

ON MAXIMAL SUBFIELDS OF ENVELOPING SKEWFIELDS IN PRIME CHARACTERISTICS

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ABSTRACT. As was shown by Schue [6] there always exist two maximal subfields of the enveloping skewfields of a solvable Lie p -algebra, such that one is Galois and the second purely inseparable of exponent 1 over the centre. In this paper we obtain similar results for arbitrary solvable Lie algebras in prime characteristic, and for the Zassenhaus algebras. A key result here is to describe relations between maximal subfields in a polynomial extension of a division ring, and those of the base ring. We also provide a description of the enveloping algebra of the p -envelope of a Lie algebra as a polynomial extension of the smaller enveloping algebra.

INTRODUCTION

Let D be a division ring which is finitely generated over its centre Z , and let K be a subfield of D . The centralizer of K in D is defined by $C_D(K) = \{x \in D \mid [x, K] = 0\}$. The subfield K is called a *maximal subfield of D* if $C_D(K) = K$. Alternatively, a subfield $K \subseteq D$ containing Z is maximal if and only if $[D : K] = [K : Z] = \sqrt{[D : Z]}$ [2, thm. 4.2.2 and 4.3.2]. For more details about maximal subfields in the division rings one is referred to [2, 9].

A natural question is whether any central simple algebra affords a maximal subfield which is Galois over the centre (equivalently, whether such an algebra is a crossed product). The answer to this question is negative in general, see [9, Theorem 7.1.30]. In some special cases the answer may be positive. In [6], J. Schue showed that this is the case for the division ring of fractions of the enveloping algebra of a solvable Lie p -algebra over a field \mathbb{F} of characteristic $p > 2$. In addition, in [6] was also shown the existence of a maximal subfield which is purely inseparable of exponent one over the centre.

The present paper is concerned with similar questions when L is any solvable Lie algebra over a field of prime characteristic. In particular a positive result is obtained for any solvable, not necessarily restrictable, Lie algebra of characteristic $p > 2$. Using a construction by Ermolaev [1], we also obtain a result for some simple Lie algebras, namely the Zassenhaus algebras.

The paper is organised as follows. In Section 1.1, we assume that D is a p -division algebra, ie. the dimension $[D : Z]$ is a power of $p = \text{char}(Z)$. In that situation, we provide a link between the notions of tori in D , and Galois extensions of Z inside D whose Galois groups are p -elementary abelian (Theorem 1.1.4). In Section 1.2, we establish a reduction principle to construct maximal subfields in D from maximal subfields in a rational function field $D(u)$ (Proposition 1.2.4). As a corollary, we show that the structure of maximal commutative subfields can be transferred between D and $D(u)$ (Theorem 1.2.5).

Applications are given in Section 2. Let L be any solvable Lie algebra, or a Zassenhaus algebra (see 2.2.1 for the definition). It is proved that the enveloping skewfield of L in characteristic $p > 2$ contains maximal subfields which are Galois (resp. purely inseparable of exponent 1) over the centre (Theorems 2.1.7 and 2.2.2). A crucial ingredient for the proof in the solvable case is

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the following. We prove that the enveloping field of a p -envelope of L is isomorphic to a ring of rational functions over the enveloping skewfield of L (Proposition 2.1.6). In view of the previous results, this allows us to reduce to the case of restrictable Lie algebras, which is known by results of J. Schue [6].

As a consequence of these theorems, we also show that the enveloping skewfield of L defines an element of order p in the Brauer group of its centre, when L is solvable and non-abelian, or $L = W(1, m)$. This suggests the following conjecture:

Conjecture. Let L be a non-abelian Lie algebra over a fields of characteristic $p > 2$, and let $K(L)$ be the enveloping skewfield. Then, $K(L)$ defines an element of order p in the Brauer group of its centre.

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1. A REDUCTION PRINCIPLE FOR DIVISION RINGS

In what follows, we denote by $[V : D] := \dim_D(V)$ for a left vector space V over a division ring D . For an algebra A , we denote $Z(A)$ the centre of A . For a prime number p , we denote by \mathbb{Z}_p the cyclic group with p elements and \mathbb{F}_p the field with p elements; we use this notation to emphasise the field structure.

1.1. Preliminaries: tori in p -division algebras.

1.1.1. Before we deal with the reduction principle, we need some results on commutative subfields and tori in p -division algebras. Let D be a p -division algebra, that is to say, a division ring of characteristic $p > 0$, of dimension some power of p over its centre. We are interested in linking the notion of a torus in D with some class of subfields of D , which are Galois extensions of the centre Z . Recall that an element $t \in D$ is *toral* if $t^p - t \in Z$. Alternatively, this means that the inner derivation $\text{ad}(t)$ is a toral element in the restricted Lie algebra $\text{Der}_Z(D)$ [8, p. 79]. A *torus* is a commutative Z -subspace $T \subseteq D$ which is spanned by toral elements. In particular, $\text{ad}(T)$ is a torus in $\text{Der}_Z(L)$ [8, p.86]. We define the *rank of T* to be $[\text{ad}(T) : Z]$.

Clearly, the unit element 1 is toral, and if T_0 is a torus, then $Z + T_0$ is a torus as well, of same rank. Since we are concerned with the adjoint action of tori on the division ring D , we will henceforth only consider tori containing 1.

1.1.2. We recall some standard facts related to actions of a torus. Let T be a torus, then there is a weight space decomposition

$$(1.1) \quad D = \bigoplus_{\lambda \in \Lambda} D_\lambda,$$

where $\Lambda \subseteq T^* = \text{Hom}_Z(T, Z)$ is the *set of weights (of T in D)*. By definition,

$$D_\lambda = \{x \in D \mid (\forall t \in T), [t, x] = \lambda(t)x\},$$

and Λ is the set of linear forms λ such that $D_\lambda \neq (0)$. Note that $D_0 = C_D(T)$, the centralizer of T in D . It is easily seen that each D_λ is a D_0 -vector space (on the left and on the right), of dimension 1. Furthermore, one readily checks that Λ is an additive subgroup of T^* , and the decomposition (1.1) is a Λ -grading of D .

1.1.3. The following result is essentially known [6, Section 2]. We give a different proof and a more precise statement.

Lemma. *Let D be a p -division algebra with centre Z . Let $T \subseteq D$ be a torus of rank d , and Λ be the corresponding set of weights. Then:*

- (1) *The group $\Lambda \simeq \mathbb{Z}_p^d$.*

- (2) Let $Z(T) \subseteq D$ be the subfield generated by Z and T . Then $Z(T)$ is Galois over Z , and $\text{Gal}(Z(T)/Z) \simeq \Lambda$.

Proof. (1) We may assume that T contains 1. Let $\{t_0, \dots, t_d\}$ be a toral basis of T , with $t_0 = 1$. Let $T_p = \sum_{i=1}^d \mathbb{F}_p t_i$, and $\Lambda_p := \{\lambda|_{T_p} \mid \lambda \in \Lambda\} \subseteq \text{Hom}_{\mathbb{F}_p}(T_p, Z)$. Since $t_0 = 1$ acts trivially on D , it is clear that $\Lambda \simeq \Lambda_p$. We will show that $\Lambda_p = T_p^* := \text{Hom}_{\mathbb{F}_p}(T_p, \mathbb{F}_p)$, which will prove our first assertion.

First we show that $\Lambda_p \subseteq T_p^*$. For each $i \in \{1, \dots, d\}$, we have $(\text{ad } t_i)^p - (\text{ad } t_i) = 0$. Let $\lambda \in \Lambda$; since each $\lambda(t_i)$ is an eigenvalue of $\text{ad } t_i$, we obtain $\lambda(t_i) \in \mathbb{F}_p$ as we wanted. For the reverse inclusion, we consider the natural non-degenerate pairing

$$\begin{aligned} T_p \times T_p^* &\rightarrow \mathbb{F}_p \\ (t, \lambda) &\mapsto \lambda(t). \end{aligned}$$

Let $t \in \Lambda_p^\perp \subseteq T_p$. For all $\lambda \in \Lambda_p$ and all $x_\lambda \in D_\lambda$, we have $[t, x_\lambda] = \lambda(t)x_\lambda = 0$. Owing to (1.1), we obtain $[t, D] = 0$, so that $t \in Z \cap T_p$. Since $\{1, t_1, \dots, t_d\}$ is a Z -linearly independent family, we get $t = 0$. This proves $\Lambda_p^\perp = (0)$, hence $\Lambda_p = T_p^*$.

(2) For all $i \in \{1, \dots, d\}$, we have $t_i^p - t_i \in Z$, hence $[Z(T) : Z] \leq p^d$. Furthermore, since each t_i is separable over Z , it follows that $Z(T)$ is separable over Z . In particular it admits a primitive element, say $\alpha \in Z(T)$.

Let $P(X) \in Z[X]$ be the minimal polynomial of α over Z , so that $\deg(P) = [Z(T) : Z]$. It is known that the cardinality $|\text{Aut}_Z Z(T)|$ is the number of roots of $P(X)$ in $Z(T)$, whence $|\text{Aut}_Z Z(T)| \leq [Z(T) : Z]$. Thus, to show that $Z(T)$ is normal (and hence Galois) over Z it suffices to prove that $|\text{Aut}_Z Z(T)| = [Z(T) : Z]$.

For all $\lambda \in \Lambda$, choose a non-zero element $x_\lambda \in D_\lambda$. For all $t \in T$, it is easily seen that $x_\lambda t x_\lambda^{-1} = t - \lambda(t)$, so that the inner automorphism defined by x_λ induces an automorphism $\sigma_\lambda \in \text{Aut}_Z Z(T)$. One readily checks that the assignment $\lambda \in \Lambda \mapsto \sigma_\lambda \in \text{Aut}_Z Z(T)$ is a group homomorphism. It is also injective, because $\sigma_\lambda = \text{id}$ if and only if $t - \lambda(t) = \sigma_\lambda(t) = t$ for all $t \in T$. It follows $p^d \geq [Z(T) : Z] \geq |\text{Aut}_Z Z(T)| \geq |\Lambda| = p^d$. Hence, equality holds everywhere. This shows that $Z(T)$ is Galois over Z , with $\text{Gal}(Z(T)/Z) \simeq \Lambda$.

1.1.4. Theorem. Let D be a finite-dimensional p -division algebra over its centre Z . Let $K \subseteq D$ be a commutative extension field of Z . The following are equivalent:

- (i) There exists a torus $T \subseteq K$ of rank d , such that $K = Z(T)$;
- (ii) K is a Galois extension of Z , and $\text{Gal}(K/Z)$ is a p -elementary abelian group of rank d .

Proof. (i) \Rightarrow (ii) follows from Lemma 1.1.3, as well as the equality of ranks.

For (ii) \Rightarrow (i), assume that K is Galois over Z , with $\text{Gal}(K/Z) \simeq \mathbb{Z}_p^d =: \Gamma$. For each $i \in \{1, \dots, d\}$, let $\Gamma_i = \mathbb{Z}_p \times \dots \times \{0\} \times \dots \times \mathbb{Z}_p$, where the trivial group occurs on the i -th slot. Set $K_i = K^{\Gamma_i}$. By [4, Cor. VI.1.16], we have $K = K_1 \cdots K_d$. Furthermore, each K_i is Galois over Z with Galois group $\Gamma/\Gamma_i \simeq \mathbb{Z}_p$. By the Theorem of Artin-Schreier [4, Th. VI.6.4], there exist $c_i \in Z, t_i \in K_i$ such that $K_i = Z(t_i)$ and $t_i^p - t_i - c_i = 0$. Then, $T = \sum_{i=1}^d Z t_i$ is a torus such that $K = Z(T)$.

1.1.5. We record a few more general results on tori in D .

Proposition. Let D be a division p -algebra with centre Z . Let $[D : Z] = p^{2n}$, and let T be a torus of rank d . Recall the weight space decomposition $D = \bigoplus_{\lambda \in \Lambda} D_\lambda$. Then, the following are equivalent:

- (i) $n = d$;
- (ii) $Z(T)$ is a maximal subfield;
- (iii) D_0 is a maximal subfield;
- (iv) $D_0 = Z(T)$;
- (v) D_0 is commutative;

(vi) $|\Lambda| = p^n$.

Proof. By Lemma 1.1.3, we have $|\Lambda| = p^d$, which proves (i) \iff (vi). The same lemma also gives $[Z(T) : Z] = p^d$. Recall that a commutative subfield $K \subseteq D$ containing Z is maximal if and only if $[K : Z] = p^n$, yielding (i) \iff (ii). Alternatively, such a field K is maximal commutative if and only if $C_D(K) = K$, if and only if $C_D(K)$ is commutative. Taking into account the fact that $C_D(Z(T)) = C_D(T) = D_0$, we readily obtain (ii) \iff (iv) \iff (v). Finally, we have $C_D(D_0) \subseteq C_D(T) = D_0$. Hence, $C_D(D_0) = D_0$ if and only if D_0 is commutative, if and only if $C_D(D_0)$ is maximal commutative. This proves (iii) \iff (v).

1.2. The reduction principle.

1.2.1. In this section, we consider a finite-dimensional central division algebra D over an infinite field Z . No restriction is made a priori on $\text{char}(Z)$. We will say that a property *holds for almost all* $\lambda \in Z$ (or: *generically*) if the property holds for all except a finite number of values of λ .

1.2.2. *Rational functions over a division ring.* Consider the polynomial ring in several variables $Z[\underline{u}] = Z[u_1, \dots, u_q]$, with field of fractions $Z(\underline{u}) = \text{Frac } Z[\underline{u}]$. Consider $D[\underline{u}] := D \otimes_Z Z[\underline{u}]$, the polynomial ring in q variables over D . Note that $D[\underline{u}] \simeq D \otimes_{\mathbb{F}} \mathbb{F}[\underline{u}]$ for any central subfield $\mathbb{F} \subseteq Z$. We will identify D and $Z[\underline{u}]$ with the subalgebras $D \otimes_Z Z$ and $Z \otimes_Z Z[\underline{u}]$ of $D[\underline{u}]$. When $q = 1$, we use the symbol u instead of \underline{u} or u_1 . The following results are well-known:

Lemma.

- (1) The ring $D[\underline{u}]$ has a division ring of fractions, denoted $D(\underline{u})$.
- (2) The centre of $D(\underline{u})$ is $Z(\underline{u})$, and $[D(\underline{u}) : Z(\underline{u})] = [D : Z]$. Further, $D(\underline{u}) \simeq D \otimes_Z Z(\underline{u})$.
- (3) For all $\underline{\lambda} = (\lambda_1, \dots, \lambda_q) \in Z^q$, there exists a unique algebra homomorphism $\pi_{\underline{\lambda}} : D[\underline{u}] \rightarrow D$ such that $\pi_{\underline{\lambda}}|_D = \text{id}_D$ and $\pi_{\underline{\lambda}}(u_i) = \lambda_i$ for all $i \in \{1, \dots, q\}$.

1.2.3. For any subspace $V \subseteq D$ and $\lambda \in Z$, we define $\overline{V}_{\lambda} := \pi_{\lambda}(V \cap D[u]) \subseteq D$, which we call a *specialization of V* . If $V \supseteq Z(u)$, then $\overline{V}_{\lambda} \supseteq Z$. We will need the following simple lemma:

Lemma.

- (1) Let $\{a_1, \dots, a_n\} \subseteq D[u]$ be $Z(u)$ -linearly independent. Then, for almost all $\lambda \in Z$, the specializations $\{\pi_{\lambda}(a_1), \dots, \pi_{\lambda}(a_n)\} \subseteq D$ are linearly independent over Z .
- (2) Let $V \subseteq D(u)$ be a $Z(u)$ -subspace of dimension n . Then, for almost all $\lambda \in Z$, the specialization \overline{V}_{λ} is a Z -subspace of dimension n .

Proof. (1) Let $\mathcal{B} = \{\beta_1, \dots, \beta_N\}$ be a basis of D over Z , so that it is also a basis of $D[u]$ over $Z[u]$. Decompose each $a_i = \sum_{j=1}^N f_{ij} \beta_j$, with $f_{ij} = f_{ij}(u) \in Z[u]$. Let $A = [f_{ij}] \in M_{n,N}(Z[u])$. Since $\{a_1, \dots, a_n\}$ is linearly independent over $Z(u)$, there is an $n \times n$ submatrix A_0 such that the minor $\det(A_0) \in Z[u] \setminus \{0\}$.

Now note that for all i , $\pi_{\lambda}(a_i) = \sum_j \pi_{\lambda}(f_{ij})\beta_j$, so that the matrix $\pi_{\lambda}(A)$ represents the vectors $\{\pi_{\lambda}(a_1), \dots, \pi_{\lambda}(a_n)\}$ in the basis \mathcal{B} . Then, the $n \times n$ minor $\det((\pi_{\lambda}(A_0))) = \pi_{\lambda}(\det A_0)$, which is non-zero for almost all values of λ . Hence, the matrix $\pi_{\lambda}(A)$ has full rank for almost all λ , in which case the family $\{\pi_{\lambda}(a_1), \dots, \pi_{\lambda}(a_n)\}$ is linearly independent over Z .

(2) Let $\{a_1, \dots, a_n\}$ be a $Z(u)$ -basis of V . After multiplication by a suitable non-zero element of $Z[u]$, we may assume that all $a_i \in D[u]$. By (1), these elements almost always reduce to linearly independent elements in \overline{V}_{λ} , hence $[\overline{V}_{\lambda} : Z] \geq [V : Z(u)]$ for almost all $\lambda \in Z$. Conversely, let $\{b_1, \dots, b_m\} \subseteq V \cap D[u]$ be a lifting of some Z -basis of \overline{V}_{λ} and let $B \in M_{m,N}(Z[u])$ be the corresponding coefficients matrix. Since $\{\pi_{\lambda}(b_1), \dots, \pi_{\lambda}(b_m)\}$ are linearly independent, as above there exists a non-vanishing $m \times m$ minor in the reduced matrix $\pi_{\lambda}(B)$. The corresponding minor of B is also nonzero, so that the matrix B has rank m over $Z(u)$. It readily follows $[\overline{V}_{\lambda} : Z] \leq [V : Z(u)]$.

1.2.4. Now we are ready to prove the reduction principle. We keep the previous notations.

Proposition. *Let $K \subseteq D(u)$ be an extension field of $Z(u)$, and $\lambda \in Z$.*

- (1) *The specialization $\overline{K}_\lambda \subseteq D$ is an extension field of Z , and for almost all $\lambda \in Z$ we have $[\overline{K}_\lambda : Z] = [K : Z(u)]$. In particular, if K is a maximal commutative subfield of $D(u)$, then \overline{K}_λ is generically a maximal subfield of D .*
- (2) *If K is Galois over $Z(u)$, then K_λ is Galois over Z for almost all $\lambda \in Z$.*

If $\text{char}(Z) = p > 0$, we have in addition:

- (3) *If K is purely inseparable of exponent r over $Z(u)$, then \overline{K}_λ is purely inseparable over Z , of exponent $\leq r$. Equality holds for almost all $\lambda \in Z$.*
- (4) *If K is Galois over $Z(u)$, with Galois group \mathbb{Z}_p^r , then for almost all $\lambda \in Z$, \overline{K}_λ is Galois over Z , with $\text{Gal}(\overline{K}_\lambda, Z) \simeq \mathbb{Z}_p^r$.*

Proof. (1) By construction, \overline{K}_λ is a finite-dimensional commutative domain over Z , so it is a field. Now, if $K \subseteq D(u)$ is a maximal subfield, then $[K : Z(u)]^2 = [D(u) : Z(u)]$. By Lemma 1.2.3, for almost all $\lambda \in Z$, we have $[\overline{K}_\lambda : Z]^2 = [K : Z(u)]^2 = [D : Z]$, and hence \overline{K}_λ is a maximal subfield of D .

(2) Choose a primitive element $\alpha \in K$ over $Z(u)$. After multiplying by a suitable element of $Z[u]$ we may assume that $\alpha \in D[u]$. Let $P(X) = \sum_{i=1}^n c_i X^i \in Z(u)[X]$ be the minimal polynomial of α over $Z(u)$. Since K is Galois over $Z(u)$, this polynomial splits into linear factors $P(X) = \prod_{i=1}^n (X - \alpha_i)$, where each $\alpha_i \in K$. Now choose an element $c \in Z[u] \setminus \{0\}$ such that $c\alpha_i \in D[u]$ for all i . Then α is a root of $c^n P(X) = \prod_{i=1}^n (cX - c\alpha_i) \in Z[u][X]$. For almost all $\lambda \in Z$, the element $\pi_\lambda(c) \neq 0$. Then $\pi_\lambda(\alpha)$ is a root of

$$P_\lambda(X) := \prod_{i=1}^n (X - \pi_\lambda(c)^{-1} \pi_\lambda(c\alpha_i)) \in Z[X].$$

Indeed, since $\alpha \in D[u]$ we can write $\pi_\lambda(c\alpha) = \pi_\lambda(c)\pi_\lambda(\alpha)$, and hence $(X - \alpha) \mid P_\lambda(X)$.

Now note that $\{c\alpha_1, \dots, c\alpha_n\}$ is a $Z(u)$ -basis of K . By Lemma 1.2.3, $\{\pi_\lambda(c\alpha_1), \dots, \pi_\lambda(c\alpha_n)\}$ is a Z -basis of \overline{K}_λ for almost all $\lambda \in Z$. It follows that $P_\lambda(X)$ is a separable polynomial, and also that $\overline{K}_\lambda = Z(\pi_\lambda(c\alpha_1), \dots, \pi_\lambda(c\alpha_n))$ is the splitting field of $P_\lambda(X)$. This proves that \overline{K}_λ is a Galois extension of Z .

(3) Let $x \in \overline{K}_\lambda$, and choose an element $a \in K \cap D[u]$ with $\pi_\lambda(a) = x$. Since K is purely inseparable of exponent r , we have $a^{p^r} \in Z[u]$, hence, $x^{p^r} = \pi_\lambda(a^{p^r}) \in Z$.

We check that the inseparability exponents coincide for almost all $\lambda \in Z$. There exists $a \in K$ such that $\{1, a, a^p, \dots, a^{p^{r-1}}\}$ is linearly independent over $Z(u)$. We may assume that $a \in D[u]$. By Lemma 1.2.3, for almost all $\lambda \in Z$ the family $\{1, \pi_\lambda(a), \dots, \pi_\lambda(a)^{p^{r-1}}\}$ is linearly independent over Z : so the inseparability exponent of \overline{K}_λ over Z is $> r - 1$.

(4) Recall that being Galois with a p -elementary abelian Galois group is equivalent to being generated by toral elements (Theorem 1.1.4). So we can write $K = Z(u)(t_1, \dots, t_n)$, where the t_i are toral and $\{1, t_1, \dots, t_n\}$ are $Z(u)$ -linearly independent. It suffices to show that for almost all $\lambda \in Z$, there exist toral elements $\tau_1, \dots, \tau_n \in \overline{K}_\lambda$ such that $\{1, \tau_1, \dots, \tau_n\}$ are Z -linearly independent. Indeed, under these assumptions, we also know that $[K : Z(u)] = p^n = [Z(\tau_1, \dots, \tau_n) : Z]$, yielding $\overline{K}_\lambda = Z(\tau_1, \dots, \tau_n)$.

There exists $c \in Z[u] \setminus \{0\}$ such that all $ct_i \in D[u]$. For almost all $\lambda \in Z$, the family $\{\pi_\lambda(c), \pi_\lambda(ct_1), \dots, \pi_\lambda(ct_n)\}$ is linearly independent over Z . In particular $\pi_\lambda(c) \neq 0$. A straightforward computation shows that each element $(ct_i)^p - c^{p-1}(ct_i)$ is central in $D[u]$. We obtain that each $\pi_\lambda(ct_i)^p - \pi_\lambda(c)^{p-1}\pi_\lambda(ct_i)$ is central, so that each $\tau_i := \pi_\lambda(c)^{-1}\pi_\lambda(ct_i)$ is toral in \overline{K}_λ . And by choice of λ , the family $\{1, \tau_1, \dots, \tau_n\}$ is Z -linearly independent.

1.2.5. *Transfer theorems.* Let D be a finite-dimensional division algebra over its centre Z , and $D(\underline{u})$ be a division ring of rational functions in several variables over D .

Theorem.

- (1) One has $[D(\underline{u}) : Z(\underline{u})] = [D : Z]$, and $\text{Exp } D(\underline{u}) = \text{Exp } D$.
- (2) $D(\underline{u})$ has a maximal subfield which is Galois over $Z(\underline{u})$ if and only if D has a maximal subfield which is Galois over Z .
- (3) When $\text{char}(Z) = p > 0$: $D(\underline{u})$ has a maximal subfield which is purely inseparable of exponent r (resp. Galois with Galois group \mathbb{Z}_p^r) over $Z(\underline{u})$ if and only if D has a maximal subfield with the same property over Z .

Proof. By induction it is enough to prove the theorem for a single variable, that is $q = 1$.

(1) The identity $[D(u) : Z(u)] = [D : Z]$ was proved in 1.2.2. For the exponent, recall that $D(u) \simeq D \otimes_Z Z(u)$ as algebras over $Z(u)$. For tensor powers, we compute:

$$\begin{aligned} D(u) \otimes_{Z(u)} D(u) &\simeq D \otimes_Z Z(u) \otimes_{Z(u)} Z(u) \otimes_Z D \\ &\simeq D \otimes_Z Z(u) \otimes_Z D \\ &\simeq D \otimes_Z D \otimes_Z Z(u). \end{aligned}$$

We obtain inductively that $D(u)^{\otimes n} \simeq D^{\otimes n} \otimes_Z Z(u)$, where the tensor power on the left is taken over $Z(u)$ and the one on the right over Z . If $D^{\otimes n}$ is trivial in the Brauer group $\text{Br}(Z)$, then $D(u)^{\otimes n}$ is trivial in $\text{Br } Z(u)$, so $\text{Exp } D(u) \mid \text{Exp}(D)$. Conversely, assume that $D^{\otimes n} \otimes_Z Z(u) \simeq M_q(Z(u))$, for some $q \geq 1$. We know that $D^{\otimes n} \simeq M_N(\Delta)$, for some central division Z -algebra Δ and some integer $N \geq 1$; it follows $M_N(\Delta) \otimes_Z Z(u) \simeq M_q(Z(u))$. Using the fact that $M_N(\Delta) \otimes_Z Z(u) \simeq M_N(\Delta(u))$, we obtain an isomorphism

$$M_N(\Delta(u)) \simeq M_q(Z(u)).$$

This implies that $\Delta(u) \simeq Z(u)$. It follows that Δ is commutative, whence $\Delta = Z$. Thus, the algebra $D^{\otimes n}$ is trivial in the Brauer group $\text{Br}(Z)$, and $\text{Exp}(D) \mid \text{Exp } D(u)$ as we wanted to show.

(2) and (3) The ‘‘only if’’ part follows from Proposition 1.2.4. Conversely, if D has a maximal subfield K satisfying any of the properties listed in (2) or (3), it is easy to check that $K \otimes_Z Z(u) \subseteq D(u)$ is a maximal subfield with the same property.

2. APPLICATIONS

2.1. Enveloping skewfields of non-restricted Lie algebras.

2.1.1. In this section, \mathbb{F} denotes an algebraically closed field of characteristic $p > 0$. Let L be a finite dimensional Lie algebra over \mathbb{F} . Let $U(L)$ be its enveloping algebra with centre $Z(L)$, and $K(L) = \text{Frac } U(L)$ be the division ring of fractions of $U(L)$. We denote by $C(L)$ the centre of $K(L)$. The main result here is to show that when L is solvable, there always exists maximal subfields of $K(L)$ which are Galois or purely inseparable of exponent one over $C(L)$.

2.1.2. Recall that a Lie algebra is *restrictable* if there exists a map $x \in L \mapsto x^{[p]} \in L$, such that $(\text{ad } x)^p = \text{ad}(x^{[p]})$ for all $x \in L$. If L is restrictable, one can choose this map with some additional properties which mimic the properties of associative p -th powers in an associative algebra. In that case, the map is called a *p-mapping*, and L is called a *restricted Lie algebra*. We don't write down explicitly these properties here, as they are quite technical and irrelevant in our situation; see [8, Chap. 2] for a comprehensive account.

Finally, in the enveloping algebra of a restricted Lie algebra, the subalgebra

$$Z_p(L) := \mathbb{F}\langle x^p - x^{[p]} \mid x \in L \rangle \subseteq U(L)$$

is contained in the centre of $U(L)$, and called the *p-centre of $U(L)$* .

2.1.3. We briefly recall the notion of a p -envelope, see [8, Section 2.5] or [7, Section 1.1] for details. Let L be embedded in a restricted Lie algebra G . The p -envelope of L in G , denoted $L_{(p)}$, is the smallest restricted Lie subalgebra of G containing L . Note that the structure of $L_{(p)}$ depends on the initial embedding. For example, if $L \subseteq \mathfrak{gl}(n)$, then the corresponding p -envelope $L_{(p)}$ is finite-dimensional. On the other hand, consider the natural inclusion $L \subseteq U(L)$, then the associated p -envelope is infinite-dimensional.

In the sequel, we will slightly abuse terminology by referring to “a p -envelope” of a Lie algebra L . By this we will always mean the p -envelope of L in some unspecified larger finite-dimensional restricted Lie algebra. Since L is finite-dimensional, it always affords finite-dimensional p -envelopes [8, Prop 2.5.3].

2.1.4. As an example consider 4-dimensional non restricted Lie algebra L defined by $[x, y] = y$, $[x, z] = \alpha z$, $[x, t] = t + y$ and $[y, z] = [y, t] = [z, t] = 0$, where $\alpha \notin \mathbb{F}_p$. Then L is centreless, and the adjoint representation provides an embedding of L into the restricted Lie algebra $\text{Der}_{\mathbb{F}}(L)$. Then the p -envelope $L_{(p)} \subseteq \text{Der}_{\mathbb{F}}(L)$ is

$$L_{(p)} = \text{ad}(L) + \mathbb{F} \text{ad}(x)^p + \mathbb{F} \text{ad}(x)^{p^2}.$$

Now identify each element $h \in L$ with $\text{ad}(h) \in \text{ad}(L) \subseteq L_{(p)}$, and set $u := \text{ad}(x)^p$, $v := \text{ad}(x)^{p^2}$. We can see that in $L_{(p)}$, we have the relations

$$\begin{aligned} [u, v] &= 0, [u, x] = 0, [u, y] = y, [u, t] = t, [u, z] = \alpha^p z, \\ [v, x] &= 0, [v, y] = y, [v, t] = t, [v, z] = \alpha^{p^2} z. \end{aligned}$$

The other brackets are the ones coming from L . Here, one can check directly that L is an ideal in $L_{(p)}$. The following lemma provides a general description of how L embeds into $L_{(p)}$:

2.1.5. **Lemma.** *Let L be a finite dimensional Lie algebra over \mathbb{F} , and let $L_{(p)}$ be a finite-dimensional p -envelope of L . Then there exists a sequence $L_{(p)} = L_q \supseteq L_{q-1} \supseteq \dots \supseteq L_0 = L$ such that, for all $i \in \{1, \dots, q\}$:*

- (1) $L_i = \mathbb{F}x_i + L_{i-1}$ for some $x_i \in L_i$,
- (2) there exists $y_i \in L_{i-1}$ with $y_{i-1}^{[p]} = x_i$,
- (3) each L_i is an ideal of $L_{(p)}$ such that $[L_{(p)}, L_i] = [L_0, L_0]$.

Proof. We construct the L_i inductively. For $0 \leq i < q$, we have $L_i^{[p]} \not\subseteq L_i$, so there exists $y_i \in L_i$ such that $x_{i+1} := y_i^{[p]} \notin L_i$. Set $L_{i+1} := L_i \oplus \mathbb{F}x_{i+1}$. By construction these subspaces satisfy the first two conditions. Since each $L_i \supseteq L$, [8, Lemma 5.5] ensures that they are ideals of $L_{(p)}$. We show that $[L_i, L_0] = [L_0, L_0]$ for all $i \in \{0, \dots, q\}$. For $i = 0$ there is nothing to show; now for $i > 0$ we have

$$\begin{aligned} [L_i, L_0] &= [L_{i-1}, L_0] + [x_i, L_0] \\ &= [L_{i-1}, L_0] + (\text{ad } y_{i-1})^p(L_0) \\ &= [L_{i-1}, L_0] + [L_{i-1}, L_0] \\ &= [L_0, L_0], \end{aligned}$$

which is what we wanted.

2.1.6. The following result gives better description of the relation between $U(L)$ and $U(L_{(p)})$.

Proposition. *Let L be a finite dimensional Lie algebra over \mathbb{F} , and $L_{(p)}$ be a p -envelope. Then $U(L_{(p)}) \simeq U(L)[z_1, \dots, z_q]$ with $q = \dim_{\mathbb{F}}(L_{(p)}/L)$. In particular, the enveloping field $K(L_{(p)}) \simeq D(L)(z_1, \dots, z_q)$.*

Proof. Let $x_1, \dots, x_q, y_0, \dots, y_{q-1}$ be as in Lemma 2.1.5. Then, the elements $z_i := x_i - y_{i-1}^p$ are in the p -centre of $U(L_{(p)})$, hence commute with $U(L)$. Since $L_{(p)} = L \oplus \bigoplus_{i=1}^q \mathbb{F}x_i$, the

Poincaré-Birkhoff-Witt theorem implies that $U(L_{(p)}) = U(L)[z_1, \dots, z_q]$ is a polynomial ring in the variables z_1, \dots, z_q over $U(L)$.

2.1.7. For a central simple algebra R over a field F , denote by $\text{Exp}(R)$ the *exponent* of R , that is the order of R in the Brauer group of the centre [9, p. 214]. Alternatively, this is the smallest integer $n \geq 1$ such that the n -th tensor power $R^{\otimes n} \simeq M_N(F)$ for some integer N .

Theorem. *Assume that $\text{char}(\mathbb{F}) = p > 2$. Let L be a finite-dimensional non-abelian solvable Lie algebra over \mathbb{F} . Then, the division ring $K(L)$ has the following properties:*

- (1) *There exists a maximal subfield $F \subseteq K(L)$ which is Galois over the centre and the Galois group is p -elementary abelian;*
- (2) *there exists a maximal subfield $E \subseteq K(L)$ which is purely inseparable, of exponent 1 over the centre;*
- (3) $\text{Exp } K(L) = p$.

Proof. By Proposition 2.1.6 and Theorem 1.2.5, it is enough to show the properties for restricted solvable algebras. Then (1) follow from [6, Theorem 3] and (2) follow from [6, Theorem 2]. Property (3) follows from (2) and [3, Th. 4.1.8].

2.1.8. As a consequence of Theorem 2.1.7, we obtain the following result. For a solvable Lie algebra in characteristic $p > 2$, there always exists a torus $T \subseteq K(L)$ which is “maximal” in the sense that $C_{K(L)}(T)$ is commutative. Alternatively, by Proposition 1.1.5, this means T has rank n , where $[K(L) : C(L)] = p^{2n}$. If L is restricted then it follows from Schue’s results [6].

Corollary. *Assume that $\text{char}(\mathbb{F}) = p > 2$. Let L be a finite dimensional solvable Lie algebra over \mathbb{F} . Then there exists a torus $T \subseteq K(L)$ such that $C_{K(L)}(T)$ is commutative.*

2.2. The Zassenhaus algebra.

2.2.1. Let \mathbb{F} be algebraically closed of characteristic $p > 2$, and let $m \geq 1$ be a fixed integer. The *Zassenhaus algebra* is the simple Lie algebra of Cartan type $W(1, m)$ [7, Chap. 4.2]. Explicitly, $W(1, m)$ has a basis $\{e_{-1}, e_0, \dots, e_{p^m-2}\}$ with brackets:

$$[e_i, e_j] = \left(\binom{i+j+1}{i} - \binom{i+j+1}{j} \right) e_{i+j},$$

so $[e_i, e_j] = 0$ when $i+j \notin \{-1, \dots, p^m-2\}$.

2.2.2. **Theorem.** *Let \mathbb{F} be algebraically closed with $\text{char}(\mathbb{F}) > 2$. Then, the enveloping skewfield $K(W(1, m))$ has the following properties:*

- (1) *There exists a maximal subfield which is Galois over the centre and the Galois group is p -elementary abelian;*
- (2) *there exists a maximal subfield which is purely inseparable, of exponent 1 over the centre;*
- (3) $\text{Exp } K(W(1, m)) = p$.

Proof. For ease of notation, let $L := W(1, m)$. It is easy to see that the subspace $H := \sum_{i \geq 0} \mathbb{F} e_i$ is a solvable Lie subalgebra of codimension 1 in L . By Theorem 2.1.7, the properties of the theorem are satisfied in $K(H)$. By [1, Prop. 2], there exists a central element $z \in U(L)$ of the form $z = ae_{-1} + b$, where $a, b \in U(H)$, $a \neq 0$. Using the PBW theorem, we can see that z is transcendental over $U(H)$. Furthermore, it is clear that $K(L) = \text{Frac } U(H)[z] = K(H)(z)$, so applying the transfer Theorem 1.2.5 yields the result.

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