

Count response model for the CMB spots

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Abstract

The statistics of the curvature quanta generated during a stage of inflationary expansion is used to derive a count response model for the large-scale phonons determining, in the concordance lore, the warmer and the cooler spots of the large-scale temperature inhomogeneities. The multiplicity distributions for the counting statistics are shown to be generically overdispersed in comparison with conventional Poissonian regressions. The generalized count response model deduced hereunder accommodates an excess of correlations in the regime of high multiplicities and prompts dedicated analyses with forthcoming data collected by instruments of high angular resolution and high sensitivity to temperature variations per pixel.

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According to the conventional lore of structure formation, Cosmic Microwave Background (CMB) observations provide an image of quantum fluctuations blown up to the size of the Universe. Curvature perturbations are believed to originate as quantum fluctuations at least in the framework of the standard Λ CDM paradigm² where scalar modes of the geometry are the sole source of temperature inhomogeneities because of the strict absence of tensor modes. In this simplified scenario (yet consistent with the three independent cosmological data sets [1, 2, 3]) the curvature perturbations are quantized in terms of a collection of scalar phonons and are themselves proportional, via the Sachs-Wolfe effect, to the temperature inhomogeneities. The latter statement holds for large angular scales (i.e. $\vartheta > 6$ deg) corresponding, in practice, to the region of the so-called Sachs-Wolfe plateau, i.e. multipoles $\ell < 30$. This occurrence is often dubbed by saying that CMB maps at the largest scales are a faithful impression of quantum fluctuations as mentioned in the first sentence of this paragraph. For smaller angular scales (i.e., approximately, $30 \text{ arcmin} < \vartheta < 6 \text{ deg}$) the curvature perturbations are still the source of temperature anisotropies which enter the regime of acoustic oscillations. For even larger multipoles (i.e. $\ell > \ell_S$ with $\ell_S \simeq 920$) diffusive (Silk) damping dominates.

The effective action obeyed by the primordial phonons during a conventional stage of inflationary expansion can be written, in terms of \mathcal{R} , i.e. the curvature perturbations on comoving orthogonal hypersurfaces which have a gauge-invariant meaning without being necessarily connected to curvature perturbations in all the coordinate systems different from the comoving orthogonal one (see, for instance, [4, 5] and references therein):

$$S = \frac{1}{2} \int d^3x d\tau z^2 \eta^{\alpha\beta} \partial_\alpha \mathcal{R} \partial_\beta \mathcal{R}, \quad z(\tau) = \frac{a \phi'}{\mathcal{H}}, \quad (1)$$

where ϕ denotes the (single) inflaton, a is the scale factor and $\mathcal{H} = (\ln a)'$; the prime denotes a derivation with respect to the conformal time coordinate τ . In Eq. (1) the geometry is assumed to be spatially flat, as suggested by the position of the first acoustic oscillation and by CMB data as a whole (see, e. g. [1]). In the minimal Λ CDM scenario (when the non-adiabatic pressure fluctuations are strictly vanishing) the curvature perturbations are approximately constant, i.e. $\mathcal{R}' \simeq 0$ for wavelengths shorter than Hubble radius at each corresponding time (see, for instance, [6]). In particular, at photon decoupling, the intensity fluctuations of the radiation field (i.e. the warmer and the cooler regions in the CMB sky) are given, in real space, as

$$\Delta_{\text{I}}(\vec{x}, \tau_{\text{dec}}) \simeq -\frac{\mathcal{R}(\vec{x}, \tau_{\text{dec}})}{5}, \quad (2)$$

under the approximation of sudden decoupling (i.e. assuming that the visibility function is a narrow Gaussian centered at τ_{dec}). Following the tenets of canonical quantization,

²In the concordance model (often dubbed Λ CDM where Λ stands for the dark energy component and CDM for the dark matter component) single field inflationary models and standard thermal history are always assumed implicitly.

the field variables can be promoted to quantum mechanical operators obeying equal-time commutation relations, i.e.

$$\hat{\mathcal{R}}(\vec{x}, \tau) = \frac{1}{(2\pi)^{3/2} \sqrt{V}} \sum_{\vec{k}} \hat{\mathcal{R}}_{\vec{k}} e^{-i\vec{k}\cdot\vec{x}}, \quad \hat{\mathcal{R}}_{\vec{k}} = \frac{\hat{a}_{\vec{k}} + \hat{a}_{-\vec{k}}^\dagger}{z(\tau)\sqrt{2k}}, \quad (3)$$

where $\hat{a}_{\vec{k}}$ and $\hat{a}_{\vec{p}}^\dagger$ are the annihilation and creation operators of curvature inhomogeneities and $[\hat{a}_{\vec{k}}, \hat{a}_{\vec{p}}^\dagger] = \delta_{\vec{k}, \vec{p}}$; per each Fourier mode the Hamiltonian operator describing the quantized curvature perturbations can be written as:

$$\hat{H}_{\vec{k}} = 2k \mathcal{K}_0(\vec{k}) + 2 \left[\lambda^*(\tau) \mathcal{K}_-(\vec{k}) + \lambda(\tau) \mathcal{K}_+(\vec{k}) \right], \quad (4)$$

where $2\lambda = iz'/z$; the operators $\mathcal{K}_\pm(\vec{k})$ and $\mathcal{K}_0(\vec{k})$ obey the commutation relations of the $SU(1, 1)$ Lie algebra [7, 8]:

$$\mathcal{K}_+(\vec{k}) = \hat{a}_{\vec{k}}^\dagger \hat{a}_{-\vec{k}}^\dagger, \quad \mathcal{K}_-(\vec{k}) = \hat{a}_{\vec{k}} \hat{a}_{-\vec{k}}, \quad \mathcal{K}_0(\vec{k}) = \frac{1}{2} \left[\hat{a}_{\vec{k}}^\dagger \hat{a}_{\vec{k}} + \hat{a}_{-\vec{k}} \hat{a}_{-\vec{k}}^\dagger \right]. \quad (5)$$

From Eq. (4) the multiparticle final state is given by

$$|\Psi_{\vec{k}}\rangle = \Xi(\varphi_k) \Sigma(\zeta_k) |0_{\vec{k}} 0_{-\vec{k}}\rangle, \quad |\Psi\rangle = \prod_{\vec{k}} |\Psi_{\vec{k}}\rangle, \quad (6)$$

where the two unitary operators $\Xi(\varphi_k)$ and $\Sigma(\zeta_k)$ are

$$\Xi(\varphi_k) = \exp[-2i\varphi_k \mathcal{K}_0(\vec{k})], \quad \Sigma(\zeta_k) = \exp[\zeta_k^* \mathcal{K}_-(\vec{k}) - \zeta_k \mathcal{K}_+(\vec{k})], \quad (7)$$

with $\zeta_k = r_k e^{i\gamma_k}$ and $\alpha_k = (2\varphi_k - \gamma_k)$; the time evolution of the variables $r_k(\tau)$, $\varphi_k(\tau)$ and $\alpha_k(\tau)$ is given by

$$r'_k = 2i\lambda \cos \alpha_k, \quad \varphi'_k = k - 2i\lambda \tanh r_k \sin \alpha_k, \quad \alpha'_k = 2k - 4i\lambda \frac{\sin \alpha_k}{\tanh 2r_k}. \quad (8)$$

In Eq. (6) the initial state is the vacuum. While the latter assumption can be relaxed, it is usually invoked by tacitly assuming that the total number of inflationary e-folds exceeds the minimal amount required to solve the standard problems of big-bang cosmology (see, e.g. [4], first reference).

The customary exercise would now be to compute the power spectrum in terms of the parameters of the underlying inflationary model encoded in the function $z(\tau)$ defined in Eq. (1). A qualitatively different class of questions concerns instead the determination of the multiplicity distribution of the curvature quanta. Owing to the $SU(1, 1)$ group structure of Eq. (5), the squeezing operator of $\Sigma(\zeta_k)$ defined in Eq. (6) can be factorized as $\Sigma(\zeta_k) = \mathcal{A}_+(\zeta_k) \mathcal{A}_0(\zeta_k) \mathcal{A}_-(\zeta_k)$ where $\mathcal{A}_0(\zeta_k) = \exp[-2 \ln(\cosh r_k) \mathcal{K}_0(\vec{k})]$ and

$\mathcal{A}_\pm(\zeta_k) = \exp[\mp e^{\pm i\gamma_k} \tanh r_k \mathcal{K}_\pm(\vec{k})]$. From Eq. (6) the form of the density matrix relevant for the forthcoming discussions is

$$\hat{\rho}_{\vec{k}} = \frac{1}{\cosh^2 r_k} \sum_{n_{\vec{k}}=0}^{\infty} \sum_{m_{\vec{k}}=0}^{\infty} e^{-i\alpha_k(n_{\vec{k}}-m_{\vec{k}})} (\tanh r_k)^{n_{\vec{k}}+m_{\vec{k}}} |n_{\vec{k}} n_{-\vec{k}}\rangle \langle m_{-\vec{k}} m_{\vec{k}}|, \quad (9)$$

whose diagonal elements define the multiplicity distribution:

$$P_{\{n_{\vec{k}}\}} = \prod_{\vec{k}} P_{n_{\vec{k}}}, \quad P_{n_{\vec{k}}}(\bar{n}_{\vec{k}}) = \frac{\bar{n}_{\vec{k}}^{n_{\vec{k}}}}{(1 + \bar{n}_{\vec{k}})^{n_{\vec{k}}+1}}, \quad (10)$$

accounting for the way curvature quanta of each Fourier mode (i.e. $n_{\vec{k}}$) are distributed as a function of their mean value per each Fourier mode (i.e. $\bar{n}_{\vec{k}} = \sinh^2 r_k$). Equation (10) is a Bose-Einstein distribution but the average number of curvature quanta $\bar{n}_{\vec{k}}$ has no relation with the standard Bose-Einstein occupation number. The same situation occurs usually in quantum optics for chaotic (i.e. white) light [9, 10] where photons distributed as in Eq. (10) for each mode of the radiation field can be produced by sources in which atoms are kept at an excitation level higher than that in thermal equilibrium. The way the off-diagonal elements of Eq. (9) behave is dictated by the phases α_k . While it is not strictly essential to get rid of the off-diagonal elements for the forthcoming arguments, it is nonetheless plausible that, by averaging over α_k Eq. (9), the density matrix can be reduced (i.e. $\hat{\rho}_{\vec{k}}^{\text{red}} = \frac{1}{2\pi} \int_0^{2\pi} d\alpha_k \hat{\rho}_{\vec{k}}$) by only keeping the diagonal terms. The density operator can be written, with the conventional shorthand notation,

$$\hat{\rho} = \sum_{\{n_{\vec{k}}\}} P_{\{n_{\vec{k}}\}} |\{n_{\vec{k}}\}\rangle \langle \{n_{\vec{k}}\}|, \quad |\{n_{\vec{k}}\}\rangle = |n_{\vec{k}_1}\rangle |n_{\vec{k}_2}\rangle |n_{\vec{k}_3}\rangle \dots \quad (11)$$

where, $P_{\{n_{\vec{k}}\}}$ is given by Eq. (10) and the ellipses stand for the direct product of all the modes of the field. According to Eq. (2) (and in the hypothesis that curvature quanta are the sole source of temperature inhomogeneities), the distribution $P(n)$ of the *total* number of phonons $n = \sum_{\vec{k}} n_{\vec{k}}$ must reflect the distribution of the warmer and cooler regions. If there are supplementary sources of temperature inhomogeneities (e. g. tensor modes of the geometry) the present discussion can be appropriately modified. The multiplicity distribution $P(n)$ accounts for the way the total number of phonons n is distributed as a function of its mean value; $P(n)$ can be very different from $P_{\{n_{\vec{k}}\}}$. Denoting with $p(\{n\})$ the joint probability distribution of the set of phonon occupation numbers $\{n\}$ of the field, we shall have that

$$p(\{n\}) = \prod_{\vec{k}} \frac{1}{(1 + \bar{n}_{\vec{k}})(1 + 1/\bar{n}_{\vec{k}})^{n_{\vec{k}}}}. \quad (12)$$

For any mode for which $\bar{n}_{\vec{k}} = 0$, the corresponding factor must be interpreted as $\delta_{n_{\vec{k}}0}$. In the following we shall suppose, quite generally, that only a subset consisting of ϵ modes of the field is actually occupied and we shall restrict the attention to this subset of modes.

If n is the total number of phonons and $P(n)$ is the multiplicity distribution of n , then $P(m) = \sum_{\{n\}} p(\{n\}) \delta_{mn}$. In quantum optics an analog of the multiplicity distribution $P(m)$ describes the statistical properties of (unpolarized) chaotic light beams [9]. The evaluation of $P(m)$ can be in general difficult but it becomes easy in the physical case when the average occupation number \bar{n}_k of all the ϵ occupied modes become equal³; in this case from Eq. (11) and (12) the joint probability distribution of the occupied modes becomes:

$$p(\{n\}) = \frac{1}{(1 + \bar{n}/\epsilon)^\epsilon (1 + \epsilon/\bar{n})^n}, \quad \bar{n} = \sum_{\vec{k}} \bar{n}_{\vec{k}} = \epsilon \bar{n}_{\vec{k}}. \quad (13)$$

Every non-vanishing term in the summation $P(m) = \sum_{\{n\}} p(\{n\}) \delta_{mn}$ has the same value and the required probability $P(m)$ is simply $p(\{n\})$ given by Eq. (13) multiplied by a well known combinatorial factor accounting for the way the n phonons are distributed among the ϵ modes:

$$P_n(\bar{n}, \epsilon) = \frac{\Gamma(n + \epsilon)}{\Gamma(\epsilon)\Gamma(n + 1)} \left(\frac{\bar{n}}{\bar{n} + \epsilon}\right)^n \left(\frac{\epsilon}{\bar{n} + \epsilon}\right)^\epsilon. \quad (14)$$

The cumulant generating function [11] associated with Eq. (14) is given by

$$\mathcal{C}(s, \bar{n}, \epsilon) = \ln \left[\sum_n s^n P_n(\bar{n}, \epsilon) \right] = -\epsilon \ln \left[1 + (1 - s) \frac{\bar{n}}{\epsilon} \right]. \quad (15)$$

Equations (14) and (15) define the count response model implied by the physical nature of the source which are the (quantized) curvature perturbations. From the cumulant generating function all the cumulant moments can be obtained to an arbitrary order but they are all function of \bar{n} and of ϵ . The variance $D^2 = \langle n^2 \rangle - \langle n \rangle^2$ is then given by $D^2 = \bar{n} + \bar{n}^2/\epsilon$. In the limit $\epsilon \rightarrow 1$ the Bose-Einstein distribution is recovered; for generic ϵ the count response model defined by Eqs. (14) and (15) falls into the class of negative binomial regressions which arise, in rather general terms, in all those discrete counts where correlations lead to asymmetric distributions with a degree of correlation larger than in conventional Poissonian counting (see [12] for an introduction to statistical models of count response data).

The count response model of Eqs. (14) and (15) has been deduced in a top-down approach by looking at the statistical properties of the counting distribution of primordial phonons as they arise in the minimal Λ CDM model. A complementary avenue will now be taken with the purpose of deriving the concept of multiplicity distribution in a bottom-up perspective. To study the multiplicity distribution of the spots we can, for instance, fix a threshold in the brightness perturbations such as

$$|\Delta_I^{(\min)}| = 26.865 \left(\frac{\mathcal{A}_{\mathcal{R}}}{2.43 \times 10^{-9}} \right)^{1/2} \left(\frac{T_{\gamma 0}}{2.725 \text{ K}} \right) \mu\text{K}, \quad (16)$$

³In the case of a thermal light beam which is either fully polarized or fully unpolarized the use of a rectangular spectral density is an excellent approximation in the derivation of the photocounting statistics which is also experimentally accessible [9].

where \mathcal{A}_R is the amplitude of the power spectrum of curvature perturbations following from the WMAP 7 data at the conventional pivot scale $k_p = 0.002 \text{ Mpc}^{-1}$. The value of Eq. (16) comes from the large-scale ($\vartheta > 6\text{deg}$) plateau; different and more refined ways of fixing the threshold can be suggested but this aspect is immaterial for the forthcoming considerations⁴. Given the threshold (16) we can therefore ask (or predict) how many hot (or cold) spots are present in different angular intervals starting from a ϑ_{\min} (connected, for instance, with the resolution of the instrument) up to a ϑ_{\max} . The resolution⁵ will also determine ultimately the nature of the partition and the number of classes of the histogram. The same procedure will then to be used for different temperature thresholds. It is plausible to assume, in a bottom-up approach, that the distribution of spots in excess with respect to a given (progressively increasing) threshold is given by a real Gaussian variable $f(\vartheta)$. In a Poissonian count response model the probability that one spot is found between ϑ and $\vartheta + d\vartheta$ will be $P(1, \vartheta, d\vartheta) = \mu \mathcal{Q}(\vartheta) d\vartheta$ where μ is a constant and [11]

$$\mathcal{Q}(\vartheta) = \frac{1}{\delta} \int_{\vartheta-\delta/2}^{\vartheta+\delta/2} f^2(\vartheta) d\vartheta. \quad (17)$$

The probability of finding n spots in the interval $[\vartheta, \vartheta + \Delta\vartheta]$ is a Poisson distribution in n

$$P(n, \vartheta, \Delta\vartheta) = \frac{1}{n!} \left[\mu \int_{\vartheta}^{\vartheta+\Delta\vartheta} \mathcal{Q}(\vartheta) d\vartheta \right]^n \exp \left[-\mu \int_{\vartheta}^{\vartheta+\Delta\vartheta} \mathcal{Q}(\vartheta') d\vartheta' \right]. \quad (18)$$

It would be debatable to conclude that the multiplicity distribution is Poissonian since what is potentially measurable is not $P(n, \vartheta, \Delta\vartheta)$ but rather $p_n(\Delta\vartheta) = \langle P(n, \vartheta, \Delta\vartheta) \rangle$, i.e. the distribution $P(n, \vartheta, \Delta\vartheta)$ expressed as a function of $x = \int_{\vartheta}^{\vartheta+\Delta\vartheta} \mathcal{Q}(\vartheta') d\vartheta'$ and averaged over the ensemble of x . The mean and the variance of x can be physically estimated as $\langle x \rangle = \overline{\mathcal{Q}} \Delta\vartheta$ and as $\langle x^2 \rangle - \langle x \rangle^2 = \overline{\mathcal{Q}^2} (\Delta\vartheta) \vartheta_c$ where ϑ_c is a typical scale possibly related to the angular resolution to some other coarsening angle. The question is therefore if it exists a probability distribution of x (containing at least two parameters) and reproducing the results already obtained within our top-down approach. The answer to the latter question is provided by the Gamma distribution [11]

$$p_{\Gamma}(\lambda, \nu; x) dx = (\lambda x)^{\nu-1} \frac{e^{-\lambda x}}{\Gamma(\nu)} d(\lambda x), \quad \lambda = \frac{1}{\overline{\mathcal{Q}} \vartheta_c}, \quad \nu = \frac{\Delta\vartheta}{\vartheta_c}. \quad (19)$$

The distribution of Eq. (18) must then be averaged over the ensemble of x

$$p_n(\Delta\vartheta) = \frac{1}{n!} \int_0^{\infty} p_{\Gamma} \left(\frac{1}{\overline{\mathcal{Q}} \vartheta_c}, \frac{\Delta\vartheta}{\vartheta_c}; x \right) e^{-\mu x} (\mu x)^n dx. \quad (20)$$

⁴We are here focussing on hot spots but the same discussion can be conducted for cooler regions by considering the distribution of spots below a given (progressively decreasing) threshold. This the meaning of the absolute value in Eq. (16).

⁵The WMAP experiment in his five channels has a resolution which varies between about 1 deg (for the low frequency channels) to less than 0.2 deg for the high-frequency channels. The Planck explorer experiment, in his nine frequency channels has a resolution which varies between few arcminutes (in the high frequency instrument) and about half a degree of the low frequency channels.

The explicit result of the integral indicated in Eq. (20) is exactly given by Eq. (14) with $\bar{n} = \mu \bar{Q} \Delta \vartheta$ and $\epsilon = (\Delta \vartheta) / \vartheta_c$. The Poissonian counting is recovered from Eq. (15): in the limit $\epsilon \rightarrow \infty$ the variance tends to the mean value (i.e. $D^2 \rightarrow \bar{n}$) and the cumulant generating function of Eq. (15) tends to the Poissonian limit, i.e. $\mathcal{C}(s, \bar{n}, \epsilon) \rightarrow \bar{n}(s - 1)$. These two occurrences are sufficient to infer that, for $\epsilon \rightarrow \infty$, $P_n(\bar{n}, \epsilon) \rightarrow \bar{n}^n \exp[-\bar{n}] / n!$. A posteriori we can say that the negative binomial counting deduced in Eq. (14) (and, indirectly, in Eq. (20)) is, at once, more general and more physical than the Poissonian counting.

The probability of finding n spots in a given angular interval must depend on the threshold. By varying the threshold of Eq. (16) as $|\Delta_I^{(\min)}| \rightarrow |\Delta_I^{(\min)}| \eta$ (where $\eta \geq 1$) the values of \bar{n} and ϵ will depend upon η (in particular we can intuitively expect that \bar{n} will decrease with η). The probability generating function \mathcal{P} of the negative binomial distribution satisfies

$$\frac{d\mathcal{P}}{d\eta} = -\mathcal{G}(\mathcal{P}, \eta), \quad (21)$$

$$\mathcal{G}(\mathcal{P}, \eta) = -\frac{1}{\epsilon} \frac{d\epsilon}{d\eta} \mathcal{P} \ln \mathcal{P} + \frac{\epsilon^2}{\bar{n}} \frac{d}{d\eta} \left(\frac{\bar{n}}{\epsilon} \right) \mathcal{P} [1 - \mathcal{P}^{1/\epsilon}], \quad (22)$$

which has the form of a reverse Kolmogorov equation and where $\mathcal{P}(\bar{n}, \epsilon) = \sum_{n=0}^{\infty} P_n(\bar{n}, \epsilon)$. It is tempting to interpret η as a continuous evolution parameter of an appropriate branching process [11]. In this case we should probably impose the boundary conditions $\mathcal{G}(1, \eta) = 0$ and $\mathcal{G}(\mathcal{P}, \eta) = 0$ (for $\mathcal{P} \rightarrow 0$) and ask that $\mathcal{G}(\mathcal{P}, \eta)$ factorizes as the product of a function of \mathcal{P} and of a function of η : in this way the branching process will be stationary in η and Eqs. (21)–(22) imply that one (or both) the conditions are satisfied

$$\frac{1}{\epsilon} \frac{d\epsilon}{d\eta} = c_1 \frac{\epsilon^2}{\bar{n}} \frac{d}{d\eta} \left(\frac{\bar{n}}{\epsilon} \right), \quad \mathcal{P} \ln \mathcal{P} = c_2 \mathcal{P} (1 - \mathcal{P}^{1/\epsilon}) \quad (23)$$

where c_1 and c_2 are two numerical constants. This means that, assuming that the stochastic processes is stationary the η -dependence of \bar{n} determines also the η -dependence of ϵ . For instance, from the first relation of Eq. (23) we have that $1/\epsilon(\eta) + \ln \epsilon(\eta) = a_1 + b_1 \ln \bar{n}(\eta)$.

The modest but novel purpose of the present paper could be summarized by saying that to count spots in a physically meaningful way we have to understand which is the appropriate count response model. It has then been suggested how the multiplicity distribution of the total number of phonons is connected to the multiplicity distribution of the CMB spots in different angular intervals and for different temperature thresholds. These physical considerations pin down a specific count response model which is overdispersed in comparison with a naive Poissonian counting. While the present considerations can be generalized to related frameworks it seems interesting to pursue dedicated analyses aimed at measuring the multiplicity distribution of CMB spots. The experimental scrutiny will therefore have to infer the likely values of ϵ and \bar{n} for different thresholds η and for different angular intervals (and, presumably, also for different frequency channels of the instrument). The latter program assumes that the resulting CMB signal used to extract the multiplicity distribution

will be free of the contamination of foreground (e.g. point-like) sources, a potentially severe problem whose discussion and is beyond the scopes of the present paper.

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