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# $I(\chi)$ -Reduced representations of generalized Jacobson-Witt algebras $W(m; \mathbf{n})$

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**Abstract:** This paper studied the representations of graded Cartan type Lie algebra  $W(m; \mathbf{n})$  in characteristic  $p > 2$ , by generalizing the arguments of Shu's for restricted Lie algebras  $W(m; \mathbf{1})$ . Especially, the rank-reducing method exploited in the restricted case was extended to non-restricted case. The simple modules for  $W(m; \mathbf{n})$  with generalized  $p$ -character  $\chi$  were described by reduction when  $\chi$  was regular semisimple.

**Key words:** graded Lie algebra; Cartan type; regular semisimple; loop algebra

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## 广义 Jacobson-Witt 代数 $W(m; \mathbf{n})$ 的 $I(\chi)$ -约化表示

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**摘要:** 推广了对限制李代数  $W(m; \mathbf{1})$  的研究方法, 研究了当特征  $p > 2$  时的阶化 Cartan 型李代数  $W(m; \mathbf{n})$  的表示. 特别地, 把对限制型李代数所用的降秩的方法推广到了非限制的情形. 描述了当  $\chi$  正则半单时  $W(m; \mathbf{n})$  的不可约广义  $\chi$ -约化表示.

**关键词:** 阶化李代数; Cartan 型; 正则半单; loop 代数

## 0 Introduction

So far, except for a few examples of Cartan type Lie algebras in prime characteristic with low ranks, we are far away from understanding their simple modules. Generally speaking, the lower-rank case is easier than the higher-rank case in the study of their representations. For rank-one Cartan type Lie algebras of type  $W$ , there is a complete determination for the restricted case<sup>[1,2]</sup> and for the nonrestricted case<sup>[3]</sup>. For the study of representations of  $W(m; \mathbf{1})$ ,

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the second author introduced a rank-reducing method and effectively studied simple modules when the corresponding  $p$ -characters are so-called regular semisimple.

This paper generalizes Shu's arguments<sup>[4]</sup>. For this, we have to adopt the machinery of so-called generalized restricted Lie algebras. In general, the graded Cartan type Lie algebras  $L = W(m; \mathbf{n})$  (generalized Jacobson-Witt algebras) are not restricted unless  $\mathbf{n} = \mathbf{1}$ . So, the Kac-Weisfeiler's method of classifying the irreducible modules through  $p$ -character functions doesn't work. To elude such inconvenience the second author introduced the notion of the generalized restricted Lie algebras and related representation methods<sup>[5]</sup>. And generalized restricted  $\chi$ -reduced module category coincides with the  $\bar{\chi}$ -reduced module category of its primitive  $p$ -envelope, where  $\bar{\chi}$  is the trivial extension of  $\chi$  in the primitive  $p$ -envelope<sup>[3,6]</sup>.

Thus, the significance of  $I$  associated with an arbitrary  $\chi$  can be recovered, where  $I$  is an index subset of  $\{1, 2, \dots, m\}$  (in this note we will denote it by  $I(\chi)$ ). Especially, associated with  $I$ ,  $L = W(m; \mathbf{n})$  admits a grading structure  $L = \sum_{q \geq -1} L_{[q], I}$  and thereby all generalized  $\chi$ -reduced representations admit an  $I$ -gradation.

The first main result in this paper is: when  $\chi$  is regular semisimple (to see Definition 3.1), the irreducible generalized  $\chi$ -reduced modules of  $L = W(m; \mathbf{n})$  are all determined by those of the grade-0 component  $L_{\bar{0}} = L_{[0], I}$  associated with their  $I$ -gradations. And any irreducible  $W(m; \mathbf{n})$ -module in this way is isomorphic to the induced module of an irreducible generalized  $\chi$ -reduced  $L_{[0], I}$ -module. The conclusion of Guang-yu Shen is generalized (see Section 2). Note that in aid of language of "height" of generalized  $p$ -character, Guangyu Shen's graded module category is within the  $\chi$ -reduced representation category with the height of  $\chi$  smaller than 1. In the present paper, our work is on the  $\chi$ -reduced category with the generalized  $p$ -character  $\chi$  be regular semi-simple whose height may be much bigger than 1, for example when  $I = \{m\}$ , and then  $\hat{I} = \{1, 2, \dots, m-1\}$ , the corresponding semi-simple character  $\chi$  is of the height  $p \sum_{i=1}^{m-1} n_i + 1$ .

Furthermore, we can generalize the representation theory corresponding to the "loop algebra" constructed by  $\mathfrak{gl}(m)$  tensoring divided power algebra to the case of  $W(m; \mathbf{n})$ <sup>[4]</sup>. Then we finally reduce the irreducible representations of  $L_{\bar{0}}$  to the irreducible representation of  $L_I$ .

Thus we obtain the second main result in this paper: when  $\chi$  is regular semisimple, the irreducible generalized  $\chi$ -reduced  $L_{[0], I}$ -modules of  $W(m; \mathbf{n})$  coincide with the induced representations of the corresponding irreducible representations of  $L_I = S_{\hat{I}} \oplus W(\hat{I}) \oplus \mathfrak{A}(\hat{I}) \otimes \mathfrak{h}(I)$ .

It's worthy of mention that Guang-yu Shen extensively and deeply studied irreducible graded modules of Lie algebras of type  $L = W(m; \mathbf{n})$ <sup>[7,8,9]</sup>, as well as for types S, and H. Those irreducible modules are determined by the irreducible ones of the grade-0 component  $L_{[0]} \cong \mathfrak{gl}(m)$ . Especially, when this  $L_{[0]}$ -irreducible module  $V_0$  is not the exceptional weight restricted module, the graded irreducible module of  $L = W(m; \mathbf{n})$  is the mixed products of the divided power algebra  $\mathfrak{A}(m; \mathbf{n})$  and  $V_0$ . Our results may be regarded as the generalization of Shen's related results.

The authors thank Yufeng Yao for his pointing out the missing terms of grading structure of  $L$  associated to  $I$ .

## 1 Notations and $\Lambda_I$ -gradations of $\mathcal{L}$

Throughout  $\mathcal{K}$  will be an algebraically closed field of characteristic  $p > 2$ .

### 1.1 Notations

Let  $J = \{1, 2, \dots, m\}$  be an index set and  $\alpha$  be a function on  $J$  over  $\mathbb{Z}$ . Denote  $A(m) \triangleq \{\alpha \mid \alpha : J \rightarrow \mathbb{Z}, 0 \leq \alpha(i) \leq p^{n_i} - 1, \forall i \in J\}$ . We can write  $(\alpha(1), \alpha(2), \dots, \alpha(m)) \triangleq (\alpha_1, \alpha_2, \dots, \alpha_m)$  for  $\alpha$ . Let  $I$  be a subset of  $J$  and  $I = \{i_1 < i_2 < \dots < i_l\}$ , then  $A(I) \triangleq \{\alpha(I) \mid \alpha \in A(m)\}$ , where  $\alpha(I)$  means  $(\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_l})$ . In order to emphasize the index subset  $I$  of  $J$ , we sometimes write  $\alpha_I$  for the elements of  $A(I)$ .

Set  $L = W(m; \mathbf{n}) = \sum_{i=1}^m \mathfrak{A}(m; \mathbf{n})D_i$ . Recall that  $L = W(m; \mathbf{n}) = \sum_{i=1}^m \mathcal{K}D_i + \sum_{i=1}^m \sum_{|\alpha| > 0} \mathcal{K}x^\alpha D_i \triangleq L_{[-1]} + L_0$ .  $(L_0, [p])$  is a restricted subalgebra of  $L$  with the standard basis elements like  $D \in \{x^\alpha D_i \mid \sum_{i=1}^m \alpha_i > 0, 0 \leq \alpha_i \leq p^{n_i} - 1, i = 1, 2, \dots, m\}$ . We have known that  $(L, \underline{s}, \varphi_{\underline{s}})$  is a generalized restricted Lie algebra with  $\underline{s} = (n_1, n_2, \dots, n_m, 1, 1, \dots, 1)$  and  $\varphi_{\underline{s}} : D_i \mapsto 0, D \mapsto D^{[p]}$ . By Schur's Lemma, it's easily shown that an arbitrary given simple  $L$ -module  $(V, \rho)$  is subject to a linear function  $\chi$  on  $L^{[3,6]}$ , which satisfies

$$\begin{aligned} \rho(D)^p - \rho(D^{[p]}) &= \chi(D)^p \cdot \text{Id}_V, \quad \forall D \in L_0, \\ \rho(D_i)^{p^{n_i}} &= \chi(D_i)^{p^{n_i}} \cdot \text{Id}_V, \quad i = 1, 2, \dots, m. \end{aligned} \quad (1.1)$$

A representation (resp. module) of  $L$  satisfying (1.1) is called a generalized reduced representation (resp. module), or  $\chi$ -reduced representation ( $\chi$ -reduced module).

### 1.2 $\Lambda_I$ -Gradations of $L$

Let  $\mathcal{E} = \{\text{the standard basis of } L_0 \text{ presented above}\} \cup \{D_1, \dots, D_m\}$  be the standard basis of  $L$ . Define  $\text{deg} : \mathcal{E} \rightarrow \mathbb{Z}^m$  by

$$\text{deg } x^\alpha D_j = (\alpha_1, \dots, \alpha_j - 1, \dots, \alpha_m).$$

Denote

$$\Lambda(L) = \{\text{deg}(D) \mid D \in \mathcal{E}\}, \quad \Lambda_I = \{\alpha(I) \mid \alpha \in \Lambda(L)\},$$

where  $I$  is an index subset of  $J = \{1, 2, \dots, m\}$ . Then there is a  $\Lambda_I$ -gradation of  $L$ :

$$L = \bigoplus_{\alpha \in \Lambda_I} L_\alpha, \quad L_\alpha = \mathcal{K}\text{-span}\{D \in \mathcal{E} \mid \text{deg}D(I) = \alpha\}.$$

For  $\Lambda_I$ , we can define an order  $\succ_I$ . Set

$$L^+(I) = \bigoplus_{\alpha_I \succ_I 0} L_{\alpha_I}, \quad L^-(I) = \bigoplus_{\alpha_I \prec_I 0} L_{\alpha_I}, \quad L^0(I) = L_I,$$

where  $L_I \triangleq \mathcal{K}\text{-span}\{x^\alpha D_j \mid (\text{deg } x^\alpha D_j)(I) = 0\}$ . Then  $L = L^-(I) + L^0(I) + L^+(I)$ .

For  $L_I$ , we have the following lemma by direct verification.

**Lemma 1.1** Set  $\hat{I} := \{1, 2, \dots, m\} \setminus I$ , then  $L_I = L_I^c \oplus L_I^0$ , where  $L_I^c = \mathcal{K}\text{-span}\{x^{\alpha_i + \varepsilon_i} D_i \mid \alpha_i \in A(\hat{I}), i \in I\}$  is an abelian ideal of  $L_I$ , and  $L_I^0 = \mathcal{K}\text{-span}\{x^{\alpha_i} D_k \mid \alpha_i \in A(\hat{I}), k \in \hat{I}\}$  can be regarded as a simple generalized Witt algebra  $W(\hat{I})$  corresponding to  $\hat{I}$ .

### 1.3 About $\mathcal{L}$

Now let

$$\mathcal{L} = L + \sum_{i=1}^m \sum_{d_i=1}^{n_i-1} \mathcal{K}D_i^{[p]^{d_i}} \subset \text{Der} \mathfrak{A}(m; \mathbf{n}),$$

( $i$  with  $n_i > 1$ ), then  $(\mathcal{L}, [p])$  is a restricted Lie subalgebra of  $\text{Der} \mathfrak{A}(m; \mathbf{n})$ . For any simple  $\mathcal{L}$ -module  $(V, \bar{\rho})$  there are following equations:

$$\bar{\rho}(D)^p - \bar{\rho}(D^{[p]}) = \bar{\chi}(D)^p \cdot \text{Id}_V, \quad \forall D \in \mathcal{L}. \quad (1.2)$$

Where  $\bar{\chi}$  is a character of  $\mathcal{L}$  which is a trivial extension of  $\chi \in L^*$ , i.e, satisfying  $\bar{\chi}|_L = \chi$  and

$$\begin{aligned} \bar{\chi}(D) &= \chi(D), \quad \forall D \in L, \\ \bar{\chi}(D_i^{[p]^{d_i}}) &= 0, \quad 1 \leq d_i \leq n_i - 1. \end{aligned}$$

A representation  $(V, \bar{\rho})$  satisfying (1.2) is called a  $\bar{\chi}$ -reduced representation of  $\mathcal{L}$ .

### 1.4 $\Lambda_I$ -Gradations of $\mathcal{L}$

Set

$$\begin{aligned} \mathcal{L}^+(I) &= L^+(I), \\ \mathcal{L}^-(I) &= L^-(I) + S_I \triangleq L^-(I) + \sum_{i \in I} \sum_{d_i=1}^{n_i-1} \mathcal{K}D_i^{[p]^{d_i}}, \\ \mathcal{L}_I &= L_I + S_{\hat{I}} \triangleq L_I + \sum_{k \in \hat{I}} \sum_{d_k=1}^{n_k-1} \mathcal{K}D_k^{[p]^{d_k}}, \end{aligned}$$

where  $\hat{I} = \{1, 2, \dots, m\} \setminus I$ . Then  $\mathcal{L} = \mathcal{L}^-(I) \oplus \mathcal{L}_I \oplus \mathcal{L}^+(I)$ . All three subalgebras are restricted Lie algebras.

The following proposition is clear.

**Proposition 1.1** For any  $\bar{\chi} \in \mathcal{L}^*$ , there exists the smallest index set  $I(\bar{\chi})$  such that  $\bar{\chi}(\mathcal{L}^\pm(I)) = 0$ . ( $I(\bar{\chi})$  will be mostly denoted by  $I$  for simplicity whenever the context is clear.)

## 2 The simplicity of induced modules of $L = W(m; \mathbf{n})$

Fix a  $\chi \in L^*$ . Then there is the smallest index set  $I$  such that  $\bar{\chi}(\mathcal{L}^\pm(I)) = 0$ . Let

$$\begin{aligned} L_{[0], I} &= L_I + \sum_{\alpha_I \in A(\hat{I})} \sum_{i(\neq j) \in I} \mathcal{K}x^{\alpha_I + \varepsilon_i} D_j, \\ L_{[q], I} &= \sum_{\alpha_I \in A(\hat{I})} \sum_{\substack{\alpha_I \in A(I) \\ |\alpha_I|=q+1}} \sum_{i \in I} \mathcal{K}x^{\alpha_I + \alpha_I} D_i + \sum_{\alpha_I \in A(\hat{I})} \sum_{\substack{\alpha_I \in A(I) \\ |\alpha_I|=q}} \sum_{k \in \hat{I}} \mathcal{K}x^{\alpha_I + \alpha_I} D_k \end{aligned}$$

for  $q = -1$  and  $q > 0$ . We have a  $\mathbb{Z}$ -gradation of  $L : L = \bigoplus_{q \geq -1} L_{[q], I}$ . Set  $L_{q, I} = \sum_{k \geq q} L_{[k], I}$  for  $q \geq -1$ . Then  $L_{q, I}$  is a filtration of  $L$ . Thus we have  $L = L_{[-1], I} \oplus L_{[0], I} \oplus L_{1, I}$ . Now let

$$\begin{aligned} \mathcal{L}_{1, I} &= L_{1, I}, \\ \mathcal{L}_{[0], I} &= L_{[0], I} + S_{\hat{I}} = L_{[0], I} + \sum_{k \in \hat{I}} \sum_{d_k=1}^{n_k-1} D_k^{[p]^{d_k}}, \\ \mathcal{L}_{[-1], I} &= L_{[-1], I} + S_I = L_{[-1], I} + \sum_{i \in I} \sum_{d_i=1}^{n_i-1} D_i^{[p]^{d_i}}. \end{aligned}$$

Then  $\mathcal{L} = \mathcal{L}_{[-1],I} \oplus \mathcal{L}_{[0],I} \oplus \mathcal{L}_{1,I}$ . All three are restricted subalgebras and  $\mathcal{L}_{[-1],I}$  is also Abelian.

A simple  $L_{[0],I}$ -module  $V$  is naturally a simple  $\mathcal{L}_{[0],I}$ -module via the action:  $\bar{\rho}(D_k^{[p]^{d_k}}) = \bar{\rho}(D_k)^{p^{d_k}} - \bar{\chi}(D_k)^{p^{d_k}} \cdot \text{Id}_V, k \in \hat{I}$ . Notice that  $\bar{\chi}(D_k) = 0$  for  $k \in \hat{I}$ , and then  $\bar{\rho}(D_k^{[p]^{d_k}}) = \bar{\rho}(D_k)^{p^{d_k}}$ . If  $V$  is a simple  $\mathcal{L}_{[0],I}$ -module with character  $\bar{\chi}$ , then  $V$  can be extended to a simple  $\mathcal{L}_{0,I}$ -module via a trivial  $\mathcal{L}_{1,I}$ -action. Hence we have the induction functor  $\text{Ind}_{\mathcal{L}_{0,I}}^{\mathcal{L}}$  from the  $u_{\bar{\chi}}(\mathcal{L}_{[0],I})$ -module category  $u_{\bar{\chi}}(\mathcal{L}_{[0],I})\text{-Mod}$  to the  $u_{\bar{\chi}}(\mathcal{L})$ -module category  $u_{\bar{\chi}}(\mathcal{L})\text{-Mod}$ :

$$\text{Ind}_{\mathcal{L}_{0,I}}^{\mathcal{L}}(V) = u_{\bar{\chi}}(\mathcal{L}) \otimes_{u_{\bar{\chi}}(\mathcal{L}_{[0],I})} V.$$

On the other hand, for a  $u_{\bar{\chi}}(\mathcal{L})$ -module  $W$ , set  $W^{\mathcal{L}_{1,I}} = \{w \in W \mid \mathcal{L}_{1,I} \cdot w = 0\}$ . Since  $\mathcal{L}_{[0],I}$  normalizes  $\mathcal{L}_{1,I}$ ,  $W^{\mathcal{L}_{1,I}}$  is an  $\mathcal{L}_{[0],I}$ -module. Thus we have the fixed-point functor  $\mathfrak{R} = (-)^{\mathcal{L}_{1,I}}$  from  $u_{\bar{\chi}}(\mathcal{L})\text{-Mod}$  to  $u_{\bar{\chi}}(\mathcal{L}_{[0],I})\text{-Mod}$ .

**Proposition 2.1** Set  $\pi_j = \sum_{j \in \hat{I}} (p^{n_j} - 1)\varepsilon_j$ . Suppose  $\chi(G_i) \neq 0$  for a certain  $i \in I$  and  $G_i = x^{\pi_i + \varepsilon_i} D_i$ . Then the functor  $\text{Ind}_{\mathcal{L}_{0,I}}^{\mathcal{L}}$  is one-to-one correspondence between the isomorphism classes of simple  $\mathcal{L}_{[0],I}$ -modules and simple  $\mathcal{L}$ -modules with the same character  $\bar{\chi}$ .

**Proof** Set  $\mathcal{J} = \text{Ind}_{\mathcal{L}_{0,I}}^{\mathcal{L}}$ . Parallel to the arguments in restricted cases, we easily know that  $\mathcal{J}$  is left adjoint to  $\mathfrak{R}$ . What we need to do is to prove that both functors  $\mathcal{J}$  and  $\mathfrak{R}$  send the simple objects to the simple ones. For this, we need to prove that for any  $w \in W = \mathcal{J}(V)$ , we have  $u_{\bar{\chi}}(\mathcal{L}) \cdot w = W$ , where  $V$  is an arbitrary given simple  $u_{\bar{\chi}}(\mathcal{L}_{0,I})$ -module.

Notice that  $W = u_{\bar{\chi}}(\mathcal{L}_{[-1],I}) \otimes V$  as vector spaces. For any nonzero vector  $w \in W$ , we can express it as

$$w = \sum_{\mathbf{a} \in T_1} \sum_{\mathbf{b} \in T_2} F^{\mathbf{a}} E^{\mathbf{b}} \otimes v_{\mathbf{a}, \mathbf{b}},$$

where  $F^{\mathbf{a}} = F_1^{\mathbf{a}(1)} F_2^{\mathbf{a}(2)} \dots F_{l_1}^{\mathbf{a}(l_1)}$ ,  $E^{\mathbf{b}} = E_1^{\mathbf{b}(1)} E_2^{\mathbf{b}(2)} E_{l_2}^{\mathbf{b}(l_2)}$ , the  $F_q$ 's are standard basis elements of  $L_{[-1],I}$  like  $F_q = x^{\alpha_i} D_i, i \in I$ ; the  $E_q$ 's are elements like  $D_j^{[p]^{d_j}}, j \in I, 1 \leq d_j \leq n_j - 1, n_j > 1$ .  $T_1$  and  $T_2$  are two subsets of the multiple number set  $\{(x_1, x_2, \dots, x_{l_1}) \mid 0 \leq x_i \leq p^{n_i - 1}\}$  and  $\{(x_1, x_2, \dots, x_{l_2}) \mid 0 \leq x_i \leq p^{n_i - 1}\}$  respectively for  $l_1 = \dim L_{[-1],I}$  and  $l_2 = \sum_{i \in I} (n_i - 1)$ .

If  $w \in 1 \otimes V$ , it is naturally true that  $w = u_{\bar{\chi}}(\mathcal{L}) \cdot w$  because  $V$  is simple. So we only need to consider the case  $w \notin 1 \otimes V$ , for which the proof will be divided into two cases as follows.

**Case 1**  $w \notin 1 \otimes V$  and  $\mathbf{a} \neq 0$ . Let  $F_1 = x^{\alpha_i} D_{i_1}, \tilde{F}_1 = x^{\pi_i - \alpha_i + \varepsilon_{i_1} + \varepsilon_i} D_i \in L_{1,I}$ , this is because  $[\tilde{F}_1, D_j^{[p]^{d_j}}] = 0$  for all  $j \in I, 1 \leq d_j \leq n_j - 1, n_j > 1$ .

**Case 2**  $w \notin 1 \otimes V$  and  $\mathbf{a} = 0$ . Then there is a  $\mathbf{b} \neq 0$  and  $v_{\mathbf{b}} \neq 0$  such that

$$w = \sum_{\mathbf{b} \in T_2} E^{\mathbf{b}} \otimes v_{\mathbf{b}}. \quad (*)$$

Without loss of generality we may suppose  $E_1 = D_{j_1}^{[p]^{d_{j_1}}}$  with  $\mathbf{b}(1) \neq 0$  for a certain  $\mathbf{b} \in T_2$  such that  $d_{j_1}$  is the smallest one among all the  $d$  as long as  $E_q = D_{j_q}^{[p]^{d_{j_q}}}$  with  $\mathbf{c}_q \neq 0$  for a certain  $\mathbf{c} \in T_2$  appearing in the sum expression of  $w$  (\*), and simultaneously such that  $j_1 = i$  if  $D_i^{[p]^{d_i}}$  appears there, as a factor of a certain summand. Thus we can rewrite  $w$  as follows.

$$w = E_1^{r_1} \hat{E}_{1r_1} + E_1^{r_1 - 1} \hat{E}_{1(r_1 - 1)} + \dots + E_1 \hat{E}_{11} + \hat{E}_{10},$$

where

$$\begin{aligned}\widehat{E}_{1q} &= \sum_{\substack{\mathbf{b} \in \mathcal{T}_2 \\ \mathbf{b}(1)=q}} E^{\mathbf{b}|_2^{l_2}} \otimes v_{\mathbf{b}}, q = 0, 1, 2, \dots, r_1 (< p), \\ \mathbf{b}|_2^{l_2} &= (\mathbf{b}(2), \mathbf{b}(3), \dots, \mathbf{b}(l_2)).\end{aligned}$$

By the assumption,  $\widehat{E}_{1r_1} \neq 0$ .

Set  $\widetilde{E}_1 = x^{\pi_I + p^{d_{j_1}} \varepsilon_{j_1} + \varepsilon_i} D_i \in \mathcal{L}_{1,I}$ . Then for all  $D_{j_q}^{[p]^d}$  appearing in the sum expression (\*)

$$[\widetilde{E}_1, D_{j_q}^{[p]^d}] = \begin{cases} -G_i, & \text{if } d = d_j, j_q = j_1, \\ 0, & \text{otherwise.} \end{cases}$$

which implies the trivial action of  $\widehat{E}_1$  on  $\widehat{E}_{1q}$  for  $q = 0, 1, 2, \dots, r_1$ . Furthermore,

$$\begin{aligned}\widetilde{E}_1 \cdot E_1^k &= \sum_{q=0}^k (-1)^q \binom{k}{q} E_1^{k-q} (\text{ad} E_1)^q \widetilde{E}_1 \\ &= E_1^k \widetilde{E}_1 - k E_1^{k-1} G_i.\end{aligned}$$

Note that  $[G_i, E_q] = 0$  for  $q \geq 2$ . From the above, we first have

$$\widetilde{E}_1 \cdot E_1^{r_1} \widehat{E}_{1r_1} = E_1^{r_1} \widetilde{E}_1 \cdot \widehat{E}_{1r_1} - r_1 E_1^{r_1-1} G_i \widehat{E}_{1r_1} = -r_1 E_1^{r_1-1} G_i \widehat{E}_{1r_1} \neq 0.$$

This is due to the fact  $G_i^p \widehat{E}_{1r_1} = \bar{\chi}(G_i)^p \widehat{E}_{1r_1} \neq 0$ , along with the fact  $G_i \widehat{E}_{1r_1}$  is in  $u_{\bar{\chi}}(\oplus_{j=2}^{n_2} \mathcal{K}E_j) \otimes V$ . Then we have

$$\widetilde{E}_1 \cdot w = E_1^{r_1-1} \widehat{E}_{1(r_1-1)} + E_1^{r_1-2} \widehat{E}_{1(r_1-2)} + \dots + E_1 \widehat{E}_{11} + \widehat{E}_{10},$$

such that  $\widehat{E}_{1q}, q = 0, 1, 2, \dots, r_1 - 1$  are all in  $u_{\bar{\chi}}(\sum_{j=2}^{n_2} \mathcal{K}E_j) \otimes V$  and  $\widehat{E}_{1(r_1-1)} = -r_1 G_i \widehat{E}_{1r_1} \neq 0$ .

Hence  $\widetilde{E}_1 \cdot w \neq 0$ . The above argument can be repeated. Thus, we have  $\widetilde{E}_1^{r_1} \cdot w \neq 0$  and there is no factor  $E_1$  appearing in any nonzero component of the sum expression of  $\widetilde{E}_1^{r_1} \cdot w$ , similar to (\*). Iterating the above process, we will have

$$0 \neq \widetilde{E}_s^{r_s} \dots \widetilde{E}_1^{r_1} \cdot w \in 1 \otimes V.$$

where the meaning of  $\widetilde{E}_q$  ( $q = 2, 3, \dots, s$ ) is similar to that of  $\widetilde{E}_1$ . This implies the simplicity of  $\text{Ind}_{\mathcal{L}_{0,I}}^{\mathcal{L}}(V)$ .

Conversely, suppose  $W$  is an arbitrary simple  $u_{\bar{\chi}}(\mathcal{L})$ -module. If  $\mathfrak{K}(W)$  contains a simple submodule  $W'$ , by the above argument  $\mathcal{J}(W')$  is a simple  $u_{\bar{\chi}}(\mathcal{L})$ -module. Hence  $\mathcal{J}(W') \cong W$  and  $\mathfrak{K} \circ \mathcal{J}(W') \cong \mathfrak{K}(W)$ . Furthermore, the above argument shows that for any  $w \in \mathcal{J}(W') \setminus W'$ , there is an  $\widetilde{F}_1 \in \mathcal{L}_{1,I}$  or a  $\widetilde{E}_1 \in \mathcal{L}_{1,I}$  such that  $\widetilde{F}_1 \cdot w \neq 0$  or  $\widetilde{E}_1 \cdot w \neq 0$ , Hence  $\mathfrak{K} \circ \mathcal{J}(W') = W'$ . This implies that  $W'$  coincides with  $\mathfrak{K}(W)$ . Hence  $\mathfrak{K}(W)$  is a simple  $u_{\bar{\chi}}(\mathcal{L}_{[0,I]})$ -module.

Thus, the adjunction morphisms  $\mathcal{J} \circ \mathfrak{K} \mapsto \text{Id}_{u_{\bar{\chi}}(\mathcal{L})\text{-Mod}}$  and  $\mathfrak{K} \circ \mathcal{J} \mapsto \text{Id}_{u_{\bar{\chi}}(\mathcal{L}_{[0,I]})\text{-Mod}}$  are both isomorphisms for simple objects. The proposition is proved.

**Remark** In the case when  $I = \{1, 2, \dots, m\}$ ,  $\mathcal{L}_{[0,I]} = L_{[0,I]} = L_{[0]}$  where  $L_{[0]}$  is the canonical grade-0 component of  $L$ , arising from the gradation of the divided power algebra<sup>[10]</sup>. The corresponding results have been known<sup>[9,11]</sup>.

### 3 The representations corresponding to loop algebras

Set  $L = W(m; \mathbf{n})$ . For the divided power algebra  $\mathfrak{A} = \mathfrak{A}(s; \mathbf{n})$  and a restricted Lie algebra  $(\mathfrak{g}, [p])$ , we can define a loop algebra  $O(\mathfrak{g}) = \mathfrak{A} \otimes \mathfrak{g}$  with Lie product:

$$[x^\alpha \otimes X, x^\beta \otimes Y] = \binom{\alpha + \beta}{\alpha} x^{\alpha + \beta} \otimes [X, Y].$$

Then  $O(\mathfrak{g})$  is still a restricted Lie algebra with

$$(x^\alpha \otimes X)^{[p]} = \begin{cases} 0, & \text{if } \alpha \neq 0, \\ 1 \otimes X^{[p]}, & \text{if } \alpha = 0. \end{cases} \quad (3.1)$$

When  $\mathfrak{g}$  is centerless, there is a unique  $[p]$ -mapping for  $O(\mathfrak{g})$ . In fact, in this case  $O(\mathfrak{g})$  is also centerless<sup>[4]</sup>.

In the rest of this paper, we only deal with  $\mathfrak{gl}(I)$  for  $I$  as in the preceding sections. Here  $\mathfrak{gl}(I)$  is the classical Lie subalgebra of  $\mathfrak{gl}(m)$  corresponding to the index set  $I = \{i_1, i_2, \dots, i_l\} \subset \{1, 2, \dots, m\}$ , which is isomorphic to  $\mathfrak{gl}(\#I)$ . Denote by  $\mathfrak{A}(\hat{I})$  the divided power subalgebra of  $\mathfrak{A}(m)$  with generators  $x^{\alpha_{\hat{i}}}$  for all  $\alpha_{\hat{i}} \in A(\hat{I})$  (when  $\hat{I} = \emptyset$ , let naturally define  $\mathfrak{A}(\hat{I})$  to be  $\mathcal{K}$ ). In the sequel, we always suppose that all loop algebras  $O(\mathfrak{g})$  are associated with the divided power algebra  $\mathfrak{A}(\hat{I})$ . Set  $\widehat{\mathfrak{gl}}(I) = O(\mathfrak{gl}(I))$ ,  $\mathcal{L}_{\bar{0}} = \mathcal{L}_{[0], I}$ . Then  $\mathcal{L}_{\bar{0}} = S_{\hat{I}} \oplus L_{\hat{I}}^0 \oplus \mathfrak{g}_{\hat{I}}$ , where

$$\mathfrak{g}_{\hat{I}} = \sum_{\alpha_{\hat{i}} \in A(\hat{I})} \sum_{i, j \in I} \mathcal{K} x^{\alpha_{\hat{i}} + \varepsilon_i} D_j.$$

**Lemma 3.1**  $\mathfrak{g}_{\hat{I}}$  is Lie-isomorphic to the loop algebra  $\widehat{\mathfrak{gl}}(I)$ .

**Proof** Set  $\phi : x^{\alpha_{\hat{i}} + \varepsilon_i} D_j \mapsto x^{\alpha_{\hat{i}}} \otimes E_{ij}$ , where  $E_{ij}$  is the  $m \times m$  matrix with  $(i, j)$ -entry being 1 and with the others being 0. This is an isomorphism.

Hence we can identify  $\mathfrak{g}_{\hat{I}}$  with  $\widehat{\mathfrak{gl}}(I)$  in the following discussion. In particular,  $\mathfrak{g}_{\hat{I}}$  is a  $p$ -subalgebra of  $(L, [p])$  with  $[p]$ -mapping satisfying

$$(x^{\alpha_{\hat{i}} + \varepsilon_i} D_j)^{[p]} = \begin{cases} x^{\varepsilon_i} D_i, & \text{if } \alpha_{\hat{i}} = 0 \text{ and } i = j, \\ 0, & \text{otherwise.} \end{cases}$$

This is admissible to the  $[p]$ -mapping as defined in (3.1) for  $\widehat{\mathfrak{gl}}(I)$ . Notice that for  $k \in \hat{I}$ ,  $i, j \in I$ ,  $1 \leq d_k \leq n_k - 1$ ,

$$\begin{aligned} [x^{\beta_{\hat{i}}} D_k, x^{\alpha_{\hat{i}}} \otimes E_{ij}] &= \binom{\beta_{\hat{i}} + \alpha_{\hat{i}} - \varepsilon_k}{\beta_{\hat{i}}} x^{\beta_{\hat{i}} + \alpha_{\hat{i}} - \varepsilon_k} \otimes E_{ij}, \\ [D_k^{[p]^{d_k}}, x^{\alpha_{\hat{i}}} \otimes E_{ij}] &= x^{\alpha_{\hat{i}} - p^{d_k} \varepsilon_k} \otimes E_{ij}. \end{aligned}$$

Then we obtain the following lemma.

**Lemma 3.2** If  $\mathfrak{g}'$  is a subalgebra of  $\widehat{\mathfrak{gl}}(I)$ , then the loop algebra  $\mathfrak{A}(\hat{I}) \otimes \mathfrak{g}'$  is normalized by  $L_{\hat{I}}^0$  and  $S_{\hat{I}}$ .

Let  $\Phi$  be the root system of  $\mathfrak{g} = \widehat{\mathfrak{gl}}(I)$ . For  $\alpha \in \Phi$ , let  $e_\alpha \in \mathfrak{g}$  be a nonzero root vector. We can assume that those root vectors are normalized so that if  $h_\alpha = [e_\alpha, e_{-\alpha}]$  then the system  $\{e_{\pm\alpha}, h_\alpha\}$  satisfies  $[h_\alpha, e_{\pm\alpha}] = \pm 2e_{\pm\alpha}$ . We have the decomposition of root spaces,  $\mathfrak{g} = \bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha$ . Canonically, denote  $\mathfrak{n}^\pm = \bigoplus_{\alpha \in \Phi^\pm} \mathfrak{g}_\alpha$ . Let  $\mathfrak{b}$  be the Borel subalgebra of  $\mathfrak{g}$  associated with  $\Phi^+$ .

Denote  $\mathfrak{b}_0 = O(\mathfrak{b}) \oplus L_I^0 \oplus S_I, \mathfrak{n}_0^\pm = O(\mathfrak{n}^\pm)$ . Then  $\mathfrak{b}_0 = S_I \oplus L_I \oplus \mathfrak{n}_0^+ = \mathcal{L}_I \oplus \mathfrak{n}_0^+$ . By the arguments in section 3.1,  $(x^{\mathfrak{a}} \otimes e_\alpha)^{[p]} = 0$  for all  $\mathfrak{a}, \alpha$  and  $(x^{\mathfrak{a}} \otimes h_\alpha)^{[p]} = 0$  for  $\mathfrak{a} \neq 0$  and all  $\alpha$ . Thus all elements of  $\mathfrak{n}_0^\pm$  act nilpotently on any  $\bar{\chi}$ -reduced modules of  $\mathfrak{n}_0^\pm$  because of  $\bar{\chi}(\mathfrak{n}_0^\pm) = 0$ . In particular, for any simple module  $V$  of  $\mathcal{L}_I$ ,  $V$  can act as a  $\mathfrak{b}_0$ -module with the trivial  $\mathfrak{n}_0^+$ -action. Here we have an induced module:

$$\text{Ind } V = u_{\bar{\chi}}(\mathcal{L}_0) \otimes_{u_{\bar{\chi}}(\mathfrak{b}_0)} V.$$

**Definition 3.1** Let  $\bar{\chi} \in \mathcal{L}^*$ . We call  $\bar{\chi}$  regular semisimple if  $\bar{\chi}(G_\alpha) \neq 0$  for all  $\alpha \in \Phi^+$  and  $\bar{\chi}(\mathcal{L}^\pm(I)) = 0$ , where  $G_\alpha = x^{\pi i} \otimes h_\alpha$ .

**Lemma 3.3** Suppose  $\bar{\chi} \in \mathcal{L}^*$  is regular semisimple. Then for any simple  $u_{\bar{\chi}}(\mathcal{L}_0)$ -module  $M$ ,  $M^N$  is a simple  $B$ -submodule and the natural mapping  $\text{Ind } M^N \rightarrow M$  is a  $u_{\bar{\chi}}(\mathcal{L}_0)$ -module isomorphism. Any simple  $u_{\bar{\chi}}(\mathcal{L}_0)$ -module is  $N$ -projective. Here the functor  $\text{Ind}$  is defined as above.  $B = u_{\bar{\chi}}(\mathfrak{b}_0)$  and  $N = u(O(\mathfrak{n}^+))$ . (**Note:**  $\bar{\chi}(O(\mathfrak{n}^+)) = 0$ .)

**Proposition 3.1** Suppose  $\bar{\chi} \in \mathcal{L}^*$  is regular semisimple. Then  $u_{\bar{\chi}}(\mathcal{L}_I)$  and  $u_{\bar{\chi}}(\mathcal{L}_0)$  are Morita equivalent. In particular, the modules  $\text{Ind } V$  constitute the corresponding set for  $u_{\bar{\chi}}(\mathcal{L}_0)$  when  $V$  runs over a set of representatives of the isomorphism class of simple  $u_{\bar{\chi}}(\mathcal{L}_I)$ -modules.

The proof of this proposition is mainly from lemma 3.3 and its proof<sup>[4]</sup>.

## 4 The main result

Let  $L = W(m; \mathfrak{n})$ ,  $\chi \in L^*$ .  $\mathcal{L} = L + \sum_{i=1}^m \sum_{d_i=1}^{n_i-1} \mathcal{K}D_i^{[p]d_i}$ . For any  $\bar{\chi} \in \mathcal{L}^*$ , there exists the smallest index set  $I(\chi)$  such that  $\bar{\chi}(\mathcal{L}^\pm(I(\chi))) = 0$  (Proposition 1.1). Associated with  $I$ , we have the subalgebra  $\mathcal{L}_I$  of  $\mathcal{L}$ :  $\mathcal{L}_I = S_I \oplus W(\hat{I}) \oplus L_I^c$ , where  $L_I^c \cong \mathfrak{A}(\hat{I}) \otimes \mathfrak{h}(I)$ ,  $\mathfrak{h}(I)$  is the canonical torus of  $W(I)$ . Let  $B(I) = \mathcal{L}_I \oplus \mathcal{L}^+(I)$ . For any given simple  $\mathcal{L}_I$ -module  $V$ ,  $V$  can be regarded as a simple  $B(I)$ -module with the trivial  $\mathcal{L}^+(I)$ -action. Then we have the induced module  $\text{Ind}_{B(I)}^{\mathcal{L}}(V) = u_{\bar{\chi}}(\mathcal{L}) \otimes_{u_{\bar{\chi}}(B(I))} V$ . Hence, we similarly have a functor  $\text{Ind}_{B(I)}^{\mathcal{L}}$  from  $u_{\bar{\chi}}(\mathcal{L}_I)$ -module category to  $u_{\bar{\chi}}(\mathcal{L})$ -module category.

**Theorem 4.1** Suppose  $\bar{\chi} \in \mathcal{L}^*$  is regular semisimple. Then the functor  $\text{Ind}_{B(I)}^{\mathcal{L}}$  is one-to-one between the isomorphism classes of simple  $u_{\bar{\chi}}(\mathcal{L}_I)$ -module and simple  $u_{\bar{\chi}}(\mathcal{L})$ -module.

**Proof** Note that  $B(I) = \mathcal{L}_I \oplus \mathcal{L}^+(I) = \mathfrak{b}_0 \oplus \mathcal{L}_{1,I} \subset \mathcal{L}_{0,I} = \mathcal{L}_0 \oplus \mathcal{L}_{1,I}$ .

$$\begin{aligned} \text{Ind}_{B(I)}^{\mathcal{L}}(V) &= u_{\bar{\chi}}(\mathcal{L}) \otimes_{u_{\bar{\chi}}(\mathcal{L}_{0,I})} (u_{\bar{\chi}}(\mathcal{L}_{0,I}) \otimes_{u_{\bar{\chi}}(B(I))} V) \\ &= u_{\bar{\chi}}(\mathcal{L}) \otimes_{u_{\bar{\chi}}(\mathcal{L}_{0,I})} (u_{\bar{\chi}}(\mathcal{L}_0) \otimes_{u_{\bar{\chi}}(\mathfrak{b}_0)} V) \\ &= \text{Ind}_{\mathcal{L}_{0,I}}^{\mathcal{L}}(\text{Ind } V). \end{aligned}$$

The second equality holds because  $u_{\bar{\chi}}(\mathcal{L}_{0,I}) \otimes_{u_{\bar{\chi}}(B(I))} V$  is isomorphic to  $\text{Ind } V$  as  $u_{\bar{\chi}}(\mathcal{L}_0)$ -modules, with the trivial  $\mathcal{L}_{1,I}$ -action on both. The theorem is proved due to Proposition 2.1 and Proposition 3.1.