

# Generalized Universal Theorem of Isometric Embedding

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## Abstract

Universal theorem is an important theorem, in this paper, Figiel's lemma that concerns the isometric embedding from a Banach space  $X$  into the continuous space  $C(L)$  are extended. That is a form of extension of universal theorem.

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## 1 Introduction

In 1932, Mazur-Ulam<sup>[1]</sup> established the following problem: *if  $X, Y$  are normed linear spaces and  $f : X \rightarrow Y$  is an surjective isometric mapping, then  $f$  is an affine mapping.* The "surjection" is a necessary condition. As a matter of fact, there is an example<sup>[2]</sup> which shows that the above result may not be true without this condition. When is this affinity result true without the "surjection" ? Many authors today investigate the cases not restricted to this surjection condition.

In 1968, T.Figiel<sup>[3,4]</sup> extended Mazur-Ulam's theorem and proved the following theorem.

**Theorem 1.1.** *Suppose that  $X, Y$  are both real Banach spaces. If  $F : X \rightarrow Y$  is an isometry mapping and  $F(0) = 0$ , then there exists a continuous linear mapping  $f : \overline{\text{span}F(X)} \mapsto X$ , which satisfies  $f \circ F = i_X$ , and that  $f$  is unique and  $\|f\|_{\text{span}F(x)} = 1$ .*

In part 2 we introduce Figiel's lemma and establish an extension of it, and we finish the proof in part 3.

## 2 Extension of Universal Theorem

**Universal theorem**<sup>[4]</sup> is very important in Banach space:

- (1). Any separable Banach space  $X$  can be isometrically embedded to  $C[0, 1]$ .
- (2). Any Banach space  $X$  can be isometrically embedded to  $C(K)$ . (Here  $K$  is the unit ball of  $X^*$ , which is  $w^*$ -compact set.)

The following two properties come from Figiel<sup>[4]</sup>.

**Property 2.1**<sup>[4]</sup> Suppose that  $X, Y$  are two real Banach spaces  $a$  is one of smooth points of the spheres  $\{x \in X, \|x\| = \|a\|\}$ , and  $F : X \rightarrow Y$  is an isometry mapping, such that  $F(0) = 0$ , and besides there exists  $f \in Y^*, \|f\| = 1$ , such that for any  $r \in \mathbf{R}$ ,  $f(F(ra)) = r\|a\|$ , then  $f \circ F = f_a$ , where  $f_a$  is a support function at  $a$ .

**Property 2.2.**<sup>[4]</sup> Suppose that  $Y$  is a real normed linear space,  $\mathbf{R}$  is a real space, and  $F : \mathbf{R} \rightarrow Y$  is an isometric embedding. Then there exists  $f \in Y^*, \|f\| = 1$  such that  $f \circ F = id_{\mathbf{R}}$ . Here,  $id_{\mathbf{R}}$  is an identity operator on  $\mathbf{R}$ .

Now, we extended **Property 2.2**, as follows:

**Theorem 2.3.** Suppose that  $X, Y$  are two real Banach spaces,  $F : X \rightarrow Y$  is an isometry mapping and  $F(0) = 0$ . Then,

i) There exists  $f \in Y^*, \|f\| = 1$ , such that  $f \circ F \in S(X^*)$ .

ii)  $f \circ F = id_{\mathbf{R}}$  as  $X = \mathbf{R}$ .

iii) There exists a compact  $L_X^Y(w^*$ -topology), such that  $U : X \mapsto C(L_X^Y)$ ,  $U(x) = F(x)|_{L_X}$  is a linear isometric mapping. This iii) can be said to be a **generalized of Universal theorem**.

**Remark 2.4**<sup>[4]</sup>. If  $X = Y$ , then ii) in **universal theorem** is obvious by Theorem 2.3.

## 3 Proof of Theorem

The proof **Theorem 2.3.** need **Mazur's Theorem**<sup>[5]</sup>: " Suppose that  $A$  is a solid closed convex set of a separable Banach space. Then the smooth points set  $sm(A)$  of  $A$ , is the residual set of a bounded  $\partial(A)$ , and  $sm(A)$  is dense in  $\partial(A)$ ."

Thanks to R.Villa <sup>[5]</sup> we give **Proof of Theorem 2.3.**

**Proof:**

**Section 1:** Suppose  $X$  is a separable space. Let  $a \in X$  be the smooth point of  $\{x \in X, \|x\| = \|a\|\}$ , and  $f_a$  be the support function at  $a$ , i.e.  $\|f_a\| = 1$ ,  $f_a(a) = \|a\|$ . Then for  $\forall n \in \mathbf{N}$ , by Hahn-Banach Theorem, there exists

$g_n \in Y^*$ ,  $\|g_n\| = 1$ , so that

$$g_n(F(na) - F(-na)) = \|F(na) - F(-na)\| = 2n\|a\|,$$

and for  $\forall r, |r| \leq n$ , we obtain

$$\begin{aligned} 2n\|a\| &\leq |g_n(F(na) - F(ra))| + |g_n(F(ra) - F(-na))| \\ &\leq \|F(na) - F(ra)\| + \|F(ra) - F(-na)\| \\ &= |n - r| \cdot \|a\| + |n + r| \cdot \|a\| = 2n\|a\|. \end{aligned}$$

This implies that

$$(n - r)\|a\| = |g_n(F(na) - F(ra))|. \tag{1}$$

If  $r = 0$ , then  $|g_n(F(na))| = n\|a\|$ . Let us take  $\varepsilon_n \in \{-1, 1\}$  such that

$$\varepsilon_n g_n(F(na)) = n\|a\|. \tag{2}$$

Moreover, for any  $|r| \leq n$ , we claim that

$$\varepsilon_n g_n(F(ra)) = r\|a\|. \tag{3}$$

Indeed,

$$\varepsilon_n(g_n(F(na)) - g_n(F(ra))) = n\|a\| - \varepsilon_n g_n F(ra) \geq n\|a\| - |g_n F(ra)| \geq (n - |r|)\|a\| \geq 0.$$

From (1),

$$\varepsilon_n(g_n(F(na)) - g_n(F(ra))) = |(g_n(F(na)) - g_n(F(ra)))| = (n - r)\|a\|, \text{ and}$$

from (2) we show that (3) is true.

Because  $B(Y^*)$  is  $w^*$ -compact, there is  $g_a \in B(Y^*)$  which is a cluster point of  $\{g_n\}$  such that

$$g_a(F(ra)) = r\|a\| \quad (\forall r \in R). \tag{4}$$

By Property 2.1, we can show that  $g_a \circ F = f_a$ , and we denote that  $f = g_a$ . Thus complete the proof of i) under the condition of  $X$  is separable.

For ii), we can let  $\|a\| = 1$ , then by (4)  $g_1 \circ F(r) = r$ , this also extend Property 2.2.

Next, we will prove that iii). Let

$$L_X^Y = \{g \in B(Y^*), g \circ F \in B(X^*)\} \tag{5}$$

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<sup>1</sup>In Lemma 9.4.4 of [4]-p404, we can find similarity result of the space of reals  $R$ , In [4]-p407 give a general result but no proof of it.

then  $L_X^Y$  is a  $w^*$ -compact. Let

$$U : X \rightarrow C(L_X^Y), \quad U(x) = F(x)|_{L_X^Y} \quad (x \in X). \tag{6}$$

Obviously,  $\|U(x)\| \leq \|F(x)\| = \|x\|$  and  $U$  is a continuous linear mapping on  $X$ .

In fact, for any  $x, y \in X, \alpha, \beta \in \mathbf{R}, g \in L_X^Y$ ,  
 $U(\alpha x + \beta y)(g) = F(\alpha x + \beta y)(g) = g \circ F(\alpha x + \beta y) = \alpha g \circ F(x) + \beta g \circ F(y) = \alpha U(x)(g) + \beta U(y)(g)$ .

Since  $X$  is separable, then there exists  $g_a \in S(Y^*)$  such that  $|g_a(F(a))| = \|a\|$  for any smooth point  $a \in X$ . Hence  $\|U(a)\| = \|a\|$  and again Mazur's theorem implies that the smooth points set of  $X$  is dense. Thus

$$\|U(x)\| = \|x\|. \tag{7}$$

Thus complete the proof of iii) under the condition of  $X$  is separable.

**Section 2:** If  $X$  is not separable, let  $\Xi = \{X_\gamma : X_\gamma \subset X \text{ is separable}\}$ . Again

$$U_\gamma : X_\gamma \rightarrow C(L_{X_\gamma}^Y), \quad U_\gamma(x) = F(x)|_{L_{X_\gamma}^Y} \quad (x \in X_\gamma).$$

If  $X', X'' \subset X$  are two separable subspaces, then  $\overline{X' + X''}$  is also separable, and

$$L_{\overline{X'+X''}}^Y \subset L_{X'} \cap L_{X''}.$$

Then the  $w^*$ -closed set family  $\{L_{X_\gamma}^Y : X_\gamma \subset X, X_\gamma \text{ is separable}\}$  have finite intersection property. Because that  $B(Y^*)$  is  $w^*$ -compact,  $L_X^Y = \{g \in B(Y^*), g \circ F \in B(X^*)\}$ , so

$$\cap\{L_{X_\gamma}^Y : X_\gamma \subset X, X_\gamma \text{ is separable}\} \neq \emptyset$$

and

$$L_X^Y = \cap\{L_{X_\gamma}^Y : X_\gamma \subset X, X_\gamma \text{ is separable}\}.$$

In fact, if  $g \in L_X^Y$ , then  $g \circ F \in X^*$ , so  $g \circ F \in X_\gamma^*$  for any subsets  $X_\gamma \subset X$ .

Conversely, if  $g \in L_{X_\gamma}^Y$  for any  $\gamma$ , then  $g \circ F$  is a continuous linear functional on any  $X_\gamma$ , thus for any  $x_1, x_2 \in X$ , we set  $X_1 = \overline{span\{x_1, x_2\}}$ .

We easily prove that  $g \circ F$  is a linear functional on  $X_1$ , furthermore,  $g \circ F \in X^*$ .

Next, we claim that

$$\|F(x)|_{L_X^Y}\| = \|x\|, \quad \forall x \in X.$$

In fact, let  $x \in X$ , and set

$$\Xi' = \{X_\gamma : x \in X_\gamma, X_\gamma \text{ is separable}\}.$$

Then  $\Xi'$  is a direction set which is non-empty, by (6) and (7) above **Section 1**

$$\|U_{X_\gamma}(x)\| = \|x\|.$$

For any  $X_\gamma \in \Xi'$  there exists  $g_\gamma \in L_{X_\gamma}^Y$  such that

$$|g_\gamma \circ F(x)| = \|x\|.$$

so

$\{g_\gamma : X_\gamma \in \Xi'\}$  is a net in  $B(Y^*)$ . Then there is a  $w^*$ -closed point  $g_0 \in B(Y^*)$ .

Hence for any  $V$  which is a  $w^*$ -neighborhood of  $g_0$ , there exists  $X_\beta \in \Xi$ , such that  $X_\beta \supseteq X_\gamma$  and  $g_\beta \in V$ .

Now, we will prove that  $g_0 \in L_X^Y$ . In fact, for any  $X_\gamma \in \Xi$ ,  $g_0 \in L_{X_\gamma}^Y$ .

Otherwise,

assume that  $\widetilde{X}_0 \in \Xi$ , but  $g_0 \notin L_{\widetilde{X}_0}^Y$ . Because  $L_{\widetilde{X}_0}^Y$  is  $w^*$ -closed set, then we can find a  $w^*$ -neighborhood  $V_0$  of  $g_0$  such that

$$V_0 \cap L_{\widetilde{X}_0}^Y = \emptyset.$$

Since  $g_0$  is a  $w^*$ -closed point of  $\{g_\gamma : X_\gamma \in \Xi'\}$ , then there exists  $X_\gamma \in \Xi'$  such that  $X_\gamma \supseteq \widetilde{X}_0$  and  $g_\gamma \in V_0$ , therefore  $g_\gamma \in L_{X_\gamma}^Y \subset L_{\widetilde{X}_0}^Y$ , which implies that  $g_0 \in L_{\widetilde{X}_0}^Y$ , leading to a contradiction.

According to (6), (7), and  $|g_0 \circ F(x)| = \|x\|$ , then  $\|F(x)|_{L_X^Y}\| = \|x\|$ ,  $U$  is an isometry and  $\|g_0 \circ F\| = 1$ . Completing the proof.

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