

## Conjugacy Classes of Elliptic Elements in the Picard Group

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### Abstract

The Picard group  $\mathbf{P}$  is a discrete subgroup of  $PSL(2, \mathbb{C})$  with Gaussian integer coefficients. Here it is shown that the total number of conjugacy classes of elliptic elements of order 2 and 3 in  $\mathbf{P}$ , which is given as seven by B. Fine [3], can actually be reduced to four and using this, the conditions for the maximal Fuchsian subgroups of  $\mathbf{P}$  to have elliptic elements of orders 2 and 3 are found.

### 1. Introduction

The extension  $\mathbb{Z}(i) = \{m + in : m, n \in \mathbb{Z}, i^2 = -1\}$  of  $\mathbb{Z}$  forms a ring called the ring of Gaussian integers. Each element of  $\mathbb{Z}(i)$  is called a Gaussian integer.

The Picard group is denoted by  $\mathbf{P}$  and contains all linear fractional transformations

$$t(z) = \frac{az + b}{cz + d}$$

where  $a, b, c, d \in \mathbb{Z}(i)$  and  $ad - bc = 1$ . Therefore  $\mathbf{P} = PSL(2, \mathbb{Z}(i))$ .  $\mathbf{P}$  is an important subgroup of  $PSL(2, \mathbb{C})$ . It is an example to that the discreteness on  $\widehat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$  does not imply the discontinuity. Although its action on  $\widehat{\mathbb{C}}$  is not discontinuous, its action on the hyperbolic 3-space

$$\mathbb{H}^3 = \{z + tj : z \in \mathbb{C}, t > 0\}$$

is discontinuous, [1]. Actually  $\mathbf{P}$  has a well-known presentation

$$\mathbf{P} = \langle x, u, y, r; x^3 = u^2 = y^3 = r^2 = (xu)^2 = (xy)^2 = (ry)^2 = (ru)^2 = 1 \rangle \quad (1.1)$$

where

$$x(z) = \frac{i}{iz+1}, u(z) = -\frac{1}{z}, y(z) = \frac{z+1}{-z}, r(z) = \frac{i}{iz}. \tag{1.2}$$

This presentation is obtained by looking at the orders of rotations which act around the vertices of a fundamental polyhedron for  $\mathbf{P}$  in  $\mathbb{H}^3$  and then by finding the relations between the edges of this polyhedron (called side pairings), [2].

$\mathbf{P}$  is given abstractly as an amalgamated free product of two groups  $G_1, G_2$  with the modular group  $\mathbf{M}$  as the amalgamated subgroup. Namely  $\mathbf{P} \cong G_1 *_M G_2$  with  $G_1 \cong S_3 *_{\mathbb{Z}_3} A_4$  and  $G_2 \cong S_3 *_{\mathbb{Z}_2} D_2$  ( $S_3$  is the symmetric group on three symbols,  $A_4$  is the alternating group on four symbols and  $D_2$  is the Klein 4-group), [4].

## 2. Conjugacy classes in $\mathbf{P}$ and maximal Fuchsian subgroups

Let  $t \in \mathbf{P}$  be elliptic. It is known that such a  $t$  is conjugate to the transformation  $z \rightarrow \lambda z$  with  $|\lambda| = 1$  in  $PSL(2, \mathbb{C})$ , [7]. But we need to know the conjugacy classes in  $\mathbf{P}$  of elliptic elements when studying Fuchsian subgroups.

In [4], Fine showed that  $\mathbf{P}$  is a generalised free product and used this fact to characterize Fuchsian subgroups. To do this he needed to find the conjugacy classes of elliptic elements in  $\mathbf{P}$ . In [4], Fine found five conjugacy classes of elliptic elements of order 2 and two classes of order 3. In [6], Harding noted without proof that the number of conjugacy classes of order 2 can be reduced to four, and used this result in the classification of maximal Fuchsian subgroups of  $\mathbf{P}$ .

In this study, noticing first that the number of conjugacy classes of 3rd order elliptic elements can be reduced to 1, we obtain new results on the subgroups of  $\mathbf{P}$  regarding Harding's results, [6]. Because of the decrease on the number of conjugacy classes, the results obtained in [4] and [6] will become easier to prove and many calculations can be omitted.

An element of  $A *_H B$  of finite order is conjugate to an element of finite order in one of the factors. Because of the abstract group structure of  $\mathbf{P}$  as a free product amalgamated with  $\mathbf{M}$ , each finite ordered elliptic element will be either of order 2 or 3. Further  $P$  is a discontinuous group and therefore it can not have any elliptic elements of infinite order, [7]. These can be proved by elementary operations. In [4], Fine found the conjugacy classes of elliptic elements of finite order in  $G_1$  and in  $G_2$  to find the conjugacy classes of elliptic elements in  $\mathbf{P}$ . Fine found representatives of the conjugacy classes of elliptic

elements of order 2 as

$$z \rightarrow -z, z \rightarrow \frac{1}{z}, z \rightarrow -z + 1, z \rightarrow -z + i, z \rightarrow -z + (1 + i).$$

Harding [6], noted that these can be reduced to

$$z \rightarrow -z, z \rightarrow -z + 1, z \rightarrow -z + i, z \rightarrow -z + (1 + i).$$

Indeed by means of the transformation corresponding to the matrix  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ , the representatives  $z \rightarrow \frac{1}{z}$  and  $z \rightarrow -z + 1$  are conjugate. Therefore these elements have exactly four conjugacy classes in  $\mathbf{P}$ .

Fine, in [4], found the representatives of the conjugacy classes of elliptic elements of order three as  $z \rightarrow -\frac{1}{z+1}$  and  $z \rightarrow \frac{1}{z+i}$ . But by means of the transformation corresponding to the matrix  $\begin{pmatrix} i & -1 \\ -i & 1-i \end{pmatrix}$ , these two are conjugate to each other. That is, there is only one class of third order elliptic elements in  $\mathbf{P}$ . Therefore we can induce the Theorem 2 of [4] to the following.

**Theorem 2.1** *There are only five conjugacy classes of elliptic elements in  $\mathbf{P}$ , four for those of order 2 and one for those of order 3. In particular, any elliptic transformation of order 2 is conjugate to one of*

$$u_{2,1} : z \rightarrow -z, u_{2,2} : z \rightarrow -z + 1, u_{2,3} : z \rightarrow -z + i, u_{2,4} : z \rightarrow -z + 1 + i$$

while any elliptic transformation of order 3 is conjugate to

$$u_3 : z \rightarrow -\frac{1}{z+1}.$$

Let  $u_{2,1}, u_{2,2}, u_{2,3}, u_{2,4}$  and  $u_3$  denote the five conjugacy classes of elliptic elements in  $\mathbf{P}$ . Before stating our main results, we first give a summary on Hermitian forms and maximal Fuchsian subgroups of  $\mathbf{P}$ , ( for details, see [6] and [8]).

Let  $C$  be the circle

$$a(x^2 + y^2) + 2b_1x - 2b_2y + c = 0$$

on the complex plane with  $a, b_1, b_2, c \in \mathbb{Z}$  and  $b_1^2 + b_2^2 - ac > 0$ . If we denote the set of those  $C$  by  $\Omega$ ,  $\mathbf{P}$  acts on  $\Omega$ .

**2.1 Definition** A subgroup of  $\mathbf{P}$  leaving a circle  $C$  invariant and mapping its interior onto itself is called Fuchsian.

We know from [5] that to each circle  $C$  of  $\Omega$  there corresponds a Fuchsian subgroup and to each Fuchsian subgroup there corresponds a circle of  $\Omega$ .

**2.2 Definition** 1) A quadratic form  $az\bar{z} + bz + \bar{b}\bar{z} + c$  is called a binary Hermitian form. Here  $a, c \in \mathbb{Z}$  and  $b \in \mathbb{Z}(i)$ .

If we put  $z = x + iy$  and  $b = b_1 + ib_2$ , then we obtain  $a(x^2 + y^2) + 2b_1x - 2b_2y + c$ . For brevity, this form can be denoted by  $(a, b_1, b_2, c)$ .

2) The discriminant of a form  $(a, b_1, b_2, c)$  is  $D = b_1^2 + b_2^2 - ac$ .

Here if  $D > 0$ , then the form  $(a, b_1, b_2, c)$  represents (by putting the form equal to zero) a circle in  $\mathbb{C}$  with center  $\frac{-b_1+ib_2}{a}$  and radius  $\frac{\sqrt{D}}{|a|}$  where  $a \neq 0$ . When  $a = 0$ , such a form represents a straight line which is a circle in  $\widehat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$ .

**2.3 Definition** 1) Let  $C, C'$  be any forms. If there exists a  $g \in \mathbf{P}$  such that  $g(C) = C'$  then we call these two forms equivalent.

2) If *g.c.d.*  $(a, b_1, b_2, c) = 1$ , then the form  $(a, b_1, b_2, c)$  is called primitive.

3) The main form of discriminant  $D > 0$  is  $(1, 0, 0, -D)$ . Every main form is primitive and is a circle with centre 0, radius  $\sqrt{D}$ .

Equivalent forms have the same discriminant. Let  $C, C'$  be represented by  $(a, b_1, b_2, c)$  and  $(a', b'_1, b'_2, c')$ . Let  $C, C'$  be equivalent, i.e. for some  $g \in \mathbf{P}$ ,  $g(a, b_1, b_2, c) = (a', b'_1, b'_2, c')$ . Now we consider the presentation of  $\mathbf{P}$  in (1.1).  $\mathbf{P}$  is generated by the following transformations:

$$x(z) = \frac{i}{iz+1}, u(z) = -\frac{1}{z}, y(z) = \frac{z+1}{-z}, r(z) = \frac{i}{iz}.$$

The effect of  $x, u, y, r$  on  $C$  can be given

$$\begin{aligned} x &: (a, b_1, b_2, c) \rightarrow (a+c-2b_2, b_1, a-b_2, a) \\ u &: (a, b_1, b_2, c) \rightarrow (c, -b_1, b_2, a) \\ y &: (a, b_1, b_2, c) \rightarrow (c, c-b_1, b_2, a+c-2b_1) \\ r &: (a, b_1, b_2, c) \rightarrow (c, b_1, -b_2, a) \end{aligned}$$

Then by observation, we have

- (i) if at least one of  $a, c$  is odd, then at least one of  $a', c'$  is odd.
- (ii) if both  $a, c$  are even, then both  $a', c'$  are even and  $b_i \equiv b'_i \pmod{2}, i = 1, 2$ .

Also by observation, if  $(a, b_1, b_2, c)$  and  $(a', b'_1, b'_2, c')$  are both primitive, with the same discriminant, and if they satisfy (i) or (ii), then they are equivalent. So for a given discriminant  $D$ , there are (at most) four equivalence classes of primitive forms. They are of the following types:

*I*) (odd or even, odd or even, odd or even, odd or even ) with the condition that  $a$  and  $c$  can not be even at the same time.

*II*) (even, odd, odd, even)

*III*) (even, odd, even, even)

*IV*) (even, even, odd, even)

Note that the main form of any discriminant is of type *I*, since  $a = 1$  is odd.

**2.4 Definition** *Let  $C = (a, b_1, b_2, c)$  be a form. The subgroup of  $\mathbf{P}$  consisting of all transformations leaving  $C$  invariant is called the form group (or group) of  $C$  and denoted by  $\Phi(C)$ .*

Here the circle  $C$  is left invariant and its interior is mapped onto itself. Therefore a form group  $\Phi(C)$  is a maximal Fuchsian subgroup of  $\mathbf{P}$ . The conjugacy classes of maximal Fuchsian subgroups of  $\mathbf{P}$  correspond to equivalence classes of primitive forms in a one to one and onto way.

**Theorem 2.2** *Let  $D$  be a given determinant.*

*If  $D \equiv 0 \pmod{4}$ , then there is only one equivalence class of primitive forms and is of type *I*.*

*If  $D \equiv 1 \pmod{4}$ , then there are three classes of types *I, III* and *IV*.*

*If  $D \equiv 2 \pmod{4}$ , then there are two classes, of types *I* and *II*.*

*If  $D \equiv 3 \pmod{4}$ , then there is only one class of type *I*.*

**Proof.** (See [6]) We only sketch the proof to remind the method. For all values of discriminant  $D$ , there is a main form. So the type *I* class always exists.

So assume both  $a, c$  are even, and so  $D \equiv b_1^2 + b_2^2 \pmod{4}$ .

If  $D \equiv 0 \pmod{4}$ , we have  $b_1^2 + b_2^2 \equiv 0 \pmod{4}$ . Then both  $b_1, b_2$  must be even in which case, form is not primitive. So there is only one class of type *I*.

If  $D \equiv 1 \pmod{4}$ , we have  $b_1^2 + b_2^2 \equiv 1 \pmod{4}$ . In this case  $b_1$  is odd,  $b_2$  is even or vice versa. So there are three classes of type *I, III, IV*.

The others follow similarly.  $\square$

Let us denote the equivalence class of primitive forms of type  $I$  having discriminant  $D$  by  $\xi(I, D)$ . Similarly  $\xi(II, D)$ ,  $\xi(III, D)$  and  $\xi(IV, D)$  denote the equivalence classes of primitive forms of type  $II$ ,  $III$  and  $IV$  having discriminant  $D$ .

**Definition 2.5** *If  $\xi$  is an equivalence class of primitive forms and  $\mathbf{u}$  is a conjugacy class of elliptic elements in  $\mathbf{P}$ , then  $\mathbf{u}$  is said to be represented in  $\xi$  if there is an element  $b \in \mathbf{u}$  such that  $b \in \Phi(C)$  where  $C \in \xi$ .*

First, we can restate Theorem 3.7 in [6] as we reduced the number of conjugacy classes of the third order elliptic elements to one.

**Theorem 2.3.** *Let  $C$  be a primitive form.*

(a) *The form group  $\Phi(C)$  contains elliptic elements of order 2 conjugate to  $\mathbf{u}_{2,1} : z \rightarrow -z$ ,  $\mathbf{u}_{2,2} : z \rightarrow -z + 1$ ,  $\mathbf{u}_{2,3} : z \rightarrow -z + i$ ,  $\mathbf{u}_{2,4} : z \rightarrow -z + 1 + i$ , respectively, if and only if  $C$  is equivalent to one of the following forms respectively*

- (i)  $(a, 0, 0, c)$
- (ii)  $(a, -\frac{1}{2}a, 0, c)$  *a even*
- (iii)  $(a, 0, \frac{1}{2}a, c)$  *a even*
- (iv)  $(a, -\frac{1}{2}a, \frac{1}{2}a, c)$  *a even.*

(b)  $\Phi(C)$  *contains elliptic elements of order 3 if and only if  $C$  is equivalent to a form  $(a, \frac{1}{2}a, b_2, a)$  (a even).*

(c)  $\Phi(C)$  *contains parabolic elements if and only if the discriminant of  $C$  is in the form  $D = dD_0^2$  where,  $d$  is square-free and does not have any prime factor  $p \equiv 3 \pmod{4}$ .*

**Proof.** (a) We know that any elliptic element of order 2 in  $\mathbf{P}$  is conjugate to one of the following transformations:  $\mathbf{u}_{2,1} : z \rightarrow -z$ ,  $\mathbf{u}_{2,2} : z \rightarrow -z + 1$ ,  $\mathbf{u}_{2,3} : z \rightarrow -z + i$ , and  $\mathbf{u}_{2,4} : z \rightarrow -z + 1 + i$ . Let  $C'$  be the form  $az\bar{z} + bz + \bar{b}\bar{z} + c$ . The transformation  $\mathbf{u}_{2,1} : z \rightarrow -z$  sends  $C'$  to

$$a(-z)(-\bar{z}) + b(-z) + \bar{b}(-\bar{z}) + c = az\bar{z} - bz - \bar{b}\bar{z} + c.$$

This is equal to  $C'$  if  $b = -b$ . So  $b = 0$  and so  $C'$  is of type  $I$ . Thus the group of a form equivalent to  $C' = (a, 0, 0, c)$  for some  $a, c$  will contain at least one element of order 2 conjugate to  $\mathbf{u}_{2,1} : z \rightarrow -z$ . Indeed, if a primitive form  $C$  is equivalent to  $C'$ , by the definition, there is an element  $g \in P$  such that  $g(C) = C'$ . Now we consider the element

$g^{-1}u_{2,1}g$ . As  $g^{-1}u_{2,1}g(C) = C$  we have  $g^{-1}u_{2,1}g \in \Phi(C)$ . Clearly  $g^{-1}u_{2,1}g$  is of order 2. So  $\Phi(C)$  contains elliptic elements of order 2 conjugate to  $u_{2,1} : z \rightarrow -z$ .

The transformation  $u_{2,2} : z \rightarrow -z + 1$  sends  $C'$  to  $a(-z + 1)(-\bar{z} + 1) + b(-z + 1) + \bar{b}(-\bar{z} + 1) + c = az\bar{z} + (-a - b)z + (-a - \bar{b})\bar{z} + a + b + \bar{b} + c$ . This is  $C'$  if  $b = -a - b$ ,  $a + b + \bar{b} + c = c$ . So  $2b_1 = -a, b_2 = 0$  where  $b = b_1 + ib_2$ . Thus the group of a form equivalent to  $C' = (a, -\frac{1}{2}a, 0, c)$  for some  $a$  (even) and  $c$  will contain at least one element of order 2 conjugate to  $u_{2,2} : z \rightarrow -z + 1$ . The form will be of type *I* or *III* according to whether  $c$  is odd or even.

Similarly, the group of a form equivalent to  $C' = (a, 0, \frac{1}{2}a, c)$  for some  $a$  (even) and  $c$  will contain at least one element conjugate to  $u_{2,3} : z \rightarrow -z + i$ . The form will be of type *I* or *IV* according to whether  $c$  is odd or even.

Similarly, the group of a form equivalent to  $C' = (a, -\frac{1}{2}a, \frac{1}{2}a, c)$  for some  $a$  (even) and  $c$  will contain at least one element conjugate to  $u_{2,4} : z \rightarrow -z + 1 + i$ . The form will be of type *I* or *II*.

Conversely, assume that  $\Phi(C)$  contains an elliptic element of order 2 conjugate to  $u_{2,2} : z \rightarrow -z + 1$ , say  $a$ . Since  $a$  is conjugate to  $u_{2,2}$  in  $\mathbf{P}$ , by the definition there is an element  $b$  of  $\mathbf{P}$  such that  $bab^{-1} = u_{2,2}$ . Now we consider the circle  $C' = b(C)$ . Since  $u_{2,2}(C') = bab^{-1}(C') = C'$ , we have  $u_{2,2} \in \Phi(C')$ . Therefore, we have seen that, if  $u_{2,2} \in \Phi(C')$  then  $C'$  is of the form  $(a, -\frac{1}{2}a, 0, c)$  ( $a$  even). By the definition, as  $b(C) = C'$ ,  $C$  is equivalent to  $C' = (a, -\frac{1}{2}a, 0, c)$  ( $a$  even).

The others follow similarly.

**(b)** Let  $C'$  be the form  $az\bar{z} + bz + \bar{b}\bar{z} + c$ . We know that any elliptic element of order 3 in  $\mathbf{P}$  is conjugate to  $u_3 : z \rightarrow \frac{-1}{z+1}$ . The transformation  $u_3 : z \rightarrow \frac{-1}{z+1}$  sends  $C'$  to

$$\begin{aligned} a\left(\frac{-1-z}{z}\right)\left(\frac{-1-\bar{z}}{\bar{z}}\right) + b\frac{-1-z}{z} + \bar{b}\frac{-1-\bar{z}}{\bar{z}} + c &= a(1+z)(1+\bar{z}) - b(1+z)\bar{z} - \bar{b}(1+\bar{z})z + cz\bar{z} \\ &= (a - b - \bar{b} + c)z\bar{z} + (a - \bar{b})z + (a - b)\bar{z} + a. \end{aligned}$$

This is equal to  $C'$  if  $a = c$  and  $b = a - \bar{b}$ . So we have  $a = c$  and  $2b_1 = a$  where  $b = b_1 + ib_2$ . Therefore if  $u_3 \in \Phi(C')$  then  $C'$  must be of the form  $(a, \frac{1}{2}a, b_2, a)$  for some  $a$  (even) and  $b$ . The form will be of type *II*, *III* or *IV* according to whether  $a, \frac{1}{2}a$  and  $b_2$  are odd or even. Thus the group of a form equivalent to  $C' = (a, \frac{1}{2}a, b_2, a)$  for some  $a$  (even) and  $b$  will contain at least one element of order 3. Indeed, if a primitive form  $C$  is equivalent to  $C'$ , by the definition, there is an element  $g \in \mathbf{P}$  such that  $g(C) = C'$ . Now we consider the element  $g^{-1}u_3g$ . As  $g^{-1}u_3g(C) = C$  we have  $g^{-1}u_3g \in \Phi(C)$ . Clearly

$g^{-1}u_3g$  is of order 3. So  $\Phi(C)$  contains elliptic elements of order 3.

Conversely, assume that  $\Phi(C)$  contains an elliptic element of order 3, say  $a$ . Since any elliptic element of order 3 in  $\mathbf{P}$  is conjugate to  $u_3 : z \rightarrow \frac{-1}{z+1}$ ,  $a$  is conjugate to  $u_3$ . By the definition there is an element  $b$  of  $\mathbf{P}$  such that  $bab^{-1} = u_3$ . Now we consider the circle  $C' = b(C)$ . Since  $u_3(C') = bab^{-1}(C') = C'$ , we have  $u_3 \in \Phi(C')$ . We have seen that, if  $u_3 \in \Phi(C')$  then  $C'$  is of the form  $(a, \frac{1}{2}a, b_2, a)$  for some  $a$  (even) and  $b$ . As  $b(C) = C'$ , by the definition,  $C$  is equivalent to  $C' = (a, \frac{1}{2}a, b_2, a)$  ( $a$  even).

(c) Follows similarly. □

Then we have the following theorem.

**Theorem 2.4**  $\mathfrak{U}_{2,1}$  is represented in  $\xi(I)$  for all values of  $D$ .  $u_3$  can not be represented in  $\xi(I)$  for all values of  $D$ . If  $D \equiv 1 \pmod{4}$ , only  $\mathfrak{U}_{2,2}$  is represented in  $\xi(III)$  and only  $\mathfrak{U}_{2,3}$  is represented in  $\xi(IV)$ . Also, if  $D \equiv 2 \pmod{4}$ , only  $\mathfrak{U}_{2,4}$  is represented in  $\xi(II)$ .

**Proof.** Let  $D$  be any discriminant. For every  $D$ , there is the type  $I$  class and we take the main form  $C_1 = (1, 0, 0, -D)$  as its representative. So by the Theorem 2.3(a)(i),  $\Phi(C_1)$  contain  $u_{2,1}$ . Then for  $u_{2,1} \in \mathfrak{U}_{2,1}$ ,  $u_{2,1} \in \Phi(C_1)$  where  $C_1 \in \xi(I, D)$ . Thus  $u_{2,1}$  is represented in  $\xi(I, D)$  for any  $D$ .

Now suppose that  $u_3$  is represented in  $\xi(I, D)$  for any  $D$ . By the definition, there is an element  $b \in u_3$  such that  $b \in \Phi(C)$  where  $C \in \xi(I, D)$ . As  $b \in u_3$ , there is a  $y \in \mathbf{P}$  such that  $yby^{-1} = u_3$ . Then we have  $u_3(y(C)) = y(C)$ . By the Theorem 2.3(b),  $y(C)$  must be of the form  $(a, \frac{1}{2}a, b_2, a)$  for some  $a$  (even),  $b$ . By the definition  $C$  and  $y(C)$  are equivalent. But  $y(C) = (a, \frac{1}{2}a, b_2, a)$  is not of type  $I$ . Because of this contradiction,  $u_3$  can not be represented in  $\xi(I, D)$  for any  $D$ .

By the Theorem 2.2, we know that for  $D \equiv 0, 3 \pmod{4}$  there is only type  $I$ . Therefore only  $u_{2,1}$  is represented in  $\xi(I, D)$  for this values of  $D$ . If  $D \equiv 1 \pmod{4}$ , there are three classes of type  $I, III$  and  $IV$ . Then

$$C_3 : 2z\bar{z} - z - \bar{z} - \left(\frac{D-1}{2}\right) = 0$$

is in  $\xi(III, D)$  and

$$C_4 : 2z\bar{z} + iz - i\bar{z} - \left(\frac{D-1}{2}\right) = 0$$



is in  $\xi(IV, D)$ . So by Theorem 2.3(a)(ii) and (iii),  $u_{2,2} \in \Phi(C_3)$  and  $u_{2,3} \in \Phi(C_4)$ . Therefore  $u_{2,2}$  is represented in  $\xi(III, D)$  and  $u_{2,3}$  is represented in  $\xi(IV, D)$  for all  $D \equiv 1 \pmod{4}$ .

Similarly if  $D \equiv 2 \pmod{4}$ , there are two classes of type *I* and *II*. Then

$$C_2 : 2z\bar{z} + (-1 + i)z + (-1 - i)\bar{z} - \left(\frac{D-2}{2}\right) = 0$$

is in  $\xi(II, D)$  and  $u_{2,4} \in \Phi(C_2)$ . Therefore  $u_{2,4}$  is represented in  $\xi(II, D)$  for all  $D \equiv 2 \pmod{4}$ .  $\square$

Consequently,  $u_3$  can not be represented in  $\xi(I, D)$  for any values of  $D$ . Therefore we face the question that for what  $D$ 's,  $u_3$  is represented in  $\xi(II, D)$ ,  $\xi(III, D)$  and  $\xi(IV, D)$ .

**Theorem 2.5** *Let  $D$  be a given discriminant. If  $u_3$  is represented in  $\xi(II, D)$ ,  $\xi(III, D)$  or  $\xi(IV, D)$ , then there is an  $n \in \mathbb{Z}$  so that  $D + 3n^2$  is a square.*

**Proof.** If  $u_3$  is represented in  $\xi(II, D)$ , there is an element  $b \in u_3$  such that  $b \in \Phi(C)$  where  $C \in \xi(II, D)$ . If  $b \in u_3$ , there is a  $g \in \mathbf{P}$  so that  $gbg^{-1} = u_3$ . Then we have  $u_3(g(C)) = g(C)$ . By Theorem 2.3(b),  $g(C)$  is of the form  $(a, \frac{1}{2}a, b_2, a)$  with even  $a$ . Therefore  $C$  is equivalent to a form  $(a, \frac{1}{2}a, b_2, a)$  with even  $a$ . Since equivalent forms have equal discriminant, we get  $D = \frac{a^2}{4} + b_2^2 - a^2$  and so  $b_2^2 = D + 3\frac{a^2}{4}$ . As  $a$  is even, we write  $a = 2n, n \in \mathbb{Z}$ . Then  $b_2^2 = D + 3n^2$  and hence  $b_2 = \sqrt{D + 3n^2}$  is obtained. Since  $b_2 \in \mathbb{Z}$ , we conclude that  $D + 3n^2$  is an exact square. The others follows similarly.  $\square$

If  $D + 3n^2 = a^2$ , then the form  $(2n, n, a, 2n)$  is of the type *II*, *III* or *IV* with discriminant  $D$  according to whether  $n$  and  $a$  are odd or even. If  $(n, a) = 1$ , the form  $(2n, n, a, 2n)$  will be primitive. In other words, if  $D + 3n^2 = a^2$  with  $(n, a) = 1$ , then  $u_3$  is represented in  $\xi(II, D)$ ,  $\xi(III, D)$  or  $\xi(IV, D)$ .

The converse of this theorem is not always true, e.g. for  $D = 9$ , we find  $9 + 3 \cdot 3^2 = 36$  and the corresponding form  $(6, 3, 6, 6)$  is not primitive.

Let  $D + 3n^2 = a^2$ . Suppose that  $n$  and  $a$  are both even. Then we can write  $a = 2m, n = 2u$  where  $m, u \in \mathbb{Z}$ . We have  $D = a^2 - 3n^2 = 4m^2 - 12u^2 \equiv 0 \pmod{4}$ . If  $n$  is odd and  $a$  is even, we have  $D = (2m)^2 - 3(2u + 1)^2 = 4(m^2 - 3u^2 - 3u - 1) + 1 \equiv 1 \pmod{4}$ . Similarly, if  $n$  is even and  $a$  is odd, we have  $D \equiv 1 \pmod{4}$ . Finally if  $n$  and  $a$  are both odd, we have  $D \equiv 2 \pmod{4}$ . Thus we have the following lemma:

**Lemma 2.6** (i) Let  $D \equiv 2(\text{mod}4)$  and  $D + 3n^2 = a^2$ . Then  $a$  and  $n$  are both odd.  
(ii) Let  $D \equiv 1(\text{mod}4)$  and  $D + 3n^2 = a^2$ . Then  $n$  is odd while  $a$  is even and vice versa.

Therefore if  $D + 3n^2 = a^2$  with  $(n, a) = 1$  and  $D \equiv 2(\text{mod}4)$ , then the form  $(2n, n, a, 2n)$  is a representative of forms of type *II* having discriminant  $D$ . So  $u_3$  is represented in  $\xi(II, D)$  for the values of  $D$ . If  $D + 3n^2 = a^2$  with  $(n, a) = 1$  and  $D \equiv 1(\text{mod}4)$ , then the forms  $(2n, n, a, 2n)$  and  $(4n + 2a, 2n + a, 3n + 2a, 4n + 2a)$  are representatives of forms of type *III* and *IV* having discriminant  $D$  according to whether  $n$  and  $a$  are odd or even. Notice that the parity of the pair  $(n, a)$  is opposite to that of the pair  $(2n + a, 3n + 2a)$ . So  $u_3$  is represented in  $\xi(III, D)$  and  $\xi(IV, D)$  for the values of  $D$ .

Now we want to determine what values of  $D \equiv 1, 2(\text{mod}4)$ , the positive integer  $D$  can be represented in the quadratic form  $D = a^2 - 3n^2$  by integers  $a, n$  where  $(n, a) = 1$ . First we will solve the problem for  $n = 1$  and  $2$ . Note that for  $n = 0$ , only possible case is  $a = 1$  and we have  $D = 1$ . First assume that  $n = 1$ . If  $a$  is odd, we can write  $a = 2u + 1, u \in \mathbb{Z}$ . Then we have  $D = a^2 - 3 = 4u^2 + 4u - 2 \equiv 2(\text{mod}4)$ . As  $D > 0$ , all the numbers  $D \equiv 2(\text{mod}4)$  with  $D + 3 = a^2$  are of the form

$$D = 4u^2 + 4u - 2, u \geq 1.$$

So for these values of  $D$ ,  $u_3$  can be represented in  $\xi(II, D)$ . If  $a$  is even, we have  $D = 4u^2 - 3 \equiv 1(\text{mod}4), u \geq 1$ . So all the numbers  $D \equiv 1(\text{mod}4)$  with  $D + 3 = a^2$  are of the form

$$D = 4u^2 - 3, u \geq 1$$

and for these values of  $D$ ,  $u_3$  can be represented in  $\xi(III, D)$  and  $\xi(IV, D)$ .

Similarly for  $n = 2$ , only the case odd  $a$  is possible. Notice that for all odd  $a$ , we have  $(2, a) = 1$ . Then we have

$$D = 4u^2 + 4u - 11, u \geq 2.$$

So  $D \equiv 1(\text{mod}4)$  and these values of  $D$  only ones with  $D + 12 = a^2, (2, a) = 1$ . Again, for these values of  $D$ ,  $u_3$  can be represented in  $\xi(III, D)$  and  $\xi(IV, D)$ .

In general, let us consider the binary quadratic form in two variables  $f(x, y) = x^2 - 3y^2$ . The standard method of determining which integers can be represented by a quadratic form is to use a local global approach (see, for example, Theorem 1.3 on page 129 in [3]). For the quadratic form under consideration this says:

$x^2 - 3y^2 = D$  ( $D > 0$ ) has a solution in  $\mathbb{Z}$  if and only if  $x^2 - 3y^2 = D$  has a solution in  $\mathbb{Z}_p$  for each prime  $p$  (here  $\mathbb{Z}_p$  is the ring of  $p$ -adic integers). Furthermore, for odd  $p$ ,  $x^2 - 3y^2 = D$  has a solution in  $\mathbb{Z}_p$  if and only if the congruence  $x^2 - 3y^2 \equiv D \pmod{p}$  has a solution. For  $p = 2$ , a similar result holds so long as the corresponding congruence  $\pmod{8}$  is satisfied.

Let  $D \equiv 1 \pmod{4}$ .

**Case 1.** Let  $(D, 3) = 1$ .

(i) If  $p$  is an odd prime,  $p \neq 3$ ,  $(D, p) = 1$ , then  $x^2 - 3y^2 \equiv D \pmod{p}$  always has a solution.

(ii) If  $p$  is an odd prime,  $p \neq 3$ ,  $p \mid D$ , then  $x^2 - 3y^2 \equiv D \pmod{p}$  has a solution if and only if  $\left(\frac{3}{p}\right) = 1$ , i.e. if and only if  $p \equiv \pm 1 \pmod{12}$ .

(iii) If  $p = 3$ , then  $x^2 - 3y^2 \equiv D \pmod{3}$  has a solution if and only if  $\left(\frac{D}{3}\right) = 1$ , i.e. if and only if  $D \equiv 1 \pmod{3}$ .

(iv) If  $p = 2$ , then  $x^2 - 3y^2 \equiv D \pmod{8}$  has a solution since  $D \equiv 1 \pmod{4}$  and so  $D \equiv 1, 5 \pmod{8}$ .

Therefore we get

” If  $D \equiv 1 \pmod{4}$  and  $(D, 3) = 1$ , then  $x^2 - 3y^2 = D$  has a solution if and only if  $D \equiv 1 \pmod{12}$  and every prime  $p \mid D$  is such that  $p \equiv \pm 1 \pmod{12}$ .”

**Case 2.** Let  $3 \mid D$ . This then forces  $3 \mid x$  and since  $(x, y) = 1$ , we must have that 9 does not divide  $D$ . Thus  $D = 3E$  where  $(E, 3) = 1$  and we need to consider solutions to  $3x^2 - y^2 = E$ .

(i) If  $p$  is an odd prime,  $p \neq 3$ ,  $(p, E) = 1$ , then there is a solution.

(ii) If  $p$  is an odd prime,  $p \neq 3$ ,  $p \mid E$ , then there is a solution if and only if  $p \equiv \pm 1 \pmod{12}$ .

(iii) If  $p = 3$ , then there is a solution if and only if  $E \equiv -1 \pmod{3}$ .

(iv) If  $p = 2$ , then there is a solution since  $E \equiv 3, 7 \pmod{8}$ .

Therefore we get

” If  $D \equiv 1 \pmod{4}$  and  $3 \mid D$ , then  $x^2 - 3y^2 = D$  has a solution if and only if  $D \equiv -3 \pmod{36}$  and every prime  $p \mid D$ , ( $p \neq 3$ ) is such that  $p \equiv \pm 1 \pmod{12}$ .”

Let  $D \equiv 2 \pmod{4}$ . Similarly we get

**1.** If  $D \equiv 2 \pmod{4}$  and  $(D, 3) = 1$ , then  $x^2 - 3y^2 = D$  has a solution if and only if  $D \equiv 10 \pmod{12}$  and every prime  $p \mid D$ , ( $p \neq 2$ ) is such that  $p \equiv \pm 1 \pmod{12}$ .

2. If  $D \equiv 2 \pmod{4}$  and  $3 \mid D$ , then  $x^2 - 3y^2 = D$  has a solution if and only if  $D \equiv 6 \pmod{36}$  and every prime  $p \mid D$ , ( $p \neq 2, 3$ ) is such that  $p \equiv \pm 1 \pmod{12}$ .

So we proved the following theorem:

**Theorem 2.7.** (i) If  $D \equiv 1 \pmod{12}$ , and every prime  $p \mid D$  is such that  $p \equiv \pm 1 \pmod{12}$ ,

(ii) If  $D \equiv -3 \pmod{36}$ , and every prime  $p \mid D$ , ( $p \neq 3$ ) is such that  $p \equiv \pm 1 \pmod{12}$ ,

(iii) If  $D \equiv 10 \pmod{12}$ , and every prime  $p \mid D$ , ( $p \neq 2$ ) is such that  $p \equiv \pm 1 \pmod{12}$ ,

(iv) If  $D \equiv 6 \pmod{36}$ , and every prime  $p \mid D$ , ( $p \neq 2, 3$ ) is such that  $p \equiv \pm 1 \pmod{12}$ , then  $\mathfrak{U}_3$  can be represented in  $\xi(II, D)$ ,  $\xi(III, D)$  and  $\xi(IV, D)$ .

### Acknowledgment

We would like to thank the referee for many helpful comments and suggestions. Also, we would like to thank Prof. Dr. Aydın Aytuna.

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Received 28.01.1999