

# STRICT AVALANCHE CRITERION OVER FINITE FIELDS

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ABSTRACT. Boolean functions on  $GF(2)$  which satisfy the Strict Avalanche Criterion ( $SAC$ ) play an important role in the art of information security. In this paper, we extend the conception  $SAC$  to finite fields  $GF(p)$ . A necessary and sufficient condition is given by using spectral analysis. Also, based on an interesting permutation polynomial theorem, we prove various facts about  $(n - 1)$ -th order  $SAC$  functions on  $GF(p)$ . We also construct many such functions.

## 1. INTRODUCTION

Resilient functions, bent functions and functions which satisfy  $SAC$  have important cryptographic applications.  $SAC$  was introduced by Webster and Tavares [16] in connection with a study of the design of  $S$ -boxes. A Boolean function in  $n$  variables is said to satisfy  $SAC$  if complementing any one of the  $n$  input bits results in changing the output bit with probability exactly one half. Forré [5] extended this conception by defining the higher order  $SAC$ . A Boolean function of  $n$  variables satisfies the  $SAC$  of order  $k$  ( $SAC(k)$ ),  $0 \leq k \leq n - 2$ , if whenever  $k$  input bits are fixed arbitrarily, the resulting function of  $n - k$  variables satisfies  $SAC$ . The properties of  $SAC$  functions have been well studied (see [1], [2], [3], [8], [9], [17]). Recently, Cusick and Yuan [4] described a method to find  $k$ -th order symmetric  $SAC$  functions for any  $k$ ,  $k \leq n - 2$ . On the other hand, it's natural to extend the various cryptographic conceptions from  $GF(2)$  to  $GF(p)$  or  $GF(p)^n$ . For example, [14] and [19] studied the resilient functions on  $GF(p)$ . Also, [7], [11], [12] investigated the generalized bent functions on  $GF(p)^n$ . In this paper, we firstly introduce the definition of  $SAC$  on  $GF(p)$ . Similar to [5], we give a spectral analysis, and a necessary and sufficient condition for  $SAC$  is given. Based on an interesting result which was independently proved by three research groups between 1989 and 1990, we prove various facts about  $(n - 1)$ -order  $SAC$  on  $GF(p)$ . In contrast, on  $GF(2)$  the highest order of  $SAC$  is only  $n - 2$ . Using some elementary number theory, we construct many  $SAC(n - 1)$  functions. Section 2 will list some well known results about Fourier transform. Section 3 will introduce the definition of  $SAC$  and discuss its spectral analysis. In section 4, an explanation of  $SAC$  is given from a different point of view. We give the definition of higher order  $SAC$  in section 5 and a spectral analysis is provided for  $SAC(1)$ . In section 6 we construct many  $SAC(n - 1)$  functions. Some open questions are listed in section 7.

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*Key words and phrases.* Fourier transform, cryptography, Boolean functions, algebraic normal form, strict avalanche criterion, resilience, bent functions, permutation polynomials, finite field, quadratic residue, Legendre symbol,

2. FOURIER TRANSFORM OF  $n$  VARIABLES POLYNOMIAL FUNCTIONS ON  $GF(p)$ 

In this paper,  $p$  is always an odd prime.

If  $f: GF(p)^n \rightarrow GF(p)$ , then  $f$  can be uniquely expressed in the following form:

$$(1) \quad f(x_1, x_2, \dots, x_n) = \sum_{k_1=0}^{p-1} \sum_{k_2=0}^{p-1} \dots \sum_{k_n=0}^{p-1} a_{k_1 k_2 \dots k_n} x_1^{k_1} x_2^{k_2} \dots x_n^{k_n}$$

Each coefficient  $a_{k_1 k_2 \dots k_n} \in GF(p)$  is a constant. This form is called the algebraic normal form of  $f$ . The largest  $k_1 + k_2 + \dots + k_n$  with  $a_{k_1 k_2 \dots k_n} \neq 0$  is called the algebraic degree of  $f$ .

Let  $A = \{f|GF(p)^n \rightarrow GF(p)\}$ ,  $B = \{\hat{f}|GF(p)^n \rightarrow C\}$ , where  $C$  is the complex numbers. Then

$$F_{\hat{f}}(x) = \sum_{y \in GF(p)^n} \hat{f}(y) w^{-xy}$$

is called the Fourier transform of  $\hat{f}(x)$ , where  $w = e^{2\pi i/p}$ ,  $x = (x_1, x_2, \dots, x_n)$ ,  $y = (y_1, y_2, \dots, y_n)$ ,  $xy = \sum_{k=1}^n x_k y_k$ .

Some of the following results about the Fourier transform may be ‘‘folk lore’’, but for completeness, we present the proofs.

**Lemma 1.**  $F_{F_{\hat{f}}}(x) = p^n \hat{f}(-x)$

*Proof.*

$$\begin{aligned} F_{F_{\hat{f}}}(x) &= \sum_{y \in GF(p)^n} F_{\hat{f}}(y) w^{-xy} = \sum_{y \in GF(p)^n} \left( \sum_{z \in GF(p)^n} \hat{f}(z) w^{-yz} \right) w^{-xy} \\ &= \sum_{z \in GF(p)^n} \left( \sum_{y \in GF(p)^n} w^{-(z+x)y} \right) \hat{f}(z) \end{aligned}$$

The inner sum will vanish if  $z \neq -x$ , hence,  $F_{F_{\hat{f}}}(x) = p^n \hat{f}(-x)$ .  $\square$

If  $\hat{f} = w^f$ , we have

$$p^n w^{f(-x)} = \sum_{y \in GF(p)^n} F_{w^f}(y) w^{-xy}, \quad w^{f(x)} = p^{-n} \sum_{y \in GF(p)^n} F_{w^f}(y) w^{xy}.$$

Of course, we have

$$F_{w^f}(x) = \sum_{y \in GF(p)^n} w^{f(x)-xy}$$

Let  $\hat{f} * \hat{g}: x \rightarrow \sum_{y \in GF(p)^n} \hat{f}(x-y) \hat{g}(y)$ . Clearly,  $(\hat{f} * \hat{g})(x) = (\hat{g} * \hat{f})(x)$  and  $((\hat{f} * \hat{g}) * \hat{h})(x) = (\hat{f} * (\hat{g} * \hat{h}))(x)$ .

**Lemma 2.**  $F_{\hat{f} * \hat{g}}(x) = F_{\hat{f}}(x) F_{\hat{g}}(x)$

*Proof.*

$$\begin{aligned} F_{\hat{f} * \hat{g}}(x) &= \sum_{y \in GF(p)^n} (\hat{f} * \hat{g})(y) w^{-yx} = \sum_{y \in GF(p)^n} \left( \sum_{z \in GF(p)^n} \hat{f}(y-z) \hat{g}(z) \right) w^{-yx} \\ &= \sum_{z \in GF(p)^n} \left( \sum_{y \in GF(p)^n} \hat{f}(y-z) w^{-yx} \right) \hat{g}(z) = \sum_{z \in GF(p)^n} \left( \sum_{u \in GF(p)^n} \hat{f}(u) w^{-x(u+z)} \right) \hat{g}(z) \end{aligned}$$

$$= \sum_{z \in GF(p)^n} \left( \sum_{u \in GF(p)^n} \widehat{f}(u)w^{-xu} \right) \widehat{g}(z)w^{-xz} = F_{\widehat{f}}(x)F_{\widehat{g}}(x)$$

□

**Lemma 3.**  $F_{\widehat{f}} * F_{\widehat{g}} = p^n F_{\widehat{f}\widehat{g}}$

*Proof.*

$$\begin{aligned} (F_{\widehat{f}} * F_{\widehat{g}})(x) &= \sum_{y \in GF(p)^n} F_{\widehat{f}}(x-y)F_{\widehat{g}}(y) \\ &= \sum_{y \in GF(p)^n} \left( \sum_{s \in GF(p)^n} \widehat{f}(s)w^{-s(x-y)} \right) \left( \sum_{t \in GF(p)^n} \widehat{g}(t)w^{-ty} \right) \\ &= \sum_{s \in GF(p)^n} \sum_{t \in GF(p)^n} \widehat{f}(s)\widehat{g}(t)w^{-sx} \sum_{y \in GF(p)^n} w^{y(s-t)}. \end{aligned}$$

The inner sum will vanish if  $s \neq t$ , hence,

$$(F_{\widehat{f}} * F_{\widehat{g}})(x) = p^n \sum_{s \in GF(p)^n} \widehat{f}(s)\widehat{g}(s)w^{-sx} = p^n F_{\widehat{f}\widehat{g}}(x)$$

□

**Lemma 4.**  $\widehat{h} = \widehat{f} * \widehat{g}$  if and only if  $F_{\widehat{h}} = F_{\widehat{f}}F_{\widehat{g}}$

*Proof.* Necessity is Lemma 2.

From  $F_{\widehat{h}} = F_{\widehat{f}}F_{\widehat{g}}$ , we have  $F_{F_{\widehat{h}}} = F_{F_{\widehat{f}}F_{\widehat{g}}}$ . By Lemma 1 and Lemma 3,

$$\begin{aligned} p^n \widehat{h}(-x) &= p^{-n} (F_{F_{\widehat{f}}} * F_{F_{\widehat{g}}}) = p^{-n} (p^n \widehat{f}(-x) * p^n \widehat{g}(-x)) \iff \\ \widehat{h}(-x) &= \widehat{f}(-x) * \widehat{g}(-x) = (\widehat{f} * \widehat{g})(-x) \iff \widehat{h} = \widehat{f} * \widehat{g} \end{aligned}$$

□

**Lemma 5.**  $\widehat{h} = \widehat{f}\widehat{g} \iff F_{\widehat{h}} = p^{-n} F_{\widehat{f}} * F_{\widehat{g}}$

*Proof.* Necessity is Lemma 3.

If  $F_{\widehat{h}} = p^{-n} F_{\widehat{f}} * F_{\widehat{g}}$ , then  $F_{F_{\widehat{h}}}(x) = F_{p^{-n} F_{\widehat{f}} * F_{\widehat{g}}}(x)$ . By Lemma 1 and Lemma 2,

$$\begin{aligned} p^n \widehat{h}(-x) &= p^{-n} F_{F_{\widehat{f}} * F_{\widehat{g}}}(x) = p^{-n} F_{F_{\widehat{f}}}(x) F_{F_{\widehat{g}}}(x) = p^{-n} p^n \widehat{f}(-x) p^n \widehat{g}(-x) \\ \implies \widehat{h}(-x) &= \widehat{f}(-x)\widehat{g}(-x) \implies \widehat{h}(x) = \widehat{f}(x)\widehat{g}(x). \end{aligned}$$

□

### 3. STRICT AVALANCHE CRITERION AND SPECTRAL ANALYSIS

Let  $wt(\alpha)$  be the Hamming weight of  $\alpha$ , i.e., the number of nonzero components of  $\alpha$ ,  $\alpha \in GF(p)^n$ .

**Definition 1.**  $f(x) : GF(p)^n \rightarrow GF(p)$  fulfills Strict Avalanche Criterion (SAC) if and only if  $prob(f(x+\alpha) = f(x) + a) = \frac{1}{p}$  for any  $a \in GF(p)$  and any  $\alpha \in GF(p)^n$  with  $wt(\alpha) = 1$ .

In fact,  $f(x)$  fulfills SAC means  $f(x+\alpha) - f(x)$  is a balanced function if  $wt(\alpha) = 1$ . Note that  $f$  is a permutation on  $GF(p)$  if  $n = 1$ .

**Lemma 6.**  $f(x)$  fulfills SAC  $\iff \sum_{x \in GF(p)^n} w^{f(x+\alpha) - f(x)} = 0$ , for any  $\alpha$  with  $wt(\alpha) = 1$ .

*Proof.* Let  $n_j = \#\{x | f(x + \alpha) - f(x) = j\}$ ,  $j = 0, 1, \dots, p-1$ . Because of the identity  $w^0 + w^1 + \dots + w^{p-1} = 0$ , we have

$$\begin{aligned} \sum_{x \in GF(p)^n} w^{f(x+\alpha)-f(x)} &= n_0 w^0 + n_1 w^1 + \dots + n_{p-1} w^{p-1} = 0 \iff \\ (n_0 - n_{p-1})w^0 + (n_1 - n_{p-1})w^1 + \dots + (n_{p-2} - n_{p-1})w^{p-2} &= 0 \iff \\ n_0 - n_{p-1} = n_1 - n_{p-1} = \dots = n_{p-2} - n_{p-1} &= 0 \text{ since the minimal polynomial of } \\ w \text{ is } x^{p-1} + \dots + x + 1 \iff n_0 = n_1 = \dots = n_{p-1} &\iff \\ f(x + \alpha) - f(x) \text{ is a balanced function.} & \end{aligned}$$

□

**Lemma 7.**

$$h(x) = \sum_{y \in GF(p)^n} w^{f(y+x)-f(y)} \iff F_h(x) = F_{w^f(x)} F_{w^{-f}(-x)}$$

*Proof.* “ $\implies$ ”

$$\begin{aligned} F_h(x) &= \sum_{y \in GF(p)^n} h(y) w^{-xy} = \sum_{y \in GF(p)^n} \left( \sum_{z \in GF(p)^n} w^{f(z+y)-f(z)} \right) w^{-xy} \\ &= \sum_{z \in GF(p)^n} \left( \sum_{y \in GF(p)^n} w^{f(z+y)-xy} \right) w^{-f(z)} = \sum_{z \in GF(p)^n} \left( \sum_{s \in GF(p)^n} w^{f(s)-x(s-z)} \right) w^{-f(z)} \\ &= \sum_{z \in GF(p)^n} F_{w^f(x)} w^{-f(z)+xz} = F_{w^f(x)} F_{w^{-f}(-x)}. \end{aligned}$$

“ $\impliedby$ ”

$$F_{F_h(x)} = F_{F_{w^f(x)} F_{w^{-f}(-x)}}$$

By Lemma 1 and Lemma 5, we have

$$p^n h(-x) = p^{-n} F_{w^f(x)} * F_{w^{-f}(-x)} = p^{-n} (p^n w^{f(-x)} * p^n w^{-f(x)}).$$

Hence,

$$h(-x) = w^{f(-x)} * w^{-f(x)} = \sum_{y \in GF(p)^n} w^{f(-(x-y))} w^{-f(y)} = \sum_{y \in GF(p)^n} w^{f(y-x)} w^{-f(y)},$$

So,

$$h(x) = \sum_{y \in GF(p)^n} w^{f(y+x)-f(y)}$$

□

Now, we can prove the following spectral characterization of SAC.

**Theorem 1.**  $f(x)$  fulfills SAC  $\iff F_{F_{w^f(x)} F_{w^{-f}(-x)}}(s) = 0$ , when  $wt(s) = 1$ .

$$\begin{aligned} \iff \sum_{x \in GF(p)^n} F_{w^f(x)} F_{w^{-f}(-x)} w^{-\delta x_i} &= 0, \text{ for all } i \in \{1, 2, \dots, n\} \text{ and any } \\ \delta \in GF(p)^* \iff \sum_{x: x_i=0} F_{w^f(x)} F_{w^{-f}(-x)} &= \sum_{x: x_i=1} F_{w^f(x)} F_{w^{-f}(-x)} = \dots \\ = \sum_{x: x_i=p-1} F_{w^f(x)} F_{w^{-f}(-x)}. & \end{aligned}$$

$$\begin{aligned} \text{Proof. By Lemma 7, } h(x) = \sum_{y \in GF(p)^n} w^{f(y+x)-f(y)} &\iff F_h(x) = F_{w^f(x)} F_{w^{-f}(-x)} \\ \implies F_{F_h}(s) = F_{F_{w^f(x)} F_{w^{-f}(-x)}}(s) &\implies p^n h(-s) = F_{F_{w^f(x)} F_{w^{-f}(-x)}}(s) \\ \implies h(s) = p^{-n} F_{F_{w^f(x)} F_{w^{-f}(-x)}}(-s). & \end{aligned}$$

By Lemma 6,  $f(x)$  fulfills SAC  $\iff h(s) = 0$  when  $wt(s) = 1 \iff$

$$F_{F_{w^f(x)} F_{w^{-f}(-x)}}(-s) = 0 \text{ for any } s \text{ with } wt(s) = 1 \iff F_{F_{w^f(x)} F_{w^{-f}(-x)}}(s) = 0$$

for any  $s$  with  $wt(s) = 1 \iff \sum_{x \in GF(p)^n} F_{w^f(x)} F_{w^{-f}(-x)} w^{-sx} = 0$  for any  $s$  with

$wt(s) = 1 \iff \sum_{x \in GF(p)^n} F_{w^f}(x)F_{w^{-f}}(-x)w^{-\delta x_i} = 0$  for any  $i \in \{1, 2, \dots, n\}$  and for any  $\delta \in GF(p)^*$ , where  $x_i$  is the  $i$ th component of vector  $x \iff$   
 $\sum_{x: x_i=0} F_{w^f}(x)F_{w^{-f}}(-x)w^{-\delta(0)} + \sum_{x: x_i=1} F_{w^f}(x)F_{w^{-f}}(-x)w^{-\delta(1)} + \dots$   
 $+ \sum_{x: x_i=p-1} F_{w^f}(x)F_{w^{-f}}(-x)w^{-\delta(p-1)} = 0 \iff \sum_{x: x_i=0} F_{w^f}(x)F_{w^{-f}}(-x) =$   
 $\sum_{x: x_i=1} F_{w^f}(x)F_{w^{-f}}(-x) = \dots = \sum_{x: x_i=p-1} F_{w^f}(x)F_{w^{-f}}(-x)$  (the last step is same as the proof of lemma 6 since  
 $\{-\delta(0), -\delta(1), \dots, -\delta(p-1)\} = \{0, 1, \dots, p-1\}$  when  $\delta \neq 0$ ).  $\square$

Let  $\hat{f} = w^f$ ,  $\hat{g} = w^{-f}$  in Lemma 3, we have

**Theorem 2.**

$$F_{w^f} * F_{w^{-f}}(\alpha) = \begin{cases} p^{2n} & \alpha = 0 \\ 0 & \alpha \neq 0 \end{cases}$$

*i.e.*

$$\sum_{x \in GF(p)^n} F_{w^f}(x)F_{w^{-f}}(\alpha - x) = \begin{cases} p^{2n} & \alpha = 0 \\ 0 & \alpha \neq 0 \end{cases}$$

Similar to the situation on  $GF(2)$ , we have the following simple results.

**Theorem 3.**  $f(x)$  fulfills SAC if and only if  $g(x) = f(\sigma x + c)$  fulfills SAC, where  $\sigma$  is a permutation on  $GF(p)^n$ ,  $c \in GF(p)^n$ .

**Theorem 4.**  $f(x)$  fulfills SAC if and only if  $af(x) + b$  fulfills SAC, where  $a \neq 0$ ,  $b \in GF(p)$ .

**Theorem 5.** If  $f(x)$  and  $g(y)$  are SAC over  $GF(p)^{n_1}$  and  $GF(p)^{n_2}$  respectively, then  $h(z) = f(x) + g(y)$  is SAC over  $GF(p)^{n_1+n_2}$ , where  $z = (x, y)$ .

#### 4. SPECTRAL SYMMETRIES OF SAC-FULFILLING FUNCTIONS

**Definition 2.** A function  $f: GF(p)^n \rightarrow GF(p)$  is said to be  $\frac{1}{p}$ -dependent in its  $i$ -th input component  $x_i$  if and only if  $prob(f(x + \alpha_i) = f(x) + a) = \frac{1}{p}$  for any  $a \in GF(p)$ ,  $b \in GF(p)^*$  such that  $\alpha_i = (0, \dots, 0, b, 0, \dots, 0)$ , where  $b$  is the  $i$ -th component.

It is clear that  $f$  fulfills SAC if and only if it is  $\frac{1}{p}$ -dependent in each of its input components. Similar to Lemma 6, we have  $f(x)$  is  $\frac{1}{p}$ -dependent in its  $i$ -th input if and only if  $\sum_{x \in GF(p)^n} w^{f(x+\alpha_i)-f(x)} = 0$  for any  $b \in GF(p)^*$  such that  $\alpha_i = (0, \dots, 0, b, 0, \dots, 0)$ , where  $b$  is the  $i$ -th component.

Now, we can give a spectral characterization.

**Theorem 6.** If

$$(2) \quad F_{w^f}(x)F_{w^{-f}}(-x) = F_{w^f}(x+c)F_{w^{-f}}(-x-c)$$

for any  $c \in I_{i_1 i_2 \dots i_m} = \{(c_1, \dots, c_n) | c_i \neq 0 \implies i \in \{i_1, \dots, i_m\}\}$ , then  $f(x)$  is  $\frac{1}{p}$ -dependent in the input components  $x_{i_1}, x_{i_2}, \dots, x_{i_m}$ .

*Proof.* Let  $x' \in GF(p)^m$ ,  $x' = (x'_1, \dots, x'_m)$ ,

$S_{x'} = \{x \in GF(p)^n | x_{i_1} = x'_1, \dots, x_{i_m} = x'_m\}$ , then

$$GF(p)^n = \bigcup_{x' \in GF(p)^m} S_{x'} \cap S_{x'_2} = \phi \iff x'_1 \neq x'_2.$$

Because of (2), we can write

$$\sum_{x \in S_{x'}} F_{wf}(x)F_{w-f}(-x) = \sum_{x \in S_{x'+c'}} F_{wf}(x)F_{w-f}(-x)$$

for any  $x' \in GF(p)^m$  and any  $c' \in GF(p)^m$ . Let  $x' = (0, x'')$ ,  $x'' \in GF(p)^{m-1}$ ,  $c' = (j, c'')$ ,  $c'' \in I_{i_2 \dots i_m}$ ,  $1 \leq j \leq p-1$ , we have

$$\sum_{x \in S_{(0, x'')}} F_{wf}(x)F_{w-f}(-x) = \sum_{x \in S_{(j, x''+c'')}} F_{wf}(x)F_{w-f}(-x)$$

for any  $x'' \in GF(p)^{m-1}$ ,  $c'' \in GF(p)^{m-1}$ . Hence,

$$\sum_{x'' \in GF(p)^{m-1}} \sum_{x \in S_{(0, x'')}} F_{wf}(x)F_{w-f}(-x) = \sum_{x'' \in GF(p)^{m-1}} \sum_{x \in S_{(j, x''+c'')}} F_{wf}(x)F_{w-f}(-x)$$

which means

$$\sum_{x: x_{i_1}=0} F_{wf}(x)F_{w-f}(-x) = \sum_{x: x_{i_1}=j} F_{wf}(x)F_{w-f}(-x), j \in \{1, 2, \dots, p-1\}.$$

By the proof of Theorem 1, we know  $f(x)$  is  $\frac{1}{p}$ -dependent in the  $i_1$ th input component. By symmetric reason, we get the same result for  $x_{i_2}, \dots, x_{i_m}$ .  $\square$

## 5. SAC OF HIGH ORDER

**Definition 3.**  $f: GF(p)^n \rightarrow GF(p)$  is said to fulfill SAC of order  $m$  ( $SAC(m)$ ) if any function obtained from  $f(x)$  by keeping  $m$  of its input components constant fulfills the SAC as well (this must be true for any choice of the position, and any values of the  $m$  constant components).

When  $p \geq 3$ , we have  $SAC(n-1)$  functions, which is impossible for  $p = 2$ . For example,  $f(x_1, \dots, x_n) = a_1x_1^2 + \dots + a_nx_n^2$ , where  $a_i \in GF(p)^*$ .

**Theorem 7.**  $f(x)$  fulfills  $SAC(m)$  implies  $f(x)$  fulfills  $SAC(m-1)$ ,  $1 \leq m \leq n-1$ .

*Proof.* Let  $f_{i_1 \dots i_k}^{c_1 \dots c_k}$  be the function resulted from  $f$  by fixing  $x_{i_j}$  to constant  $c_j$ ,  $c_j \in GF(p)$ ,  $j = 1, 2, \dots, k$ . Let  $\alpha \in GF(p)^{n-m+1}$  and  $wt(\alpha) = 1$ , consider

$V = \sum_{x \in GF(p)^{n-m+1}} w_{f_{i_1 \dots i_{m-1}}^{c_1 \dots c_{m-1}}(x+\alpha) - f_{i_1 \dots i_{m-1}}^{c_1 \dots c_{m-1}}(x)}$ , without lost of generality, let  $\alpha = (a, 0, \dots, 0) = (\alpha', 0)$ ,  $a \in GF(p)^*$ ,  $\alpha' \in GF(p)^{n-m}$ ,  $x = (x', \delta)$ ,  $x' \in GF(p)^{n-m}$ ,  $wt(\alpha') = 1$ . Then,

$$V = \sum_{\delta=0}^{p-1} \sum_{x' \in GF(p)^{n-m}} w_{f_{i_1 \dots i_{m-1} j_{n-m+1}}^{c_1 \dots c_{m-1} \delta}(x'+\alpha') - f_{i_1 \dots i_{m-1} j_{n-m+1}}^{c_1 \dots c_{m-1} \delta}(x')}$$

By Lemma 6, each of the  $p$  inner sums is zero since  $f$  fulfills  $SAC(m)$ . Hence,  $V = 0$ , and  $f$  fulfills  $SAC(m-1)$  since each  $f_{i_1 \dots i_{m-1}}^{c_1 \dots c_{m-1}}$  fulfills  $SAC$  by Lemma 6.  $\square$

In the following, we will give a spectral characterization for  $SAC(1)$ .

Let  $l_j: GF(p) \rightarrow C$ ,  $0 \leq j \leq p-1$  be defined by

$$l_j(x) = \begin{cases} 1 & x = j \\ 0 & x \neq j. \end{cases}$$

Then we have

$w^f(x) = \sum_{j=0}^{p-1} l_j(x_i) w^{f_i^j(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)}$  for any  $i$ , where  $f_i^j$  is obtained from  $f(x)$  by keeping the  $i$ -th component of  $x$  constant and equal to  $j$ . Hence,

$$\begin{aligned} F_{w^f}(u) &= \sum_{j=0}^{p-1} \sum_{x \in GF(p)^n} l_j(x_i) w^{f_i^j(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)} w^{-ux} \\ &= \sum_{j=0}^{p-1} \sum_{x: x_i=j} w^{f_i^j} w^{-u'x'} w^{-ju_i} \end{aligned}$$

for any  $i$ , where  $u = (u_1, \dots, u_n)$ ,  $u' = (u_1, \dots, u_{i-1}, u_{i+1}, \dots, u_n)$ ,  $x' = (x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)$ . So,

$$\begin{aligned} F_{w^f}(u) &= \sum_{j=0}^{p-1} w^{-ju_i} \sum_{x: x_i=j} w^{f_i^j - u'x'} = \sum_{j=0}^{p-1} w^{-ju_i} F_{w^{f_i^j}}(u') \\ &= F_{w^{f_i^0}}(u') + w^{-u_i} F_{w^{f_i^1}}(u') + \dots + w^{-(p-1)u_i} F_{w^{f_i^{p-1}}}(u'), \end{aligned}$$

$u_i \in GF(p)$ ,  $u_i = 0, 1, \dots, p-1$ . In matrix form, we get

$$\begin{pmatrix} F_{w^f}(u)|_{u_i=0} \\ F_{w^f}(u)|_{u_i=1} \\ \vdots \\ F_{w^f}(u)|_{u_i=p-1} \end{pmatrix} = M \begin{pmatrix} F_{w^{f_i^0}}(u') \\ F_{w^{f_i^1}}(u') \\ \vdots \\ F_{w^{f_i^{p-1}}}(u') \end{pmatrix},$$

where

$$M = M^T = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & w^{-1} & \dots & w^{-(p-1)} \\ \dots & \dots & \dots & \dots \\ 1 & w^{-(p-1)} & \dots & w^{-(p-1)(p-1)} \end{pmatrix}.$$

Let

$$N = N^T = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & w & \dots & w^{(p-1)} \\ \dots & \dots & \dots & \dots \\ 1 & w^{p-1} & \dots & w^{(p-1)(p-1)} \end{pmatrix}.$$

We have  $MN = \text{Diag}\{p, p, \dots, p\}$ ,  $M^{-1} = p^{-1}N$ . So,

$$(3) \quad \begin{pmatrix} F_{w^{f_i^0}}(u') \\ F_{w^{f_i^1}}(u') \\ \vdots \\ F_{w^{f_i^{p-1}}}(u') \end{pmatrix} = M^{-1} \begin{pmatrix} F_{w^f}(u)|_{u_i=0} \\ F_{w^f}(u)|_{u_i=1} \\ \vdots \\ F_{w^f}(u)|_{u_i=p-1} \end{pmatrix}$$

On the other hand,  $w^{-f(x)} = \sum_{j=0}^{p-1} l_j(x_i) w^{-f_i^j(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)}$  for any  $i$ .

$$\begin{aligned} F_{w^{-f}}(-u) &= \sum_{j=0}^{p-1} \sum_{x: x_i=j} w^{-f_i^j} w^{u'x'} w^{ju_i} \\ &= \sum_{j=0}^{p-1} w^{ju_i} \sum_{x: x_i=j} w^{-f_i^j + u'x'} = \sum_{j=0}^{p-1} w^{ju_i} F_{w^{-f_i^j}}(-u') \end{aligned}$$

$$= F_{w^{-f_i^0}}(-u') + w^{u_i} F_{w^{-f_i^1}}(-u') + \dots + w^{(p-1)u_i} F_{w^{-f_i^{p-1}}}(-u'),$$

In matrix form, we have

$$\begin{pmatrix} F_{w^{-f}}(-u)|_{u_i=0} \\ F_{w^{-f}}(-u)|_{u_i=1} \\ \vdots \\ F_{w^{-f}}(-u)|_{u_i=p-1} \end{pmatrix} = N \begin{pmatrix} F_{w^{-f_i^0}}(-u') \\ F_{w^{-f_i^1}}(-u') \\ \vdots \\ F_{w^{-f_i^{p-1}}}(-u') \end{pmatrix},$$

or

$$(4) \quad \begin{pmatrix} F_{w^{-f_i^0}}(-u') \\ F_{w^{-f_i^1}}(-u') \\ \vdots \\ F_{w^{-f_i^{p-1}}}(-u') \end{pmatrix} = N^{-1} \begin{pmatrix} F_{w^{-f}}(-u)|_{u_i=0} \\ F_{w^{-f}}(-u)|_{u_i=1} \\ \vdots \\ F_{w^{-f}}(-u)|_{u_i=p-1} \end{pmatrix}$$

From (3) and (4), we get  $F_{w^{-f_i^j}}(u')F_{w^{-f_i^j}}(-u') = p^{-1}(\sum_{r=0}^{p-1} w^{rj} F_{w^f}(u)|_{u_i=r})p^{-1}(\sum_{s=0}^{p-1} w^{-sj} F_{w^{-f}}(-u)|_{u_i=s}) = p^{-2} \sum_{r=0}^{p-1} \sum_{s=0}^{p-1} w^{(r-s)j} F_{w^f}(u)|_{u_i=r} F_{w^{-f}}(-u)|_{u_i=s}$ , where  $u' = (u_1, \dots, u_{i-1}, u_{i+1}, \dots, u_n)$ . From Theorem 1, we get

**Theorem 8.**  $f(x): GF(p)^n \longrightarrow GF(p)$  fulfills SAC(1) if and only if

$$\begin{aligned} & \sum_{\substack{u': u_t=0 \\ t \neq i}} \sum_{r=0}^{p-1} \sum_{s=0}^{p-1} w^{(r-s)j} F_{w^f}(u)|_{u_i=r} F_{w^{-f}}(-u)|_{u_i=s} = \\ & \sum_{\substack{u': u_t=1 \\ t \neq i}} \sum_{r=0}^{p-1} \sum_{s=0}^{p-1} w^{(r-s)j} F_{w^f}(u)|_{u_i=r} F_{w^{-f}}(-u)|_{u_i=s} = \\ & \dots\dots\dots \\ & \sum_{\substack{u': u_t=p-1 \\ t \neq i}} \sum_{r=0}^{p-1} \sum_{s=0}^{p-1} w^{(r-s)j} F_{w^f}(u)|_{u_i=r} F_{w^{-f}}(-u)|_{u_i=s} = \end{aligned}$$

for any  $i$ , any  $t \in I - \{i\}$  and any  $j \in GF(p)$ .

By (3) and (4), we get the following

**Theorem 9.** For any  $f(x): GF(p)^n \longrightarrow GF(p)$ , we have

$$\sum_{j=0}^{p-1} F_{w^{-f_i^j}}(u')F_{w^{-f_i^j}}(-u') = p^{-1} \sum_{j=0}^{p-1} (F_{w^f}(u)F_{w^{-f}}(-u))|_{u_i=j}$$

for any  $i \in \{1, 2, \dots, n\}$ .

6. CONSTRUCTION AND CHARACTERIZATION OF  $SAC(n-1)$  OVER  $GF(p)$ 

In 1989 and 1990, three groups ([6], [10], [15]) independently proved the following interesting result about permutation polynomials.

**Theorem 10.** *Suppose  $f(x)$  is a polynomial on  $GF(p)$ . If  $f(x + \alpha) - f(x)$  is a permutation for any  $\alpha \neq 0$ , then  $f$  must be quadratic.*

From this theorem, we immediately have

**Theorem 11.**  *$f(x_1, \dots, x_n) : GF(p)^n \rightarrow GF(p)$ , if  $f$  is  $SAC(n-1)$ , then the degree of each  $x_i$  must be 2. Actually, we have the following:*

$$f(x_1, \dots, x_n) = f_{i1}(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)x_i^2 + f_{i2}(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)x_i + f_{i3}(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n), \text{ where } f_{i1} \text{ is never zero, } f_{i3} \text{ is } SAC(n-2) \text{ for } i = 1, 2, \dots, n.$$

*Proof.* By Definition 3,  $f(c_1, \dots, c_{i-1}, x_i, c_{i+1}, \dots, c_n)$  is  $SAC$  for any

$c_1, \dots, c_{i-1}, c_{i+1}, \dots, c_n$  and hence must be quadratic because of Theorem 10. So, the coefficient  $f_{i1}$  is never zero. Also,  $f_{i3}$  must be  $SAC(n-2)$  since

$$f_{i3} = f(x_1, \dots, x_{i-1}, 0, x_{i+1}, \dots, x_n). \quad \square$$

We introduce a “big oh” notation for  $f(x)$ :

If the degree of each  $x_i$  is at most one, i.e.  $f(x_1, \dots, x_n) = \sum_{S \subset I} d_S \prod_{i \in S} x_i$ , where  $I = \{1, 2, \dots, n\}$ , then we write  $f$  as “big oh” of  $x_1, \dots, x_n$ , i.e.  $f = O(x_1, \dots, x_n)$ . Obviously,  $O(x_1, \dots, x_n) + O(x_1, \dots, x_n) = O(x_1, \dots, x_n)$ ,  $O(x_1, \dots, x_k) + O(x_{k+1}, \dots, x_t) = O(x_1, \dots, x_t)$ .

**Theorem 12.** *If  $f(x_1, x_2)$  is  $SAC(1)$ , then  $f(x_1, x_2)$  must be*

- 1)  $a_1x_1^2 + a_2x_2^2 + O(x_1, x_2)$ ,  $a_1, a_2 \in GF(p)^*$ , or
- 2)  $a(x_1^2 + bx_1 + c)(x_2^2 + dx_2 + e) + O(x_1, x_2)$ , where  $a \neq 0$ ,  $x_1^2 + bx_1 + c$  and  $x_2^2 + dx_2 + e$  are never zero, i.e.  $x_1^2 + bx_1 + c = (x + b_1)^2 - r_1$ ,  $x_2^2 + dx_2 + e = (x_2 + d_2)^2 - r_2$ , with Legendre symbol  $(\frac{r_i}{p}) = -1$ ,  $i = 1, 2$ .

*Proof.*  $f(x_1, x_2) = x_1^2 f_1(x_2) + x_1 f_2(x_2) + f_3(x_2)$ ,  $f_1(x_2) = a_1 x_2^2 + b_1 x_2 + c_1$ ,  $f_2(x_2) = a_2 x_2^2 + b_2 x_2 + c_2$ ,  $f_3(x_2) = a_3 x_2^2 + b_3 x_2 + c_3$ . Since  $f(0, x_2) = f_3(x_2)$  is  $SAC$ ,  $f_3(x_2)$  must be quadratic, i.e.  $a_3 \neq 0$ .  $f_1(x_2)$  is never zero by Theorem 11.

Case 1  $f_1(x_2) = c_1 \neq 0$  ( $a_1 = 0$ ):

$f(x_1, x_2) = c_1 x_1^2 + x_1(a_2 x_2^2) + a_3 x_2^2 + O(x_1, x_2)$ . If  $a_2 \neq 0$ ,  $f(-a_2^{-1} a_3, x_2)$  is not quadratic for  $x_2$ , hence, not  $SAC$ , a contradiction. So,  $a_2 = 0$ ,  $f(x_1, x_2) = c_1 x_1^2 + a_3 x_2^2 + O(x_1, x_2)$  which belongs to 1).

Case 2 ( $a_1 \neq 0$ )  $f_1(x_2) = a_1 x_2^2 + b_1 x_2 + c_1 = a_1[(x_2 + b')^2 - r_1]$ ,  $(\frac{r_1}{p}) = -1$ :

$$\begin{aligned} f(x_1, x_2) &= x_1^2(a_1 x_2^2 + b_1 x_2 + c_1) + x_1(a_2 x_2^2) + a_3 x_2^2 + O(x_1, x_2) \\ &= a_1 x_1^2[(x_2 + b')^2 - r_1] + a_2 x_1[(x_2 + b')^2 - r_1] + a_3[(x_2 + b')^2 - r_1] + O(x_1, x_2) \\ &= (a_1 x_1^2 + a_2 x_1 + a_3)[(x_2 + b')^2 - r_1] + O(x_1, x_2). \end{aligned}$$

Because  $f(x_1, x_2) = (a_1 x_1^2 + a_2 x_1 + a_3)x_2^2 + (b_1 x_1^2 + b_2 x_1 + b_3)x_2 + (c_1 x_1^2 + c_2 x_1 + c_3)$ ,  $a_1 x_1^2 + a_2 x_1 + a_3$  is the coefficient of  $x_2^2$ , hence, never zero. So,  $f(x_1, x_2)$  belongs to 2).  $\square$

In fact, we have determined all the  $SAC(n-1)$  functions for  $n = 1, 2$ . We will give some constructions for  $n \geq 3$ .

CONSTRUCTION 1 ( $n \geq 3, p \geq 3$ )

$$I = \{1, 2, \dots, n\}, I_i = \{i_1, i_2, \dots, i_{r_i}\}, i = 1, 2, \dots, t. I_i \cap I_j = \emptyset \text{ if } i \neq j,$$

$I_1 \cup I_2 \cup \dots \cup I_t = I$ . Let  $f(x_1, \dots, x_n) = a \prod_{i=1}^t (l_i^2 - \alpha_i)$ , where  $\alpha_i$  are nonsquares, i.e.  $(\frac{\alpha_i}{p}) = -1$ ,  $a \in GF(p)^*$ ,  $l_i = a_{i1}x_{i1} + a_{i2}x_{i2} + \dots + a_{i r_i}x_{i r_i} + b_i$ ,  $a_{ij} \in GF(p)^*$ ,  $j = 1, 2, \dots, r_i$ ,  $i = 1, 2, \dots, t$ , then  $f$  is obviously  $SAC(n-1)$ .

In general, we have

**Theorem 13.** *Let  $I = \{1, 2, \dots, n\} = I_1 \cup I_2$ ,  $I_1 \cap I_2 = \emptyset$ ,  $I_2 = J_1 \cup \dots \cup J_s$ ,  $J_i \cap J_j = \emptyset$  if  $i \neq j$ . Let  $J_k = \{k_1, \dots, k_{r_k}\}$ ,  $k = 1, 2, \dots, s$ , using CONSTRUCTION 1 to construct  $f_k$  on  $x_{k_1}, \dots, x_{k_{r_k}}$ , then*

$$f(x_1, \dots, x_n) = \sum_{i \in I_1} a_i x_i^2 + \sum_{j=1}^s f_j + O(x_1, \dots, x_n) \text{ is } SAC(n-1).$$

CONSTRUCTION 2 ( $n \geq 3$ ,  $p \geq 3$ )

Step 1: Choose any  $b_1, b_2, \dots, b_n, c_0, d_0$  from  $GF(p)$ .

Step 2: Choose any nonsquare  $r_1, r_2$ .

Step 3: If  $b_i = 0$ , choose any  $\bar{b}_i$  from  $GF(p)^*$ , if  $b_i \neq 0$ , let  $\bar{b}_i = 0$ .

Step 4: Choose  $a_i$  such that

$$a_i \neq \begin{cases} -b_i^2(k^2 - r_2) & \text{if } b_i \neq 0 \\ -\bar{b}_i^2(k^2 - r_1) & \text{if } b_i = 0. \end{cases}$$

for  $k = 0, 1, \dots, \frac{p-1}{2}$ ,  $i = 1, 2, \dots, n$ .

Step 5: Let  $f(x_1, \dots, x_n)$

$$= a_1 x_1^2 + \dots + a_n x_n^2 + [(b_1 x_1 + \dots + b_n x_n + c_0)^2 - r_1][(\bar{b}_1 x_1 + \dots + \bar{b}_n x_n + d_0)^2 - r_2].$$

$f$  is obviously  $SAC(n-1)$ .

Generally, we have

**Theorem 14.** *Let  $I = \{1, 2, \dots, n\} = I_1 \cup I_2 \cup \dots \cup I_s$ ,  $I_i \cap I_j = \emptyset$  if  $i \neq j$ ,  $|I_j| = t_j$ ,  $\sum_{j=1}^s t_j = n$ ,  $I_j = \{j_1, j_2, \dots, j_{t_j}\}$ ,  $j = 1, 2, \dots, s$ . Using CONSTRUCTION 2 to construct  $f_j$  on  $x_{j_1}, x_{j_2}, \dots, x_{j_{t_j}}$ , then*

$$f(x_1, \dots, x_n) = \sum_{j=1}^s f_j + O(x_1, \dots, x_n) \text{ is } SAC(n-1).$$

Let  $A_1$  be the set of all the functions of Theorem 13. Let  $A_2$  be the set of all the functions of Theorem 14. We have

**Theorem 15.**  $A_1 \not\subseteq A_2 \not\subseteq A_1$

*Proof.* It's not hard to prove that if there exist  $i$  with  $a_i \neq 0$ , then the functions of  $A_2$  don't belong to  $A_1$ . On the other hand,  $f(x_1, \dots, x_n) = (x_1^2 - r_1) \dots (x_n^2 - r_n) \in A_1$ , where the  $r_i$  are nonsquares for  $i = 1, 2, \dots, n$ . For any function from  $A_2$ , its algebraic degree is at most  $\max(4, n)$ . Hence,  $f$  doesn't belong to  $A_2$  since its algebraic degree is  $2n$ .  $\square$

**Theorem 16.** *The maximal algebraic degree of  $SAC(n-1)$  functions is  $2n$ .*

*Proof.*

$$f(x_1, x_2, \dots, x_n) = \sum_{k_1=0}^{p-1} \sum_{k_2=0}^{p-1} \dots \sum_{k_n=0}^{p-1} a_{k_1 k_2 \dots k_n} x_1^{k_1} x_2^{k_2} \dots x_n^{k_n},$$

we know  $k_j \leq 2$  for each  $j$  by theorem 11, hence  $\deg(f) \leq 2n$ . On the other hand,  $(x_1^2 - r_1) \dots (x_n^2 - r_n)$  has degree  $2n$ , where  $(\frac{r_i}{p}) = -1$ .  $\square$

## 7. SOME OPEN QUESTIONS

We have the following open questions:

Q1: Do CONSTRUCTION 1 and 2 give all the  $SAC(n-1)$  functions?

Q2: Does  $f = a \prod_{i=1}^n [(a_i x_i + b_i)^2 - r_i] + O(x_1, \dots, x_n)$  give all the  $SAC(n-1)$  functions with degree  $2n$ ?

Q3: Are there any  $SAC(k)$  ( $0 \leq k \leq n-2$ ) functions such that the degrees for some  $x_i$  are more than 2?

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