Nanoparticle Catalysts Prepared by **Two-Phase Protocol** Mathew M. Maye, Nancy N. Kariuki, Jin Luo, Li Han, Peter Njoki, Lingyan Wang, Yan Lin, H. Richard Naslund¹, Chuan-Jian Zhong* Department of Chemistry, State University of New USA (*cjzhong@binghamton.edu)

Electrocatalytic

Oxygen: Gold and

Reduction of

Gold-Platinum

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Abstract

This paper describes recent results of an investigation of gold (Au) and gold-platinum (AuPt) nanoparticle electrocatalysts for fuel cell reaction at the cathode, i.e., oxygen reduction reaction (ORR). The Au nanoparticles and AuPt nanoparticles with different bimetallic ratios were prepared by a two-phase protocol and supported on carbon black materials. The catalysts were thermally activated under controlled calcination temperatures. The electrocatalytic ORR activities were characterized using voltammetric and rotating disk electrode techniques. We have also attempted an initial comparison of the electrocatalytic activities of our Au/C and AuPt/C catalysts with commercially-available Pt/C and PtRu/C catalysts (E-tek) under the same voltammetric measurement conditions. The results revealed important insights into the electrocatalytic activity of our catalysts, and have important implications to the design of highly active fuel cell catalysts.

Introduction

The high conversion efficiencies and low pollution of hydrogen and direct methanol fuel cells are becoming increasingly attractive power sources for mobile and stationary applications such as on-board electric power sources for advanced propulsion systems and generation of non-polluting vehicles. While researchers around the world are developing potential fuel cell applications including electric vehicles and portable powers, the development faces challenging scientific problems in the areas of materials science, interfacial science and catalysis. In proton exchange membrane fuel cells (PEMFCs) hydrogen ions must be transported through a Nafion membrane, hydrocarbon fuels must be converted to pure hydrogen by reforming, and the overall conversion requires a complex process technology and substantial investments in safety and controls. Direct methanol fuel cells (DMFCs) offer a simpler solution and require no reformer. Currently, the energy density (~2000 Wh/kg) and operating cell voltage (0.4 V) for methanol fuel cells are much lower than the theoretical energy density (~6000 Wh/kg) and the thermodynamic potential (~1.2 V) due to poor activity of the anode catalysts and "methanol cross-over" to the cathode electrode (1,2), which lead to a loss of about one-third of the available energy at the cathode and another one-third at the anode. Pt-group metals are extensively studied for both anode and cathode catalysts, but a major problem is the poisoning of Pt by CO-like intermediate species (3-5). On the cathode, the kinetic limitation of the oxygen reduction reaction (ORR) is a problem of interest in proton exchange membrane fuel cells operating at low temperature (<100°C) and in DMFCs (2,6). The rate of breaking O=O bond to form water strongly depends on the degree of its interaction with the adsorption sites of the catalyst, and competition with other species in the electrolyte (e.g., CH₃OH). One problem in using Pt as catalyst is the strong adsorption of OH forming Pt-OH, which causes inhibition of the O₂ reduction.

The exploration of gold nanoparticles in catalysis has attracted a wide range of fundamental and industrial interests (7-17). Recent advances have shown potential applications of gold-based nanoparticle catalysts in fuel cell related catalytic reactions (18,19). We have recently investigated gold and alloy nanoparticles in electrocatalysis of fuel cell reactions, including CO and methanol oxidation (20-27) and O₂ reduction reactions (25-27). Based on recent insights into the surface properties for the adsorption of oxygen and the catalytic properties of gold at nanoscale sizes (18,28-31), we envision that the bimetallic AuPt composition may produce a synergistic catalytic effect that involves the suppression of adsorbed poisonous species and the change in electronic band structure to modify the strength of the



Scheme 1

Schematic illustration of AuPt nanoparticle and the catalytic reactions involving adsorption, interfacial reaction, and desorption of reactant (R), intermediate (I) and product (P)

surface adsorption for ORR (Scheme 1). Bimetallic AuPt is a known electrocatalyst for oxygen reduction in alkaline fuel cells. There have been however few reports for the study of AuPt nanoparticles with controllable size and composition in fuel cell catalyst applications (19). Such a study is important because the metal nanoparticles (1-10 nm) undergo a transition from atomic to metallic properties, and the bimetallic composition could produce the synergistic effect. One of the earlier insights about AuPt bulk alloy catalyst is the important role of OH_{ads} in alkaline medium under which the presence of Au could reduce the strength of the Pt-OH formation. How this property operates at the nanoscale gold and gold-platinum surface is unknown.

In this paper, we report the results of our preliminary investigation of the electrocatalytic ORR activities of carbonloaded Au and AuPt nanoparticle catalysts. Unlike some of our earlier electrocatalytic studies in which gold nanoparticles were assembled on planar glassy carbon electrodes (20-24), the gold and gold-platinum nanoparticles with different bimetallic ratios prepared by two-phase protocol (32-35) are assembled on carbon black support materials and activated by calcination. More importantly, we have attempted an initial comparison of the electrocatalytic ORR activities of our carbon-supported Au and AuPt nanoparticle catalysts with commercially-available Pt/C and PtRu/C catalysts (E-tek) under the same voltammetric measurement conditions. It is important to note that our approach to the preparation of catalysts can lead to a better control over size, shape, composition or surface properties (32,36) in comparison with traditional approaches such as co-precipitation, depositionprecipitation, ion-exchange, impregnation, and successive reduction and calcination (3,4,15).

Experimental

Chemicals. Decanethiol (DT, 96%), hydrogen tetrachloroaurate (HAuCl₄, 99%), tetraoctylammonium bromide (TOABr, 99%), hydrogen hexachloroplatinate (IV) (H₂PtCl₆, 99.995%), and NaBH₄ were purchased from Aldrich and used as received. Other chemicals included hexane (99.9%) and toluene (99.8%) from Fisher, and methanol (99.9%) and ethanol (99.9%) from Aldrich. Water was purified with a Millipore Milli-Q water system. Catalysts such as 20% Pt on Vulcan XC-72 (Pt/C) and 20% PtRu on Vulcan XC-72 (PtRu/C) were purchased from E-Tek.

Catalyst Preparation. Gold nanoparticles of 2-nm core size encapsulated with an alkanethiolate monolayer shell (Au) were synthesized by the standard two-phase method (32). Gold-platinum nanoparticles of 2-nm core size encapsulated with an alkanethiolate monolayer shell (AuPt) were synthesized by a modified two-phase method (35). Briefly $AuCl_4$ and $PtCl_6^{2-}$ were first transferred from aqueous solution of HAuCl₄ and H₂PtCl₆ into toluene solution using a phase transfer reagent (tetraoctylammonium bromide). Thiols (e.g., decanethiol, DT) were added to the organic solution at a 4:1 mole ratio (DT/AuPt), and an excess of aqueous NaBH₄ was slowly added for the reduction reaction. The $Au_{81}Pt_{19}$ and Au₆₈Pt₃₂ nanoparticles reported in this paper were obtained by controlling the relative feed ratio of HAuCl₄ and H₂PtCl₆ in synthesis and the post-synthesis cleaning procedure, details of which will be reported in another paper.

The composition was analyzed using the DCP method, which was performed using an ARL Fisons SS-7 Direct Current Plasma – Atomic Emission Spectrometer (DCP-AES). Measurements were made on emission peaks at 267.59 nm and 265.95 nm, for Au and Pt, respectively. The nanoparticle samples were dissolved in concentrated aqua regia, and then diluted to concentrations in the range of 1 to 50 ppm for analysis. Calibration curves were made from dissolved standards with concentrations from 0 to 50 ppm in the same acid matrix as the unknowns. Detection limits. based on three standard deviations of the background intensity, are 0.008 ppm and 0.02 ppm for Au and Pt. Standards and unknowns were analyzed 10 times each for 3 second counts. Instrument reproducibility, for concentrations greater than 100 times the detection limit, results in $< \pm 2\%$ error.

Carbon black XR-72c was used as support for the nanoparticles. A controlled amount of Au or AuPt nanoparticles was added into the suspension of carbon black, which was sonicated and followed by stirring overnight. The loading of Au or AuPt on carbon support was controlled by the weight ratio of Au or AuPt nanoparticles vs. carbon black. The actual loading of metals was determined by TGA and DCP analysis.

The carbon-loaded nanoparticle catalysts were treated in a tube-furnace by heating at 300°C under 20% O_2/N_2 for 1 hour and calcination at 400°C under 15% H_2/N_2 for 2 hours. The carbon-loaded Au or AuPt nanoparticles are denoted as Au/C or AuPt/C.

Electrode Preparation. Glassy carbon (GC) disks (geometric area: 0.07 cm^2 for cyclic voltammetric measurement and 0.20 cm^2 for rotating disk electrode measurement) were polished with $0.03 \mu \text{m} \text{Al}_2\text{O}_3$ powders. The geometric area of the substrate electrode (glassy carbon), not the surface area of the catalyst itself, provides a measure of the loading of catalyst on the electrode surface used for the voltammetric characterization. A typical suspension of the catalysts was prepared by suspending 1mg catalysts (Au/C, AuPt/C, Pt/C, PtRu/C) in 1mL solution of 5% Nafion, and sonicated for 15 mins. The suspension was stable for days. The suspension was then quantitatively transferred to the surface of the polished GC disk. The electrodes were dried overnight at room temperature.

TEM micrographs for both Au and Au-Pt nanoparticles have shown ~2 nm core size on carbon black (30-50 nm spheres) support, and well-dispersed spatial property. The particle sizes were about 3-5 nm diameters after thermal treatment. A detailed spectroscopic and microscopic characterization of the composition, size and crystallinity of the catalysts will be reported elsewhere.

Measurements. The cyclic voltammetry and rotating disk electrode measurements were performed at room temperature. All experiments were performed in three-electrode electrochemical cells. All electrolytic solutions were de-aerated with high purity argon or nitrogen before the measurement. All potentials are given with respect to the reference electrode of Ag/AgCl saturated KCl, which is +0.20 V with respect to NHE reference. The cyclic voltammetric measurements were performed by using a microcomputer-

controlled potentiostat (Model 273, PARC). The rotating disk electrode (RDE) measurements were performed using a rotating disk electrode measurement system (Model AFCBP1, Pine Instrument).

Results and Discussion

1. Au/C

Figure 1 shows a typical set of cyclic voltammetric (CV) curves for ORR at Au/C catalyst (17% wt metal) in 0.5 M KOH and 0.5 M H₂SO₄ electrolytes saturated with O₂. The CV curves in the absence of oxygen are included as a blank for comparison. The blanks reveal an oxidation-reduction wave of gold oxide at ~+200 mV in the alkaline electrolyte but little redox current in the acidic electrolyte. In contrast, the appearance of the cathodic wave is observed at -150 mV in the alkaline electrolyte and at +50 mV in the acidic electrolyte. This finding indicates that the Au catalyst is active towards O₂ reduction in both electrolytes.

Figure 2 shows two representative sets of RDE data for further assessing the ORR activities in the above two systems. From Levich plots (37) of the limiting current vs. rotating speed (B & C), one can derive the electron transfer number (n). We obtained n = 3.1 for ORR in 0.5M KOH electrolyte, and 2.9 for ORR in 0.5M H₂SO₄ electrolyte. The error bar was ±0.2. The intermittent n-value between 2 and 4 indicates that the electrocatalytic ORR at the Au/C catalyst likely involved mixed 2e⁻ and 4e⁻ reduction processes.

2. AuPt/C

Figure 3 shows a typical set of CV curves obtained at $Au_{s1}Pt_{19}/C$ catalyst (24% wt metals) in both alkaline and acidic electrolytes. There are two important pieces of evidence supporting the presence of both Au and Pt on the surface of the nanoparticle catalyst. First, similar to the CV curve for the



Figure 1

Cyclic voltammetric curves for a Au/C catalyst (17% wt) on GC electrode (0.07 cm²) in alkaline (A, 0.5M KOH) and acidic (B, 0.5M H₂SO₄) electrolytes saturated with O_2 (solid curves) and Ar (dash curves). Scan rate: 50 mV/s



Figure 2

RDE curves for a Au/C catalyst (17%wt) on GC electrode (0.2 cm²) in the alkaline (A, 0.5M KOH) electrolyte saturated with O_2 . (Rpm: (a) 0; (b) 400; (c) 500; (d) 630 (e) 820; (f) 1110; (g) 1600; (h) 2500; (i) 4500. Scan rate: 20 mV/s), and Levich plots of the limiting currents in the alkaline (B, 0.5M KOH) and acidic (C, 0.5M H₂SO₄) electrolytes with saturated O^2



Figure 3

Cyclic voltammetric curves for a $Au_{\$1}Pt_{19}/C$ catalyst (24%wt) on GC electrode (0.07 cm²) in alkaline (A, 0.5M KOH) and acidic (B, 0.5M H_2SO_4) electrolytes saturated with O_2 (solid curves) and Ar (dash curves). Scan rate: 50 mV/s

Au/C catalyst in the absence of oxygen (Figure 1A dashed line), the observation of the oxidation-reduction wave of gold oxide at ~200 mV at the $Au_{81}Pt_{19}/C$ catalyst in the O_2 -free alkaline electrolyte (Figure 3A dashed line) is a clear indication of the presence of Au on the catalyst. Second, the

observation of the hydrogen reduction-oxidation currents at -200 mV in the O_2 -free acidic electrolyte, which is characteristic of hydrogen adsorption and reduction at Pt electrodes (see a later sub-section), is strong evidence for the presence of Pt on the catalyst surface.

In comparison with the data for Au/C catalysts, there are two important observations for the electrocatalytic activity of the AuPt nanoparticle catalysts. First, the ORR wave at the Au₈₁Pt₁₉/C catalyst is observed at about the same potential (-150 mV) as that for the Au/C in the alkaline electrolyte. We also note that a very small wave is detectable at -450 mV for the AuPt/C catalyst. Second, the ORR waves are highly dependent on the nature of the electrolyte. This wave for Au₈₁Pt₁₉/C is observed at ~+300 mV in the acidic electrolyte, which is much more positive than that for the Au/C (+25 mV) in the same electrolyte. In this case, the ORR currents detected at below +25 mV are characteristic of hydrogen adsorption-reduction at Pt electrodes. The result thus suggests that there is a significant fraction of Au on the bimetallic catalyst which keeps the nanoscale gold property unchanged in basic condition, but modifies the catalytic property of Pt in the acidic electrolyte.

The dependence of the electrocatalytic activity on the relative bimetallic composition of the catalyst was further examined. Figure 4 shows a typical set of CV curves obtained at $Au_{68}Pt_{32}/C$ catalyst (39%wt metals). We note that the metal loading is somewhat higher than that in the previous case (~20%wt metals).

Two important changes are evident. First, while the ORR peak potential at -190 mV remains largely unchanged, a significant wave component of the ORR is evident at -400 mV in the alkaline electrolyte, which showed an increase for the $Au_{68}Pt_{32}/C$ in comparison with that for the previous $Au_{81}Pt_{19}/C$. Second, the ORR wave for the $Au_{68}Pt_{32}/C$ showed a shift of the peak potential to a much more positive



Figure 4

Cyclic voltammetric curves for a $Au_{68}Pt_{32}/C$ catalyst (39%wt) on GC electrode (0.07 cm²) in alkaline (A, 0.5M KOH) and acidic (B, 0.5M H_2SO_4) electrolytes saturated with O_2 (solid curves) and Ar (dash curves). Scan rate: 50 mV/s

potential (~+500 mV) than that for the $Au_{81}Pt_{19}/C$ in the acidic electrolyte. Both findings suggest that the electrocatalytic activity of Au and Pt metal components in the nanoparticle catalysts is dependent on the nature of the electrolyte. It appears that the catalysis at the individual Au or Pt surface site is operative in the alkaline electrolyte, whereas the catalysis of the bimetallic surface sites is operative in the acidic electrolyte.

To further evaluate the electrocatalytic properties, rotating disk electrode (RDE) experiments were performed to characterize the number of electrons transferred in the electrocatalytic ORR process. Figure 5 shows a typical set of RDE data obtained for ORR at $Au_{81}Pt_{19}/C$ catalyst (24% metal loading) in both alkaline and acidic electrolytes.

From Levich plots (inserts), one derives the value for the electron transfer number (n). We found that n = 3.6 in 0.5 M KOH and 3.4 in 0.5 M H₂SO₄ (~±0.4 error bar) for ORR at the Au₈₁Pt₁₉/C catalyst. There is a noticeable increase in the n value for the bimetallic AuPt/C catalyst in comparison with that for the monometallic Au/C catalyst.



Figure 5

RDE curves for a $Au_{\$1}Pt_{19}/C$ catalyst (24%wt) on GC electrode (0.2 cm²) in alkaline (A, 0.5M KOH) and acidic (B, 0.5M H_2SO_4) electrolytes saturated with O_2 . (Rpm: (a) 0; (b) 500; (c) 1500; (d) 2500; (e) 3500; (f) 4500. Scan rate: 10 mV/s). Levich plots of the limiting current are included as inserts

3. Comparison of Electrocatalytic activities

We compare now the above electrochemical data for our Au/C (20% metals) and AuPt/C catalysts (20% metals) with those from commercially-available catalysts, namely E-tek's Pt/C (20% metals) and PtRu/C catalysts (20% metals).

Figure 6 shows a set of electrocatalytic ORR data obtained at E-tek's Pt/C catalysts (20% metals) in both alkaline and acidic electrolytes. In the alkaline electrolyte, the reduction wave at Pt/C is small at -190 mV, but predominant at -400 mV. In the acidic electrolyte, the reduction wave at Pt/C is at +550 mV, about 100-200 mV more positive than the AuPt/C catalyst. The currents detected at below +50 mV are characteristic of hydrogen adsorption and reduction at Pt electrodes.

For electrocatalytic ORR at E-tek's PtRu/C catalysts (20% metals), the reduction peak potential at PtRu/C was found to shift negatively by 50-100 mV, consistent with the fact that the PtRu/C catalyst is less active than that for Pt/C catalyst. To ensure that the quantity of catalysts falls in a linear region, we compared CV data with different catalyst quantities on the



Figure 6

Cyclic voltammetric curves for a $E \sim tek's$ Pt/C catalysts (20%wt) on GC electrode (0.07 cm²) in 0.5M KOH (A) and 0.5M H₂SO₄ (B) in the presence (solid curves) and absence (dash curves) of saturated O₂. Scan rate: 50 mV/s

electrode surface. We found that there is a close linearity between peak current and catalyst quantity and the potential shift with different catalyst loading is insignificant. The finding thus ensured the validity of the above catalytic comparison. Similar results have also been observed for the

Table 8

Comparison of ORR (saturated O_2) reduction peak potential (E_{pc}) and current (i_{pc}).

other catalysts tested. Table 1 summarizes several sets of electrocatalytic data to quantitatively compare ORR activities for different catalysts.

The electrocatalysts were evaluated by both potential and current density parameters. In the voltammetric experiment, the potential measured provides information to assess the difference of the reaction potential and the thermodynamic potential, whereas the current reflects the reaction rate. The best catalyst has the lowest overpotential and the highest current density.

The comparison of these electrocatalytic data with different bimetallic compositions and metal loading, while preliminary at this stage, nevertheless provides us important information for an initial assessment of the catalytic activity of the AuPt catalysts. There are two important findings from comparing the peak potentials and peak currents. First, in the alkaline electrolyte, the ORR peak potentials at the Au/C and AuPt/C catalysts with >60 Au% are slightly more positive (by 10-40 mV) than that for the Pt/C, and slightly more positive (by 100-120 mV) than that for the PtRu/C catalysts. This finding suggests that their activities are largely comparable. On the other hand, the peak current density for the AuPt, after being normalized to the total metal loading (which serves as a measure of the turnover number for the catalytic activity), is either comparable or smaller than the E-tek's catalysts by a factor of ~2. The data are indicative of a better or comparable catalytic activity for the Au/C and AuPt/C catalysts than for the Pt/C or PtRu/C catalysts in the alkaline electrolyte.

Second, significant changes in peak potentials have been observed for AuPt/C catalysts in the acidic electrolyte in comparison with peak potentials observed for Au/C, Pt/C and PtRu/C. Clearly, there is a major positive shift of peak potential upon introducing Pt in the bimetallic catalyst. The peak potential is slightly lower than those for the Pt/C and PtRu/C catalysts (by up to ~300 mV), depending on the

			0.5 М КОН		0.5 M H ₂ SO ₄	
Catalyst	Wt% Mt	M ₁ :M ₂	E _{pc}	i _{pc}	E _{pc}	İ _{pc}
			mV	(mA/cm²/mg Mt)	mV	(mA/cm²/mg Mt)
Pt/C ^(E)	20%Pt		-184 & -383	2125 & 3464	+550	1821
PtRu/C ^(E)	20%PtRu	1:1	-266 & -433	2357 & 2116	+425	1155
Au/C	17%Au		-145	1744	+23	903
AuPt/C	24%AuPt	81:19	-135	1147	+280	677
AuPt/C	39%AuPt ^{a)}	68:32	-172 & -323	530 & 467	+454	540

Electrode coverage, 57 μ g (metals/C) /cm²: E: E~tek's catalysts. Electrode area: 0.07 cm²; Scan rate: 50 mV/s; Ref electrode: Ag/AgCl, Sat'd KCl. Note: ^{a)} In the catalysts, there could be a small fraction of salt residuals left from the synthesis. M₁:M₂ is the atomic ratio of Au vs. Pt, for AuPt and Pt vs. Ru for PtRu.; Mt: total metals.

relative Pt composition in the bimetallic nanoparticle. The peak current is about half of those observed for the Pt/C and PtRu/C catalysts. Therefore, the bimetallic AuPt composition played a significant role in the modification of the electrocatalytic ORR activity.

The Levich plot analysis of the RDE data for the ORR at Etek's Pt/C catalyst (20% wt) in acidic electrolyte revealed n = 4.0 \pm 0.2, consistent with a 4e⁻ process for the reduction of O₂ to H₂O at the Pt catalyst. Similar results have also been obtained for ORR in the alkaline electrolyte. In comparison with the Pt/C data, the n values obtained with our Au/C and AuPt/C catalysts are in between 3.0 ~ 3.6, displaying an increase of n with increasing Pt composition in the bimetallic nanoparticles. The fact that the obtained n values fall in between n=2 and =4 likely suggests that both $2e^{-1}$ reduction to H₂O₂ and 4e⁻ reduction to H₂O processes are operative with the catalysts. Two possible origins include the presence of large-sized particles in the calcinated catalysts and the presence of possible surface segregation of the two metals on the bimetallic surface. A further delineation of the surface composition, particle size and calcination condition is in progress, which should provide more insights into the detailed catalytic mechanism.

Conclusion

In summary, the above electrochemical results have indicated that the bimetallic AuPt composition can significantly modify the electrocatalytic ORR properties of both Au and Pt. This finding has important implications to designing effective catalysts for improving fuel cell cathode reactions. The catalytic activity is highly dependent on the composition and calcination, which is by no means optimised at this stage and is in fact part of our on-going work aimed at the delineation of the activity correlation with composition, size, and calcination conditions. We believe that Au and AuPt nanoparticle catalysts are potentially viable candidates for fuel cell catalysts under a number of conditions. This conclusion is based on the characterization of Au and AuPt catalysts with different ratios and an initial comparison with commercial catalysts under the same voltammetric measurement conditions. The voltammetric data provide both qualitative and quantitative assessments of the potential viability, not the practical viability. A further comparison of our catalysts and commercial catalysts through testing in a commercial fuel cell is part of our ongoing studies, which will provide the basis for us to evaluate the practical viability in both qualitative and quantitative ways. In view of the fact that there is a miscibility gap over a wide composition range for bulk bimetallic AuPt metals (38), the understanding of how phase segregation is operative in the nanoscale AuPt under different temperatures and the ability to control it is another area of our research to manipulate the electrocatalytic ORR activities.

Acknowledgements

This work was supported in part by the G.R.O.W. of World Gold Council (RP03-03), the Petroleum Research Fund administered by the American Chemical Society (40253-AC5M), National Science Foundation (CHM-0316322), and the 3M Corporation. M.M.M. thanks the Department of Defense for the National Defense Science & Engineering Graduate Fellowship support sponsored by ARO.

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