

Dual-wavelength aerosol vertical profile measurements by MAX-DOAS at Tsukuba, Japan

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Abstract. We present vertical profiles of the aerosol extinction coefficient retrieved from ground-based Multi-Axis Differential Optical Absorption Spectroscopy (MAX-DOAS) measurements at Tsukuba, Japan (36.1° N, 140.1° E), from November 2006 to March 2007. Retrievals utilizing absorption by the oxygen collision complex O₄ are first made at two wavelengths, 354 and 476 nm. A robust assessment of the MAX-DOAS aerosol data is then made using coincident lidar measurements throughout the period. Agreement between aerosol extinction coefficients measured by MAX-DOAS and the lidar tends to be better at the longer wavelength and at lower altitudes. At 476 nm, the best agreement, to within 30%, is found at altitudes of 0–1 km, confirming results from a literature assessment for a two-month measurement period. These findings are supported by comparisons between aerosol optical depths derived from MAX-DOAS and sky radiometer measurements and are further explained by differences in the altitude-dependent measurement sensitivity to the aerosol extinction coefficient between 354 and 476 nm. Thus, uncertainty in MAX-DOAS aerosol measurements is well quantified and characterized, providing a basis for quantitative studies using MAX-DOAS measurements.

1 Introduction

Atmospheric aerosol particles are recognized as an important contributor to climate change, but the magnitude of their radiative forcing as well as its sign is highly uncertain (IPCC,

2007). To measure the aerosol vertical profile in the lower troposphere, a new remote sensing technique using Multi-Axis Differential Optical Absorption Spectroscopy (MAX-DOAS) has been proposed recently (Wagner et al., 2004; Frieß et al., 2006; Irie et al., 2008a, b). MAX-DOAS has several technical advantages for the purpose of atmospheric monitoring, including simple setup, low power consumption, and fully automated operation without absolute radiometric calibration. Another notable advantage of MAX-DOAS is the capability for simultaneous measurements of aerosols and gases, including nitrogen dioxide (NO₂), formaldehyde (HCHO), glyoxal (CHOCHO), and sulphur dioxide (SO₂) (e.g., Hönninger et al., 2004; Wittrock et al., 2004; Heckel et al., 2005; Hendrick et al., 2006; Leigh et al., 2006; Sinreich et al., 2007; Inomata et al., 2008; Irie et al., 2008b), which may play direct or indirect roles in determining chemical, physical, and optical properties of aerosols. Thus, it is expected that MAX-DOAS measurements would contribute to both the monitoring and a better understanding of atmospheric composition changes, in which aerosols play an important role. The quantitative assessment of MAX-DOAS aerosol measurements, however, has been very limited.

The present study is a follow-on to that of Irie et al. (2008a), who first reported ground-based MAX-DOAS measurements of the vertical profile of the aerosol extinction coefficient at a single wavelength of 476 nm (k_{476}) at Tsukuba, Japan (36.1° N, 140.1° E, 29 m a.s.l.), from 1 November to 21 December 2006. They concluded that the overall uncertainties of MAX-DOAS k_{476} values at 0–1 and 1–2 km are better than 30% and 60%, respectively, based on comparisons with other established aerosol measurements by lidar and sky radiometer, although part of the differences found in the comparisons should have been caused by the



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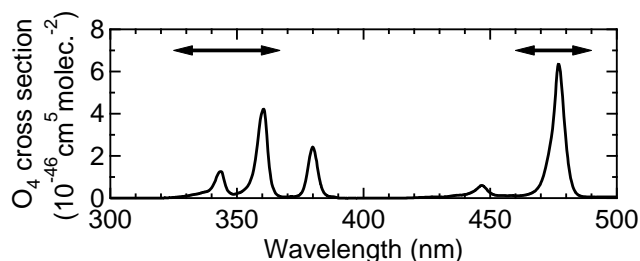


Fig. 1. Absorption cross section of O_4 . Two windows, at 325–367 and 460–490 nm, indicated by arrows, are analyzed for aerosol retrieval at 354 and 476 nm, respectively.

sampling of different air masses. Here we analyze measurements for a time period of more than double the duration of the initial study, from 1 November 2006 until 16 March 2007, to confirm the uncertainty estimated by Irie et al. (2008a). To characterize MAX-DOAS aerosol measurements in a more robust sense, we present retrievals of the aerosol extinction coefficient at an additional, shorter wavelength, 354 nm (k_{354}), and discuss them together with the retrievals at 476 nm.

2 MAX-DOAS measurements

We operated the MAX-DOAS system at Tsukuba, Japan, from 1 November 2006 through 16 March 2007 with the same instrumentation as described by Irie et al. (2008a). A miniaturized UV/visible spectrometer (B&W TEK Inc., BTC111) with a charge-coupled device (CCD) was used to record spectra between 280 and 560 nm. The measurements were made at six different elevation angles (ELs) of 3°, 5°, 10°, 20°, 30°, and 90° using a movable mirror, which repeated the same sequence of ELs every 30 min. The wavelength calibration using a high-resolution solar spectrum (Kurucz et al., 1984) indicated that the spectral resolution (Full Width at Half Maximum (FWHM)) was 0.40 and 0.55 nm at wavelengths around 354 and 476 nm, respectively, throughout the period of the measurements.

As a next step, DOAS spectral fitting (Platt, 1994) using the nonlinear least-squares method (Irie et al., 2008a) was performed to retrieve the differential slant column density (Δ SCD), which is defined as the difference between the slant column density along the path of sunlight for an off-axis measurement ($EL < 90^\circ$) and that for a zenith-sky measurement ($EL = 90^\circ$). Two different fitting windows, 325–367 and 460–490 nm, were analyzed for aerosol retrievals at 354 and 476 nm, respectively. The wavelengths of 354 and 476 nm correspond to the O_4 -cross-section-weighted mean wavelengths for the respective fitting window (Fig. 1). We used the O_4 absorption cross section data of Greenblatt et al. (1990) with manual adjustment (A. Richter, personal communication). Fitting parameters and qualities for the Δ SCD retrievals are summarized in Table 1. Compared to 325–

Table 1. DOAS fitting parameters and quality.

Fitting window (nm)	460–490	325–367
Degree of polynomial	3	3
Degree of offset polynomial	2	2
Absorber	O_4 , NO_2 , O_3 H_2O , Ring	O_4 , NO_2 , O_3 $HCHO$, BrO, Ring
Typical residual ^a	0.0007	0.0011
Typical error in $O_4 \Delta$ SCD ^a (molecules ² cm ⁻⁵)	10×10^{40}	18×10^{40}

^a Median values for $SZA = 50^\circ \pm 5^\circ$.

367 nm, the 460–490 nm fitting window yields smaller $O_4 \Delta$ SCD errors (Table 1), likely due to the stronger O_4 absorption at 460–490 nm (Fig. 1) and the larger air mass factor. Although in the spectra recorded with our instrument the intensity is usually stronger at 460–490 nm than at 325–367 nm, smaller errors were found at the longer wavelength even when a similar statistical comparison was made under the same intensity conditions.

Subsequently to the DOAS analysis, an aerosol retrieval algorithm employing the optimal estimation method (Rodgers, 2000; Irie et al., 2008a) was utilized to invert the $O_4 \Delta$ SCD values to retrieve the vertical profiles of the aerosol extinction coefficient, k , for every 30-min interval. Retrievals were made at solar zenith angles (SZAs) less than 83° to minimize a potential influence of the treatment of the Earth's sphericity in radiative transfer model calculations (Wagner et al., 2007). The mean k for each 1-km layer is analyzed below and denoted $k(0-1 \text{ km})$ for the layer 0–1 km, for example. This inversion method refers to the differential box air mass factor (ΔA_{box}) lookup tables (LUTs) created by a radiative transfer model, the Monte Carlo Atmospheric Radiative Transfer Simulator (MCARaTS) (Iwabuchi, 2006). ΔA_{box} is the difference between the box air mass factor (A_{box}) value for off-axis measurements and that for the reference, where A_{box} is defined as the ratio of partial slant to vertical columns for the given layer. We prepared different LUTs for each wavelength, 354 and 476 nm. The calculations by MCARaTS have been validated through comparisons with other radiative transfer models (Wagner et al., 2007). We assumed a single scattering albedo of 0.95, an asymmetry parameter of 0.65 (under the Henyey-Greenstein approximation), and a surface albedo of 0.10.

As described by Irie et al. (2008a), this aerosol retrieval algorithm uses a state vector consisting of four parameters: the aerosol optical depth (τ) and F_1 , F_2 , and F_3 values. Here the F values, defined to range between 0 and 1, are parameters determining the shape of an aerosol vertical profile. Partial τ values for layers of 0–1, 1–2, and 2–3 km are described as τF_1 , $\tau(1-F_1)F_2$, and $\tau(1-F_1)(1-F_2)F_3$, respectively, and the partial τ for 3–100 km as $\tau(1-F_1)(1-F_2)(1-F_3)$. From the given partial τ above 3 km, the profile of k for a layer

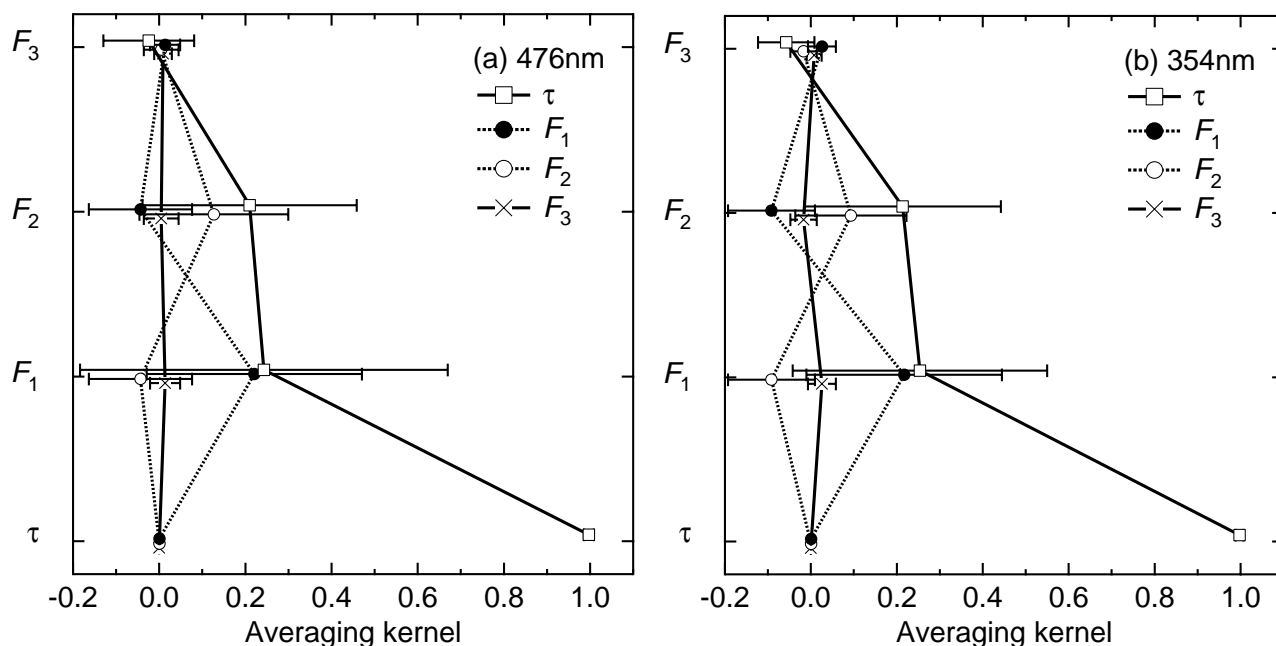


Fig. 2. Mean averaging kernels for all MAX-DOAS aerosol retrievals at (a) 476 and (b) 354 nm. Error bars represent 1σ standard deviations.

from 3 to 100 km was determined assuming a k value at the top of the layer (100 km) and an exponential profile shape. Similarly, we determined profiles for layers of 2–3, 1–2, and 0–1 km, completing the k vertical profile from the surface to 100 km. This parameterization was chosen because the relative variability of the profile shape, in terms of 1-km averages, was much less than that of the absolute k value (Irie et al., 2008a).

We used the a priori state vector and the a priori covariance matrix, which are identical to those constructed by Irie et al. (2008a), based on averages and standard deviations of two months of lidar data. The a priori values (\pm error) used were $\tau=0.21\pm 1.0$, $F_1=0.70\pm 0.05$, $F_2=0.60\pm 0.05$, and $F_3=0.60\pm 0.05$, yielding a τ of 0.21, $k(0-1\text{ km})$ of 0.15 km^{-1} , $k(1-2\text{ km})$ of 0.04 km^{-1} , and $k(2-3\text{ km})$ of 0.02 km^{-1} . The errors are ± 1.0 , $+0.76/-0.74\text{ km}^{-1}$, $+0.24/-0.21\text{ km}^{-1}$, and $+0.11/-0.10\text{ km}^{-1}$, respectively. Both inversions at 354 and 476 nm used the same a priori state vector and a priori covariance matrix. Since k values are usually greater at shorter wavelengths, this provides an opportunity to confirm the dependence of the retrievals on the a priori information, as discussed later.

By sensitivity tests described by Irie et al. (2008a), the errors of τ , $k(0-1\text{ km})$, $k(1-2\text{ km})$, and $k(2-3\text{ km})$ values at 476 nm due to the choice of a priori values and their errors were estimated to be 10%, 9%, 34%, and 43%, respectively, for all the retrievals presented here. Those for 354 nm were 10%, 5%, 40%, and 41%, respectively. The sums of the smoothing error and the retrieval noise error were about 7%, 13%, 30%, and 46%, respectively, at both wavelengths.

Figure 2a and b show the mean averaging kernels for all the MAX-DOAS aerosol retrievals at 476 and 354 nm, respectively. Except for F_3 , the averaging kernels peak at the corresponding labels on the plot ordinates. The τ indicates the largest value, followed by F_1 and F_2 . For both wavelengths, the resulting degrees of freedom for signal (Rodgers, 2000) range from 1.0 to 3.0, with averages of 1.4 ± 0.3 , indicating that the information contents are similar. The area (Rodgers, 2000), which provides a rough measure of the fraction of the retrieval that comes from the measurements, was calculated as the sum of all elements in the averaging kernel profile weighted by the a priori error (Liu et al., 2005). The areas were 1.0, 0.2, 0.1, and 0.1 for τ , F_1 , F_2 , and F_3 , respectively, indicating that the retrieval was done by scaling the given a priori profile first, followed by changing the profile shape (Irie et al., 2008a).

It should be noted that influences of clouds have been discussed in our previous work (Irie et al., 2008a). This work indicated that MAX-DOAS aerosol measurements would be very insensitive to high-altitude clouds (above $\sim 2\text{ km}$) but that data for k below $\sim 2\text{ km}$ and τ could suffer from a non-negligible contribution of the cloud optical depth below $\sim 2\text{ km}$. While a cloud screening method applicable to MAX-DOAS is highly desirable, the present work focuses on cloud-free cases, which have been identified by coincident lidar and sky radiometer measurements, as described below.

3 Lidar and sky radiometer measurements

From 1 November 2006 to 16 March 2007, lidar and sky radiometer measurements were performed together with MAX-DOAS at the same site in Tsukuba, Japan. The lidar system operated was a compact Mie-scattering system utilizing the second harmonics of a flashlamp-pumped Nd:YAG laser (532 nm) as the light source (Shimizu et al., 2004). Inversion was conducted assuming a constant lidar ratio of 50 sr throughout the period. Only cloud-free lidar aerosol extinction data, judged by the method of Shimizu et al. (2004), were used. The lidar aerosol extinction data were converted to k_{354} and k_{476} values, which can be compared to MAX-DOAS data, using coincident measurements of the Ångström exponent (α) by the sky radiometer. Note that the α used is a columnar quantity, as described below, and may lead to a systematic bias in lidar k_{354} and k_{476} values, when the α differs from α for a given altitude.

The sky radiometer measured the direct solar irradiance and the distribution of radiances in the aureole region (Aoki and Fujiyoshi, 2003). The τ data at 400, 500, 675, 870, and 1020 nm were derived by the inversion algorithm (SKYRAD.pack, version 4.2) developed by Nakajima et al. (1996). The α value was estimated from the τ values at five wavelengths. Using α , τ values at 354 and 476 nm were derived from the measured τ values at 400 and 500 nm, respectively. It should be noted that τ at 354 nm was estimated using α , which may be valid between 400 and 1020 nm. Details of the lidar and sky radiometer measurements used in the present study are available elsewhere (Aoki and Fujiyoshi, 2003; Shimizu et al., 2004; Irie et al., 2008a).

4 Results and discussion

In Fig. 3, the MAX-DOAS k_{476} and k_{354} values are plotted against those derived from lidar measurements for layers of 0–1 and 1–2 km over the whole period of more than 4 months. The MAX-DOAS and lidar k_{476} (0–1 km) values agree to within 30% for most cases (Fig. 3a), confirming the results of Irie et al. (2008a) obtained from an only-two-month comparison. Similarly, the MAX-DOAS k_{476} (1–2 km) values generally agree with the lidar to within 60% (Fig. 3b). Since part of the differences should have been caused by the sampling of different air masses, the uncertainties of MAX-DOAS k_{476} (0–1 km) and k_{476} (1–2 km) should be smaller than 30% and 60%, respectively. This will be confirmed below by comparisons with sky radiometer measurements.

The mean MAX-DOAS values for each 0.05-km⁻¹ or 0.02-km⁻¹ range of lidar data are also plotted with red symbols in Fig. 3. It can be seen from the mean MAX-DOAS data that the correlations of k_{476} (1–2 km) values tend to deviate from linearity at higher lidar values (Fig. 3b). On the other hand, the individual comparisons for k_{354} (0–1 km) val-

ues show more scatter than for k_{476} (0–1 km), but the differences are usually less than 50% (Fig. 3c). Although MAX-DOAS and lidar k_{354} (0–1 km) values are correlated linearly, a linear regression analysis suggests that MAX-DOAS values are systematically smaller (slope = 0.77). The same tendency is also seen in the k_{476} (0–1 km) comparisons (Fig. 3a), suggesting that part of the differences can be attributed to the use of a constant lidar ratio, which is a common systematic component of the uncertainty in both lidar k values. Further systematic differences can occur in the k_{354} (0–1 km) comparisons due to the Ångström exponent α , which was used to derive lidar k_{354} (0–1 km) values, although it may be valid only at wavelengths longer than 400 nm, as mentioned above. Indeed, the α value derived from the sky radiometer is slightly different from that derived directly from the two wavelengths of MAX-DOAS k (0–1 km) values, as discussed later.

As seen in Fig. 3d, the correlations of k_{354} (1–2 km) show that MAX-DOAS k_{354} (1–2 km) at higher lidar values tends to be closer to the value calculated from the a priori (0.04 km⁻¹), resulting in underestimation. This is similar to the result for the same layer at 476 nm (Fig. 3b). In addition, more significant underestimation of MAX-DOAS values tends to occur at 2–3 km, resulting from the MAX-DOAS k data being closer to the value calculated from the a priori (0.02 km⁻¹) (Fig. 4). These tendencies are further supported by the fact that a similar underestimation is seen also in the MAX-DOAS/sky radiometer comparisons of the aerosol optical depth (Fig. 5), which contains information on high-altitude aerosols.

Thus, MAX-DOAS k data at higher lidar values tend to be underestimated more at higher altitudes. In addition, uncertainty in MAX-DOAS k data is likely larger at shorter wavelengths, especially considering the comparison results for k_{476} (0–1 km) and k_{354} (0–1 km) shown in Fig. 3a and c, respectively.

To investigate the cause of these tendencies, we plot the median k_{354} and k_{476} profiles retrieved from MAX-DOAS measurements for the whole period of the measurements analyzed (Fig. 6a). As we expect, k_{354} values are generally greater than k_{476} values, especially at altitudes below 1 km, although we have used the same a priori information for both wavelengths. This indicates that the aerosol retrieval method employed here is less dependent on the a priori, especially below 1 km. Indeed, errors in the retrieved k_{476} (0–1 km) and k_{354} (0–1 km) due to the choice of a priori conditions were estimated to be as small as <9%, as mentioned above.

For 354 and 476 nm, vertical profiles of the sensitivity of the MAX-DOAS O₄ measurements to k , $d(\Delta\text{SCD})/dk$, are shown in Figs. 6b and c, respectively. These profiles are for SZA=50°. The decreasing measurement sensitivity with altitude is evident. Moreover, the measurement sensitivity is smaller at 354 nm than at 476 nm. Thus, altitude and wavelength dependencies in the measurement sensitivity can explain the observed tendencies of the differences between MAX-DOAS and lidar data. The observed tendencies have

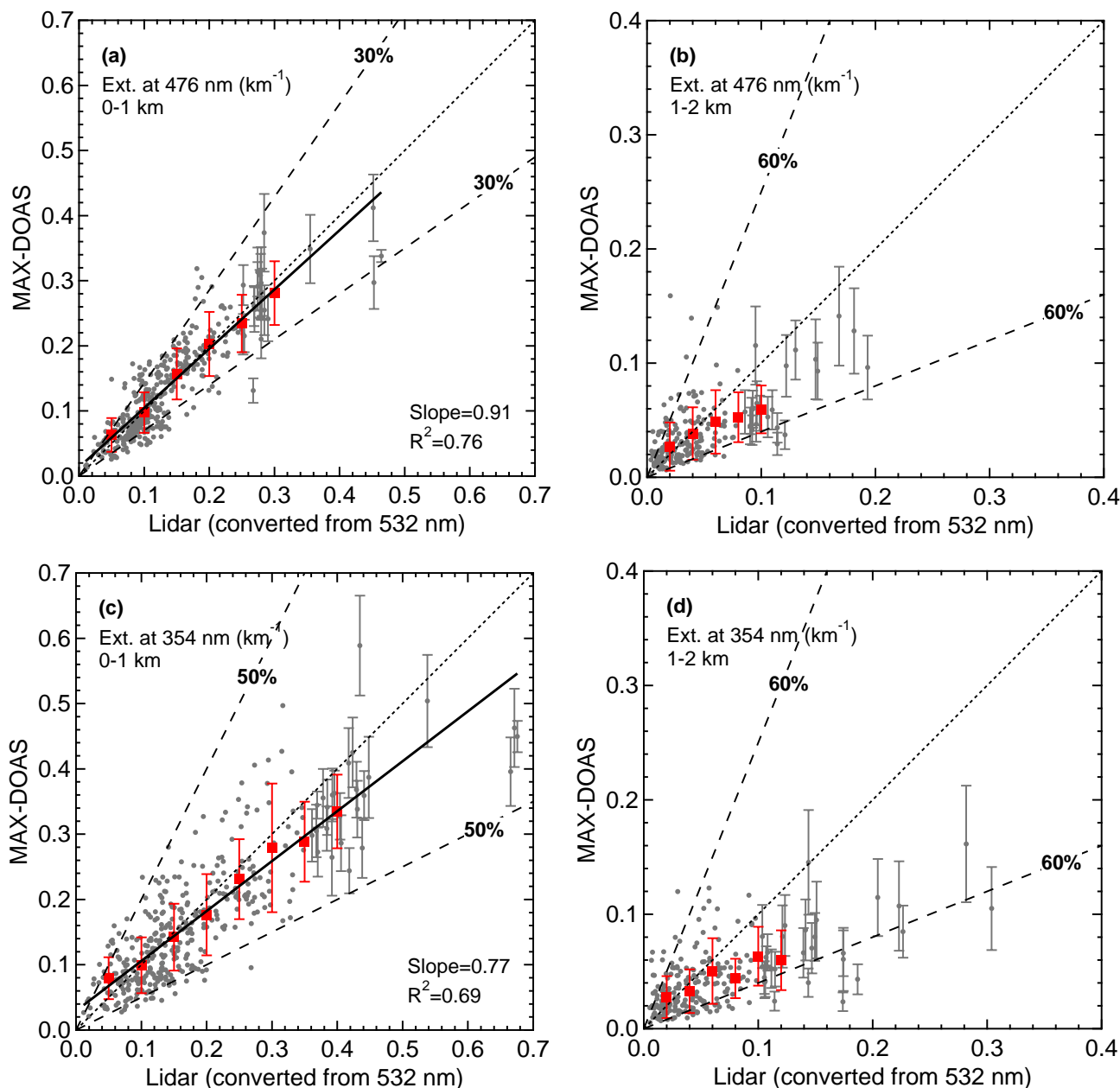


Fig. 3. Correlations between MAX-DOAS and lidar aerosol extinction coefficients at 476 nm for layers of (a) 0–1 and (b) 1–2 km. The same correlations but for 354 nm are shown in (c) and (d). Red symbols indicate the averages of the original MAX-DOAS values (gray) for each bin of the lidar data. Error bars represent 1σ standard deviations. For the original MAX-DOAS data, uncertainty estimated from the retrieval covariance matrix is shown only for large lidar values for clarity. Solid lines in (a) and (c) represent the linear least-squares fit to the original data before binning.

also been explained with a simulation by Frieß et al. (2006). They investigated four absorption bands of O_4 peaked around 360, 477, 577, and 630 nm and argued that the highest sensitivity to aerosols should occur at 630 and 577 nm, followed by 477 and 360 nm. This should be a result of the higher transparency of the atmosphere leading to better geometric enhancements at longer wavelengths. In addition, the largest cross section occurs at 577 nm, followed by 630, 477, and 360 nm (Frieß et al., 2006). It is therefore reasonable to ex-

pect that better aerosol retrieval performance can be achieved using absorption bands around 577 or 630 nm compared to those around 477 and 360 nm, which have been used in the present work. Note that this is only the case when analyzing a single absorption band, while Frieß et al. (2006) have proposed to analyze multi-wavelength absorptions together for better performance, compared to the use of a single wavelength.

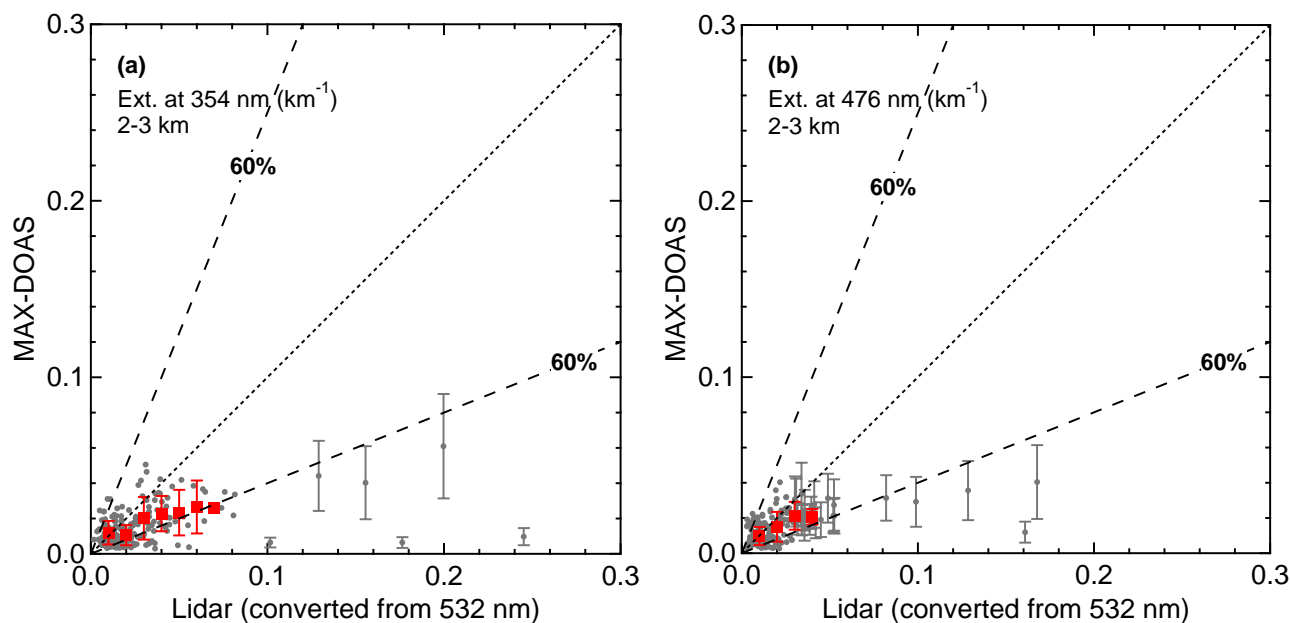


Fig. 4. Same as Fig. 3, but for the aerosol extinction coefficient at (a) 354 and (b) 476 nm for the layer at 2–3 km.

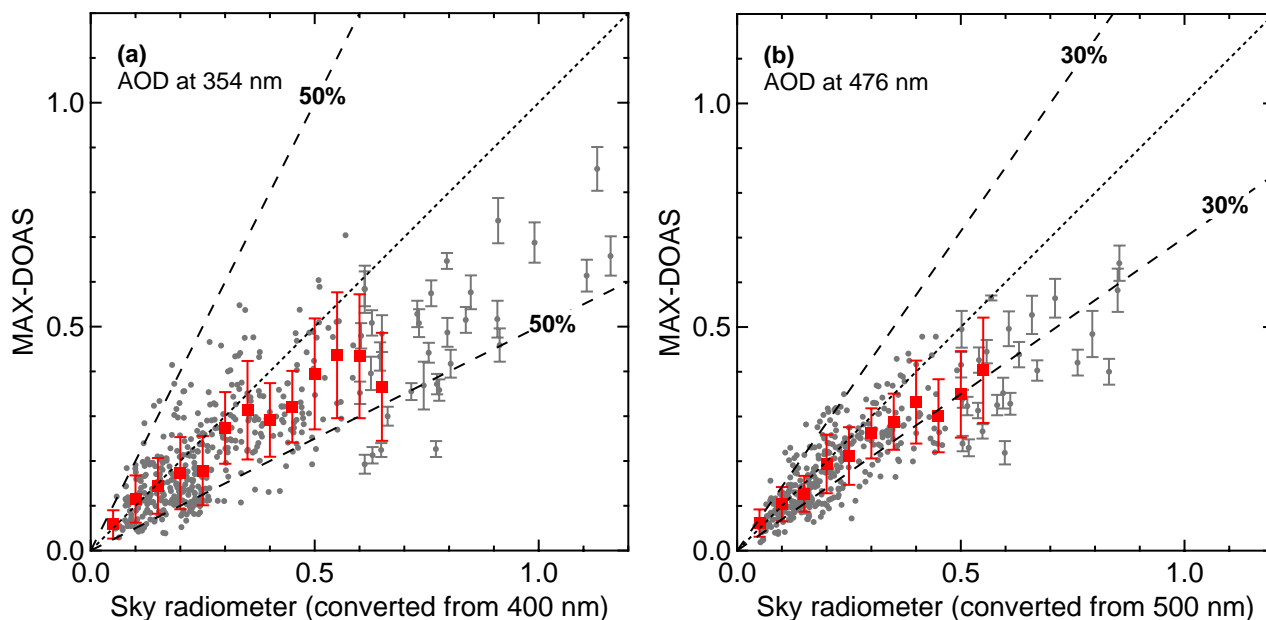


Fig. 5. Same as Fig. 3, but for the aerosol optical depth at (a) 354 and (b) 476 nm.

In the present study we have analyzed O_4 absorption bands at 354 and 476 nm individually, not combined as a single inversion problem. However, it is worth investigating the internal consistency between MAX-DOAS $k_{354}(0-1 \text{ km})$ and $k_{476}(0-1 \text{ km})$ values, both of which have shown better agreement with lidar data than at other altitudes (Fig. 3). Figure 7 shows correlations between the MAX-DOAS $k_{354}(0-1 \text{ km})$ and $k_{476}(0-1 \text{ km})$ values. Also shown are the correlations between aerosol optical depths at 354 and 476 nm derived

from sky radiometer measurements. As expected, values at 354 nm are systematically greater than at 476 nm for both MAX-DOAS and sky radiometer data. The slopes of the linear regression are 1.25 ± 0.03 and 1.40 ± 0.01 for MAX-DOAS and sky radiometer measurements, respectively. The corresponding α values were estimated to be 0.75 ± 0.08 and 1.14 ± 0.02 . This may suggest that the α derived from MAX-DOAS was underestimated, but more work is needed to interpret the difference, with a consideration of the different

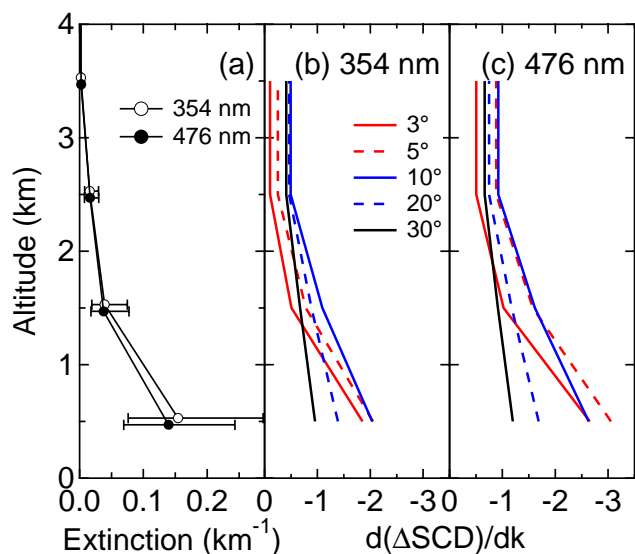


Fig. 6. (a) Median profiles of the retrieved aerosol extinction coefficients at 354 nm (open symbols) and 476 nm (solid symbols) for the period from 1 November 2006 to 16 March 2007. Error bars represent the central 67% of the ranges. (b) and (c) Profiles of the sensitivity of the MAX-DOAS O_4 ΔSCD measurement (at $\text{SZA}=50^\circ$) to the aerosol extinction coefficient (k), $d(\Delta\text{SCD})/dk$, are shown for 354 and 476 nm, respectively. Different lines show the measurement sensitivities for different elevation angles. The units are 10^{45} molecules 2 cm $^{-5}$ km. Note that the x axis is reversed in (b) and (c).

altitude ranges measured by MAX-DOAS and the sky radiometer. However, it is interesting to note that the MAX-DOAS α value has been derived from $k(0-1\text{ km})$ values, suggesting that MAX-DOAS is capable of deriving α below 1 km.

We found that the correlation coefficient (R^2) for MAX-DOAS $k(0-1\text{ km})$ data is as high as 0.83 (Fig. 7), although retrievals have been done separately for 354 and 476 nm. In particular, the scatter of the correlations is much smaller than that anticipated from the 50%-range of $k_{354}(0-1\text{ km})$ and the 30%-range of $k_{476}(0-1\text{ km})$, which are shown with error bars in Fig. 7. These results confirm that the uncertainty in the MAX-DOAS aerosol data has been well quantified using comparisons with lidar data in this study and can be regarded as upper-limit estimates. Also, it is suggested that part of the differences in comparison with the lidar should have come from the uncertainty in the lidar data and the sampling of different air masses. While MAX-DOAS measurements are thus expected to provide aerosol datasets useful for quantitative studies, an improvement of this technique, for example, by utilizing the O_4 absorption at longer wavelengths, is highly desirable.

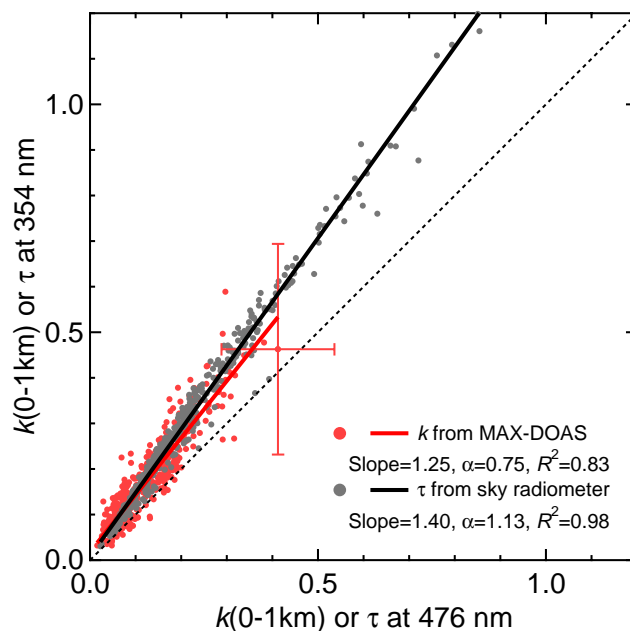


Fig. 7. Correlations between MAX-DOAS aerosol extinction coefficients (0–1 km) at 354 and 476 nm (red symbols). Error bars represent 50%- and 30%-uncertainties estimated from comparisons with the lidar data for 354 and 476 nm, respectively. Correlations between sky radiometer aerosol optical depths at 354 and 476 nm (gray symbols) are superimposed. The linear least-square fits to MAX-DOAS and sky radiometer data are shown by red and black lines, respectively. The slope of the line and the Ångström exponent (α) calculated from the slope are given.

5 Conclusions

To establish a quantitative basis for MAX-DOAS aerosol measurements, aerosol extinction coefficient vertical profiles were retrieved at two wavelengths, 354 nm (k_{354}) and 476 nm (k_{476}), from spectra measured by MAX-DOAS at Tsukuba, Japan. A robust comparison of MAX-DOAS aerosol extinction profiles with those measured by lidar has been done for more than 4 months between 1 November 2006 and 16 March 2007. The retrieved k_{476} values for the layer at 0–1 km (1–2 km) generally agreed with the lidar data to within 30% (60%), confirming the results of Irie et al. (2008a) that the uncertainty is less than 30% (60%) based on comparisons using only two months of data. On the other hand, agreement for k_{354} values at 0–1 km was within 50%. Thus, we found that uncertainty in MAX-DOAS aerosol extinction data is smaller at a longer wavelength and at lower altitudes (in the case that the instrument is located near the surface). At the upper layers, MAX-DOAS k_{354} and k_{476} values tend to be closer to the a priori at greater lidar k values, resulting in underestimation. This is supported by the fact that a similar underestimation was seen in the comparison between aerosol optical depths obtained from MAX-DOAS and sky radiometer measurements. These dependencies of the systematic differences on

wavelength and altitude were, however, well characterized by the higher sensitivity of the O₄ differential slant column measurement to aerosols, $d(\Delta\text{SCD})/dk$, at the longer wavelength. The value of $d(\Delta\text{SCD})/dk$ was also greater at lower altitudes. Thus, uncertainty in MAX-DOAS aerosol data was quantified, and the cause leading to systematic errors was identified, showing that MAX-DOAS measurements are suitable for quantitative studies. For better performance, an improvement to this technique, for example, by utilizing the O₄ absorption at longer wavelengths, is highly desirable.

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