

# Value sharing of meromorphic functions and Fang's problem

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## Abstract

In this paper, we shall study the uniqueness problems on meromorphic functions sharing a polynomial. We give a complete answer to a problem posed by Fang Mingliang. Our results improve or generalize those given by Fang and Hua, Yang and Hua, Fang, Fang and Qiu, Lin and Yi, Zhang, Xu, et al.

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## 1 Introduction and main results

Let  $\mathbb{C}$  denote the complex plane and  $f(z)$  be a non-constant meromorphic function on  $\mathbb{C}$ . We assume the reader is familiar with the standard notion used in the Nevanlinna value distribution theory such as  $T(r, f)$ ,  $m(r, f)$ ,  $N(r, f)$ , and  $S(r, f)$  denotes any quantity that satisfies the condition  $S(r, f) = o(T(r, f))$  as  $r \rightarrow \infty$  outside of a possible exceptional set of finite linear measure. A meromorphic function  $a(z)$  is called a small function with respect to  $f(z)$ , provided that  $T(r, a) = S(r, f)$ .

Let  $f(z)$  and  $g(z)$  be two non-constant meromorphic functions. Let  $a(z)$ ,  $b(z)$  be small functions of  $f(z)$  and  $g(z)$ . We say that  $f(z)$ ,  $g(z)$  share  $a(z)$  CM (counting multiplicities) if  $f(z) - a(z)$ ,  $g(z) - a(z)$  have the same zeros with the same multiplicities and we say that  $f(z)$ ,  $g(z)$  share  $a(z)$  IM (ignoring multiplicities) if we do not consider the multiplicities. We denote by  $N_{(k)}(r, \frac{1}{f-a})$  (or  $\overline{N}_{(k)}(r, \frac{1}{f-a})$ ) the counting function for zeros of  $f - a$  with multiplicity  $\leq k$  (ignoring multiplicities), and by  $N_{(k)}(r, \frac{1}{f-a})$  (or  $\overline{N}_{(k)}(r, \frac{1}{f-a})$ ) the counting function for zeros of  $f - a$  with multiplicity  $\geq k$  (ignoring multiplicities). Moreover we set  $N_k(r, \frac{1}{f-a}) = \overline{N}(r, \frac{1}{f-a}) + \overline{N}_{(2)}(r, \frac{1}{f-a}) + \overline{N}_{(3)}(r, \frac{1}{f-a}) + \cdots + \overline{N}_{(k)}(r, \frac{1}{f-a})$ .

We say that a finite value  $z_0$  is called a fixed point of  $f$  if  $f(z_0) = z_0$  or  $z_0$  is a zero of  $f(z) - z$ .

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The following well known theorem in value distribution theory was posed by Hayman and settled by several authors almost at the same time [1, 3].

**Theorem A:** *Let  $f(z)$  be a transcendental meromorphic function,  $n \geq 1$  a positive integer. Then  $f^n f' = 1$  has infinitely many solutions.*

Fang and Hua [4], Yang and Hua [15] got a unicity theorem respectively corresponding to Theorem A.

**Theorem B:** *Let  $f$  and  $g$  be two non-constant entire (meromorphic) functions,  $n \geq 6$  ( $n \geq 11$ ) be a positive integer. If  $f^n(z)f'(z)$  and  $g^n(z)g'(z)$  share 1 CM, then either  $f(z) = c_1 e^{cz}$ ,  $g(z) = c_2 e^{-cz}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $4(c_1 c_2)^{n+1} c^2 = -1$ , or  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^{n+1} = 1$ .*

Note that  $f^n(z)f'(z) = \frac{1}{n+1}(f^{n+1}(z))'$ , Fang [5] considered the case of  $k$ th derivative and proved

**Theorem C:** *Let  $f$  and  $g$  be two non-constant entire functions, and let  $n, k$  be two positive integers with  $n > 2k + 4$ . If  $(f^n(z))^{(k)}$  and  $(g^n(z))^{(k)}$  share 1 CM, then either  $f(z) = c_1 e^{cz}$ ,  $g(z) = c_2 e^{-cz}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $(-1)^k (c_1 c_2)^n (nc)^{2k} = 1$ , or  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^n = 1$ .*

**Theorem D:** *Let  $f$  and  $g$  be two non-constant entire functions, and let  $n, k$  be two positive integers with  $n > 2k + 8$ . If  $(f^n(z)(f(z) - 1))^{(k)}$  and  $(g^n(z)(g(z) - 1))^{(k)}$  share 1 CM, then  $f(z) \equiv g(z)$ .*

Corresponding to uniqueness of entire or meromorphic functions sharing fixed points, Fang and Qiu [6] obtained the following result.

**Theorem E:** *Let  $f$  and  $g$  be two non-constant meromorphic (entire) functions,  $n \geq 11$  ( $n \geq 6$ ) a positive integer. If  $f^n(z)f'(z)$  and  $g^n(z)g'(z)$  share  $z$  CM, then either  $f(z) = c_1 e^{cz^2}$ ,  $g(z) = c_2 e^{-cz^2}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $4(c_1 c_2)^{n+1} c^2 = -1$ , or  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^{n+1} = 1$ .*

Lin and Yi [8] obtained:

**Theorem F:** *Let  $f$  and  $g$  be two non-constant entire functions, and let  $n \geq 7$  be a positive integer. If  $f^n(f-1)f'$  and  $g^n(g-1)g'$  share  $z$  CM, then  $f \equiv g$ .*

Zhang [18] extended Theorems E and F as follows.

**Theorem G:** *Let  $f$  and  $g$  be two non-constant entire functions, and let  $n, k$  be two positive integers with  $n > 2k + 4$ . If  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share  $z$  CM, then either*  
*(1)  $k = 1$ ,  $f(z) = c_1 e^{cz^2}$ ,  $g(z) = c_2 e^{-cz^2}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $4(c_1 c_2)^n (nc)^2 = -1$ , or*

(2)  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^n = 1$ .

**Theorem H:** Let  $f$  and  $g$  be two non-constant entire functions, and let  $n, k$  be two positive integers with  $n > 2k + 6$ . If  $(f^n(f-1))^{(k)}$  and  $(g^n(g-1))^{(k)}$  share  $z$  CM, then  $f \equiv g$ .

Regarding Theorems G and H, Xu et al. [13] considered the case of meromorphic functions. They got

**Theorem I:** Let  $f$  and  $g$  be two non-constant meromorphic functions, and let  $n, k$  be two positive integers with  $n > 3k + 10$ . If  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share  $z$  CM,  $f$  and  $g$  share  $\infty$  IM, then either  $f(z) = c_1 e^{cz^2}$ ,  $g(z) = c_2 e^{-cz^2}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $4(c_1 c_2)^n (nc)^2 = -1$ , or  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^n = 1$ .

**Theorem J:** Let  $f$  and  $g$  be two non-constant meromorphic functions satisfying  $\Theta(\infty, f) > 2/n$ , and let  $n, k$  be two positive integers with  $n > 3k + 12$ . If  $(f^n(f-1))^{(k)}$  and  $(g^n(g-1))^{(k)}$  share  $z$  CM,  $f$  and  $g$  share  $\infty$  IM, then  $f \equiv g$ .

Corresponding to Theorems C and D, Professor Fang Mingliang posed the following problem in a conference at Shanghai in 2009.

**Problem 1.1.** Does Theorem C or D hold if  $f$  and  $g$  are meromorphic functions?

**Remark 1.1.** Problem 1.1 seems to have been solved by Bhoosnurmath and Dyavanal [2], but their proofs contain some gaps that were pointed out by Zhang [18, Annex remarks], Xu et al [13, Remark 2], respectively. The gaps have not been filled as far as we know. Here we use different methods from theirs to fill these gaps and thus give a complete answer to Problem 1.1.

Considering Theorems I and J, one can also ask the following

**Problem 1.2.** Does Theorem I or J hold without the condition “ $f$  and  $g$  share  $\infty$  IM” ?

Actually, in this paper, we consider some problems that are more general than the above two. Now we state our results as follows.

**Theorem 1.2.** Let  $f$  and  $g$  be two transcendental meromorphic functions,  $p(z)$  be a nonzero polynomial with  $\deg(p) = l \leq 5$ . Let  $n, k$  be two positive integers with  $n > 3k + 8$ . If  $[f^n]^{(k)}$  and  $[g^n]^{(k)}$  share  $p$  CM, then one of the following two conclusions holds:

- (1)  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^n = 1$ ;
- (2) if  $p(z)$  is not a constant, then  $f = c_1 e^{cQ(z)}$ ,  $g = c_2 e^{-cQ(z)}$ , where  $Q(z) = \int_0^z p(z) dz$ ,  $c_1, c_2$  and  $c$  are constants such that  $(c_1 c_2)^n (nc)^2 = -1$ ,  
if  $p(z)$  is a nonzero constant  $b$ , the transcendental restriction on  $f$  and  $g$  can be removed, and then  $f = c_3 e^{dz}$ ,  $g = c_4 e^{-dz}$ , where  $c_3, c_4$  and  $d$  are constants such that  $(-1)^k (c_3 c_4)^n (nd)^{2k} = b^2$ .

**Remark 1.3.** Theorem 1.2 affirmatively answered Problems 1.1 and 1.2 that Theorems C and I hold for the case of meromorphic functions. But unfortunately, Theorems D and J fail if  $f$  and  $g$  are meromorphic functions without the condition  $\Theta(\infty, f) > 2/n$ , even if  $f$  and  $g$  share  $\infty$  CM. We give the following counterexample.

**Example 1.1.** *Let*

$$f(z) = \frac{h(z)(1 - h^n(z))}{1 - h^{n+1}(z)}, \quad g(z) = \frac{1 - h^n(z)}{1 - h^{n+1}(z)}, \quad (1.1)$$

where  $n$  is a positive integer and  $h(z)$  is a non-constant meromorphic function.

We deduce from (1.1) that  $f^n(f - 1) = g^n(g - 1)$ , thus  $f$  and  $g$  satisfy the conditions of Theorem D or J, but  $f \not\equiv g$ .

Note that

$$T(r, f) = T(r, gh) = nT(r, h) + S(r, f).$$

By the second fundamental theorem, we deduce

$$\overline{N}(r, f) = \sum_{j=1}^n \overline{N}\left(\frac{1}{h - a_j}\right) \geq (n - 2)T(r, h) = nT(r, h) + S(r, f),$$

where  $a_j (\neq 1)$  ( $j = 1, 2, \dots, n$ ) are distinct roots of the algebraic equation  $h^{n+1} = 1$ . Therefore,

$$\Theta(\infty, f) = 1 - \limsup_{r \rightarrow \infty} \frac{\overline{N}(r, f)}{T(r, f)} \leq 2/n.$$

Thus Theorem J is the best possible in some sense, at least for the case  $\Theta(\infty, f) > 2/n$ .

Corresponding to Theorem 1.2, one may pose the following problem.

**Problem 1.3.** *Can the condition “transcendental” be removed in Theorem 1.2 when  $p(z)$  is a nonconstant polynomial with  $\deg(p) = l \leq 5$ ?*

We give an affirmative answer to Problem 1.3 and get

**Theorem 1.4.** *Let  $f$  and  $g$  be two non-constant meromorphic functions,  $p(z)$  be a nonzero polynomial with  $\deg(p) = l \leq 5$ . Let  $n, k$  be two positive integers with  $n > 3k + 3l + 8$ . If  $[f^n]^{(k)}$  and  $[g^n]^{(k)}$  share  $p$  CM, then one of the following two conclusions holds:*

- (1)  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^n = 1$ ;
- (2) if  $p(z)$  is not a constant, then  $f = c_1 e^{cQ(z)}$ ,  $g = c_2 e^{-cQ(z)}$ , where  $Q(z) = \int_0^z p(z) dz$ ,  $c_1, c_2$  and  $c$  are constants such that  $(c_1 c_2)^n (nc)^2 = -1$ ,  
if  $p(z)$  is a nonzero constant  $b$ , then  $f = c_3 e^{dz}$ ,  $g = c_4 e^{-dz}$ , where  $c_3, c_4$  and  $d$  are constants such that  $(-1)^k (c_3 c_4)^n (nd)^{2k} = b^2$ .

It's easy to obtain a uniqueness theorem of meromorphic functions concerning fixed points.

**Corollary 1.5.** *Let  $f$  and  $g$  be two non-constant meromorphic functions,  $n, k$  be two positive integers with  $n > 3k + 11$ . If  $[f^n]^{(k)}$  and  $[g^n]^{(k)}$  share  $z$  CM, then*

- (1)  $f(z) \equiv tg(z)$  for a constant  $t$  such that  $t^n = 1$ ; or
- (2)  $f = c_1 e^{cz^2}$ ,  $g = c_2 e^{-cz^2}$ , where  $c_1, c_2$  and  $c$  are constants such that  $(c_1 c_2)^n (nc)^2 = -1$ .

**Remark 1.6.** By using Theorem 1.2, one can improve Theorem 1 of [10] by removing the conditions “ $f \neq \infty$  and  $g \neq \infty$ ” in (ii).

**Remark 1.7.** In Theorem 1.2, if  $p(z)$  is replaced by a small function of  $f$ , one can not easily get the representation of  $f(z)$  and  $g(z)$  like (2). Wang and Gao [12, Remark 3.1, Examples 3.2–3.4] gave some examples at the end of their paper to discuss the problem.

**Remark 1.8.** From the proof of Theorem 1.2 or 1.4, one can see that the computation will be very complicated when  $\deg(p)$  becomes large, so we are not sure whether Theorem 1.2 or 1.4 holds for the general polynomial  $p(z)$ . Nevertheless, Theorems 1.2 and 1.4 improve or generalize the previous results such as Theorems B, C, E, G and I.

## 2 Preliminary lemmas and a main proposition

**Lemma 2.1.** [14] Let  $f(z)$  be a non-constant meromorphic function and let  $a_0(z), a_1(z), \dots, a_n(z) (\neq 0)$  be small functions of  $f$ . Then

$$T(r, a_n f^n + a_{n-1} f^{n-1} + \dots + a_0) = nT(r, f) + S(r, f).$$

**Lemma 2.2.** [7, 17, 16] Let  $f(z)$  be a non-constant meromorphic function, and let  $k$  be a positive integer, and let  $c$  be a nonzero finite complex number. Then

$$\begin{aligned} T(r, f) &\leq \bar{N}(r, f) + N(r, \frac{1}{f}) + N(r, \frac{1}{f^{(k)} - c}) - N(r, \frac{1}{f^{(k+1)}}) + S(r, f) \\ &\leq \bar{N}(r, f) + N_{k+1}(r, \frac{1}{f}) + \bar{N}(r, \frac{1}{f^{(k)} - c}) - N_0(r, \frac{1}{f^{(k+1)}}) + S(r, f), \end{aligned}$$

where  $N_0(r, \frac{1}{f^{(k+1)}})$  is the counting function which only counts those points such that  $f^{(k+1)} = 0$  but  $f(f^{(k)} - c) \neq 0$ .

**Lemma 2.3.** [9] Let  $f(z)$  be a non-constant meromorphic function,  $s, k$  be two positive integers. Then

$$N_s(r, \frac{1}{f^{(k)}}) \leq T(r, f^{(k)}) - T(r, f) + N_{s+k}(r, \frac{1}{f}) + S(r, f),$$

$$N_s(r, \frac{1}{f^{(k)}}) \leq k\bar{N}(r, f) + N_{s+k}(r, \frac{1}{f}) + S(r, f).$$

**Lemma 2.4.** [17] Let  $f(z)$  be a non-constant meromorphic function, and let  $k$  be a positive integer. Suppose that  $f^{(k)} \neq 0$ , then

$$N(r, \frac{1}{f^{(k)}}) \leq N(r, \frac{1}{f}) + k\bar{N}(r, f) + S(r, f).$$

**Lemma 2.5.** [15] Let  $f(z)$  and  $g(z)$  be two non-constant meromorphic functions and  $n, k$  be two positive integers,  $a$  be a finite nonzero constant. If  $f$  and  $g$  share a CM, then one of the following cases holds:

- (i)  $T(r, f) \leq N_2(r, 1/f) + N_2(r, 1/g) + N_2(r, f) + N_2(r, g) + S(r, f) + S(r, g)$ , the same inequality holding for  $T(r, g)$ ;
- (ii)  $fg \equiv a^2$ ; (iii)  $f \equiv g$ .

**Lemma 2.6.** *Let  $f, g$  be non-constant meromorphic functions,  $n, k$  be two positive integers with  $n > k + 2$ ,  $a(z) (\not\equiv 0, \infty)$  be a small function of  $f$ . If  $[f^n]^{(k)}$  and  $[g^n]^{(k)}$  share a IM, then  $T(r, f) = O(T(r, g))$ ,  $T(r, g) = O(T(r, f))$ .*

**Proof.** Let  $F = f^n$ . By the second fundamental theorem for small functions, we have

$$T(r, F^{(k)}) \leq \overline{N}(r, f) + \overline{N}\left(r, \frac{1}{F^{(k)}}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - a}\right) + S(r, F). \quad (2.1)$$

By (2.1) and Lemma 2.1 and Lemma 2.3 with  $s = 1$  applied to  $F$ , we have

$$\begin{aligned} nT(r, f) &\leq N_{k+1}\left(r, \frac{1}{F}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - a}\right) + \overline{N}(r, f) + S(r, F) \\ &\leq (k+1)\overline{N}\left(r, \frac{1}{f}\right) + \overline{N}\left(r, \frac{1}{[f^n]^{(k)} - a}\right) + \overline{N}(r, f) + S(r, f) \\ &\leq (k+2)T(r, f) + \overline{N}\left(r, \frac{1}{[g^n]^{(k)} - a}\right) + S(r, f). \end{aligned}$$

Namely,

$$\begin{aligned} (n-k-2)T(r, f) &\leq \overline{N}\left(r, \frac{1}{[g^n]^{(k)} - a}\right) + S(r, f) \\ &\leq n(k+1)T(r, g) + S(r, f). \end{aligned}$$

Since  $n > k + 2$ , we have  $T(r, f) = O(T(r, g))$ . Similarly we have  $T(r, g) = O(T(r, f))$ . This completes the proof of Lemma 2.6.

By the arguments similar to the proof of Lemma 2.6, we get the following proposition.

**Proposition 2.1.** *Let  $f$  be a transcendental meromorphic function,  $n, k$  be two positive integers with  $n > k + 2$ ,  $a(z) (\not\equiv 0, \infty)$  be a small function of  $f$ . Then  $[f^n]^{(k)} - a(z)$  has infinitely many zeros.*

**Lemma 2.7.** [13] *Let  $f$  and  $g$  be two non-constant meromorphic functions,  $k, n > 2k + 1$  be two positive integers. If  $[f^n]^{(k)} = [g^n]^{(k)}$ , then  $f = tg$  for a constant  $t$  such that  $t^n = 1$ .*

**Lemma 2.8.** [17, Theorem 4.8] *Let  $F$  and  $G$  be two distinct nonconstant meromorphic functions, and let  $c$  be a complex number such that  $c \neq 0, 1$ . If  $F$  and  $G$  share 1 and  $c$  IM, and if  $\overline{N}(r, 1/F) + \overline{N}(r, F) = S(r, F)$  and  $\overline{N}(r, 1/G) + \overline{N}(r, G) = S(r, G)$ , then  $F$  and  $G$  share 0, 1,  $c, \infty$  CM.*

**Lemma 2.9.** [11] *If  $f$  and  $g$  are distinct nonconstant meromorphic functions that share four values  $a_1, a_2, a_3, a_4$  CM, then  $f$  is a Möbius transformation of  $g$ ; two of the shared values, say  $a_1$  and  $a_2$  are Picard exceptional values, and the cross ratio  $(a_1, a_2, a_3, a_4) = 1$ .*

**Lemma 2.10.** [7, Theorem 3.10] *Suppose that  $f$  is a non-constant meromorphic function,  $k \geq 2$  is an integer. If*

$$N(r, f) + N(r, 1/f) + N(r, 1/f^{(k)}) = S(r, f'/f),$$

*then  $f = e^{az+b}$ , where  $a \neq 0, b$  are constants.*

**Lemma 2.11.** *Let  $p(z), q(z), r(z)$  be three polynomials satisfying*

$$p^2(z) - q^2(z) = r^2(z). \quad (2.2)$$

*If  $\deg(p) = \deg(r) > 2 \deg(q)$ , then  $q(z) \equiv 0$ .*

**Proof.** Suppose, to the contrary, that  $q(z) \not\equiv 0$ , then  $p^2(z) \not\equiv r^2(z)$ , namely,  $p(z) + r(z) \not\equiv 0$  and  $p(z) - r(z) \not\equiv 0$ . Rewrite (2.2) as

$$q^2(z) = p^2(z) - r^2(z) = (p(z) + r(z))(p(z) - r(z)). \quad (2.3)$$

It's easy to obtain from (2.3) that  $2 \deg(q) = \deg(q^2) \geq \deg(p) > 2 \deg(q)$ , which is a contradiction.

This completes the proof of Lemma 2.11.

**Lemma 2.12.** *Let  $f, g$  be two transcendental meromorphic functions,  $p(z)$  be a nonzero polynomial with  $\deg(p) = l \leq 5$ ,  $n, k$  be two positive integers with  $n > 3k + 8$ . If  $[f^n]^{(k)}[g^n]^{(k)} = p^2$ ,*

*(i) if  $p(z)$  is not a constant, then  $f = c_1 e^{cQ(z)}$ ,  $g = c_2 e^{-cQ(z)}$ , where  $Q(z) = \int_0^z p(z) dz$ ,  $c_1, c_2$  and  $c$  are constants such that  $(c_1 c_2)^n (nc)^2 = -1$ ,*

*(ii) if  $p(z)$  is a nonzero constant  $b$ , the transcendental restriction on  $f$  and  $g$  can be removed, and then  $f = c_3 e^{dz}$ ,  $g = c_4 e^{-dz}$ , where  $c_3, c_4$  and  $d$  are constants such that  $(-1)^k (c_3 c_4)^n (nd)^{2k} = b^2$ .*

**Proof.**

**Case 1.**  $p(z)$  is not a constant. First, we prove

$$N(r, 1/f) + N(r, 1/g) = O(\log r). \quad (2.4)$$

Suppose that  $z_0$  is a zero of  $f$  with multiplicity  $s$ , if  $z_0$  is a pole of  $g$  with multiplicity  $t$ , but not a zero of  $p(z)$ , then  $z_0$  is a zero of  $[f^n]^{(k)}$  with multiplicity  $ns - k$ , a pole of  $[g^n]^{(k)}$  with multiplicity  $nt + k$ , thus we have

$$ns - k = nt + k,$$

namely

$$n(s - t) = 2k. \quad (2.5)$$

Note that  $n > 3k + 8$  and we get a contradiction from (2.5). Thus  $z_0$  is a zero of  $p(z)$  and we have  $N(r, 1/f) = O(\log r)$ . Similarly, we get  $N(r, 1/g) = O(\log r)$ . Thus (2.4) holds.

Next we prove

$$N(r, f) = S(r, f), \quad N(r, g) = S(r, g). \quad (2.6)$$

Rewrite  $[f^n]^{(k)}[g^n]^{(k)} = p^2$  as

$$[f^n]^{(k)} = \frac{p^2}{[g^n]^{(k)}}. \quad (2.7)$$

We deduce from (2.7) that

$$N(r, [f^n]^{(k)}) = N(r, \frac{1}{[g^n]^{(k)}}). \quad (2.8)$$

As  $N(r, [f^n]^{(k)}) = nN(r, f) + k\bar{N}(r, f)$ , this together with (2.4), (2.8) and Lemma 2.4 implies that

$$nN(r, f) + k\bar{N}(r, f) \leq k\bar{N}(r, g) + O(\log r) + S(r, g). \quad (2.9)$$

Similarly we get

$$nN(r, g) + k\bar{N}(r, g) \leq k\bar{N}(r, f) + O(\log r) + S(r, f). \quad (2.10)$$

Note that  $f$  and  $g$  are transcendental, combining (2.9) and (2.10) yields

$$N(r, f) + N(r, g) = S(r, f) + S(r, g). \quad (2.11)$$

By Lemma 2.6 we have  $S(r, f) = S(r, g)$ , thus we obtain (2.6). Let

$$F_1 = \frac{[f^n]^{(k)}}{p}, \quad G_1 = \frac{[g^n]^{(k)}}{p}. \quad (2.12)$$

Then

$$S(r, F_1) = S(r, f), \quad S(r, G_1) = S(r, g), \quad (2.13)$$

and

$$F_1 G_1 = 1. \quad (2.14)$$

Obviously,  $F_1 \neq G_1$ , or else we get that  $F_1$  is a constant, thus  $f$  is a polynomial, which contradicts our assumption.

By (2.6), (2.12), (2.13) and Lemma 2.4 we get

$$N(r, 1/F_1) \leq nN(r, 1/f) + k\bar{N}(r, f) + S(r, f) \leq S(r, F_1). \quad (2.15)$$

Similarly we have

$$N(r, 1/G_1) \leq nN(r, 1/g) + k\bar{N}(r, g) + S(r, g) \leq S(r, G_1). \quad (2.16)$$

Moreover, we have

$$N(r, F_1) = S(r, F_1), \quad N(r, G_1) = S(r, G_1). \quad (2.17)$$

It follows from (2.15)–(2.17) that

$$N(r, 1/F_1) + N(r, F_1) = S(r, F_1), \quad N(r, 1/G_1) + N(r, G_1) = S(r, G_1). \quad (2.18)$$

In view of (2.14), we know that  $F_1$  and  $G_1$  share 1 and  $-1$  IM, this together with (2.18) and Lemma 2.8 implies that  $F_1$  and  $G_1$  share 1,  $-1$ ,  $0$ ,  $\infty$  CM, thus by Lemma 2.9 we get



that 0 and  $\infty$  are Picard values of  $F_1$  and  $G_1$ . We deduce from (2.12) that both  $f$  and  $g$  are transcendental entire functions, by (2.4) we have

$$f(z) = P_1(z)e^{\alpha(z)}, g(z) = Q_1(z)e^{\beta(z)}, \quad (2.19)$$

where  $P_1(z), Q_1(z)$  are nonzero polynomials,  $\alpha(z), \beta(z)$  are non-constant entire functions. If  $P_1(z)$  is not a constant, suppose that  $z_1$  is a zero of  $f$  with multiplicity  $m$ , then  $z_1$  is a zero of  $[f^n]^{(k)}$  with multiplicity  $nm - k (> m(3k + 8) - k \geq 2k + 8 \geq 10)$ , and is a zero of  $p^2(z)$  with multiplicity no greater than 10 since  $l \leq 5$ , which leads to a contradiction. Thus  $P_1(z)$  is a constant. Similarly,  $Q_1(z)$  is a constant. Without loss of generality, rewrite  $f$  and  $g$  as follows.

$$f(z) = e^{\alpha(z)}, \quad g(z) = e^{\beta(z)}. \quad (2.20)$$

Then

$$T(r, \frac{(f^n)'}{f^n}) = T(r, n\alpha').$$

We claim that  $\alpha + \beta \equiv C$ , where  $C$  is a constant.

We deduce from (2.20) that either both  $\alpha$  and  $\beta$  are transcendental functions or both  $\alpha$  and  $\beta$  are polynomials. Moreover, we have

$$N(r, 1/[f^n]^{(k)}) \leq N(r, 1/p^2(z)) = O(\log r).$$

From this and (2.20) we get

$$N(r, f^n) + N(r, 1/f^n) + N(r, 1/[f^n]^{(k)}) = O(\log r).$$

If  $k \geq 2$ , suppose that  $\alpha$  is a transcendental entire function. We deduce from Lemma 2.10 that  $\alpha$  is a polynomial, which is a contradiction.

Thus  $\alpha$  is a polynomial and so is  $\beta$ .

We deduce from (2.20) that

$$(f^n)^{(k)} = A[(\alpha')^k + P_{k-1}(\alpha')]e^{n\alpha}, \quad (g^n)^{(k)} = B[(\beta')^k + Q_{k-1}(\beta')]e^{n\beta},$$

where  $A, B$  are nonzero constants,  $P_{k-1}(\alpha')$  and  $Q_{k-1}(\beta')$  are differential polynomials in  $\alpha'$  and  $\beta'$  of degree at most  $k - 1$  respectively. Thus we obtain

$$AB[(\alpha')^k + P_{k-1}(\alpha')][(\beta')^k + Q_{k-1}(\beta')]e^{n(\alpha+\beta)} = p^2(z). \quad (2.21)$$

We deduce from (2.21) that  $\alpha(z) + \beta(z) \equiv C$  for a constant  $C$ .

If  $k = 1$ , from (2.21) we get

$$AB\alpha'\beta'e^{n(\alpha+\beta)} = p^2(z). \quad (2.22)$$

Let  $\alpha + \beta = \gamma$ . If  $\alpha$  and  $\beta$  are transcendental entire functions, obviously  $\gamma$  is not a constant, then (2.22) implies that

$$AB\alpha'(\gamma' - \alpha')e^{n\gamma} = p^2(z). \quad (2.23)$$

Since  $T(r, \gamma') = m(r, \gamma') \leq m(r, \frac{(e^{n\gamma})'}{e^{n\gamma}}) + O(1) = S(r, e^{n\gamma})$ . Thus (2.23) implies that

$$\begin{aligned} T(r, e^{n\gamma}) &\leq T(r, \frac{p^2}{\alpha'(\gamma' - \alpha')}) + O(1) \\ &\leq (2 + o(1))T(r, \alpha') + S(r, e^{n\gamma}), \end{aligned}$$

which implies that

$$T(r, e^{n\gamma}) = O(T(r, \alpha')),$$

similarly we have

$$T(r, \alpha') = O(T(r, e^{n\gamma})).$$

Thus  $T(r, \gamma') = S(r, e^{n\gamma}) + O(1) = S(r, \alpha')$ .

In view of (2.23) and by the second fundamental theorem for small functions, we get

$$T(r, \alpha') \leq \overline{N}(r, \frac{1}{\alpha'}) + \overline{N}(r, \frac{1}{\alpha' - \gamma'}) + S(r, \alpha') \leq O(\log r) + S(r, \alpha').$$

Thus  $\alpha'$  is a polynomial, which contradicts that  $\alpha$  is a transcendental entire function.

Thus  $\alpha$  and  $\beta$  are both polynomials and  $\alpha(z) + \beta(z) \equiv C$  for a constant  $C$ .

Hence from (2.21) we get

$$C_1(\alpha')^{2k} = p^2 + \tilde{P}_{2k-1}(\alpha'), \quad (2.24)$$

where  $C_1$  is a nonzero constant and  $\tilde{P}_{2k-1}$  is a differential polynomial in  $\alpha'$  of degree at most  $2k - 1$ . Since  $p(z)$  is not a constant. thus  $\alpha'$  is a non-constant polynomial.

If  $k \geq 2$ , next we distinguish into five subcases below.

**Subcase 1.**  $l = 1$ . Since  $\alpha'$  is not a constant,  $\deg(\alpha') \geq 1$ , by (2.24) we immediately get a contradiction.

**Subcase 2.**  $l = 2$ . Since  $k \geq 2$ , by (2.24) we get  $\deg(\alpha') = 1$  and  $k = 2$ , thus  $\alpha''$  is a nonzero constant. From (2.21) we get

$$K[(n\alpha')^2 + n\alpha''][(n\beta')^2 + n\beta''] = p^2, \quad (2.25)$$

where  $K$  is a nonzero constant. Note that  $\alpha + \beta \equiv C$ , then  $\alpha' + \beta' \equiv 0$  and  $\alpha'' + \beta'' \equiv 0$ . From (2.25) we obtain

$$K[((n\alpha')^2)^2 - (n\alpha'')^2] = p^2. \quad (2.26)$$

By Lemma 2.11, we derive  $\alpha'' \equiv 0$  from (2.26), which is a contradiction.

**Subcase 3.**  $l = 3$ . Similarly as above, we get  $\deg(\alpha') = 1$  and  $k = 3$ , thus  $\alpha''$  is a nonzero constant. From (2.21) we get

$$K_1[n^3(\alpha')^3 + 3n^2\alpha'\alpha''] [n^3(\beta')^3 + 3n^2\beta'\beta''] = p^2, \quad (2.27)$$

where  $K_1$  is a nonzero constant. Thus we have

$$-K_1[((n\alpha')^3)^2 - (3n^2\alpha'\alpha'')^2] = p^2. \quad (2.28)$$

By Lemma 2.11, we arrive at the same contradiction.

**Subcase 4.**  $l = 4$ . Similarly as above, we get either  $\deg(\alpha') = 1$  and  $k = 4$  or  $\deg(\alpha') = 2$  and  $k = 2$ .

If  $\deg(\alpha') = 1$  and  $k = 4$ , then  $\alpha''$  is a nonzero constant. From (2.21) we get

$$[(n\alpha')^4 + 3(n\alpha'')^2]^2 - [6n^3(\alpha')^2\alpha'']^2 = p^2. \quad (2.29)$$

Without loss of generality, suppose that  $\alpha' = z$ , or else, we only need to do a transformation of  $p(z)$ . We deduce from (2.29) that

$$(nz)^8 - 30n^6z^4 + 9n^4 = p^2(z), \quad (2.30)$$

which implies  $p^2(z) = p^2(-z)$ , thus  $p(z) = p(-z)$  or  $p(z) = -p(-z)$ . Note that  $l = 4$ , thus  $p(z) = p(-z)$ . Suppose that  $p(z) = a_4z^4 + a_2z^2 + a_0$ , where  $a_4 \neq 0, a_2, a_0$  are constants. Compare the coefficients at both sides of (2.30), we get  $a_2 = 0$ , at last we derive a contradiction by calculation.

If  $\deg(\alpha') = 2$  and  $k = 2$ , then we get (2.26). By Lemma 2.11, we arrive at a contradiction.

**Subcase 5.**  $l = 5$ . Similarly as above, we get  $\deg(\alpha') = 1$  and  $k = 5$ .

From (2.21) we get

$$[10n^4(\alpha')^3\alpha'' + 12n^3\alpha'\alpha'']^2 - [(n\alpha')^5 + 3n^3\alpha'(\alpha'')^2]^2 = p^2. \quad (2.31)$$

With similar discussion as in Subcase 4, we get a contradiction.

Hence  $k = 1$ . by induction we get

$$\begin{aligned} \alpha' + \beta' &\equiv 0, \\ n^2e^{nC}\alpha'\beta' &= p^2(z). \end{aligned}$$

By computation we get

$$\alpha' = cp(z), \beta' = -cp(z), \quad (2.32)$$

Hence

$$\alpha = cQ(z) + l_1, \beta = -cQ(z) + l_2, \quad (2.33)$$

where  $Q(z)$  is defined as in Theorem 1.2, and  $l_1, l_2$  are constants. We can rewrite  $f$  and  $g$  as

$$f = c_1e^{cQ(z)}, \quad g = c_2e^{-cQ(z)},$$

where  $c_1, c_2$  and  $c$  are constants such that  $(c_1c_2)^n(nc)^2 = -1$ .

**Case 2.** If  $p(z)$  is a nonzero constant  $b$ , similarly to the proof in Case 1, we deduce that  $\alpha'$  is a nonzero constant, thus  $\alpha = dz + l_3, \beta = -dz + l_4$ .

Rewrite  $f$  and  $g$  as

$$f = c_3e^{dz}, \quad g = c_4e^{-dz},$$

where  $c_3, c_4$  and  $d$  are nonzero constants. We deduce that  $(-1)^k(c_3c_4)^n(nd)^{2k} = b^2$ .

This completes the proof of Lemma 2.12.

**Lemma 2.13.** *Let  $f, g$  be two non-constant rational functions,  $p(z)$  be a nonzero polynomial with  $\deg(p) = l$ ,  $n, k$  be two positive integers with  $n > 3k + 3l + 8$ . Then there are no solutions of the functional differential equation of the following form*

$$[f^n]^{(k)}[g^n]^{(k)} = p^2. \quad (2.34)$$

**Proof.**

Suppose, to the contrary, that there exist non-constant rational solutions of Equation (2.34). Suppose that  $z_2$  is a zero of  $f$  with multiplicity  $p_2$ , then  $z_2$  is a zero of  $[f^n]^{(k)}$  with multiplicity  $np_2 - k$ , if  $z_2$  is not a pole of  $g$ , since  $n > 3k + 3l + 8$ , we get that  $z_2$  must be a zero of  $p^2$ . Since  $np_2 - k > 2k + 8 + 3l > 2l$ , we get a contradiction. Therefore,  $z_2$  must be a pole of  $g$  with multiplicity  $q_2$ , and is a pole of  $[g^n]^{(k)}$  with multiplicity  $nq_2 + k$ , obviously  $p_2 > q_2$ , or else,  $z_2$  is a pole of  $p$ , which is a contradiction since  $p$  is a polynomial. Note that  $n(p_2 - q_2) - 2k > k + 3l + 8 > 2l$ , we get that  $z_2$  is a zero of  $p^2$  with multiplicity greater than  $2l$ , which is a contradiction, thus  $f$  has no zero. Similarly,  $g$  has no zero. Set

$$f(z) = 1/R(z), \quad g(z) = 1/K(z), \quad (2.35)$$

where  $R(z)$  and  $K(z)$  are non-constant polynomials. We deduce from (2.35) that

$$[f^n(z)]^{(k)} = R_1(z)/R_2(z), \quad [g^n(z)]^{(k)} = K_1(z)/K_2(z), \quad (2.36)$$

where  $R_1(z)$ ,  $R_2(z)$ ,  $K_1(z)$  and  $K_2(z)$  are non-constant polynomials such that  $\deg(R_2) > \deg(R_1)$ ,  $\deg(K_2) > \deg(K_1)$ , combining this with (2.34) leads to a contradiction.

This completes the proof of Lemma 2.13.

### 3 Proof of Theorem 1.2

Let  $F = [f^n]^{(k)}$ ,  $G = [g^n]^{(k)}$ ,  $F^* = f^n$ ,  $G^* = g^n$ ,  $F^\star = F/p$ ,  $G^\star = G/p$ , then  $F^\star$  and  $G^\star$  share 1 CM.

Since  $p$  is a small function of  $f$ . by Lemma 2.8,  $p$  is a small function of  $g$ . Thus by Lemma 2.5, one of the following cases holds:

- (i)  $T(r, F^\star) \leq N_2(r, 1/F^\star) + N_2(r, 1/G^\star) + N_2(r, F^\star) + N_2(r, G^\star) + S(r, F^\star) + S(r, G^\star)$ , the same inequality holding for  $T(r, G^\star)$ ;
- (ii)  $FG \equiv p^2$ ; (iii)  $F \equiv G$ .

**Case (i).** by Lemma 2.1 and Lemma 2.3 with  $s = 2$ , we obtain

$$\begin{aligned} T(r, F^\star) &\leq N_{k+2}(r, 1/F^\star) + N_{k+2}(r, 1/G^\star) + (k+2)\overline{N}(r, g) + 2\overline{N}(r, f) \\ &\quad + S(r, f) + S(r, g) \\ &\leq (k+2)\overline{N}(r, 1/f) + (k+2)\overline{N}(r, 1/g) + (k+2)\overline{N}(r, g) + 2\overline{N}(r, f) \\ &\quad + S(r, f) + S(r, g) \\ &\leq (2k+4)T(r, g) + (k+4)T(r, f) + S(r, f) + S(r, g), \end{aligned}$$

namely

$$nT(r, f) \leq (2k+4)T(r, g) + (k+4)T(r, f) + S(r, f) + S(r, g). \quad (3.1)$$

Similarly we have

$$nT(r, g) \leq (2k + 4)T(r, f) + (k + 4)T(r, g) + S(r, f) + S(r, g). \quad (3.2)$$

From (3.1) and (3.2) we deduce that

$$(n - 3k - 8)(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g), \quad (3.3)$$

which is a contradiction since  $n > 3k + 8$ .

**Case (ii).** We have  $[f^n]^{(k)}[g^n]^{(k)} = p^2$ . By Lemma 2.12 we get the conclusion (2) of Theorem 1.2.

**Case (iii).** We have  $[f^n]^{(k)} \equiv [g^n]^{(k)}$ . By Lemma 2.7 we get the conclusion (1) of Theorem 1.2.

This completes the proof of Theorem 1.2.

## 4 Proof of Theorem 1.4

Let  $F, G, F^*, G^*, F^\star, G^\star$  be defined as in Section 3, then  $F^\star$  and  $G^\star$  share 1 CM. By Lemma 2.5, we consider three cases

**Case 1.** Note that  $T(r, F) \leq T(r, F^\star) + l \log r$ , By the arguments similar to the proof of Case (i) in Theorem 1.2, we get

$$nT(r, f) \leq (2k + 4)T(r, g) + (k + 4)T(r, f) + 3l \log r + S(r, f) + S(r, g). \quad (4.1)$$

and

$$nT(r, g) \leq (2k + 4)T(r, f) + (k + 4)T(r, g) + 3l \log r + S(r, f) + S(r, g). \quad (4.2)$$

Since  $T(r, f) \geq \log r + O(1)$  and  $T(r, g) \geq \log r + O(1)$ , combining this with (4.1) and (4.2) yields

$$(n - 3k - 3l - 8)(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g), \quad (4.3)$$

which is a contradiction since  $n > 3k + 3l + 8$ .

**Case 2.** We have  $[f^n]^{(k)}[g^n]^{(k)} = p^2$ . It follows from Lemma 2.13 that  $f$  and  $g$  are both transcendental meromorphic functions, by Lemma 2.12, we get the conclusion (2) of Theorem 1.4.

**Case 3.** We have  $[f^n]^{(k)} \equiv [g^n]^{(k)}$ . By Lemma 2.7 we get the conclusion (1) of Theorem 1.4.

This completes the proof of Theorem 1.4.

## Annex remarks

In this section, we would like to point out another gap that appears in the proof of Theorem 4 of [2]. In [2, P. 1203], on the first line below formula (6.8), the authors said:

“Let  $z_1$  be a zero of  $f - 1$  of order  $p_1$ , then  $z_1$  is zero of  $[f^n(f - 1)]^{(k)}$  of order  $p_1 - k$ . Therefore from (6.7), we obtain

$$p_1 - k = nq_1 + q_1 + k,$$

since  $z_1$  is a pole of  $g$  of order  $q_1$ ”.

A question arises:

**Question:** If  $p_1 \leq k$ , then  $z_1$  is not a zero of  $[f^n(f - 1)]^{(k)}$ , and thus not a pole of  $g$ . How to deal with this case?

## Open problem

Look forward this paper, there are two problems unsolved. For further study, we state them as follows.

**Problem 4.1.** *Does Theorem J hold without the condition “ $f$  and  $g$  share  $\infty$  IM” ?*

**Problem 4.2.** *Does Theorem 1.4 hold for the general polynomial  $p(z)$  ?*

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