

# RNA SECONDARY STRUCTURES, POLYGON DISSECTIONS AND CLUSTERS

ROBERT J. MARSH AND SIBYLLE SCHROLL

**ABSTRACT.** We show that the notion of induction introduced by Cassaigne, Ferenczi and Zamboni for trees of relations arising in the context of interval exchange relations can be generalised to the case of an arbitrary number of possible edge labels. We prove that the equivalence classes of its transitive closure can still be characterised via a circular order on the trees of relations in this case. We compute the cardinalities of these equivalence classes and show that the sequence of cardinalities, for a fixed number of possible edge labels, is a convolution of a Fuss-Catalan sequence. As in the original case, the equivalence classes are in bijection with a set of pseudoknot-free secondary structures arising from the study of RNA; we show that a natural subset of this set is in bijection with a set of  $m$ -clusters (in the cluster algebra sense).

## 1. INTRODUCTION

In [FZ10], the authors study  $k$ -interval exchange transformations associated to the permutation  $i \mapsto k + 1 - i$  of degree  $k$ . The behaviour of such transformations is governed by a certain class of formal languages generalising the Sturmian languages. These languages are defined using certain kinds of trees, called *trees of relations*, with edge-labels drawn from a set of three elements. The trees of relations, together with a notion of induction, are used to construct the words in the language inductively.

The combinatorics of trees of relations and the induction defined on them are studied in [CFZ10]. The transitive closure of induction on trees of relations is an equivalence relation and it is shown that the equivalence classes are characterised by a circular order on a tree of relations. It is shown in [CFZ10] that there is a bijection between an equivalence class of connected trees of relations with  $k$  vertices and maximal degree  $k$  pseudoknot-free secondary structures on 3 symbols; such structures arise in the study of RNA; see e.g. [C03]. The number of such objects is shown to be a difference of two Catalan numbers.

In this article, we show that the results of [CFZ10] can be generalised to an arbitrary number of possible edge labels on the trees of relations. In particular we generalise induction to this context and show that a suitable generalisation of the circular order is an invariant for the equivalence relation given by the transitive closure of induction. This gives a classification of the equivalence classes by  $k$ -cycles in the symmetric group of degree  $k$ .

We show further that there is a bijection between any given equivalence class and the set of maximal pseudoknot-free secondary structures with  $m$  symbols, and

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give a formula for the cardinality of these classes. This gives a formula for the total number of trees of relations with a fixed number of vertices and a fixed set of potential edge labels.

A certain nice subset of the set of maximal pseudoknot-free secondary structures on  $m$  symbols is shown to be in bijection with  $(m-2)$ -clusters in the sense of [FR05] (these generalise the clusters arising in the theory of cluster algebras [FZ02]). In fact, we give a description of our generalised induction in terms of cluster mutation.

We also give a more direct approach to computing the cardinality of the set of pseudoknot-free secondary structures, involving the direct study of the structures themselves. We further show that the sequence of cardinalities of such structures, allowing the number of vertices to vary (but fixing the number of symbols) can be regarded as an  $m$ -fold convolution of the Fuss-Catalan sequence of degree  $m$ .

We study the combinatorial situation first. In Section 2, we show that there is a bijection between the set of connected trees of relations of degree  $k$  on  $m$  symbols with a fixed circular order and the set of maximal pseudoknot-free secondary structures of degree  $k$  on  $m$  symbols, and compute the cardinality of each of these sets. In Section 3 we describe the link with  $(m-2)$ -clusters and, using this link with Fuss-Catalan combinatorics give an alternative computation of the cardinality of the set of maximal pseudoknot-free secondary structures of degree  $k$  on  $m$  symbols. In Section 4, we show the convolution result and in Section 5 we compute the total number of trees of relations of degree  $k$  on  $m$  symbols. In Section 6 we give the definition of generalised induction and show that the equivalence classes are characterised by the circular order. In Section 7 we reinterpret induction in terms of  $(m-2)$ -cluster mutation.

## 2. TREES OF RELATIONS, SECONDARY STRUCTURES, AND $m$ -ANGULATIONS

Recall that, according to [CFZ10], a *shape* is a tree with edges labelled by symbols  $+$ ,  $=$  or  $-$ , such that no two adjacent edges have the same symbol. We define a *rooted shape* to be a shape together with a distinguished vertex. A *tree of relations* is a shape with vertices labelled  $\{1, 2, \dots, k\}$ . Trees of relations can be rooted also. A tree of relations is also called a *filling of its shape*. Note that a given shape with  $k$  vertices has  $k!$  fillings.

More generally, we can consider shapes and trees of relations on  $m$  symbols of degree  $k$  in which the symbols are drawn from the set  $\{S_1, \dots, S_m\}$ .

Given a shape  $\mathcal{S}$  on  $m$  symbols, each symbol  $S_r$  determines a map (with the same name) from the set of vertices of  $\mathcal{S}$  to itself. A vertex is fixed by  $S_r$  unless it is incident with an edge labelled  $S_r$ , in which case it is sent to the other end of the edge. Let  $\sigma_{\mathcal{S}}$  be the composition  $S_m S_{m-1} \cdots S_1$ : this is a permutation of the vertices of  $\mathcal{S}$ . We use the same definition for a tree of relations,  $G$ . We refer to  $\sigma_{\mathcal{S}}$  (respectively,  $\sigma_G$ ) as the *circular order* of  $\mathcal{S}$  (respectively,  $G$ ). We shall see later that in the connected case  $\sigma_G$  is a cycle on the vertices of a shape or tree of relations; see Lemma 5.1. For a tree of relations,  $G$ , the vertices of  $G$  are labelled with  $\{1, 2, \dots, k\}$ , and in this case  $\sigma_G$  becomes a permutation of this set, i.e. an element of the symmetric group  $\mathfrak{S}_k$ .

We also recall from [CFZ10] the notion of a *degree  $k$  pseudoknot-free secondary structure*: a diagram with  $k$  vertices  $1, 2, \dots, k$ , numbered clockwise in a circle. Each vertex is labelled with all of the symbols  $+$ ,  $=$ ,  $-$  written in order clockwise, and a collection of noncrossing links (known as links) connecting like symbols at different vertices. We again consider the natural generalisation to  $m$  symbols  $S_1, S_2, \dots, S_m$  and call such structures *degree  $k$  pseudoknot-free secondary structures on  $m$  symbols*. For an example, see Figure 3(a).

Our main aim in this section is to compute the number of degree  $k$  pseudoknot-free secondary structures on  $m$  symbols. We shall also give bijective proof that this is the same as the number of connected trees of relations of degree  $k$  on  $m$  symbols with circular order  $(k \ k - 1 \ \dots \ 1)$ , generalising a result of [CFZ10].

The following two theorems generalise [CFZ10, 8.2,8.3,8.4].

**Theorem 2.1.** *There is a bijection between the following sets:*

- (I) *The set of degree  $k$  pseudoknot-free secondary structures on  $m$  symbols.*
- (II) *The set of trees  $G$  of relations of degree  $k$  on  $m$  symbols such that, writing  $G = \sqcup_i G_i$  as a union of connected components, we have the following:*
  - (a) *If  $i \neq j$  and  $a_1, a_2 \in G_i$ ,  $b_1, b_2 \in G_j$ , we cannot have that  $a_1 > b_1 > a_2 > b_2$ .*
  - (b) *If  $a \in G_i$  for some  $i$ , then  $\sigma_G(a)$  is the maximal vertex of  $G$  less than  $a$  lying in  $G_i$  (or, if no such vertex exists, it is the largest vertex of  $G$  lying in  $G_i$ ).*

*Proof.* Let  $\Sigma$  be a pseudoknot-free secondary structure of degree  $k$  on  $m$  symbols as in (I).

Let  $G$  be the graph with vertices  $1, \dots, k$  and edges given by the links of  $\Sigma$ . That is, there is an edge between vertices  $i$  and  $j$  of  $G$  labelled with symbol  $S_k$  if and only if there is a link in  $\Sigma$  between the instances of the symbol  $S_k$  in vertices  $i$  and  $j$  in  $\Sigma$ . Then  $G$  is a graph of relations with  $k$  vertices on  $m$  symbols.

**Claim:**  $G$  is a tree.

We prove the claim. Suppose, for a contradiction, there is a cycle

$$a_1 \xrightarrow{S_{i_1}} a_2 \xrightarrow{S_{i_2}} \dots \quad a_{p-1} \xrightarrow{S_{i_{p-1}}} a_p \xrightarrow{S_{i_p}} a_1$$

in  $G$ , and thus a corresponding cycle in  $\Sigma$ . Without loss of generality, we may assume that  $i_1 < i_2$ . For vertices  $a, b$ , we denote by  $(a, b)$  the set of vertices  $c$  of  $\Sigma$  lying strictly clockwise of  $a$  and strictly anticlockwise of  $b$ .

Then  $a_3 \in (a_2, a_1)$ , since the link in  $\Sigma$  corresponding to the edge in  $G$  between  $a_2$  and  $a_3$  cannot cross the link in  $\Sigma$  corresponding to the edge in  $G$  between  $a_1$  and  $a_2$ .

By assumption on  $\Sigma$ ,  $i_2 \neq i_3$ . If  $i_2 > i_3$ , there can be no path in  $\Sigma$  from the symbol  $S_{i_3}$  in vertex  $a_3$  of  $\Sigma$  back to vertex  $a_1$  of  $\Sigma$  without crossings, a contradiction, hence  $i_2 < i_3$ .

Repeating this argument, we see that, moving clockwise on  $\Sigma$  from  $a_1$  we meet vertices  $a_2, a_3, \dots, a_p$  in order before returning to  $a_1$ , and that  $i_1 < i_2 < \dots < i_p$ . But then the link between  $a_p$  and  $a_1$  (on symbol  $S_{i_p}$ ) crosses the link between  $a_1$  and  $a_2$  (on symbol  $S_{i_1}$ ), since  $i_1 < i_p$ ; see Figure 1. Hence  $G$  has no cycles, and must be a tree. The claim is shown.

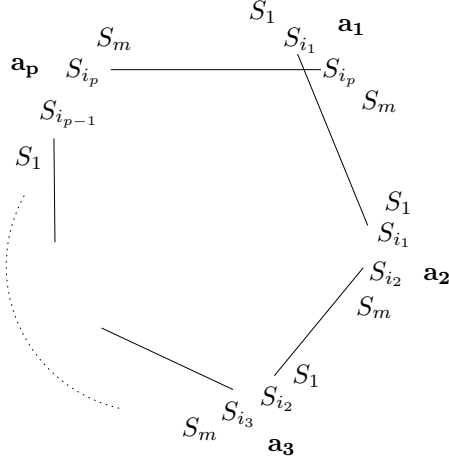
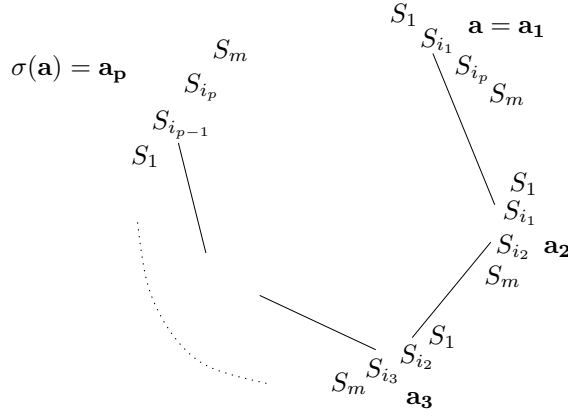
We next prove that (a) holds. Suppose that  $i \neq j$ ,  $a_1, a_2 \in G_i$ ,  $b_1, b_2 \in G_j$ , and  $a_1 > b_1 > a_2 > b_2$ . Then  $a_1, b_1, a_2, b_2$  follow each other anticlockwise around the circle. Then, since  $a_1, a_2$  are in the same connected component of  $G$ , there is a path in  $\Sigma$  from  $a_1$  to  $a_2$ , and similarly from  $b_1$  to  $b_2$ . The arrangement of  $a_1, a_2, b_1$  and  $b_2$  implies that these two paths cross, a contradiction. Hence no such arrangement can occur, and (a) is shown.

We next prove that (b) holds. It is enough to prove the following claim:

**Claim:** Let  $a \in G_i$ . Then  $\sigma_G(a)$  is the next vertex of  $G_i$  (considered as a vertex of  $\Sigma$ ) anticlockwise on the circle from  $a$ .

To prove the claim, we note that, by the definition of  $\sigma_G$ ,  $\sigma_G(a)$  is connected to  $a$  by a path in  $G$ :

$$a = a_1 \xrightarrow{S_{i_1}} a_2 \xrightarrow{S_{i_2}} \dots \quad \dots \xrightarrow{S_{i_{p-1}}} a_p = \sigma_G(a),$$

FIGURE 1. A cycle in  $G$  leads to a crossingFIGURE 2. The part of  $\Sigma$  between  $a$  and  $\sigma_G(a)$ .

where  $i_1 < i_2 < \dots < i_{p-1}$ . Furthermore,  $a_1$  is not incident with any link with symbol  $S_r$  for  $r < i_1$ ,  $a_p$  is not incident with any link with symbol  $S_r$  for  $r > i_{p-1}$ , and, for  $2 \leq j \leq p-1$ ,  $a_j$  is not incident with any symbol  $S_r$  for  $i_{j-1} < r < i_j$ .

The existence of the above path implies that  $\sigma_G(a)$  lies in  $G_i$ . Since  $i_1 < i_2 < \dots < i_p$  and there are no crossings, the path must go clockwise around the circle; see Figure 2. The conditions above and the fact there are no crossings imply that no vertex in  $(\sigma_G(a), a)$  has a link with a vertex in  $(a, \sigma_G(a))$  or with  $a$  or  $\sigma_G(a)$ , so these vertices are connected only amongst themselves. It follows that they do not lie in  $G_i$  and we see that (b) holds. Thus  $G$  is a tree of relations as in (II).

Conversely, suppose that we have a tree of relations  $G$  as in (II), thus satisfying (a) and (b) above. Let  $\Sigma$  be the secondary structure of degree  $k$  on  $m$  symbols with an link joining  $S_r$  in vertex  $i$  with  $S_r$  in vertex  $j$  if and only if there is an edge in  $G$  between vertices  $i$  and  $j$  labelled with symbol  $S_r$ . We must check that  $\Sigma$  can be drawn with no crossing links, i.e. that it is pseudoknot-free.

We do this by induction on the number of vertices. Suppose first that  $G$  has more than one connected component, i.e. that  $G$  is not connected. By induction,

each component  $G_i$  corresponds to a pseudoknot-free secondary structure on  $m$  symbols (on the vertices of  $G_i$ ).

Suppose that we had  $a_1 > a_2 \in G_i$  and  $b_1 > b_2 \in G_j$  for two distinct components  $G_i$  and  $G_j$ , with links between  $a_1$  and  $a_2$  and  $b_1$  and  $b_2$  which cross in  $\Sigma$ . Then, going around the circle anticlockwise, starting at vertex  $k$ , we must encounter  $a_1, b_1, a_2, b_2$  in order, or  $b_1, a_1, b_2, a_2$  in order. Swapping  $G_i$  and  $G_j$  if necessary, we can assume we are in the first case. But then  $a_1 > b_1 > a_2 > b_2$ , contradicting (a). Hence  $\Sigma$  is pseudoknot-free.

So we are reduced to the case in which  $G$  has exactly one connected component, i.e.  $G$  is connected. Suppose that vertex  $k$  is incident with edges  $e_1, e_2, \dots, e_d$  in  $G$ , labelled with symbols  $S_{r_1}, S_{r_2}, \dots, S_{r_d}$  where  $r_1 < r_2 < \dots < r_d$ . Let the endpoints of these edges (other than  $k$ ) be  $v_1, v_2, \dots, v_d$ . Removing vertex  $k$  from  $G$  leaves precisely  $d$  trees  $T_1, T_2, \dots, T_d$  containing vertices  $v_1, v_2, \dots, v_d$  respectively. By (b), we know that  $\sigma_G = S_m S_{m-1} \dots S_1$  induces the permutation  $(k \ k-1 \ \dots \ 1)$  on the vertices of  $G$ .

We apply  $\sigma_G$  to vertex  $k$ , and then repeatedly apply  $\sigma_G$ . By its definition, each application of  $\sigma_G$  corresponds to following a certain path through  $G$ , i.e. passing along the edges corresponding to the symbols in the sequence  $S_1, S_2, \dots, S_m$  in that order, when such incident edges exist. Since the edge  $e_1$  has symbol  $S_{r_1}$ , and no edge incident with  $k$  has smaller symbol, it follows that, after the first application of  $\sigma_G$ , we obtain vertex  $k_1 := k-1$  in  $T_1$ .

Since  $\sigma_G$  is a  $k$ -cycle, after repeated application of  $\sigma_G$ , we must leave  $T_1$ . Suppose that  $k_2$  is the number of the first vertex reached outside  $T_1$ . Since  $r_2$  is the minimum number of a symbol adjacent to  $k$  greater than  $r_1$ ,  $k_2$  will lie in  $T_2$ . Repeating this argument, we will obtain  $k > k_1 > k_2 > \dots > k_d \geq 1$  such that vertices  $k_{i+1} + 1, \dots, k_i$  lie in tree  $T_i$  for  $i = 1, 2, \dots, d-1$ . At the final step, the first vertex reached on leaving  $T_d$  must be  $k$ . Since  $\sigma_G$  is a  $k$ -cycle, all vertices must have been visited.

Let  $k_{d+1} = 0$ . It follows from the above that tree  $T_i$  contains precisely vertices  $k_{i+1} + 1, \dots, k_i$  for each  $i$ . Thus, the numbering of the vertices of  $G$  is first the vertices of  $T_d$  in some order, then the vertices of  $T_{d-1}$  in some order, then the vertices of  $T_{d-2}$ , and so on, ending with the vertices of  $T_1$  and then finally  $k$ . Each  $T_i$  will correspond (by the inductive hypothesis) to a pseudoknot-free secondary structure on its vertices. Thus the vertices  $v_1, v_2, \dots, v_d$  in  $G$  will be numbered in decreasing order. The links in  $\Sigma$  from  $k$  to these vertices are numbered by symbols  $S_{r_1}, S_{r_2}, \dots, S_{r_d}$ , respectively, with  $r_1 < r_2 < \dots < r_d$ . It follows these links do not cross each other or any of the other links in  $\Sigma$ . See Figure 3(a) for an example, where  $v_1 = 9$ ,  $r_1 = 1$ , and  $v_2 = 8$ ,  $r_2 = 4$ . Hence,  $\Sigma$  is pseudoknot-free and thus an object in (I) as required.

It is clear that the two maps we have constructed are inverse to each other, so the Theorem is proved.  $\square$

A pseudoknot-free secondary structure of degree  $k$  on  $m$  symbols is said to be *maximal* provided no more links can be added (i.e. any more links would have to cross the existing links in the structure).

**Theorem 2.2.** *A pseudoknot-free secondary structure of degree  $k$  on  $m$  symbols is maximal if and only if the corresponding tree of relations is connected.*

*Proof.* Suppose  $\Sigma$  is maximal, but the corresponding connected shape (which is a tree) is not connected. Then an extra edge can be added linking two components and leaving this as a tree with the same number of vertices. Applying the inverse map in the above theorem, we obtain a pseudoknot-free secondary structure with an extra link containing  $\Sigma$ , a contradiction. Conversely, suppose that the tree of

relations is connected but  $\Sigma$  is not maximal. Then an extra link can be added to  $\Sigma$  without introducing any crossings, since  $\Sigma$  is not maximal. Applying the map in the above theorem we obtain a connected shape (which is a tree) with the same number of vertices containing one more edge than the original connected tree. But it is not possible to add an edge to a connected tree and obtain a tree, so we have a contradiction. So  $\Sigma$  is maximal and the theorem is proved.  $\square$

**Remark 2.3.** (1) *Since a connected tree on  $k$  vertices has exactly  $k-1$  edges, a maximal pseudoknot-free secondary structure on  $k$  vertices always has  $k-1$  links.*

(2) *In the connected case, the circular order of  $G$  is just the permutation  $(k\ k-1\ \dots\ 1)$  and we have a bijection between the following sets:*

$$\begin{array}{c} \{ \text{Maximal pseudoknot-free secondary structures of degree } k \text{ on } m \text{ symbols} \} \\ \updownarrow \\ \{ \text{Connected trees of relations of degree } k \text{ on } m \text{ symbols with circular} \\ \text{order } (k\ k-1\ \dots\ 1). \} \end{array}$$

The labelling on the vertices for a tree of relations in the latter set is determined by a distinguished vertex, that labelled  $k$ , say, since  $\sigma_G$  then determines the labels on all the other vertices. We thus have:

**Corollary 2.4.** *The bijection in Theorem 2.1 induces a bijection between the following sets:*

- (a) *Maximal pseudoknot-free secondary structures of degree  $k$  on  $m$  symbols.*
- (b) *Connected rooted shapes of degree  $k$  on  $m$  symbols.*
- (c) *Connected trees of relations  $G$  of degree  $k$  on  $m$ -symbols with circular order  $(k\ k-1\ \dots\ 1)$ .*

See Figure 3(a)-(c) for an illustration of this bijection, i.e. a particular maximal pseudoknot-free secondary structure of degree 10 on 4 symbols and the corresponding objects from (b) and (c) above.

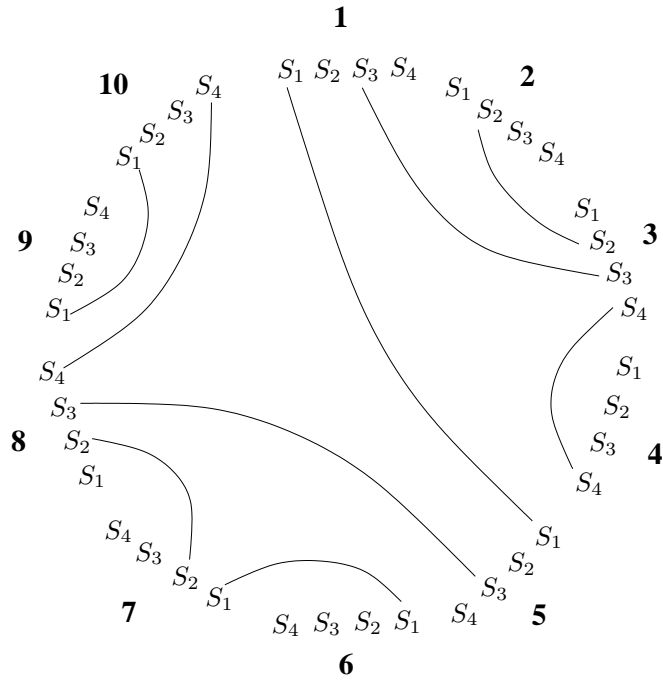
Now let  $P$  be an  $dk+2$ -sided regular polygon. A  $d$ -diagonal in  $P$  is a diagonal joining two vertices of  $P$  which divides  $P$  into an  $dj+2$ -sided polygon and an  $d(n-j)+2$ -sided polygon for some  $j$  where  $1 \leq j \leq \lceil \frac{n-1}{2} \rceil$ . A maximal collection of  $d$ -diagonals of  $P$  divides  $P$  into  $d+2$ -sided polygons. Such dissections of  $P$  are referred to as  $d$ -divisible dissections in [T06]. Taking  $d = m-2$ , such dissections divide  $P$  into  $m$ -sided polygons, and we shall refer to them here as  $m$ -angulations of  $P$ .

We say that an  $m$ -angulation of  $P$  is *diagonal-labelled* if each diagonal in  $P$  is labelled with a symbol from the set  $\{S_1, S_2, \dots, S_m\}$  in such a way that the labels on the sides of each  $m$ -sided polygon in the  $m$ -angulation are  $S_1, S_2, \dots, S_m$  in clockwise order. We say that it is *rooted* if there is a distinguished  $m$ -sided polygon in the  $m$ -angulation. We say that it is  *$m$ -gon-labelled* if the  $m$ -gons are labelled  $1, 2, \dots, k$ .

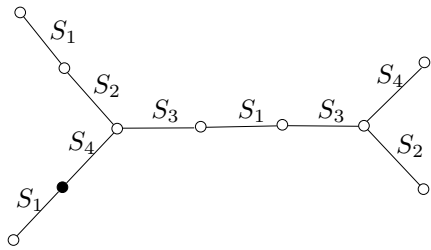
In what follows, we need a bijection between diagonal-labelled  $m$ -angulations up to rotation and connected shapes of degree  $k$  on  $m$  symbols. Such a bijection in the unlabelled case (between  $m$ -angulations up to rotation and plane  $(m-1)$ -trees) is well-known; see, for example, [St99, 6.2]. Here we need a labelled version, and for convenience we give some details of how this can be done. We also note that this is a generalisation of [MSZ, 2.1] where the case  $m=3$  is considered.

**Theorem 2.5.** *There is a bijection between the following sets:*

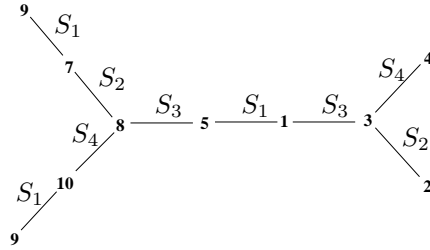
- (a) *The set of connected shapes of degree  $k$  on  $m$  symbols.*
- (b) *The set of diagonal-labelled  $m$ -angulations of an  $(m-2)k+2$ -sided regular polygon up to rotation.*



(a) Maximal pseudoknot-free secondary structure of degree 10 on 4 symbols.



(b) Connected rooted shape of degree 10 on 4 symbols.



(c) Connected tree of relations of degree 10 on 4 symbols with circular order (10 9 ... 1).

FIGURE 3. Objects corresponding to each other under the bijections in Corollary 2.4.

*Proof.* Given a diagonal-labelled  $m$ -angulation of an  $(m - 2)k + 2$ -sided polygon, take the dual graph, with a vertex in the middle of each  $m$ -sided polygon in the  $m$ -angulation and an edge between two vertices labelled  $S_i$  whenever the corresponding polygons share an edge in the dissection labelled  $S_i$ . Since the edges of a polygon in the  $m$ -angulation all have distinct labels, the same is true for the edges in the dual graph incident with a given vertex. Since there are no internal vertices in the  $m$ -angulation, there can be no cycles in the dual graph; hence it is a tree and thus a shape, clearly connected and, by construction, it is of degree  $k$  on  $m$  symbols.

Conversely, given a connected shape of degree  $k$  on  $m$  symbols, first complete it as follows. For each vertex, add extra edges with symbols not already appearing on the edges incident with the vertex. The other end of each additional edge added is a new vertex of valency 1 and is called a boundary vertex. Each original vertex has valency  $m$  in the completed shape and is referred to as an interior vertex.

Next, map the completed shape to the plane so that the edges around each vertex are labelled with the symbols  $S_1, S_2, \dots, S_m$  in clockwise order, with all edges of unit length, and so that the edges around any given vertex are equally spaced, with an angle of  $2\pi/m$  between successive edges. Note that vertices and edges may overlap. It is clear that any two such maps will be the same up to a rotation.

Next, each interior vertex determines a regular  $m$ -gon in the plane, with the mid-points of the edges in the polygon given by the mid-points of the  $m$  edges adjacent to the given vertex in the original shape. The edges in the polygon are given the same labels as the edges in the shape that they cross. The collection of regular  $m$ -gons obtained (which may also overlap) forms an  $m$ -cluster in the sense of [HPR75] (note that this is not the more modern notion of cluster introduced by Fomin-Zelevinsky [FZ02]). Then, as in [HPR75, §7] there is a homeomorphism of the plane (clearly orientation-preserving) taking the  $m$ -cluster to a (diagonal-labelled)  $m$ -angulation of  $P$ . The dissection obtained is well-defined up to a rotational symmetry of  $P$  (by the above remark concerning the map of the shape into the plane).

It is clear that this map is the inverse of the map above so the result is proved.  $\square$

We remark that the completion of trees considered here is quite similar to the standard completion of binary trees (i.e. a bijection from (c) to (d) in [St99, Ex 6.19]).

**Corollary 2.6.** *There is a bijection between the following sets:*

- (a) *The set of connected trees of relations of degree  $k$  on  $m$  symbols with circular order  $(k \ k - 1 \ \dots \ 1)$ .*
- (b) *The set of rooted diagonal-labelled  $m$ -angulations of an  $(m - 2)k + 2$ -sided regular polygon up to rotation.*

*Proof.* We use the above bijection. Given a connected tree of relations, forget the vertex labelling to obtain a connected shape, and take the corresponding diagonal-labelled  $m$ -angulation together with the distinguished  $m$ -sided polygon corresponding to the vertex in the original tree labelled  $k$ .

Conversely, given a rooted diagonal-labelled  $m$ -angulation, ignore the root and take the corresponding connected shape  $\mathcal{S}$ . The root gives a distinguished vertex of the shape, which we label  $k$ . Then label the vertex  $\sigma_{\mathcal{S}}^i(k)$  by  $k - i$  for  $i = 1, 2, \dots, k$ . It is clear that this numbering gives a tree of relations with circular order  $(k \ k - 1 \ \dots \ 1)$ .  $\square$

See Figure 4 for the rooted diagonal-labelled  $m$ -angulation of an  $(m - 2)k + 2 = 22$ -sided regular polygon (up to rotation) corresponding to the connected tree of relations of degree 10 on 4 symbols with circular order  $(10 \ 9 \ \dots \ 1)$  in Figure 3(c).

**Corollary 2.7.** *The cardinality of each of the following sets:*

- (a) *The set of connected trees of relations of degree  $k$  on  $m$  symbols with circular order  $(k \ k - 1 \ \dots \ 1)$ ;*
- (b) *The set of maximal pseudoknot-free secondary structures of degree  $k$  on  $m$  symbols;*

*is given by*

$$T_{k,m} = \frac{m}{(m - 2)k + 2} \binom{(m - 1)k}{k - 1}.$$

*Proof.* Both sets have the same cardinality by Corollary 2.4 and, by Corollary 2.6, they have the same cardinality as the set of rooted diagonal-labelled  $m$ -angulations of an  $(m - 2)k + 2$ -sided regular polygon up to rotation. The number  $S_{k,m}$  of such  $m$ -angulations without a root, with no labelling of diagonals and ignoring



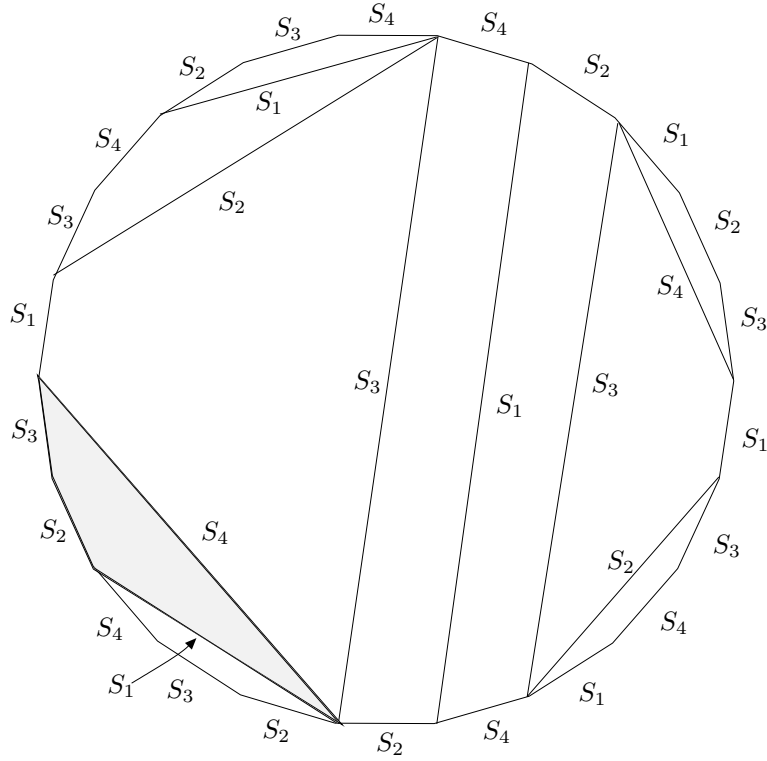


FIGURE 4.  $m$ -angulation as in Corollary 2.6(b) corresponding to the tree of relations in Figure 3(c) via the bijection in Corollary 2.6. The shaded region is the root  $m$ -gon.

rotational equivalence is well-known (see e.g. [HP91]). Let  $C_k^m$  be the  $k$ th Fuss-Catalan number of degree  $m$ :

$$C_k^m = \frac{1}{k} \binom{mk}{k-1} = \frac{1}{(m-1)k+1} \binom{mk}{k}.$$

Then

$$S_{k,m} = C_k^{m-1} = \frac{1}{(m-2)k+1} \binom{(m-1)k}{k}.$$

Since there are  $k$   $m$ -sided polygons in an  $m$ -angulation, there are  $k$  possibilities for the root. There are  $m$  possibilities for a labelling (once one diagonal is labelled, all other diagonals in the  $m$ -angulation have determined labels using the rule that each  $m$ -gon must have its edges labelled  $S_1, S_2, \dots, S_m$  clockwise around the boundary. Each orbit of diagonal-labelled rooted dissections under the action of the rotation group of the polygon contains  $(m-2)k+2$  elements (the number of sides of  $P$ ). Hence, we have:

$$T_{k,m} = \frac{kmS_{k,m}}{(m-2)k+2}$$

and the result follows.  $\square$

**Example 2.8.** For  $m = 3, 4, 5, 6$ , the first few values of  $T_{k,m}$  are given in the following table:

$k$	0	1	2	3	4	5	6
$T_{k,3}$	1	1	3	9	28	90	297
$T_{k,4}$	1	1	4	18	88	455	2448
$T_{k,5}$	1	1	5	30	200	1425	10626
$T_{k,6}$	1	1	6	45	380	3450	32886

For  $m = 3$ , we have that:

$$T_{k,3} = \frac{3}{k+2} \binom{2k}{k-1} = C(k+1) - C(k),$$

where  $C(r) = \frac{1}{r+1} \binom{2r}{r}$  is the  $r$ th Catalan number. This case is covered in [CFZ10, 7.5], and is sequence A071724 in [Sl10]. For  $m = 4$ , we have:

$$T_{k,4} = \frac{4}{2k+2} \binom{3k}{k-1},$$

which is sequence A006629 in [Sl10]. The cases  $m = 5, 6$  do not appear in [Sl10].

**Remark 2.9.** Let  $\pi \in \mathfrak{S}_k$ . In 2.1-2.7, we could consider pseudoknot-free secondary structures on vertices  $\pi(1), \pi(2), \dots, \pi(k)$  (clockwise around the circle), on  $m$  symbols. Then the results 2.1-2.7 hold, with the circular order  $(k \ k-1 \ \dots \ 1)$  replaced with the circular order  $\sigma = (\pi(k) \ \pi(k-1) \ \dots \ \pi(1))$  satisfying  $\sigma(\pi(i)) = \pi(i-1)$

In particular, parts (a) and (b) of Theorem 2.1 become:

- (a) If  $\pi(a_1), \pi(a_2) \in G_i$  and  $\pi(b_1), \pi(b_2) \in G_j$  for  $i \neq j$ , then we cannot have  $a_1 > b_1 > a_2 > b_2$ .
- (b) If  $\pi(a) \in G_i$  for some  $i$ , then  $\sigma_G(\pi(a))$  is the vertex  $\pi(a')$  with  $a'$  less than  $a$  maximal such that  $\pi(a') \in G_i$  (or, if no such vertex exists, it is  $\pi(a')$  where  $a'$  is maximal such that  $\pi(a')$  lies in  $G_i$ ).

Furthermore, the number of trees of relations of degree  $k$  on  $m$  symbols with fixed circular order  $\sigma$  is given by the formula for  $T_{k,m}$  in Corollary 2.7.

### 3. LINK WITH FUSS-CATALAN COMBINATORICS

In this section, we show that by restricting degree  $k$  pseudoknot-free secondary structures on  $m$  symbols appropriately, we get a bijection with  $(m-2)$ -clusters of type  $A_{k-1}$  (in the sense of [FR05]). Since the number of these is well known (a Fuss-Catalan number), this, together with an appropriate bijection, gives us an alternative way of counting the total number of secondary structures, i.e. an alternative proof of Corollary 2.7.

**Theorem 3.1.** *The following sets are all in bijection.*

- (1) Degree  $k+1$  maximal pseudoknot-free secondary structures on  $m$  symbols with  $(k+1)$ st vertex containing  $S_1$  only;
- (2) Connected trees of relations  $G$  of degree  $k+1$  on  $m$  symbols, with circular order  $(k+1 \ k \ \dots \ 1)$  and  $k+1$  a vertex of valency 1 and edge adjacent to it labelled  $S_1$ ;
- (3) Connected rooted shapes with  $k+1$  vertices on  $m$  symbols and only one edge, labelled  $S_1$ , adjacent to the root;
- (4)  $m$ -angulations of a  $(m-2)k+2$ -sided regular polygon;
- (5) Connected rooted shapes with  $k$  vertices on  $m$  symbols and no edge labelled  $S_1$  adjacent to the root;
- (6) rooted complete  $(m-1)$  plane trees with  $k$  internal vertices;
- (7)  $(m-2)$ -clusters (in the sense of [FR05]) of type  $A_{k-1}$ , i.e. maximal simplices of the  $(m-2)$ -cluster complex of type  $A_{k-1}$  as considered in [FR05].

*Proof.* (1) $\leftrightarrow$ (2): This is a restriction of the bijection in Remark 2.3. The fact that vertex  $k + 1$  contains only the symbol  $S_1$  corresponds to the fact that vertex  $k + 1$  in the tree has only one edge incident with it, labelled  $S_1$ .

(2) $\leftrightarrow$ (3): To go from an object in (2) to an object in (3), take vertex  $k + 1$  as the root and forget the labelling. For the inverse map, the condition on the circular order,  $\sigma_G$ , in (2) determines the labelling, starting from  $k + 1$  corresponding to the root.

(3) $\leftrightarrow$ (4): Restricting Theorem 2.5 gives a bijection between the objects in (3) and the set of rooted diagonal-labelled  $m$ -angulations of an  $(m - 2)(k + 1) + 2$ -sided regular polygon up to rotation such that the root polygon has only one edge incident with another polygon and that edge is labelled  $S_1$ . Removing the root polygon gives (via an orientation-preserving homeomorphism) a rooted diagonal-labelled  $m$ -angulation of an  $(m - 2)k + 2$ -sided regular polygon up to rotation with a fixed boundary edge labelled  $S_1$ . Since that edge determines the rest of the diagonal-labelling, we obtain an  $m$ -angulation of an  $(m - 2)k + 2$ -sided regular polygon up to rotation, with a distinguished boundary edge. The distinguished boundary edge allows us to always choose a representative in the rotation class with that boundary edge in a fixed position. So we obtain an  $m$ -angulation of an  $(m - 2)k + 2$ -sided regular polygon, i.e. an object as in (4).

(3) $\leftrightarrow$ (5): Choose an object in (3), and let  $e$  be the edge labelled  $S_1$ , incident with the root. Let the new root be the other vertex incident with  $e$ , then delete the old root and the edge  $e$ . We obtain a new rooted shape on  $k$  vertices with  $S_1$  not labelling any edge incident with the new root. To go back, given an object in (5), add a new edge incident with the root and label it  $S_1$ . The other end is a new vertex which becomes the new root.

(5) $\leftrightarrow$ (6): Given an object in (5), first complete it as in the second paragraph of Theorem 2.5. Embed it in plane using the cyclical order  $S_1, S_2, \dots, S_m, S_1$  of the edges around each vertex. Using the same root, we obtain in this way a rooted completed plane  $m - 1$ -tree.

To go back: given an object in (6), let the *parental* edge of a vertex be the edge between it and its parent. Then, given a vertex with parental edge labelled  $S_j$ , label the edges to its children clockwise from the parental edge in the order:  $S_{j+1}, S_{j+2}, \dots, S_m, S_1, \dots, S_{j-1}$ . Finally, remove any boundary vertices (except the root, which is regarded as an internal vertex).

(4) $\leftrightarrow$ (7): See [FR05]. □

Parts (3) and (5) of Theorem 3.1 can be regarded as a generalisation of Proposition [CFZ10, 7.5] and the remark following it.

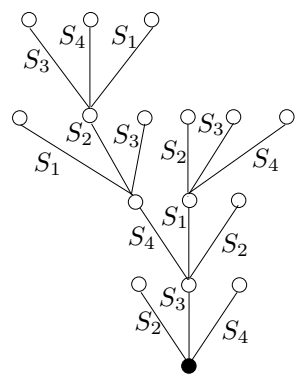
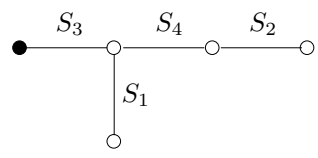
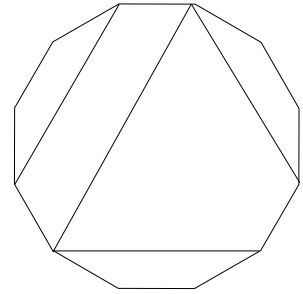
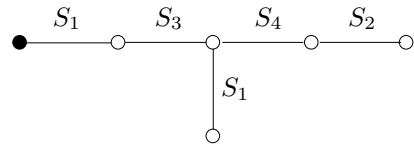
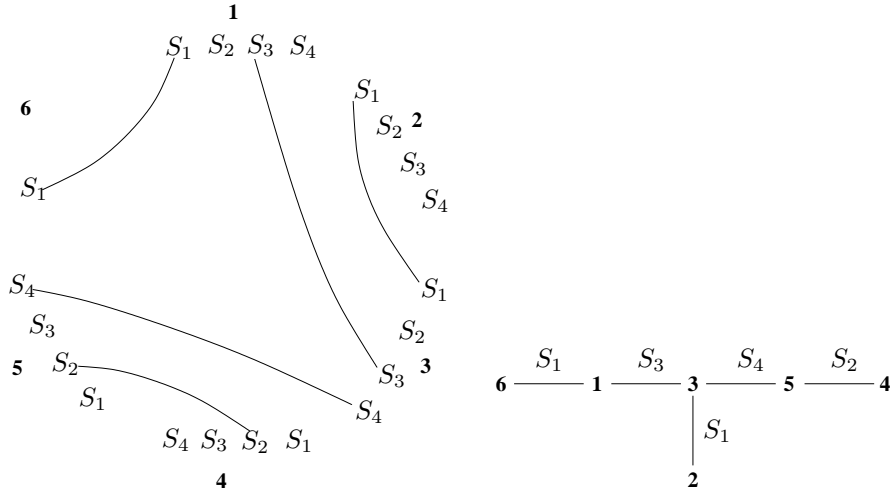
Figure 5 gives examples of objects corresponding to each other under the above bijections. For (g), we use the numbering of the vertices of the dodecagon anti-clockwise,  $P_1, P_2, \dots, P_{12}$ , from the root edge at the bottom of the figure in (d). Following [FR05, 5.1], we see that the 2-snake consists of diagonals linking pairs of vertices  $(P_1, P_{10})$ ,  $(P_{10}, P_3)$ ,  $(P_3, P_8)$  and  $(P_8, P_5)$ , corresponding to the negative simple roots  $-\alpha_1^1$ ,  $-\alpha_2^1$ ,  $-\alpha_3^1$  and  $-\alpha_4^1$ , respectively. This allows the corresponding 2-cluster to be read off the 4-angulation as in [FR05, 5.1]. Here  $\alpha_i + \dots + \alpha_j$  is denoted by  $\alpha_{ij}$ .

As previously remarked, the number of objects in (4) is known (see e.g. [HP91]) and is given by the Fuss-Catalan number  $C_k^{m-1}$ . So we have:

**Theorem 3.2.** *The number of objects in each of the above is*

$$S_{k,m} = C_k^{m-1} = \frac{1}{k} \binom{(m-1)k}{k-1} = \frac{1}{(m-2)k+1} \binom{(m-1)k}{k}.$$

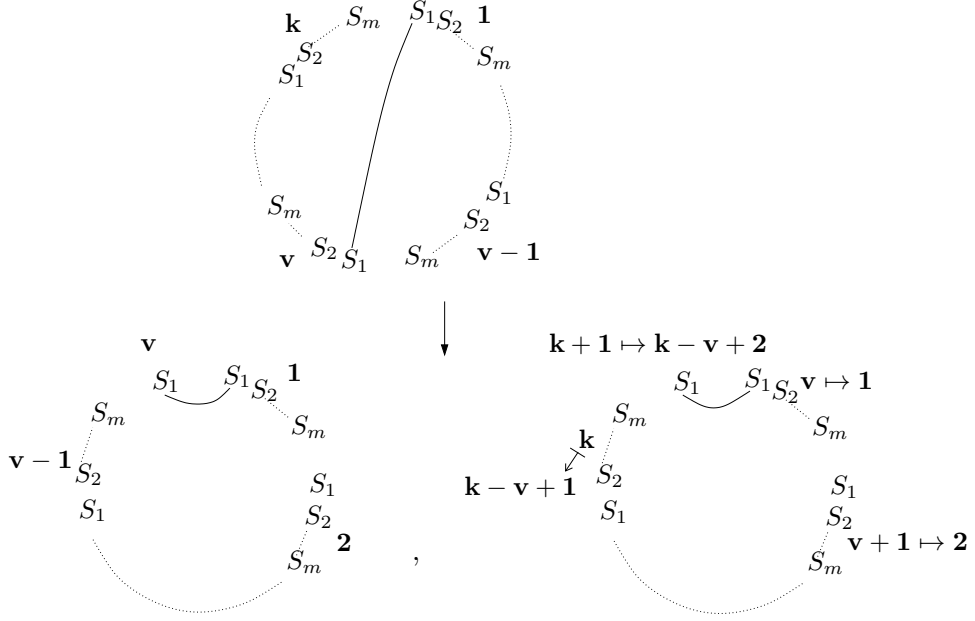
(Note that this is not new for (4), (6) and (7)).



$\alpha_1^2, \alpha_{14}^2, \alpha_4^2, \alpha_{34}^1$   
 (g) 2-cluster of type  $A_4$

FIGURE 5. Objects corresponding to each other under the bijections in Theorem 3.1.



FIGURE 7. The case with an link incident with  $S_1$  in vertex 1.

Given a structure  $\Sigma$  with a link incident with  $S_1$  in vertex 1, we obtain a maximal pseudoknot-free secondary structure of degree  $v$  on  $m$  symbols with vertex  $v$  having  $S_1$  only as a symbol, by restricting to vertices  $1, \dots, v-1$  and symbol  $S_1$  of vertex  $v$ .

We obtain a maximal pseudoknot-free secondary structure of degree  $k-v+2$  with only symbol  $S_1$  in degree  $k-v+2$  by considering the remainder of  $\Sigma$  not lying in the restriction above, adding the symbol  $S_1$  to the vertex  $v$ , renumbering the vertices  $1, \dots, k+1-v$  and then following the same procedure as in the case when there is no link incident with  $S_1$  in vertex 1. See Figure 7.

It is clear that this pair determines  $\Sigma$ , and the Theorem follows.  $\square$

**Corollary 3.5.** *The number of pseudoknot-free secondary structures of degree  $k$  on  $m$  symbols is given by*

$$T_{k,m} = \sum_{v=1}^k C_{v-1}^{m-1} C_{k+1-v}^{m-1} = \sum_{v=1}^k S_{v-1,m} S_{k+1-v,m}.$$

*Proof.* This follows immediately from Theorem 3.4, with the first term ( $v=1$ ) corresponding to the case where there is no link incident with symbol  $S_1$  in vertex 1 of the pseudoknot-free secondary structure of degree  $k$ .  $\square$

**Lemma 3.6.** [GKP94, Eq. 5.63]

Let  $n, r, s, t \in \mathbb{Z}$ , with  $n \geq 0$ . Then we have:

$$\sum_{k=0}^n \frac{r}{tk+r} \cdot \frac{s}{t(n-k)+s} \binom{tk+r}{k} \binom{t(n-k)+s}{n-k} = \frac{r+s}{tn+r+s} \binom{tn+r+s}{n}$$

(If a denominator factor vanishes, the formula still makes sense by cancelling it with an appropriate factor in the numerator of a binomial coefficient.)  $\square$

Note that the formula holds in greater generality but we shall not need it. We now have an alternative proof of Corollary 2.7:

**Theorem 3.7.** Fix integers  $m \geq 1, k \geq 0$ . The cardinality of the set of maximal pseudoknot-free secondary structures of degree  $k$  on  $m$  symbols is given by

$$T_{k,m} = \frac{m}{(m-2)k+2} \binom{(m-1)k}{k-1}.$$

*Proof.* By Corollary 3.5 we have that

$$T_{k,m} = \sum_{v=0}^{k-1} S_{v,m} S_{k-v,m} = \sum_{v=0}^k S_{v,m} S_{k-v,m} - S_{k,m},$$

since  $S_{0,m} = 1$ . Hence, using Lemma 3.6, we have:

$$\begin{aligned} T_{k,m} &= \sum_{v=0}^k \frac{1}{(m-1)v+1} \binom{(m-1)v+1}{v} \frac{1}{(m-1)(k-v)+1} \binom{(m-1)(k-v)+1}{k-v} \\ &\quad - S_{k,m} \\ &= \frac{2}{(m-1)k+2} \binom{(m-1)k+2}{k} - \frac{1}{(m-1)k+1} \binom{(m-1)k+1}{k} \\ &= \frac{2}{(m-1)k+2} \cdot \frac{(m-1)k+2}{(m-2)k+2} \binom{(m-1)k+1}{k} - \frac{1}{(m-1)k+1} \binom{(m-1)k+1}{k} \\ &= \frac{2}{(m-2)k+2} \binom{(m-1)k+1}{k} - \frac{1}{(m-1)k+1} \binom{(m-1)k+1}{k} \\ &= \left( \frac{2}{(m-2)k+2} - \frac{1}{(m-1)k+1} \right) \binom{(m-1)k+1}{k} \\ &= \left( \frac{2}{(m-2)k+2} - \frac{1}{(m-1)k+1} \right) \frac{(m-1)k+1}{k} \binom{(m-1)k}{k-1} \\ &= \frac{((m-1)k+1)(2((m-1)k+1) - ((m-2)k+2))}{k((m-2)k+2)((m-1)k+1)} \binom{(m-1)k}{k-1} \\ &= \frac{m}{(m-2)k+2} \binom{(m-1)k}{k-1}, \end{aligned}$$

as required.  $\square$

#### 4. CONVOLUTION

In this section we show that the sequence  $T_{k,m}$ ,  $k = 1, 2, \dots$  can be regarded as an  $m$ -fold convolution of the sequence  $S_{k,m}$ ,  $k = 0, 1, 2, \dots$

**Lemma 4.1.** The following sets are in bijection:

$$\begin{aligned} &\{ \text{Maximal pseudoknot-free secondary structures of degree } k+1 \text{ on } m \text{ symbols in} \\ &\quad \text{which there is a link between vertex 1 and vertex } k+1 \text{ with symbol } S_r \} \\ &\quad \updownarrow \\ &\{ \text{Maximal pseudoknot-free secondary structures of degree } k+1 \text{ on } m \text{ symbols in} \\ &\quad \text{which there is a link between vertex 1 and vertex } k+1 \text{ with symbol } S_1 \} \end{aligned}$$

*Proof.* Given a structure in the first set, note that there can be no links incident with vertex 1 with symbol  $S_t$  with  $t < r$  and no links with vertex  $k+1$  with symbol  $S_t$  with  $t > r$  (since the structure is pseudoknot-free). Similarly, given a structure in the second set, there can be no links incident with vertex  $k+1$  with symbol  $S_t$  with  $t > 1$ . It follows that moving an element  $\Sigma$  of the first set  $r-1$  steps to the left, each step moving each link one symbol to the left in the diagram, gives a pseudoknot-free secondary structure  $\Sigma'$  of degree  $k+1$  on  $m$  symbols in which there is a link between vertex 1 and vertex  $k+1$  with symbol  $S_1$ . If this structure was not maximal, an extra link could be added to it without introducing any crossings.

Shifting this extra link back  $r - 1$  steps to the right would give an extra link that could be added to  $\Sigma$  without introducing any crossings, a contradiction to the maximality of  $\Sigma$ . Hence  $\Sigma'$  is a structure in the second set and we get a map from the first set to the second set. It is clear that the inverse of this map is shifting  $r - 1$  steps to the right.  $\square$

We note that removing symbols  $S_2, S_3, \dots, S_m$  from vertex  $k + 1$  from an element of the second set makes no difference, since vertex  $k + 1$  can only have a link to vertex 1 with symbol  $S_1$  by the pseudoknot-free condition.

**Corollary 4.2.** *The number of maximal pseudoknot-free secondary structures of degree  $k + 1$  on  $m$  symbols in which there is a link between vertex 1 and vertex  $k + 1$  with symbol  $S_r$  is equal to  $S_{k,m}$ .*

*Proof.* Use Lemma 4.1 and Theorem 3.1.  $\square$

**Proposition 4.3.** *Let  $S_{k,m}, T_{k,m}$  be as above and set  $k \geq 1$ . Then*

$$T_{k,m} = \sum_{k_1 \geq 0, \dots, k_m \geq 0, k_1 + \dots + k_m = k-1} S_{k_1,m} S_{k_2,m} \cdots S_{k_m,m},$$

*i.e. the sequence  $T_{1,m}, T_{2,m}, \dots$  is the  $m$ -fold convolution of the sequence  $S_{0,m}, S_{1,m}, \dots$*

*Proof.* Let  $\Sigma$  be a maximal degree  $k$  pseudoknot-free secondary structure on  $m$  symbols with corresponding connected shape  $\mathcal{S}$  (which is a tree). Recall (see Remark 2.3) that  $\sigma_{\mathcal{S}}(1) = k + 1$ , i.e. applying the symbols  $S_1, S_2, \dots, S_m$  in order to the vertex 1 takes us from 1 to  $k + 1$ . Suppose that applying symbol  $S_j$  takes us from vertex  $i$  to vertex  $i + k_j$  for  $j = 1, 2, \dots, m$ . Note that  $k_j \geq 0$  for all  $j$  by the pseudoknot-free condition. We see that  $\Sigma$  corresponds to the joining of  $m$  maximal pseudoknot-free secondary structures on  $m$  symbols  $\Sigma_1, \dots, \Sigma_m$ , where  $\Sigma_j$  has degree  $k_j + 1$ , and in which there is a link between vertex 1 and vertex  $k_j + 1$  in  $\Sigma_j$  with symbol  $S_j$ . Note that we must have  $k_1 + \dots + k_m = k - 1$ . Thus we have a bijection between maximal degree  $k$  pseudoknot-free secondary structures on  $m$  symbols and such  $m$ -tuples. The formula in the proposition follows from this and Corollary 4.2.  $\square$

## 5. THE TOTAL NUMBER OF TREES OF RELATIONS

In Section 2 we counted the number of trees of relations with a fixed circular order. We now would like to count the total number of connected trees of relations of degree  $k$  with  $m$  symbols, that is with any circular order. By Remark 2.9, the number of trees of relations with a fixed circular order  $\sigma$  (if  $\sigma$  is a  $k$ -cycle) is also given by

$$T_{k,m} = \frac{m}{(m-2)k+2} \binom{(m-1)k}{k-1}$$

We also have:

**Lemma 5.1.** *Let  $G$  be a connected tree of relations of degree  $k$  on  $m$  symbols. Then the circular order  $\sigma_G$  of  $G$  is a  $k$ -cycle.*

*Proof.* This is clearly true if  $k = 1$  or  $2$ . Suppose it holds for smaller values of  $k$ . Let  $v$  be a vertex of  $G$  which is not a leaf. Suppose that  $v$  is incident with edges  $e_1, e_2, \dots, e_d$  in  $G$ , labelled with symbols  $S_{r_1}, S_{r_2}, \dots, S_{r_d}$  respectively, where  $r_1 < r_2 < \dots < r_d$ . Let the end-points of these edges (other than  $v$ ) be  $v_1, v_2, \dots, v_d$ . Removing  $v$  from  $G$  leaves  $d$  subtrees  $G'_1, G'_2, \dots, G'_d$  incident with  $v_1, v_2, \dots, v_d$  respectively. Let  $G_i$  be the subtree  $G'_i$  with  $v$  and  $e_i$  reattached to  $v_i$ .



By the inductive hypothesis the  $\sigma_{G_i}$  are all cycles. Hence, repeatedly applying  $\sigma_{G_1}$  to  $v$  cycles through the vertices of  $G_1$ . Since  $\sigma_G = \sigma_{G_1}$  on all vertices of  $G_1$  except  $w = \sigma_{G_1}^{-1}(v)$ , repeatedly applying  $\sigma_G$  also cycles through all the vertices of  $G_1$ . Since  $r_2$  is minimal such that  $S_{r_2}$  is a symbol on an edge incident with  $v$  with  $r_2 > r_1$ ,  $\sigma_G(w)$  will lie in  $G'_2$ . In fact  $\sigma_G(w) = \sigma_{G_2}(v)$ , since in  $G_2$ ,  $v$  is not incident with any edge labelled with a symbol other than  $S_{r_2}$ . Repeatedly applying  $\sigma_G$  then cycles through the vertices of  $G'_2$  before coming back to  $\sigma_{G_2}^{-1}(v)$ . Repeating this argument, we see that repeatedly applying  $\sigma_G$  to  $v$  first cycles through  $G'_1$ , then through  $G'_2, G'_3, \dots, G'_d$  in order before eventually returning to  $v$ .  $\square$

(Note that it follows that the circular order on a connected shape is also a cycle.) We thus see that the number of possible circular orders of connected trees of relations of degree  $k$  on  $m$  symbols is the number of  $k$ -cycles in  $\mathfrak{S}_k$ , i.e.  $(k - 1)!$ . It follows that:

**Proposition 5.2.** *The total number of connected trees of relations of degree  $k$  on  $m$  symbols is*

$$U_{k,m} = \frac{m((m - 1)k)!}{((m - 2)k + 2)!}$$

*Proof.* By the above discussion and Lemma 5.1, we have:

$$\begin{aligned} T_{k,m}(k - 1)! &= \frac{m}{((m - 2)k + 2)} \frac{((m - 1)k)!}{(k - 1)!((m - 2)k + 1)!} (k - 1)! \\ &= \frac{m((m - 1)k)!}{((m - 2)k + 2)!}. \end{aligned}$$

$\square$

**Example 5.3.** *For  $m = 3, 4, 5, 6$ , we give below some values of  $U_{k,m}$  for small  $k$ .*

$k$	1	2	3	4	5	6
$U_{k,3}$	1	3	18	168	2160	35640
$U_{k,4}$	1	4	36	528	10920	293760
$U_{k,5}$	1	5	60	1200	34200	1275120
$U_{k,6}$	1	6	90	2280	82800	3946320

We note that none of these sequences appears in [S110].

Putting together the results of the previous sections with the above discussion, we have:

**Corollary 5.4.** *There are bijections between the following sets:*

- (1) *Pairs consisting of a rooted diagonal-labelled  $m$ -angulation of degree  $k$  up to rotation and a  $k$ -cycle;*
- (2)  *$(m$ -gon)-labelled, diagonal-labelled  $m$ -angulations with  $k$   $m$ -gons up to rotation;*
- (3) *Connected trees of relations of degree  $k$  with  $m$  symbols.*

*All the above sets have cardinality:*

$$U_{k,m} = \frac{m(((m - 1)k)!)}{((m - 2)k + 2)!}.$$

*Proof.* The connected trees of relations of degree  $k$  with  $m$  symbols with a fixed circular order are in bijection with rooted diagonal-labelled  $m$ -angulations of degree  $k$  up to rotation by Corollary 2.6 (see also Remark 2.9). Thus mapping a tree of relations to its corresponding  $m$ -angulation together with the circular order of the

tree gives a bijection between (3) and (1), using Lemma 5.1. To go between (2) and (3), argue as in Theorem 2.5 (the  $m$ -gon labelling of the  $m$ -angulation corresponds to the vertex-labelling of the tree of relations).  $\square$

## 6. GENERALISED INDUCTION

In this section we give the definition of our generalised induction on trees of relation with  $k$  vertices and  $m$  symbols, generalising the induction of a tree of relations with  $k$  vertices and 3 symbols defined in [CFZ10]. The induction in [CFZ10] leads in [FZ10] to the construction of new languages generalising the Sturmian languages, used to study  $k$ -interval exchange transformations. Induction generates new trees of relations starting with a given one, and the transitive closure is an equivalence relation. We show that the circular order is an invariant, giving rise to a classification of the equivalence classes by  $k$ -cycles in  $\mathfrak{S}_k$ .

Given a tree of relations  $G$  and integers  $i, j \in \{1, \dots, m\}$  we define a maximal  $S_i - S_j$  chain  $B$  to be a (linear) subtree of  $G$  containing only symbols  $S_i$  and  $S_j$  such that no other edges incident to  $B$  are labelled by  $S_i$  or  $S_j$ .

**Definition 6.1.** *Let  $G$  be a tree of relations with  $k$  vertices and  $m$  symbols  $S_1, S_2, \dots, S_m$ . Fix  $i, j \in \{1, \dots, m\}$  with  $i < j$ . Let  $B$  be a maximal  $S_i - S_j$  chain. Define  $R_{i,j}^B(G)$  to be the tree of relations obtained from  $G$  by*

- first removing all subtrees in the complement of the maximal chain  $B$
- interchanging the vertices of each edge of  $B$  labelled by  $S_j$
- interchanging the symbols  $S_i$  and  $S_j$  on the whole maximal chain  $B$
- reattaching the previously removed subtrees to  $B$  at the vertices with the same label they were removed from.

Similarly, define  $L_{i,j}^B(G)$ , where in the second bullet point in the above definition we interchange the vertices of each edge labelled by  $S_i$  rather than those labelled by  $S_j$ . We also set  $R_i^B := R_{i,i+1}^B$  and  $L_i^B := L_{i,i+1}^B$  and we will write  $R_i$  and  $L_i$  if  $B$  is clear from the context.

**Lemma 6.2.** *Let  $i, j \in \{1, \dots, m\}$  with  $i < j$  and  $B$  be a maximal  $S_i - S_j$ -chain with no incident edges labelled by  $S_{i+1}, \dots, S_{j-1}$ . Then the induction  $R_{i,j}^B(G)$  is a product of inductions of the form  $R_l$  for  $l = i, i + 1, \dots, j - 1$ .*

*Proof.* Suppose first that  $B$  has the following form:

$$a_1 \xrightarrow{S_i} a_2 \xrightarrow{S_j} a_3 \xrightarrow{S_i} a_4 \quad \dots \quad a_{r-1} \xrightarrow{S_i} a_r .$$

Then  $R_{i,j}^B(G)$  is the chain

$$B' = a_1 \xrightarrow{S_j} a_3 \xrightarrow{S_i} a_2 \xrightarrow{S_j} a_5 \xrightarrow{S_i} a_4 \quad \dots \quad a_{r-2} \xrightarrow{S_j} a_r .$$

On the other hand, applying  $R_i, R_{i+1}, \dots, R_{j-2}$ , in order (in each case to all the maximal chains of appropriate type contained in  $B$ ), we obtain the maxi-

mal  $S_{j-1} - S_j$ -chain  $a_1 \xrightarrow{S_{j-1}} a_2 \xrightarrow{S_j} a_3 \xrightarrow{S_{j-1}} a_4 \quad \dots \quad a_{r-1} \xrightarrow{S_{j-1}} a_r$ .

Next, apply  $R_{j-1}$  to the whole chain  $B$ . Then, applying  $R_{j-2}, R_{j-3}, \dots, R_i$  in decreasing order (in each case to all the maximal chains of appropriate type contained in  $B$ ) gives the chain  $B'$ .

The proof works in a similar way for the other configurations of maximal  $S_i - S_j$ -chains, i.e. the chains of the form

$$a_1 \xrightarrow{S_i} a_2 \xrightarrow{S_j} a_3 \xrightarrow{S_i} a_4 \quad \dots \quad a_{r-1} \xrightarrow{S_j} a_r$$

and

$$a_1 \xrightarrow{S_j} a_2 \xrightarrow{S_i} a_3 \xrightarrow{S_j} a_4 \quad \dots \quad a_{r-1} \xrightarrow{S_j} a_r .$$

$\square$

**Remark 6.3.** (1) In the above proof, we may replace the inductions  $R_l$  with inductions  $L_l$  for  $l = i, i + 1, \dots, j - 1$ .

(2) If we replace  $R_{j-1}$  with  $L_{j-1}$  in the above proof we obtain the induction  $L_{i,j}^B(G)$  instead.

(3) Induction can also be defined on shapes: For a shape with  $n$  vertices, choose an arbitrary filling, apply induction, and then remove the filling. It is clear that this is independent of the filling chosen.

(4) The inductions  $R_{i,j}^B$  and  $L_{i,j}^B$  are mutually inverse maps.

**Definition 6.4.** Call two trees of relations  $G, G'$  induction equivalent if there is a sequence of inductions taking  $G$  to  $G'$ , either of the form  $L_i$ , for  $2 \leq i \leq m - 1$  or of the form  $R_i$ , for  $1 \leq i \leq m - 2$ . Clearly this is a reflexive relation. It is symmetric since  $L_i$  and  $R_i$  are inverse maps and it is easy to see that it is transitive. Hence it is an equivalence relation. We write  $\Gamma(G)$  for the equivalence class containing  $G$ .

The inductions  $R_i$  and  $L_i$  and the above notion of induction equivalence are the ones that behave well with respect to the circular order, as we shall see below. However, we shall also denote by  $\Gamma_{gen}(G)$  the equivalence class of  $G$  under the equivalence given by the more general inductions  $R_{i,j}$  and  $L_{i,j}$ .

**Proposition 6.5.** Let  $G$  be a tree of relations. There exists a tree of relations  $G_*$  containing only symbols  $S_1$  and  $S_m$  and a sequence of inductions, each of the form  $R_{i,j}$  (with  $j \leq m - 1$ ) or of the form  $L_{i,j}$  (with  $i \geq 2$ ) taking  $G$  to  $G_*$ .

*Proof.* We first show that there exists tree of relations  $G_2$  induction equivalent to  $G$  with no symbol  $S_2$  in  $G_2$ , by removing the symbols  $S_2$  one by one. Firstly remove all edges with symbols  $S_4, \dots, S_m$  and call the resulting tree  $\tilde{G}$ . By [CFZ10, 5.2] there exists a sequence of inductions of the form  $R_{1,2}$  and  $L_{2,3}$  taking  $\tilde{G}$  to a tree of relations  $\tilde{G}_2$  with no edge labelled  $S_2$ . Let  $G_2$  be the tree  $\tilde{G}_2$  with the detached edges reattached (to the vertices with the same label). Since none of the detached edges are labelled with  $S_1, S_2$ , or  $S_3$  this sequence of inductions also takes  $G$  to  $G_2$  by identifying maximal chains in  $\tilde{G}$  with corresponding maximal chains in  $G$ .

Suppose we have shown that  $G$  is induction equivalent to  $G_{k-1}$ , where  $G_{k-1}$  has no symbols  $S_2, \dots, S_{k-1}$ .

Then start by detaching all edges labelled with symbols  $S_{k+2}, \dots, S_m$ . Call the resulting tree  $\tilde{G}$ . By [CFZ10, 5.2] there is a sequence of inductions of the form  $R_{1,k}$  and  $L_{k,k+1}$  taking  $\tilde{G}$  to  $\tilde{G}_k$ , where  $\tilde{G}_k$  has no edges labelled  $S_2, \dots, S_k$ . Reattach the detached edges and call the resulting tree  $G_k$ . Since none of the reattached edges are labelled by  $S_1, S_k$ , or  $S_{k+1}$ , this sequence of inductions takes  $G_{k-1}$  to  $G_k$  by identifying the maximal chains in  $\tilde{G}$  with the maximal chains in  $G_{k-1}$ . Note that none of the symbols  $S_2, \dots, S_k$  appears in  $G_k$ . Hence, by induction on  $k$ , we can construct  $G_{m-1} = G_*$  with no symbols  $S_2, \dots, S_{m-1}$  and a sequence of inductions, each of form  $R_{ij}$  (with  $j \leq m - 1$ ) or  $L_{ij}$  (with  $i \geq 2$ ) taking  $G$  to  $G_*$ .  $\square$

**Remark 6.6.** In the above proof, the inductions  $R_{1,k}$  are applied in a situation satisfying the hypotheses of Lemma 6.2, and hence each can be written as a product of the inductions  $R_1, \dots, R_{k-1}$ .

**Corollary 6.7.** Let  $G$  be a tree of relations. There exists a tree of relations  $G_*$  containing only symbols  $S_1$  and  $S_m$  and a sequence of inductions, each of the form  $R_i$  or  $L_i$ , taking  $G$  to  $G_*$ .

**Corollary 6.8.** Let  $G$  be a connected tree of relations with  $k$  vertices. Then every possible connected shape with  $k$  vertices appears as the shape of a tree of relations in  $\Gamma(G)$ .

*Proof.* Let  $\mathcal{S}$  and  $\mathcal{S}'$  be arbitrary connected shapes. Then, by Corollary 6.7,  $\mathcal{S}$  is induction equivalent to a shape containing only the symbols  $S_1$  and  $S_m$ ; similarly for  $\mathcal{S}'$  (see Remark 6.3(3)). If  $k$  is odd there is only one such shape:

$$\cdot \underline{S_m} \cdot \underline{S_1} \cdot \underline{S_m} \cdot \dots \cdot \underline{S_1} \cdot,$$

and it follows that  $\mathcal{S}$  and  $\mathcal{S}'$  are inductively equivalent. If  $k$  is even, there are two shapes with symbols  $S_1$  and  $S_m$ :

$$\begin{aligned} \mathcal{S}_m &: \cdot \underline{S_m} \cdot \underline{S_1} \cdot \underline{S_m} \cdot \dots \cdot \underline{S_m} \cdot \\ \mathcal{S}_1 &: \cdot \underline{S_1} \cdot \underline{S_m} \cdot \underline{S_1} \cdot \dots \cdot \underline{S_1} \cdot \end{aligned}$$

Then  $R_{1,m}^{\mathcal{S}_m}(\mathcal{S}_m) = \mathcal{S}_1$ , so  $\mathcal{S}_m$  is induction equivalent to  $\mathcal{S}_1$  by Lemma 6.2. It follows that  $\mathcal{S}$  and  $\mathcal{S}'$  are induction equivalent in this case also.

Given a connected tree of relations,  $G$  of shape  $\mathcal{S}$ , and an arbitrary connected shape,  $\mathcal{S}'$ , the above shows that  $\mathcal{S}$  and  $\mathcal{S}'$  are inductively equivalent. It follows that  $G$  and a filling of  $\mathcal{S}'$  are inductively equivalent, and the result follows.  $\square$

**Remark 6.9.** *It follows from the above proof that every possible shape with  $k$  vertices appears as the shape of a tree of relations in  $\Gamma_{\text{Gen}}(G)$ .*

**Corollary 6.10.** *If there is a sequence of general inductions of the form  $R_{i,j}$  and  $L_{i,j}$  between two shapes then they are induction equivalent (i.e. equivalent under  $L_i$  and  $R_i$  induction).*

*Proof.* This follows immediately from Corollary 6.8, since in fact any two shapes are induction equivalent.  $\square$

We shall see below that the corresponding result does not hold for trees of relations (see Remark 6.15).

**Lemma 6.11.** (a) *Let  $k$  be odd, let  $i \neq j$  and let  $G$  be a tree of relations with  $k$  vertices and whose edges are decorated with symbols  $S_i$  and  $S_j$  only. Let  $\mathcal{S}$  be the shape of  $G$ . Applying  $R_{i,j}$  induction on  $G$  has order  $k$ , producing  $k$  distinct trees with shape  $\mathcal{S}$ .*

(b) *Let  $k$  be even,  $i \neq j$  and let  $G$  be a tree of relations with  $k$  vertices and whose edges are decorated with symbols  $S_i$  and  $S_j$  only. Let  $\mathcal{S}$  be the shape of  $G$ . Applying  $R_{i,j}$  induction to  $G$  has order  $k$ , producing  $k/2$  trees of relations of shape  $\mathcal{S}$  and  $k/2$  trees of relations of shape  $R_{i,j}(\mathcal{S})$ .*

*Proof.* (a) Since  $\mathcal{S}$  only contains the symbols  $S_i$  and  $S_j$ , it is a line. Suppose the line is drawn horizontally and suppose the leftmost edge has label  $S_j$  and vertices  $a_1$  and  $a_2$  from left to right. Then in  $R_{i,j}^d(G)$ , if it is drawn with orientation given by  $\cdot \underline{S_i} \cdot a_1 \underline{S_j} \cdot$  (where one of the edges may not exist), the vertex  $a_1$  is the  $d^{\text{th}}$  vertex from the left. It is clear that all the induced trees  $R_{i,j}^d(G)$  have the same shape (those for  $d$  odd should be read from right to left).

(b) The proof is similar to the one in (a), except that for  $d$  odd, the shape of  $(R_{i,j}(G))^d$  is  $R_{i,j}(\mathcal{S})$ .  $\square$

**Remark 6.12.** (1) *Since in the context of Lemma 6.11 the shape of  $G$  is a line, we can replace  $R_{i,j}$  in Lemma 6.11 by  $R_i \dots R_{j-2} R_{j-1} R_{j-2} \dots R_i$ .*

(2) *If we replace the  $R$  induction in Lemma 6.11 by  $L$  induction, the result holds and is proved by a similar argument.*

**Lemma 6.13.** *Let  $G$  be a tree of relations containing a maximal  $S_i$ - $S_j$  chain  $B$  with no incident edges labelled  $S_k$ ,  $i < k < j$ . Then the circular order,  $\sigma_G$ , is unchanged after  $R_{i,j}$  or  $L_{i,j}$  induction on  $B$  is applied.*

*Proof.* We show that the circular order is invariant under  $R_{ij}$ -induction in the context given (the proof for  $L_{i,j}$ -induction follows a similar argument).

Let  $G$  be a tree of relations with circular order  $\sigma_G$  and containing a maximal  $S_i$ - $S_j$ -chain  $B$  and such that no edges labelled  $S_k$ , for  $i < k < j$  are incident to  $B$ . Let  $G' = R_{i,j}^B(G)$  with circular order  $\sigma_{G'}$ . Let  $i < j$  and let  $a$  be a vertex in  $B$ . Let  $S'_1, \dots, S'_m$  denote the maps corresponding to the symbols  $S_1, \dots, S_m$  in the tree of relations  $G'$ . Then we have, similarly to [CFZ10, 3.6], that  $S_j S_i S_j(a) = S'_j(a)$ ,  $S_j(a) = S'_i(a)$  and, for  $k \neq j$ ,  $S_k(a) = S'_k(a)$ . Similarly, after applying  $L_{i,j}$ -induction, we have  $S_i S_j S_i(a) = S'_i(a)$ ,  $S_i(a) = S'_j(a)$  and, for  $k \neq i$ ,  $S_k(a) = S'_k(a)$ . There are three possible situations to consider.

*Case 1:* Suppose first that  $a$  has an incident edge labelled with symbol  $S_k$  with  $1 \leq k < i$ . We assume that  $k$  is minimal. Let  $T$  be the subtree of  $G \setminus B$  connected to  $a$  via this edge. Then  $\sigma_G(a)$  lies in  $T$ . Applying the induction  $R_{i,j}^B$  to  $G$  results in a tree of relations  $G'$  in which  $a$  is reconnected to  $T$  by the edge labelled with symbol  $S_k$ . Therefore  $\sigma_G(a) = \sigma_{G'}(a)$ .

*Case 2:* Suppose that  $a$  is not incident with any edges labelled with symbols  $S_k$  for  $k < i$ , but that the vertex  $S_j S_i(a)$  has an incident edge labelled with symbol  $S_k$  where  $j < k \leq m$ . We take  $k$  minimal. Let  $T$  be the subtree of  $G \setminus B$  connected to  $S_j S_i(a)$  via this edge. Then  $\sigma_G(a)$  lies on the subtree  $T$ . Applying  $R_{i,j}^B(G)$  results in a tree of relations  $G'$  such  $T$  is reconnected, via the edge labelled  $S_k$ , to  $S'_j S'_i(a) = S_j S_i(a)$ . Therefore  $\sigma_G(a) = \sigma_{G'}(a)$ .

*Case 3:* Suppose that neither  $a$  nor  $S_j S_i(a)$  has any incident edges labelled  $S_k$ , for  $k \neq i, j$ . Then  $S_k(a) = a$  for all  $k \neq i, j$  and  $S_k(S_j S_i(a)) = S_j S_i(a)$  for all  $k \neq i, j$ . By our assumptions, for  $i < k < j$ , we have that  $S'_k = S_k$  on the whole maximal chain. Hence, using the relations from the beginning of the proof,

$$\begin{aligned} \sigma_{G'}(a) &= S'_m \cdots S'_1(a) \\ &= S'_m \cdots S'_{j+1} S'_j S'_i(a) \\ &= S'_m \cdots S'_{j+1} S_j S_i(a) \\ &= S_j S_i(a) \\ &= S_m \cdots S_{j+1} S_j S_i(a) \\ &= S_m \cdots S_1(a). \end{aligned}$$

□

**Corollary 6.14.** *The circular order of a tree of relations is invariant under  $R_i$  and  $L_i$  induction.*

*Proof.* In Lemma 6.13 assume that  $j = i + 1$ . □

**Remark 6.15.** *In general, the circular order of a tree of relations is not invariant under general  $R_{i,j}$  and  $L_{i,j}$  induction for  $i < j + 1$ : in the above proof suppose that we have an edge  $S_k$ , for  $i < k < j$  connected to  $S_i(a)$  in the maximal chain  $B$  of  $G$  and let  $T$  be a subtree of  $G$  connected to  $S_k$ . Then  $\sigma_G(a)$  lies on the subtree  $T$ . On the other hand in  $R_{i,j}^B(G)$ , the edge  $S_k$  is connected to  $S_i(a) = S'_i S'_j S'_i(a)$  and thus  $\sigma_{G'}(a) \neq \sigma_G(a)$  in general. For an example of this with  $i = 1$  and  $j = 3$  (and  $T$  just consisting of one vertex), see Figure 8.*

*Note that this means that it is not possible, in general, to write  $R_{ij}$  as a composition of inductions of form  $R_i$  and  $L_i$ , despite Lemma 6.2 (which says that sometimes this is possible).*

We finally achieve the generalisation of [CFZ10, 6.2] that we were aiming for:

**Theorem 6.16.** *Let  $G$  and  $G'$  be trees of relations. Then  $G'$  is in  $\Gamma(G)$  if and only if  $\sigma_G = \sigma_{G'}$ .*



**Lemma 7.1.** *Every  $m$ -angulation  $\mathcal{M}$  of a polygon has at least two  $m$ -gons with  $m - 1$  boundary edges or is an  $m$ -angulation of an  $m$ -gon.*

*Proof.* The result is clearly true if  $\mathcal{M}$  is an  $m$ -angulation of an  $m$ -gon. Let  $\mathcal{M}$  be an  $m$ -angulation of  $P_n$ , and assume that all  $m$ -angulations of polygons with fewer sides have two boundary  $m$ -gons or are just one  $m$ -gon. Cutting  $\mathcal{M}$  along one of its  $m$ -diagonals  $D$  gives two  $m$ -angulations of polygons with fewer sides. By the induction hypothesis, each of these polygons contains an  $m$ -gon incident with its boundary and the result follows.  $\square$

In the following two lemmas we describe how to use anticlockwise rotations of diagonals to rotate an  $m$ -angulation of an  $n$ -gon (containing  $k$   $m$ -gons) anticlockwise through  $2\pi/n$ . Note that any clockwise rotation can easily be achieved via a composition of anticlockwise rotations; we shall therefore sometimes use clockwise rotations. We use the notation  $[i, j]$  to describe a diagonal in the polygon connecting vertex  $i$  with vertex  $j$ .

**Lemma 7.2.** *Let  $P_n$  be an  $n$ -gon with vertices labelled 1 through  $n$ . Suppose  $P_n$  has an  $m$ -angulation  $\mathcal{M}$  by  $k$   $m$ -gons with diagonals  $[1, m], [1, m + (m - 2)], [1, m + 2(m - 2)], \dots, [1, m + (k - 2)(m - 2)]$ . Then there is an explicit sequence of diagonal rotations taking  $\mathcal{M}$  to its rotation through  $2\pi/n$  anticlockwise.*

*Proof.* We apply the following anticlockwise diagonal rotations:

$$\begin{array}{ll} [1, m + (k - 2)(m - 2)] & \rightarrow [n, m + (k - 2)(m - 2) - 1] \\ [1, m + (k - 3)(m - 2)] & \rightarrow [n, m + (k - 3)(m - 2) - 1] \\ \vdots & \vdots \\ [1, m + r(m - 2)] & \rightarrow [n, m + r(m - 2) - 1] \\ \vdots & \vdots \\ [1, m] & \rightarrow [n, m - 1] \end{array}$$

This produces an  $m$ -angulation  $\mathcal{M}'$  of  $P_n$  with diagonals  $[n, m + (k - 2)(m - 2) - 1], [n, m + (k - 3)(m - 2) - 1], \dots, [n, m + r(m - 2) - 1], \dots, [n, m - 1]$  which corresponds to an anticlockwise rotation of  $\mathcal{M}$  through  $2\pi/n$ .  $\square$

**Lemma 7.3.** *Let  $\mathcal{M}$  be an  $m$ -angulation of  $P_n$  containing  $k$   $m$ -gons. Then there is an explicit sequence of diagonal rotations taking  $\mathcal{M}$  to its rotation through  $2\pi/n$  anticlockwise.*

*Proof.* Suppose  $P_n$  has an  $m$ -angulation  $\mathcal{M}$  by  $k$   $m$ -gons. Let  $M$  be an  $m$ -gon with  $m - 1$  boundary edges and one internal edge  $e$  joining vertices  $[i, i + (m - 1)]$ . Let  $R$  be the union of the  $m$ -gons incident with  $i$ . Apply Lemma 7.2 to the induced  $m$ -angulation of  $R$  to rotate it one step anticlockwise. Let  $R'$  be the subpolygon  $R$  with the  $m$ -gon  $M'$  with vertices  $i - 1, i, i + 1, \dots, i + (m - 1) - 1$  removed. Apply the reverse sequence to the one described in Lemma 7.2 to rotate the  $m$ -angulation of  $R'$  one step clockwise (recalling that a clockwise rotation of a diagonal coincides with a composition of anticlockwise rotations of the same diagonal). Consider the polygon  $Q$  which is given by removing the  $m$ -gon  $M'$  from  $P_n$  and transform it (using an orientation-preserving homeomorphism) to a regular  $n - (m - 2)$ -gon  $P_{n-(m-2)}$ . Since  $P_{n-(m-2)}$  has fewer sides than  $P_n$  we have inductively constructed a sequence of anticlockwise diagonal rotations rotating the  $m$ -angulation of  $P_{n-(m-1)}$  anticlockwise through  $2\pi/(n - (m - 1))$ . Applying the corresponding sequence to the  $m$ -angulation of  $Q$  takes  $\mathcal{M}$  to its rotation anticlockwise through  $2\pi/n$  as required.  $\square$

**Definition 7.4.** Let  $\mathcal{M}$  be an  $m$ -angulation of  $P_n$ , diagonal-labelled with symbols  $S_1, S_2, \dots, S_m$ . If there is at least one internal diagonal in  $\mathcal{M}$  and all of the internal diagonals of  $\mathcal{M}$  are labelled only with symbols  $S_i$  or  $S_j$  for fixed  $i, j$ , we call  $\mathcal{M}$  a snake  $m$ -angulation. A subpolygon of  $P_n$  with this property is called a snake subpolygon. Note that in any snake subpolygon the internal diagonals must be of the form  $[i_1, i_2]$ ,  $[i_2, i_3]$ , and so on, and the internal angle between diagonals  $[i_{r-1}, i_r]$  and  $[i_r, i_{r+1}]$  must alternate between being positive and negative as  $r$  increases.

We note that such snake  $m$ -angulations first appeared in the context of cluster algebras in Fomin-Zelevinsky's article [FZ03] (for the case  $m = 3$ , i.e. triangulations) and appeared for general  $m$  in [FR05] under the name  $m$ -snake. We now show how they can be used to describe  $R_i$  induction as a sequence of  $(m-2)$ -cluster mutations, i.e. anticlockwise diagonal rotations.

**Proposition 7.5.** Let  $\mathcal{M}$  be an  $m$ -angulation of  $P_n$  such that the edges of all  $m$ -gons of  $P_n$  are labelled by symbols  $S_1, \dots, S_m$  in a clockwise order. Then  $R_i$  induction on  $P_n$  can be described in terms of a sequence of anticlockwise diagonal rotations.

*Proof.* Choose a maximal snake subpolygon with internal diagonals labelled  $S_i$  or  $S_{i+1}$ . Then  $R_i$ -induction on  $B$  is given as follows.

*Step 1:* It is easy to see that the induced  $m$ -angulation of  $B$  contains exactly two  $m$ -gons with  $m-1$  boundary edges in  $B$ .

We fix  $M_1$  to be one such  $m$ -gon  $M$ . Let  $M_2$  be the unique  $m$ -gon adjacent to  $M_1$ ,  $M_3$  the unique  $m$ -gon adjacent to  $M_2$ , and so on, with  $M_l$  the unique  $m$ -gon in  $B$  adjacent to  $M_{l-1}$  for each  $l$ .

If  $e_{M_1}$  has label  $S_i$ , rotate the diagonal between  $M_2$  and  $M_3$  one step anticlockwise, then rotate the diagonal between  $M_4$  and  $M_5$  one step anticlockwise and continue like this until there are 0 or 1  $m$ -gons left in  $B$ .

If  $e_M$  is labelled  $S_{i+1}$ , rotate the diagonals between  $M_1$  and  $M_2$ ,  $M_3$  and  $M_4$ , etc. one step anticlockwise until there are 0 or 1  $m$ -gons left in  $B$ .

Exchange the labels of the edges with labels  $S_i$  and  $S_{i+1}$  in  $B$  and relabel the boundary edges in  $B$  as required (using the rule that each  $m$ -gon in  $B$  must have the symbols  $S_1, S_2, \dots, S_m$  clockwise on its boundary).

*Step 2:* For each connected component  $C$  of the complement of  $B$  in  $P_n$  incident with an  $m$ -gon  $M$  inside  $B$  with  $m-1$  boundary edges in  $B$  and with internal edge labelled by  $S_i$ , let  $D = C \cup M$ . Apply Lemma 7.3 to  $D$  to get a sequence of anticlockwise rotations rotating the induced  $m$ -angulation of  $D$  anticlockwise. Should no such  $C$  exist, no action is necessary.  $\square$

**Remark 7.6.** (1) *Step 2 in the above proof has the same effect as detaching  $C$  from  $M$  and reattaching  $C$  with the same edge to a boundary edge of  $M$  one step anticlockwise around the boundary of  $M$ .*  
(2) *For  $L_i$  induction replace all anticlockwise rotations by clockwise rotations and vice versa.*

Finally in this section, we show how the above can be modified in the case where the  $m$ -gons are labelled; at the same time we reduce the number of anticlockwise diagonal rotations required by allowing detaching and reattaching of subpolygons.

**Proposition 7.7.** Let  $\mathcal{M}$  be a diagonal-labelled  $m$ -angulation of an  $n$ -gon  $P_n$  by  $k$  labelled  $m$ -gons, where the edges of the  $m$ -gons are labelled by the symbols  $S_1, \dots, S_m$  in a clockwise order and the  $m$ -gons are labelled  $1, \dots, k$ . Then  $R_i$  induction on  $P_n$  can be described in terms of detaching and reattaching subpolygons and a sequence of anticlockwise diagonal rotations.



*Proof.* We start with a diagonal-labelled,  $m$ -gon labelled  $m$ -angulation  $\mathcal{M}$  of  $P_n$  into  $k$   $m$ -gons. We describe the procedure of  $R_i$  induction on some maximal snake subpolygon  $B$  of  $\mathcal{M}$  with  $r$  edges with labels on internal edges  $S_i$  and  $S_{i+1}$ .

Detach all subpolygons in the complement of  $B$  in  $P_n$ . We know that the  $m$ -angulation of  $B$  has exactly two  $m$ -gons with  $m - 1$  boundary edges in  $B$ . Let  $M_1$  be one of them with internal edge  $e$ . Let  $M_2$  be the unique  $m$ -gon adjacent to  $M_1$ ,  $M_3$  the unique  $m$ -gon adjacent to  $M_2$ , and so on.

If  $e$  is labelled  $S_i$ , rotate the diagonal between  $M_2$  and  $M_3$  one step anticlockwise. Let  $M'_2$  and  $M'_3$  be the new  $m$ -gons created, with  $M'_3$  adjacent to  $M_1$ . Repeat for  $M_4$  and  $M_5$ ,  $M_6$  and  $M_7$ , etc until 0 or 1  $m$ -gons are left.

If  $e$  is labelled  $S_{i+1}$ , rotate the diagonal between  $M_1$  and  $M_2$  one step anticlockwise; call the new  $m$ -gons created  $M'_1$  and  $M'_2$  with  $M'_2$  incident with a boundary edge of  $M_1$ . Repeat for  $M_3$  and  $M_4$ , etc. until 0 or 1  $m$ -gons are left.

Relabel all edges in  $B$ , starting by exchanging labels  $S_i$  and  $S_{i+1}$  on the diagonals of  $B$  and then using the rule that every  $m$ -gon inside  $B$  must have the symbols  $S_1, \dots, S_m$  clockwise on its boundary. Reattach each detached subpolygon to the  $m$ -gon with the same label it was originally attached to via the edge with the same symbol. The new  $m$ -gon label of  $M'_i$  is defined to be the old  $m$ -gon label of  $M_i$ .  $\square$

**Remark 7.8.** *It is easy to see that neither induction procedure described above depends on the initial choice of  $M$  as  $m$ -gon of  $B$  with  $m - 1$  boundary edges.*

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SCHOOL OF MATHEMATICS, UNIVERSITY OF LEEDS, LEEDS LS2 9JT, UNITED KINGDOM  
*E-mail address:* `marsh@maths.leeds.ac.uk`

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF LEICESTER, UNIVERSITY ROAD, LEICESTER  
LE1 7RH, UNITED KINGDOM  
*E-mail address:* `ss489@le.ac.uk`

