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# An upper bound on the vertex-distinguishing IE-total chromatic number of graphs

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**Abstract:** The upper bound for the vertex-distinguishing IE-total chromatic number by the probability method is studied. If  $\delta \geqslant 7$  and  $16 \ \Delta \leqslant n \leqslant \frac{\Delta^7}{32 \times 10^5 \ (\Delta + 1)} + 1$ , then  $\chi_{it}^{ie}(G) \leqslant 16\Delta$  is proved, where n is the order of G and  $\delta$  is the minimum degree of G and  $\Delta$  is the maximum degree of G.

Key words: probability method; positive probability; vertex-distinguishing IE-total chromatic number

## 图的点可区别 IE-全色数的一个上界

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摘要:用概率方法研究图的点可区别 IE-全色数的一个上界,得到:如果  $\delta \ge 7$  且  $16\Delta \le n \le \frac{\Delta^7}{32 \times 10^5 (\Delta + 1)} + 1$ ,则  $\chi_n^{in}(G) \le 16\Delta$ ,这里 n 是 G 的阶, $\delta$  是 G 中点的最小度数, $\Delta$  是 G 中点的最大度数。

关键词: 概率方法;正的概率;点可区别 IE-全色数

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It is well known to compute the chromatic number of a graph is NP-hard. In [1], some results about it have been obtained by combinatorial. At ICM2002, Noga Alon advanced a theory that graph coloring could studied by probability methods. For instance, some conclusions have been gotten by probability methods<sup>[2-3]</sup>.

A proper total coloring of graph G is an assignment of colors to all vertices and edges with three conditions; condition (v): each pair of incident vertices are colored with different colors; condition (e): each pair of incident edges are colored with different colors; condition (i): every vertex with its incident edges are colored with different colors.

All the graphs G = G(V, E) discussed in this paper are finite, undirected, simple and connected. Let  $\Delta$  be the maximum degree of G,  $\delta$  be the minimum degree of G, and n be the order of G.

**Definition 1** If the total coloring graph G only satisfies condition (v), then such coloring is called a IE-total coloring of graph G. If f is a IE-total coloring of graph G by using k colors, and for any vertices  $u, v \in V(G)$ ,  $u \neq v$ , it has  $C(u) \neq C(v)$ , where  $C(u) = \{f(u)\} \cup \{f(uu') \mid uu' \in E(G)\}$ , then f is called a k-vertex-distinguishing IE-total coloring of G, or a k-VDIET-coloring of G for short. The minimum number of colors required for a VDIET-coloring of G is denoted by  $\gamma_{ii}^{ie}(G)$ , and it is called the VDIET chromatic number of G.

For other terminologies and notations, you can refer to [4].

**Lemma 2**<sup>[5]</sup> Consider a set  $\varepsilon = \{A_1, A_2, \dots, A_n\}$  of (typically bad) events such that each  $A_i$  is mutually independent

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dent of  $\varepsilon - (D_i \cup \{A_i\})$ , for some  $D_i \subseteq \varepsilon$ . If we have reals  $x_1, x_2, \dots, x_n \in (0, 1)$  such that for each  $1 \le i \le n$ ,  $\Pr(A_i) \le x_i \prod_{A_i \in D_i} (1 - x_j)$ , then the probability that none of the events in  $\varepsilon$  occur is at least  $\prod_{i=1}^n (1 - x_i) > 0$ .

**Theorem 1** If  $\delta \geqslant 7$  and  $16\Delta \leqslant n \leqslant \frac{\Delta^7}{32 \times 10^5 (\Delta + 1)} + 1$ , then  $\chi_{it}^{ie}(G) \leqslant 16\Delta$ .

**Proof** We easily know that  $\chi_n^{ie}(G) \leq n$ . We assign to each edge and vertex of G a uniformly random coloring from  $\{1, 2, \dots, 16\Delta\}$  named this new coloring f. We will use lemma 2 to show that the probability that f is a vertex-distinguishing IE-total-coloring is positive. It is sufficient to show that the following two conditions should be satisfied:

- (A) the vertex coloring is proper-no pair of incident vertices is colored with the same color.
- (B) the coloring is vertex distinguishing-no pair of vertices meets the same color set.

Next we will show that the probability which the obtained coloring f is a vertex-distinguishing IE-total-coloring is positive. The following 'bad' events are defined.

- **Type 1** For each edge e = uv, let  $A_e$  be the event that both u and v are colored with the same color;
- **Type 2** For any two different vertices u and w, and  $d(u) = d_1$ ,  $d(w) = d_2$ ,  $d = \min\{d_1, d_2\}$ , where d(u) is the degree of u. Let  $B_{u,w}$  be the event that u and w are colored with the different colors, and C(u) = C(w), where  $C(u) = \{f(u)\} \cup \{f(uu') \mid uu' \in E(G)\}$ ;

It remains to show that with positive probability none of these events occurs. We must estimates the probability of a given event firstly.

**Lemma 3** The following two statements hold:

- (1) For each event  $A_e$  of type 1, we have  $Pr(A_e) = \frac{1}{16\Delta}$ :
- (2) For each event  $B_{u,w}$  of type 2, we have  $\Pr(B_{u,w}) < \frac{2 \times 10^5}{\Lambda^7}$ .

**Proof** (1) is trivial. For (2), let  $B_i$  be the event of type 2 and satisfies that  $|C(u)| = |C(w)| = i(1 \le i \le d + 1)$ . Then the probability  $Pr(B_i)$  that the event  $B_i$  happens is following:

1° If 
$$u$$
 and  $w$  are not adjacent, then  $\Pr(B_i) = \frac{\binom{16\Delta}{i} \left[ \binom{d_1+1}{i} \cdot i! \cdot i^{d_1+1-i} \right] \left[ \binom{d_2+1}{i} \cdot i! \cdot i^{d_2+1-i} \right]}{(16\Delta)^{d_1+1} \cdot (16\Delta)^{d_2+1}};$ 

$$2^{\circ}\quad \text{If $u$ and $w$ are adjacent, then } \Pr(B_i) < \frac{\binom{16\Delta}{i} \left[\binom{d_1+1}{i} \cdot i \, ! \cdot i^{d_1+1-i}\right] \left[\binom{d_2+1}{i} \cdot i \, ! \cdot i^{d_2+1-i}\right]}{(16\Delta)^{d_1+d_2+1}};$$

From 1° and 2°, we can see that 
$$\Pr(B_i) < \frac{\binom{16\Delta}{i} \left[\binom{d_1+1}{i} \cdot i! \cdot i^{d_1+1-i}\right] \left[\binom{d_2+1}{i} \cdot i! \cdot i^{d_2+1-i}\right]}{(16\Delta)^{d_1+d_2+1}}$$
.

Using 
$$\binom{n}{k} \leqslant \left(\frac{\operatorname{e} n}{k}\right)^k$$
 and Stirling formular,  $n! = \sqrt{2\pi n} \cdot \left(\frac{n}{\operatorname{e}}\right)^n \cdot \operatorname{e}^{\frac{\theta}{12\pi}} (0 \leqslant \theta \leqslant 1)$ ,

$$\Pr \quad \left( \begin{array}{c} B_i \end{array} \right) \quad < \quad \frac{\left(\frac{16\mathrm{e}\Delta}{i}\right)^i \cdot \left[ \left(\frac{\mathrm{e}(d_1+1)}{i}\right)^i \right] \cdot \left[ \left(\frac{\mathrm{e}(d_2+1)}{i}\right)^i \right] \cdot \left[ \sqrt{2\pi i} \cdot \left(\frac{i}{\mathrm{e}}\right)^i \cdot \mathrm{e}^{\frac{\theta}{12\pi}} \right]^2 \cdot i^{d_1+d_2+2-2i}}{(16\Delta)^{d_1+d_2+1}} \quad .$$

$$\frac{4\pi e^{i} \cdot (d_{1}+1)^{i} \cdot (d_{2}+1)^{i}}{i^{2^{i-2}} \cdot \left(\frac{16\Delta}{i}\right)^{d_{1}+d_{2}+1-i}}, \text{ Let } d_{1} \geqslant d_{2} = d, \text{ and since } \begin{cases} f(k) = \frac{4\pi e^{i} (k+1)^{i} (d+1)^{i}}{i^{2^{i-2}} \cdot \left(\frac{16\Delta}{i}\right)^{k+d+1-i}} \end{cases} (d \leqslant k \leqslant \Delta) \text{ and } d \approx d_{1} = d, \text{ and } d \approx d_{2} = d, \text{ and } d \approx d, \text{ and$$

$$\left\{ h\left(k\right) = \frac{4\pi \mathrm{e}^{k+1}\left(k+1\right)^3}{\left(\frac{16\Delta}{k+1}\right)^k} \right\} (7 \leqslant \delta \leqslant k \leqslant \Delta) \text{ are decreasing function } \left\{ g\left(k\right) = \frac{4\pi \mathrm{e}^{k}\left(d+1\right)^{2k}}{k^{2k-2} \cdot \left(\frac{16\Delta}{k}\right)^{2d+1-k}} \right\} \ (1 \leqslant k \leqslant d+1) \ ,$$

is increasing function, then

$$\Pr(B_{u,w}) = \sum_{i=1}^{d+1} \Pr(B_i) < \sum_{i=1}^{d+1} \frac{4\pi e^{i} \cdot (d_1+1)^{i} \cdot (d_2+1)^{i}}{i^{2i-2} \cdot \left(\frac{16\Delta}{i}\right)^{d_1+d_2+1-i}} < \sum_{i=1}^{d+1} \frac{4\pi e^{i} \cdot (d+1)^{2i}}{i^{2i-2} \cdot \left(\frac{16\Delta}{i}\right)^{2d+1-i}} < \sum_{i=1}^{d+1} \frac{4\pi e^{i} \cdot (d+1)^{2i}}{i^{2i-2} \cdot \left(\frac{16\Delta}{i}\right)^{2d+1-i}}$$

$$\sum_{i=1}^{d+1} \frac{4\pi e^{d+1} \cdot (d+1)^2}{\left(\frac{16\Delta}{d+1}\right)^d} = \frac{4\pi e^{d+1} \cdot (d+1)^3}{\left(\frac{16\Delta}{d+1}\right)^d} < \frac{4\pi e^8 \cdot 8^3}{\left(\frac{16\Delta}{8}\right)^7} < \frac{2 \times 10^5}{\Delta^7}.$$

Therefore  $Pr(B_{u,w}) < \frac{2 \times 10^5}{\Delta^7}$ .

In this paper, event X is incident to event Y, if X and Y contain at least one common edge or vertex. Note that for lemma 2, if we estimate the number of events of each type which are incident to one given event  $A_i$ , then we easily obtain the value of  $D_i$ . So we need to estimate the number of events of each type which are incident to any given event.

### **Lemma 4** The following two statements hold:

- (1) Each event of type 1 is incident to at most  $2\Delta$  events of type 1 which consist one set  $D_{11}$ , 2n-2 events of type 2 which consist one set  $D_{12}$ ;
- (2) Each event of type 2 is incident to at most  $2\Delta$  events of type 1 which consist one set  $D_{21}$ ,  $(2\Delta + 2)n (\Delta + 1)$   $(2\Delta + 3)$  events of type 2 which consist one set  $D_{22}$ .
- **Proof** (1) For each event  $A_e$  of type 1, for any given edge e = uv, at most  $2\Delta$  vertices are incident to u or v. Because events of type 1 which contain one vertex u or v are at most n-1, so each event of type 1 is incident to at most 2n-2 events of type 2.

The proof of (2) is similar to (1).

Next we must determine the real constant  $x_i$  ( $0 < x_i < 1$ ) for applying lemma 2. Let  $\{A_1, A_2, \cdots, A_{m_1}\}$  be the set of events of type 1 and  $\{A_{m_1+1}, A_{m_1+2}, \cdots, A_{m_2}\}$  be the set of events of type 2. Note that type 1 and type 2 have no common event. Note that type 1 and type 2 have no common event. For lemma 2,  $\varepsilon = \{A_1, A_2, \cdots, A_{m_1}, A_{m_1+1}, A_{m_1+2}, \cdots, A_{m_2}\}$ , then each  $A_i$  ( $1 \le i \le m_1$ ) is mutually independent of  $\varepsilon - [(D_{11} \cup D_{12}) \cup A_i]$ , and  $A_j$  ( $m_1 + 1 \le j \le m_2$ ) is mutually independent of  $\varepsilon - [(D_{21} \cup D_{22}) \cup A_j]$ , where  $D_{ij}$  is defined in lemma 4. Let  $x_i = \frac{1}{8\Delta} (1 \le i \le m_1)$  and  $x_j = \frac{4 \times 10^5}{\Delta^7} (m_1 + 1 \le j \le m_2)$ . Using lemma 2, we conclude that with positive probability no events of type 1 and type 2 occur, provided that:

$$\frac{1}{16\Delta} \leqslant \frac{1}{8\Delta} \left( 1 - \frac{1}{8\Delta} \right)^{2\Delta} \cdot \left( 1 - \frac{4 \times 10^{5}}{\Delta^{7}} \right)^{2n-2} \leqslant \frac{1}{8\Delta} \cdot \left( \prod_{A_{i} \in D_{11}} \left( 1 - \frac{1}{8\Delta} \right) \right) \cdot \left( \prod_{A_{j} \in D_{12}} \left( 1 - \frac{4 \times 10^{5}}{\Delta^{7}} \right) \right); \tag{1}$$

$$\frac{2 \times 10^{5}}{\Delta^{7}} \leqslant \frac{4 \times 10^{5}}{\Delta^{7}} \left( 1 - \frac{1}{8\Delta} \right)^{2\Delta} \cdot \left( 1 - \frac{4 \times 10^{5}}{\Delta^{7}} \right)^{(2\Delta + 2)n - (\Delta + 1)(2\Delta + 3)} \leqslant \frac{4 \times 10^{5}}{\Delta^{7}} \cdot \left( \prod_{A_{i} \in D_{21}} \left( 1 - \frac{1}{8\Delta} \right) \right) \cdot \left( \prod_{A_{j} \in D_{22}} \left( 1 - \frac{4 \times 10^{5}}{\Delta^{7}} \right) \right). \tag{2}$$

Since  $\left(1 - \frac{1}{z}\right)^z \ge \frac{1}{4}$  for all real  $z \ge 2$ , and  $n \le \frac{\Delta^7}{32 \times 10^5 (\Delta + 1)} + 1$ , we can prove that the inequalities (1) and

(2) are true. So G has  $(16\Delta)$ -VDIET-coloring, when  $\delta \geqslant 7$  and  $16\Delta \leqslant n \leqslant \frac{\Delta^7}{32 \times 10^5 (\Delta + 1)} + 1$ . This completes the proof.

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