving advice to participants when asked to help assess their balding pattern.

Older subjects appeared to be better at assessing their balding group than younger subjects. This may be due to greater hair loss resulting in a more straightforward balding pattern, or possibly just a greater self-awareness of degree of balding in the older age group. The results with regard to education were confusing, with those with senior high school education seeming to be best at assessing their balding pattern. This may have just been due to small numbers in the groups. Other demographic factors that were included in our questionnaire may have been of interest in determining the accuracy of men's self-assessment of their balding pattern. These include marital status, occupation, and personality sub-types, and we would encourage future research in the area to investigate the possible relationship between these aspects and the accuracy of self-assessment.

Any extrapolation of our data needs to take into account the differing methods of assessment between our study and other studies in which the man may obtain advice from a professional in the home environment, as well as have access to mirrors and photographs to aid in the assessment of current and past balding patterns. These factors do not necessarily apply to our study.

results however, as the use of such help would ultimately increase accuracy from the already acceptable level shown in our results, rather than detract

The study of the accuracy of previous balding was limited by small sample size. Men were approached prior to the study to provide a photograph of their hair at age 35. A large number of eligible participants, and also meant that the men may have shaved their heads before completing the questionnaire, which may have increased the accuracy of retrospective assessment. Difficulties in assessing vertex balding from the observer also became apparent, as often photographs did not provide a top and back section of a participant's head. It is unlikely that these limitations could be overcome as it would be difficult to devise another method for the observer to retrospectively assess a participant's balding pattern at age 35.

Previous research has demonstrated an intra-observer rate of consistency of 99% using the Hamilton-Norwood scale[2,10]. Hamilton himself classified his hair and then repeated the process three months later without reference to the original classification but one of the classifications were identical. The scale was modified in 1994. The Hamilton scale has been found to correlate with local hair density[14]. A new scale of balding patterns was recently described for use in hair restoration surgery. This scale has not been used in the epidemiological context.

Conclusions

From this study, we suggest that any further work requiring assessment of balding should consider the use of trained observers as the gold standard of assessment. If this is unattainable, we have shown that men's self evaluation is accurate enough to be used and validity of results. In addition, we believe this study demonstrates the association between male balding patterns and health effects, that men can reliably assess their balding pattern and assess their own risk.

Competing interests

The author(s) declare that they have no competing interests.

Authors contributions

RT and JM planned the study, collected the data, analysed the data and wrote the study report for a student project.

JL assisted in planning the study and drafted the manuscript

LF assisted in planning the study, supervised data collection and analysis and wrote the manuscript.

All authors read and approved the final manuscript

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