

# Equivalence between Extendibility and Factor-Criticality \*

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## Abstract

In this paper, we show that if  $k \geq (\nu + 2)/4$ , where  $\nu$  denotes the order of a graph, a non-bipartite graph  $G$  is  $k$ -extendable if and only if it is  $2k$ -factor-critical. If  $k \geq (\nu - 3)/4$ , a graph  $G$  is  $k\frac{1}{2}$ -extendable if and only if it is  $(2k + 1)$ -factor-critical. We also give examples to show that the two bounds are best possible. Our results are answers to a problem posted by Favaron [3] and Yu [11].

**Key words:**  $n$ -factor-critical,  $n$ -critical,  $k$ -extendable,  $k\frac{1}{2}$ -extendable

## 1 Introduction, terminologies and preliminary results

All graphs considered in this paper are finite, connected, undirected and simple. Let  $G$  be a graph, vertex set and edge set of  $G$  are denoted by  $V(G)$  and  $E(G)$ . Let  $S \subseteq V(G)$ , we use  $G[S]$  to denote the subgraph of  $G$  induced by  $S$  and  $G - S$  to denote the subgraph  $G[V(G) \setminus S]$ . Let  $G_1$  and  $G_2$  be two disjoint graphs. The *union*  $G_1 \cup G_2$  is the graph with vertex set  $V(G_1) \cup V(G_2)$  and edge set  $E(G_1) \cup E(G_2)$ . The *join*  $G_1 \vee G_2$  is the graph obtained from  $G_1 \cup G_2$  by joining each vertex of  $G_1$  to each vertex of  $G_2$ . The complete graph on  $n$  vertices and its complement are denoted

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by  $K_n$  and  $I_n$ . Let  $X$  and  $Y$  be two disjoint subsets of  $V(G)$ , the number of edges of  $G$  from  $X$  to  $Y$  is denoted by  $e(X, Y)$ . For other terminologies and notations not defined in this paper, we refer the readers to [1].

A *matching*  $M$  of  $G$  is a subset of  $E(G)$  in which no two edges have a common end-vertex.  $M$  is said to be a *perfect matching* if it covers all vertices of  $G$ . A graph  $G$  is said to be *k-extendable* for  $0 \leq k \leq (\nu - 2)/2$  if it is connected, contains a matching of size  $k$  and any matching in  $G$  of size  $k$  is contained in a perfect matching of  $G$ .  $G$  is said to be *minimal k-extendable* if  $G$  is  $k$ -extendable and  $G - e$  is not  $k$ -extendable for each  $e \in E(G)$ . The concept of  $k$ -extendable graphs was introduced by Plummer in [8]. In [10], Yu generalized the idea of  $k$ -extendability to  $k\frac{1}{2}$ -extendability for graph of odd order. A graph  $G$  is said to be  *$k\frac{1}{2}$ -extendable* if (1) for any vertex  $v$  of  $G$  there exists a matching of size  $k$  in  $G - v$ , and (2) for every vertex  $v$  of  $G$ , every matching of size  $k$  in  $G - v$  is contained in a perfect matching of  $G - v$ .

A graph  $G$  is said to be *n-factor-critical*, or *n-critical*, for  $0 \leq n \leq \nu - 2$ , if  $G - S$  has a perfect matching for any  $S \subseteq V(G)$  with  $|S| = n$ . For  $n = 1, 2$ , that is *factor-critical* and *bicritical*.  $G$  is called *minimal n-factor-critical* if  $G$  is  $n$ -factor-critical but  $G - e$  is not  $n$ -factor-critical for any  $e \in E(G)$ . The concept of  $n$ -factor-critical graphs was introduced by Favaron [2] and Yu [10], independently.

It is easy to verify the following theorem.

**Theorem 1.1.** *For  $0 \leq k \leq \nu/2 - 1$ , a  $2k$ -factor-critical graph is  $k$ -extendable, and a  $(2k + 1)$ -factor-critical graph is  $k\frac{1}{2}$ -extendable.*

The reverse of Theorem 1.1 does not hold in general. For example, a  $k$ -extendable bipartite graphs can not be  $n$ -factor-critical for any  $n > 0$ . However, there has been lots of research on the relationship between  $k$ -extendable non-bipartite graphs and  $n$ -factor-critical graphs. Most of the results can be viewed as answers to the following problem, which has been posted by Favaron [3] and Yu [11], in slightly different forms.

**Problem 1.** *Does there exist a non-null function  $f(k)$  such that every  $k$ -extendable non-bipartite graph of even order  $\nu \geq 2k + 2$  is  $f(k)$ -factor-critical?*

The following two results of Plummer [8] are answers to  $k = 2, 3$ .

**Theorem 1.2.** *Let  $G$  be 2-extendable and non-bipartite with  $\nu \geq 6$ , then  $G$  is bicritical.*

**Theorem 1.3.** *Let  $G$  be 3-extendable and bicritical with  $\nu \geq 8$ , then  $G - e$  is again bicritical for any  $e \in E(G)$ .*

And they have been generalized for all  $k \geq 0$ , as below.

**Theorem 1.4.** (Favaron [3], Liu and Yu [5]) For even integer  $k \geq 0$ , every connected, non-bipartite,  $k$ -extendable graph of even order  $\nu > 2k$  is  $k$ -factor-critical.

**Theorem 1.5.** (Favaron [3]) For even integer  $k \geq 0$ , every connected non-bipartite,  $(k + 1)$ -extendable graph  $G$  of even order  $\nu \geq 2k + 4$  is  $k$ -factor-critical. Moreover,  $G - e$  is  $k$ -factor-critical for every edge  $e$  of  $G$ .

In light of Theorem 1.1, if under some conditions  $k$ -extendable graphs are  $2k$ -factor-critical, then the two classes of graphs are equal. The following results show that this happens when  $k$  is large relative to  $\nu$ .

**Theorem 1.6.** (Favaron and Shi [4]) Every  $((\nu/2) - 2)$ -extendable non-bipartite graph with  $\nu \geq 14$  is  $(\nu - 4)$ -factor-critical.

**Theorem 1.7.** (Yu [11]) Let  $G$  be a non-bipartite graph of even order and  $k$  an integer. If  $G$  is  $k$ -extendable and  $k \geq 2(\nu + 1)/3$ , then  $G$  is  $2k$ -factor-critical.

Following this direction, we give a better lower bound of  $k$  and show that it is the best possible. Furthermore, we show a similar equivalent relationship between  $(2k + 1)$ -factor-critical graphs and  $k\frac{1}{2}$ -extendable graphs.

The following lemmas will be used in the proofs of the main results.

**Lemma 1.8.** (Plummer [8]) If  $G$  is a  $k$ -extendable graph on  $\nu \geq 2k + 2$  vertices where  $k \geq 1$ , then  $G$  is also  $(k - 1)$ -extendable.

**Lemma 1.9.** (Yu [10]) If  $G$  is a  $k\frac{1}{2}$ -extendable graph, then  $G$  is also  $(k - 1)\frac{1}{2}$ -extendable.

**Lemma 1.10.** (Lou and Yu [7]) If  $G$  is a  $k$ -extendable graph with  $k \geq \nu/4$ , then either  $G$  is bipartite or  $\kappa(G) \geq 2k$ .

**Lemma 1.11.** If  $G$  is a  $k$ -extendable graph, then  $G$  is also  $m$ -extendable for all integers  $0 \leq m \leq k$ . If  $G$  is a  $k\frac{1}{2}$ -extendable graph, then  $G$  is also  $m\frac{1}{2}$ -extendable for all integers  $0 \leq m \leq k$ .

*Proof.* Apply repeatedly Lemma 1.8 and Lemma 1.9. ■

## 2 Equivalence between extendibility and factor-criticality

**Theorem 2.1.** If  $k \geq (\nu + 2)/4$ , then a non-bipartite graph  $G$  is  $k$ -extendable if and only if it is  $2k$ -factor-critical.

*Proof.* We only need to prove that if  $k \geq (\nu + 2)/4$ , a  $k$ -extendable non-bipartite graph is  $2k$ -factor-critical.

Let  $G$  be a  $k$ -extendable non-bipartite graph satisfying  $k \geq (\nu + 2)/4$  but not  $2k$ -factor-critical. Then, there exists a vertex set  $S \subseteq V(G)$  with order  $2k$ , such that  $G - S$  has no perfect matching. Moreover, we choose  $S$  so that the size of the maximum matching of  $G[S]$  has the maximum value  $r_0$ . Clearly,  $r_0 \leq k - 1$ .

Let  $M_S$  be a maximum matching of  $G[S]$ , then there exists two vertices  $u_1$  and  $u_2$  in  $G[S]$  that are not covered by  $M_S$ . By Lemma 1.11,  $M_S$  is contained in a perfect matching  $M$  of  $G$ . Let  $u_i v_i \in M$ , where  $v_i \in V(G - S)$ ,  $i = 1, 2$ . Let  $S' = (S \setminus \{u_2\}) \cup \{v_1\}$ . Then  $M_S \cup \{u_1 v_1\}$  is a matching of  $G[S']$  of size  $r_0 + 1$ . By the choice of  $S$ ,  $G - S'$  has a perfect matching  $M_{S'}$ , and  $|M_{S'}| \leq k - 1$ . By Lemma 1.11,  $M_{S'}$  is contained in a perfect matching  $M'$  of  $G$ . Clearly,  $M' \cap E(G[S'])$  is a perfect matching of  $G[S']$  and  $M' \cap E(G[S])$  is a matching of  $G[S]$  of size  $k - 1$ . Therefore,  $r_0 = k - 1$ . Then  $M_{\bar{S}} = M \cap E(G - S)$  is a maximum matching of  $G - S$  of size  $r = |V(G - S)|/2 - 1 \leq k - 2$ , and  $v_1 v_2 \notin E(G)$ .

Let  $M_S = \{x_1 x_2, \dots, x_{2k-3} x_{2k-2}\}$ ,  $M_{\bar{S}} = \{y_1 y_2, \dots, y_{2r-1} y_{2r}\}$ . If  $v_1 y_1, v_2 y_2 \in E(G)$ , then  $M_{\bar{S}} \cup \{v_1 y_1, v_2 y_2\} \setminus y_1 y_2$  is a perfect matching of  $G - S$ , contradicting our assumption. Hence  $|\{v_1 y_1, v_2 y_2\} \cap E(G)| \leq 1$ . Similarly,  $|\{v_1 y_2, v_2 y_1\} \cap E(G)| \leq 1$ . So  $e(\{v_1, v_2\}, \{y_1, y_2\}) \leq 2$ . Similarly,  $e(\{v_1, v_2\}, \{y_{2i-1}, y_{2i}\}) \leq 2$  for  $1 \leq i \leq r$ .

If  $v_1 x_1, v_2 x_2 \in E(G)$ , then  $M_S \cup \{v_1 x_1, v_2 x_2\}$  is a matching of  $G$  of size no more than  $k$ . By Lemma 1.11,  $M_S \cup \{v_1 x_1, v_2 x_2\}$  is contained in a perfect matching  $M''$  of  $G$ . But then  $(M'' \cap E(G[S])) \cup \{x_1 x_2\}$  is a perfect matching of  $G[S]$ , a contradiction. Hence,  $|\{v_1 x_1, v_2 x_2\} \cap E(G)| \leq 1$ . Similarly,  $|\{v_1 x_2, v_2 x_1\} \cap E(G)| \leq 1$ . So  $e(\{v_1, v_2\}, \{x_1, x_2\}) \leq 2$ . Similarly,  $e(\{v_1, v_2\}, \{x_{2i-1}, x_{2i}\}) \leq 2$  for  $1 \leq i \leq k - 1$ .

Then we have

$$d(v_1) + d(v_2) \leq 2(k - 1) + 2r + 4 \leq 2(k - 1) + 2(k - 2) + 4 = 4k - 2.$$

But by Lemma 1.10,  $\delta(G) \geq \kappa(G) \geq 2k$ . So  $d(v_1) + d(v_2) \geq 2k + 2k = 4k$ , a contradiction.  $\blacksquare$

To show that the lower bound in Theorem 2.1 is best possible, we consider the following class of graphs. Let  $G^{(k)} = (K_{2k-1} \cup K_1) \vee (K_{2k-1} \cup K_1)$ ,  $k \geq 2$ . Then  $\nu(G^{(k)}) = 4k$  and  $G^{(k)}$  is non-bipartite.

**Theorem 2.2.**  $G^{(k)}$  is  $k$ -extendable but not  $2k$ -factor-critical.

*Proof.* Let  $G_1$  and  $G_2$  be two copies of  $K_{2k-1} \cup K_1$  and  $G^{(k)} = G_1 \vee G_2$ .  $G^{(k)}$  is not  $2k$ -factor-critical, since  $G_2 = G^{(k)} - V(G_1)$  does not have a perfect matching. Now we prove that  $G^{(k)}$  is  $k$ -extendable.

Let  $M$  be a matching of size  $k$  in  $G$ , we show that  $G^{(k)} - V(M)$  has a perfect matching. Let  $|M \cap E(G_i)| = k_i$ ,  $i = 1, 2$ , then  $k_1, k_2 \leq k - 1$ . Without loss of generality we suppose  $k_1 \geq k_2$ . The size of the maximum matching in  $G_2 - V(M)$  is no less than  $\lfloor (2k - 1 - (k - k_1 - k_2) - 2k_2)/2 \rfloor = \lfloor ((k - 1) + (k_1 + k_2) - 2k_2)/2 \rfloor \geq \lfloor (2k_1 - 2k_2)/2 \rfloor = k_1 - k_2$ . Therefore we can find a matching  $M'$  of size  $k_1 - k_2$  in  $G_2 - V(M)$ .

In  $G^{(k)} - V(M) - V(M')$ , half of the vertices are from  $G_1$  and the other half are from  $G_2$ , hence we can find nonadjacent edges from  $G_1$  to  $G_2$  covering all vertices in it. So we get a perfect matching in  $G^{(k)} - V(M)$  and  $G^{(k)}$  is  $k$ -extendable. ■

Now we divert our attention to  $k\frac{1}{2}$ -extendable graphs and  $(2k+1)$ -factor-critical graphs. Note that by definition a  $k\frac{1}{2}$ -extendable graph can never be bipartite.

**Theorem 2.3.** *If  $k \geq (\nu - 3)/4$ , then a graph  $G$  is  $k\frac{1}{2}$ -extendable if and only if it is  $(2k + 1)$ -factor-critical.*

*Proof.* We only need to prove that for  $k \geq (\nu - 3)/4$ , a  $k\frac{1}{2}$ -extendable graph  $G$  is  $(2k + 1)$ -factor-critical.

Suppose that  $G$  is a  $k\frac{1}{2}$ -extendable graph with  $k \geq (\nu - 3)/4$ , but not  $(2k + 1)$ -factor-critical. Then, there exists a set  $S \subseteq V(G)$  of order  $2k + 1$ , such that there is no perfect matching in  $G - S$ . Denote by  $r$  the size of the maximum matching in  $G[S]$ . Clearly,  $r \leq k - 1$ .

Let  $M_S$  be a maximum matching of  $G[S]$ , and  $v_1$  be a vertex of  $G[S]$  not covered by  $M_S$ . Then by Lemma 1.11,  $M_S$  is contained in a perfect matching  $M$  of  $G - v_1$ . Then  $M \cap E(G - S)$  is a matching of  $G - S$  of size at most  $|V(G - S)|/2 - 1 \leq k$ .

Let  $v$  be a vertex in  $G - S$  not covered by  $M \cap E(G - S)$ , then  $M \cap E(G - S)$  is contained in a perfect matching  $M'$  of  $G - v$ . But  $M' \cap E(G[S])$  is a matching of  $G[S]$  of size at least  $r + 1$ , a contradiction. ■

We present a class of graphs below to show that the bound in Theorem 2.3 is best possible. Let  $H^{(k)} = I_{k+2} \vee (K_{k+3} \cup K_{2k})$ . Then  $\nu(H^{(k)}) = 4k + 5$ .

**Theorem 2.4.**  *$H^{(k)}$  is  $k\frac{1}{2}$ -extendable but not  $(2k + 1)$ -factor-critical.*

*Proof.* Let  $H_1 = I_{k+2}$ ,  $H_2 = K_{k+3}$ ,  $H_3 = K_{2k}$  and  $H^{(k)} = H_1 \vee (H_2 \cup H_3)$ .

Let  $S_1$  be a subset of  $V(H_2)$  of order  $k - 2$  and  $u \in V(H_3)$ . Let  $S_0 = V(H_1) \cup S_1 \cup \{u\}$ . Then  $|S_0| = 2k + 1$  and  $H^{(k)} - S_0$  does not have a perfect matching. Therefore  $H^{(k)}$  is not  $(2k + 1)$ -factor-critical.

To prove the  $k\frac{1}{2}$ -extendibility of  $H^{(k)}$ , we let  $v \in V(H^{(k)})$ ,  $M$  be a matching of size  $k$  in  $H^{(k)} - v$  and  $S = \{v\} \cup V(M)$ . We show that  $H^{(k)} - S$  has a perfect matching.

Let  $V_1 = V(H_1) - S$ ,  $V_2 = V(H_2) - S$  and  $V_3 = V(H_3) - S$ . The existence of a perfect matching in  $H^{(k)} - S$  is equivalent to the existence of a partition of  $V_1$  into two subsets  $V_1'$  and  $V_1''$ , such that  $|V_1'| \leq |V_2|$ ,  $|V_1''| \leq |V_3|$ ,  $|V_1'| \equiv |V_2| \pmod{2}$  and  $|V_1''| \equiv |V_3| \pmod{2}$ . Since  $|V_1| + |V_2| + |V_3| = |V(G)| - (2k + 1)$  is even,  $|V_1|$  and  $|V_2| + |V_3|$  have the same parity. And since  $|V_2| + |V_3| \geq (k + 3) + 2k - (2k + 1) = k + 2 \geq |V_1| \geq k + 2 - 1 - k = 1$ , such a partition can always be obtained. Hence we find a perfect matching in  $H^{(k)} - S$  and  $H^{(k)}$  is  $k\frac{1}{2}$ -extendable. ■

### 3 Final remarks

As we have pointed out earlier, a  $k$ -extendable bipartite graph  $G$  can not be  $n$ -factor-critical for any  $n > 0$ . This is because we can choose a vertex set  $S$  of order  $n$  so that  $G - S$  is not balanced. However, for  $n = 2k$ , if we keep the two partitions of  $G - S$  balanced when we choose  $S$ , then  $G - S$  does have a perfect matching. This is a result by Plummer [9].

**Theorem 3.1.** *Let  $G$  be a connected bipartite graph with bipartition  $(U, W)$  and suppose  $k$  is a positive integer such that  $k \leq \nu/2 - 1$ . Then  $G$  is  $k$ -extendable if and only if for all  $u_1, \dots, u_k \in U$  and  $w_1, \dots, w_k \in W$ ,  $G' = G - u_1 - \dots - u_k - w_1 - \dots - w_k$  has a perfect matching.*

Hence, following the terms in the definition of  $n$ -factor-critical graphs, if we define “ $2k$ -factor-criticality” in a balanced bipartite graph  $G$  so that we keep the two partitions of  $G - S$  balanced when choosing  $S$ , then  $G$  is  $k$ -extendable if and only if it is “ $2k$ -factor-critical”, for  $0 \leq k \leq \nu/2 - 1$ .

Plummer [8] has proved that  $\kappa(G) \geq k + 1$  for a  $k$ -extendable graph  $G$ . Hence  $\delta(G) \geq \kappa(G) \geq k + 1$ . For minimal  $k$ -extendable bipartite graphs, the following result of Lou [6] shows that the bound can always be reached.

**Theorem 3.2.** *Every minimal  $k$ -extendable bipartite graph  $G$  with bipartition  $(U, W)$  has at least  $2k + 2$  vertices of degree  $k + 1$ . Furthermore, both  $U$  and  $W$  contain at least  $k + 1$  vertices of degree  $k + 1$ .*

While for minimal  $k$ -extendable non-bipartite graphs we have not found such a simple characterization. When  $k = 1$ , the minimum degree can be 2 or 3. And no result is known for  $k \geq 2$ . Illuminated by Lemma 1.10, Lou and Yu [7] raised the following conjecture.

**Conjecture 1.** *Let  $G$  be a minimal  $k$ -extendable graph on  $\nu$  vertices with  $\nu/2 + 1 \leq 2k + 1$ . Then  $\delta(G) = k + 1, 2k$  or  $2k + 1$ .*

For minimal  $n$ -factor-critical graphs, Favaron and Shi [4] raised the following conjecture.

**Conjecture 2.** *Every minimal  $n$ -factor-critical graph  $G$  has  $\delta(G) = n + 1$ .*

By the results obtained, we see that except the case that  $\nu = 4k$ , Conjecture 1 is actually part of Conjecture 2 and the value  $2k$  in Conjecture 1 can be excluded.

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