

# 一类时滞差分方程的振动准则<sup>\*</sup>

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**摘要** 讨论一类时滞差分方程的振动性, 给出了所有解振动的充分条件.

**关键词** 振动, 非振动, 迭代, 差分方程.

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## 1 引言

近年来, 关于一阶时滞差分方程

$$x_{n+1} - x_n + p_n x_{n-k} = 0, \quad n = 0, 1, \dots \quad (1)$$

解的振动性和非振动性, 已有许多作者进行了研究, 如 [1-8], 并建立了许多充分条件. 文 [2] 建立了如下条件, 如果

$$\limsup_{n \rightarrow \infty} \sum_{i=0}^k p_{n-i} > 1, \quad (2)$$

或者

$$\liminf_{n \rightarrow \infty} p_n > \frac{k^k}{(k+1)^{k+1}}, \quad (3)$$

那么方程 (1) 的所有解振动. 如果

$$\liminf_{n \rightarrow \infty} p_n > \frac{k^k}{(k+1)^{k+1}}, \quad (4)$$

那么方程 (1) 有一个非振动解.

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后来条件 (3) 被文 [3] 改进为

$$\liminf_{n \rightarrow \infty} \sum_{i=n-k}^{n-1} p_i > \left( \frac{k}{K+1} \right)^{k+1}. \quad (5)$$

在这篇文章中, 我们主要研究如下差分方程

$$x_{n+1} - x_n + p_n x_{n-k} + q_n x_{n-\delta} = 0, \quad (6)$$

的振动性, 其中,  $\{p_n, q_n\}$  是一列非负的实数,  $\{k, \delta\}$  是正整数, 给出了所有解振动的新充分条件, 我们的结果改进了已有文献的结果.

**定义 1** 方程 (6) 的解称为非振动的, 如果这个解最终为正或最终为负, 否则称该解为振动的. 如果方程的所有解为振动的, 则称方程是振动的.

## 2 主要结果

我们的主要结果就是下面的两个定理

**定理 1** 假设存在一些正整数  $l$  使

$$\limsup_{n \rightarrow \infty} \left\{ \sum_{i=0}^K \{p_{n-i} + q_{n-i}\} \right\} + \prod_{i=0}^K \sum_{j=1}^K [p_{n-i+j} + q_{n-i+j}] + \sum_{m=0}^{l-1} \sum_{i=1}^K \prod_{j=0}^{m+1} [p_{n-jk-i} + q_{n-j\delta-i}] > 1, \quad (7)$$

其中  $K = \min\{k, \delta\}$  成立, 那么方程 (6) 的所有解振动.

证 假设方程 (6) 有一个最终正解  $x_n$ . 由方程 (6), 我们有

$$x_{n-i} = x_{n-i+1} + p_{n-i} x_{n-k-i} + q_{n-i} x_{n-\delta-i}, \quad i = 1, 2, \dots, K = \min\{k, \delta\}. \quad (8)$$

我们对上式从  $i = 1$  到  $i = K$  求和得到

$$x_{n-K} = x_n + \sum_{i=1}^K p_{n-i} x_{n-k-i} + \sum_{i=1}^K q_{n-i} x_{n-\delta-i}. \quad (9)$$

再由方程 (6), 对于任何的正整数  $j$  和  $t$  我们得到

$$\begin{cases} x_{n-k-j} = x_{n-k-j+1} + p_{n-k-j} x_{n-2k-j} + q_{n-k-j} x_{n-\delta-j-k}, \\ x_{n-\delta-t} = x_{n-\delta-t+1} + p_{n-\delta-t} x_{n-\delta-k-t} + q_{n-\delta-t} x_{n-2\delta-t}. \end{cases} \quad (10)$$

将方程 (10) 中的  $j$  和  $t$  用  $i$  代入 (9) 式, 我们可以得到

$$\begin{aligned} x_{n-K} &= x_n + \sum_{i=1}^K p_{n-i} x_{n-k-i+1} + \sum_{i=1}^K q_{n-i} x_{n-\delta-i+1} + \sum_{i=1}^K p_{n-i} q_{n-k-i} x_{n-\delta-i-k} \\ &\quad + \sum_{i=1}^K q_{n-i} p_{n-\delta-i} x_{n-\delta-k-i} + \sum_{i=1}^K p_{n-i} p_{n-k-i} x_{n-2k-i} \\ &\quad + \sum_{i=1}^K q_{n-i} q_{n-\delta-i} x_{n-2\delta-i}. \end{aligned} \quad (11)$$

将  $j = i + k$ , 以及  $t = i + \delta$  代入上式, 我们可以得到

$$\begin{aligned} x_{n-K} &= x_n + \sum_{i=1}^K p_{n-i} x_{n-k-i+1} + \sum_{i=1}^K q_{n-i} x_{n-\delta-i+1} \\ &\quad + \sum_{i=1}^K p_{n-i} q_{n-k-i} x_{n-\delta-i-k} \sum_{i=1}^K q_{n-i} p_{n-\delta-i} x_{n-\delta-k-i} \\ &\quad + \sum_{i=1}^K p_{n-i} p_{n-k-i} (x_{n-i-2k+1} + p_{n-i-2k} x_{n-i-3k} + q_{n-i-2k} x_{n-\delta-i-2k}) \\ &\quad \sum_{i=1}^K q_{n-i} q_{n-\delta-i} (x_{n-i-2\delta+1} + p_{n-i-2\delta} x_{n-i-2\delta-k} + q_{n-i-2\delta} x_{n-i-3\delta}). \end{aligned} \quad (12)$$

经过无数次迭代, 推导, 我们有

$$\begin{aligned} x_{n-K} &= x_n + \sum_{i=1}^K p_{n-i} x_{n-k-i+1} + \sum_{i=1}^K p_{n-i} p_{n-k-i} x_{n-2k-i+1} + \cdots \\ &\quad + \sum_{i=1}^K p_{n-i} p_{n-k-i} p_{n-lk-i} x_{n-(l+1)k-i+1} \\ &\quad + \sum_{i=1}^K p_{n-i} p_{n-k-i} p_{n-(l+1)k-i} x_{n-i-(l+2)k} \sum_{i=1}^K q_{n-i} x_{n-\delta-i+1} + \cdots \\ &\quad + \sum_{i=1}^K q_{n-i} q_{n-\delta-i} q_{n-(l+1)\delta-i} x_{n-i-(l+2)\delta}. \end{aligned} \quad (13)$$

去掉上式的若干项, 得到

$$\begin{aligned} x_{n-K} &\geq \left[ x_n + \sum_{i=1}^K p_{n-i} x_{n-k-i+1} + \sum_{m=0}^{l-1} \sum_{i=1}^K x_{n-(m+2)k-i+1} \prod_{j=0}^{m+1} p_{n-jk-i} \right. \\ &\quad \left. + \sum_{i=1}^K q_{n-i} x_{n-\delta-i+1} + \sum_{m=0}^{l-1} \sum_{i=1}^K x_{n-(m+2)\delta-i+1} \prod_{j=0}^{m+1} p_{n-j\delta-i} \right]. \end{aligned} \quad (14)$$

由方程 (6), 我们有

$$x_{n+j+1} - x_{n+j} + p_{n+j} x_{n+j-k} + q_{n+j} x_{n+j-\delta} = 0, \quad j = 0, 1, 2, \dots, K-1. \quad (15)$$

对上式两边从  $j = 0$  到  $j = K-1$  求和, 我们可得到

$$x_n = x_{n+K} + \sum_{j=0}^{K-1} p_{n+j} x_{n+j-k} \sum_{j=0}^{K-1} q_{n+j} x_{n+j-\delta}, \quad (16)$$

因为  $x_n$  是最终递减的, 相应地有

$$x_n > \sum_{j=0}^{K-1} p_{n+j} x_{n+j-k} + \sum_{j=0}^{K-1} q_{n+j} x_{n+j-\delta} \geq \left( \sum_{j=0}^{K-1} p_{n+j} + \sum_{j=0}^{K-1} q_{n+j} \right) x_{n-1}, \quad (17)$$

因此,

$$\begin{aligned}
 x_{n+1} &> \left( \sum_{j=1}^K p_{n+j} + \sum_{j=1}^K q_{n+j} \right) x_n \\
 &= \left[ \sum_{j=1}^K (p_{n+j} + q_{n+j}) \right] x_n \\
 &> \left[ \prod_{i=0}^K \sum_{j=1}^K (p_{n-i+j} + q_{n-i+j}) \right] x_{n-K}.
 \end{aligned} \tag{18}$$

由方程 (6),

$$x_n = x_{n+1} + p_n x_{n-k} + q_n x_{n-\delta}, \tag{19}$$

将方程 (19) 代入 (14) 中, 然后利用 (15) 以及  $x_n$  是最终递减的事实, 我们可以得到

$$\begin{aligned}
 x_{n-K} &\geq \left[ x_{n+1} + p_n x_{n-k} + q_n x_{n-\delta} + \sum_{i=1}^K p_{n-i} x_{n-k-i+1} + \sum_{i=1}^K q_{n-i} x_{n-\delta-i+1} \right. \\
 &\quad \left. + \sum_{m=0}^{l-1} \sum_{i=1}^K x_{n-(m+2)k-i+1} \prod_{j=0}^{m+1} p_{n-jk-i} + \sum_{m=0}^{l-1} \sum_{i=1}^K x_{n-(m+2)\delta-i+1} \prod_{j=0}^{m+1} p_{n-j\delta-i} \right] \\
 &> \left\{ \left[ \prod_{i=0}^K \sum_{j=1}^K (p_{n-i+j} + q_{n-i+j}) \right] x_{n-K} + p_n x_{n-K} + q_n x_{n-K} + \sum_{i=1}^K p_{n-i} x_{n-K} \right. \\
 &\quad \left. + \sum_{i=1}^K q_{n-i} x_{n-K} + \sum_{m=0}^{l-1} x_{n-(m+2)k} \prod_{j=0}^{m+1} p_{n-jk-i} \right. \\
 &\quad \left. + \sum_{m=0}^{l-1} \sum_{i=1}^K x_{n-(m+2)\delta} \prod_{j=0}^{m+1} q_{n-j\delta-i} \right\}.
 \end{aligned} \tag{20}$$

将下式

$$x_{n-(m+2)k} \geq x_{n-K}$$

和

$$x_{n-(m+2)\delta} \geq x_{n-K}$$

代入上面的不等式, 可得

$$\begin{aligned}
 x_{n-K} &\geq \left\{ \left[ \prod_{i=0}^K (p_{n-i} + q_{n-i}) + \prod_{i=0}^K \sum_{j=1}^K (p_{n-i+j} + q_{n-i+j}) \right. \right. \\
 &\quad \left. \left. + \sum_{m=0}^{l-1} \sum_{i=1}^K \prod_{j=0}^{m+1} p_{n-jk-i} + \sum_{m=0}^{l-1} \sum_{i=1}^K \prod_{j=0}^{m+1} q_{n-j\delta-i} \right] x_{n-K} \right\},
 \end{aligned}$$

用  $x_{n-K}$  去除上面不等式的两边, 然后取当  $n \rightarrow \infty$  时的上极限, 矛盾, 证毕.

**定理 2** 假设存在一些正整数  $l$  使

$$\begin{aligned} & \limsup_{n \rightarrow \infty} \left\{ \sum_{i=1}^K \{p_{n-i} + q_{n-i}\} \right\} + \prod_{i=1}^K \sum_{j=1}^K [p_{n-i+j} + q_{n-i+j}] \\ & + \sum_{m=0}^{l-1} \sum_{i=1}^K \prod_{j=0}^{m+1} [p_{n-jk-i} + q_{n-j\delta-i}] > 1, \end{aligned} \quad (21)$$

其中  $K = \min\{k, \delta\}$  成立, 那么方程 (6) 的所有解振动. 当  $p = 1$ , 方程 (1) 满足条件 (2) 和 (3) 时, 则方程 (1) 有一个非振动解.

证 正如定理一的证明, 我们有 (14) 和 (17) 被满足. 根据 (17), 我们有

$$x_n > \left[ \prod_{i=1}^K \sum_{j=1}^K (p_{n-i+j} + q_{n-i+j}) \right] x_{n-K}. \quad (22)$$

将方程 (21) 代入 (14) 中, 然后利用 (15) 以及  $x_n$  是最终递减的事实, 我们可以得到

$$\begin{aligned} x_{n-K} & \geq \left\{ \sum_{i=1}^K \{p_{n-i} + q_{n-i}\} \right\} + \prod_{i=1}^K \sum_{j=1}^K [p_{n-i+j} + q_{n-i+j}] \\ & + \sum_{m=0}^{l-1} \sum_{i=1}^K \prod_{j=0}^{m+1} [p_{n-jk-i} + q_{n-j\delta-i}] x_{n-K}. \end{aligned} \quad (23)$$

用  $x_{n-K}$  去除上面不等式的两边, 然后取当  $n \rightarrow \infty$  时的上极限, 与 (21) 矛盾. 证毕.

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## OSCILLATION CRITERIA FOR A CLASS OF DELAY DIFFERENCE EQUATION

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**Abstract** The oscillation of the first order difference equation is studied, and the oscillation criteria of any solutions is established. The obtained results extend the existing results in the literature.

**Key words** Oscillation, nonoscillation, iterative procedure, difference equations.