# SMOOTH HYPERSURFACE SECTIONS CONTAINING A GIVEN SUBSCHEME OVER A FINITE FIELD

## BJORN POONEN

### 1. Introduction

Let  $\mathbb{F}_q$  be a finite field of  $q=p^a$  elements. Let X be a smooth quasi-projective subscheme of  $\mathbb{P}^n$  of dimension  $m\geq 0$  over  $\mathbb{F}_q$ . N. Katz asked for a finite field analogue of the Bertini smoothness theorem, and in particular asked whether one could always find a hypersurface H in  $\mathbb{P}^n$  such that  $H\cap X$  is smooth of dimension m-1. A positive answer was proved in [Gab01] and [Poo04] independently. The latter paper proved also that in a precise sense, a positive fraction of hypersurfaces have the required property.

The classical Bertini theorem was extended in [Blo70,KA79] to show that the hypersurface can be chosen so as to contain a prescribed closed smooth subscheme Z, provided that the condition dim X > 2 dim Z is satisfied. (The condition arises naturally from a dimension-counting argument.) The goal of the current paper is to prove an analogous result over finite fields. In fact, our result is stronger than that of [KA79] in that we do not require  $Z \subseteq X$ , but weaker in that we assume that  $Z \cap X$  be smooth. (With a little more work and complexity, we could prove a version for a non-smooth intersection as well, but we restrict to the smooth case for simplicity.) One reason for proving our result is that it is used by [SS07].

Let  $S = \mathbb{F}_q[x_0, \dots, x_n]$  be the homogeneous coordinate ring of  $\mathbb{P}^n$ . Let  $S_d \subseteq S$  be the  $\mathbb{F}_q$ -subspace of homogeneous polynomials of degree d. For each  $f \in S_d$ , let  $H_f$  be the subscheme  $\text{Proj}(S/(f)) \subseteq \mathbb{P}^n$ . For the rest of this paper, we fix a closed subscheme  $Z \subseteq \mathbb{P}^n$ . For  $d \in \mathbb{Z}_{\geq 0}$ , let  $I_d$  be the  $\mathbb{F}_q$ -subspace of  $f \in S_d$  that vanish on Z. Let  $I_{\text{homog}} = \bigcup_{d \geq 0} I_d$ . We want to measure the density of subsets of  $I_{\text{homog}}$ , but under the definition in [Poo04], the set  $I_{\text{homog}}$  itself has density 0 whenever dim Z > 0; therefore we use a new definition of density, relative to  $I_{\text{homog}}$ . Namely, we define the *density* of a subset  $\mathcal{P} \subseteq I_{\text{homog}}$  by

$$\mu_Z(\mathcal{P}) := \lim_{d \to \infty} \frac{\#(\mathcal{P} \cap I_d)}{\#I_d},$$

if the limit exists. For a scheme X of finite type over  $\mathbb{F}_q$ , define the zeta function [Wei49]

$$\zeta_X(s) = Z_X(q^{-s}) := \prod_{\text{closed } P \in X} \left( 1 - q^{-s \deg P} \right)^{-1} = \exp\left( \sum_{r=1}^{\infty} \frac{\#X(\mathbb{F}_{q^r})}{r} q^{-rs} \right);$$

the product and sum converge when  $Re(s) > \dim X$ .

Date: June 29, 2007.

<sup>1991</sup> Mathematics Subject Classification. Primary 14J70; Secondary 11M38, 11M41, 14G40, 14N05.

This article has appeared in *Math. Research Letters* **15** (2008), no. 2, 265–271. This research was supported by NSF grant DMS-0301280.

**Theorem 1.1.** Let X be a smooth quasi-projective subscheme of  $\mathbb{P}^n$  of dimension  $m \geq 0$  over  $\mathbb{F}_q$ . Let Z be a closed subscheme of  $\mathbb{P}^n$ . Assume that the scheme-theoretic intersection  $V := Z \cap X$  is smooth of dimension  $\ell$ . (If V is empty, take  $\ell = -1$ .) Define

$$\mathcal{P} := \{ f \in I_{\text{homog}} : H_f \cap X \text{ is smooth of dimension } m-1 \}.$$

(i) If  $m > 2\ell$ , then

$$\mu_Z(\mathcal{P}) = \frac{\zeta_V(m+1)}{\zeta_V(m-\ell) \ \zeta_X(m+1)} = \frac{1}{\zeta_V(m-\ell) \ \zeta_{X-V}(m+1)}.$$

In this case, in particular, for  $d \gg 1$ , there exists a degree-d hypersurface H containing Z such that  $H \cap X$  is smooth of dimension m-1.

(ii) If  $m \leq 2\ell$ , then  $\mu_Z(\mathcal{P}) = 0$ .

The proof will use the closed point sieve introduced in [Poo04]. In fact, the proof is parallel to the one in that paper, but changes are required in almost every line.

## 2. Singular points of low degree

Let  $\mathcal{I}_Z \subseteq \mathcal{O}_{\mathbb{P}^n}$  be the ideal sheaf of Z, so  $I_d = H^0(\mathbb{P}^n, \mathcal{I}_Z(d))$ . Tensoring the surjection

$$\mathcal{O}^{\oplus (n+1)} \to \mathcal{O}$$
$$(f_0, \dots, f_n) \mapsto x_0 f_0 + \dots + x_n f_n$$

with  $\mathcal{I}_Z$ , twisting by  $\mathcal{O}(d)$ , and taking global sections shows that  $S_1I_d = I_{d+1}$  for  $d \gg 1$ . Fix c such that  $S_1I_d = I_{d+1}$  for all  $d \geq c$ .

Before proving the main result of this section (Lemma 2.3), we need two lemmas.

**Lemma 2.1.** Let Y be a finite subscheme of  $\mathbb{P}^n$ . Let

$$\phi_d \colon I_d = H^0(\mathbb{P}^n, \mathcal{I}_Z(d)) \to H^0(Y, \mathcal{I}_Z \cdot \mathcal{O}_Y(d))$$

be the map induced by the map of sheaves  $\mathcal{I}_Z \to \mathcal{I}_Z \cdot \mathcal{O}_Y$  on  $\mathbb{P}^n$ . Then  $\phi_d$  is surjective for  $d \geq c + \dim H^0(Y, \mathcal{O}_Y)$ ,

*Proof.* The map of sheaves  $\mathcal{O}_{\mathbb{P}^n} \to \mathcal{O}_Y$  on  $\mathbb{P}^n$  is surjective so  $\mathcal{I}_Z \to \mathcal{I}_Z \cdot \mathcal{O}_Y$  is surjective too. Thus  $\phi_d$  is surjective for  $d \gg 1$ .

Enlarging  $\mathbb{F}_q$  if necessary, we can perform a linear change of variable to assume  $Y \subseteq \mathbb{A}^n := \{x_0 \neq 0\}$ . Dehomogenization (setting  $x_0 = 1$ ) identifies  $S_d$  with the space  $S'_d$  of polynomials in  $\mathbb{F}_q[x_1, \ldots, x_n]$  of total degree  $\leq d$ . and identifies  $\phi_d$  with a map

$$I'_d \to B := H^0(\mathbb{P}^n, \mathcal{I}_Z \cdot \mathcal{O}_Y).$$

By definition of c, we have  $S_1'I_d' = I_{d+1}'$  for  $d \ge c$ . For  $d \ge b$ , let  $B_d$  be the image of  $I_d'$  in B, so  $S_1'B_d = B_{d+1}$  for  $d \ge c$ . Since  $1 \in S_1'$ , we have  $I_d' \subseteq I_{d+1}'$ , so

$$B_c \subseteq B_{c+1} \subseteq \cdots$$
.

But  $b := \dim B < \infty$ , so  $B_j = B_{j+1}$  for some  $j \in [c, c+b]$ . Then

$$B_{j+2} = S_1' B_{j+1} = S_1' B_j = B_{j+1}.$$

Similarly  $B_j = B_{j+1} = B_{j+2} = \dots$ , and these eventually equal B by the previous paragraph. Hence  $\phi_d$  is surjective for  $d \geq j$ , and in particular for  $d \geq c + b$ .

**Lemma 2.2.** Suppose  $\mathfrak{m} \subseteq \mathcal{O}_X$  is the ideal sheaf of a closed point  $P \in X$ . Let  $Y \subseteq X$  be the closed subscheme whose ideal sheaf is  $\mathfrak{m}^2 \subseteq \mathcal{O}_X$ . Then for any  $d \in \mathbb{Z}_{>0}$ .

$$#H^0(Y, \mathcal{I}_Z \cdot \mathcal{O}_Y(d)) = \begin{cases} q^{(m-\ell)\deg P}, & \text{if } P \in V, \\ q^{(m+1)\deg P}, & \text{if } P \notin V. \end{cases}$$

Proof. Since Y is finite, we may now ignore the twisting by  $\mathcal{O}(d)$ . The space  $H^0(Y, \mathcal{O}_Y)$  has a two-step filtration whose quotients have dimensions 1 and m over the residue field  $\kappa$  of P. Thus  $\#H^0(Y, \mathcal{O}_Y) = (\#\kappa)^{m+1} = q^{(m+1)\deg P}$ . If  $P \in V$  (or equivalently  $P \in Z$ ), then  $H^0(Y, \mathcal{O}_{Z \cap Y})$  has a filtration whose quotients have dimensions 1 and  $\ell$  over  $\kappa$ ; if  $P \notin V$ , then  $H^0(Y, \mathcal{O}_{Z \cap Y}) = 0$ . Taking cohomology of

$$0 \to \mathcal{I}_Z \cdot \mathcal{O}_Y \to \mathcal{O}_Y \to \mathcal{O}_{Z \cap Y} \to 0$$

on the 0-dimensional scheme Y yields

$$#H^{0}(Y, \mathcal{I}_{Z} \cdot \mathcal{O}_{Y}) = \frac{#H^{0}(Y, \mathcal{O}_{Y})}{#H^{0}(Y, \mathcal{O}_{Z \cap Y})}$$

$$= \begin{cases} q^{(m+1) \deg P} / q^{(\ell+1) \deg P}, & \text{if } P \in V, \\ q^{(m+1) \deg P}, & \text{if } P \notin V. \end{cases}$$

If U is a scheme of finite type over  $\mathbb{F}_q$ , let  $U_{\leq r}$  be the set of closed points of U of degree  $\leq r$ . Similarly define  $U_{\geq r}$ .

**Lemma 2.3** (Singularities of low degree). Let notation and hypotheses be as in Theorem 1.1, and define

$$\mathcal{P}_r := \{ f \in I_{\text{homog}} : H_f \cap X \text{ is smooth of dimension } m-1 \text{ at all } P \in X_{< r} \}.$$

Then

$$\mu_Z(\mathcal{P}_r) = \prod_{P \in V_{\le r}} \left( 1 - q^{-(m-\ell) \deg P} \right) \cdot \prod_{P \in (X-V)_{\le r}} \left( 1 - q^{-(m+1) \deg P} \right).$$

Proof. Let  $X_{\leq r} = \{P_1, \ldots, P_s\}$ . Let  $\mathfrak{m}_i$  be the ideal sheaf of  $P_i$  on X. let  $Y_i$  be the closed subscheme of X with ideal sheaf  $\mathfrak{m}_i^2 \subseteq \mathcal{O}_X$ , and let  $Y = \bigcup Y_i$ . Then  $H_f \cap X$  is singular at  $P_i$  (more precisely, not smooth of dimension m-1 at  $P_i$ ) if and only if the restriction of f to a section of  $\mathcal{O}_{Y_i}(d)$  is zero.

By Lemma 2.1,  $\mu_Z(\mathcal{P})$  equals the fraction of elements in  $H^0(\mathcal{I}_Z \cdot \mathcal{O}_Y(d))$  whose restriction to a section of  $\mathcal{O}_{Y_i}(d)$  is nonzero for every *i*. Thus

$$\mu_{Z}(\mathcal{P}_{r}) = \prod_{i=1}^{s} \frac{\#H^{0}(Y_{i}, \mathcal{I}_{Z} \cdot \mathcal{O}_{Y_{i}}) - 1}{\#H^{0}(Y_{i}, \mathcal{I}_{Z} \cdot \mathcal{O}_{Y_{i}})}$$

$$= \prod_{P \in V < r} \left(1 - q^{-(m-\ell) \deg P}\right) \cdot \prod_{P \in (X-V) < r} \left(1 - q^{-(m+1) \deg P}\right),$$

by Lemma 2.2.

Corollary 2.4. If  $m > 2\ell$ , then

$$\lim_{r \to \infty} \mu_Z(\mathcal{P}_r) = \frac{\zeta_V(m+1)}{\zeta_X(m+1) \zeta_V(m-\ell)}.$$

*Proof.* The products in Lemma 2.3 are the partial products in the definition of the zeta functions. For convergence, we need  $m - \ell > \dim V = \ell$ , which is equivalent to  $m > 2\ell$ .  $\square$ 

Proof of Theorem 1.1(ii). We have  $\mathcal{P} \subseteq \mathcal{P}_r$ . By Lemma 2.3,

$$\mu_Z(\mathcal{P}_r) \le \prod_{P \in V_{\le r}} \left(1 - q^{-(m-\ell)\deg P}\right),$$

which tends to 0 as  $r \to \infty$  if  $m \le 2\ell$ . Thus  $\mu_Z(\mathcal{P}) = 0$  in this case.

From now on, we assume  $m > 2\ell$ .

#### 3. Singular points of medium degree

**Lemma 3.1.** Let  $P \in X$  is a closed point of degree e, where  $e \leq \frac{d-c}{m+1}$ . Then the fraction of  $f \in I_d$  such that  $H_f \cap X$  is not smooth of dimension m-1 at P equals

$$\begin{cases} q^{-(m-\ell)e}, & \text{if } P \in V, \\ q^{-(m+1)e}, & \text{if } P \notin V. \end{cases}$$

*Proof.* This follows by applying Lemma 2.1 to the Y in Lemma 2.2, and then applying Lemma 2.2.

Define the upper and lower densities  $\overline{\mu}_Z(\mathcal{P})$ ,  $\underline{\mu}_Z(\mathcal{P})$  of a subset  $\mathcal{P} \subseteq I_{\text{homog}}$  as  $\mu_Z(\mathcal{P})$  was defined, but using  $\limsup$  and  $\liminf$  in place of  $\lim$ .

**Lemma 3.2** (Singularities of medium degree). *Define* 

$$\mathcal{Q}_r^{\mathrm{medium}} := \bigcup_{d \geq 0} \{ f \in I_d : \text{ there exists } P \in X \text{ with } r \leq \deg P \leq \frac{d-b}{m+1} \}$$

such that  $H_f \cap X$  is not smooth of dimension m-1 at P }.

Then  $\lim_{r\to\infty} \overline{\mu}_Z(\mathcal{Q}_r^{\text{medium}}) = 0.$ 

*Proof.* By Lemma 3.1, we have

$$\frac{\#(\mathcal{Q}_r^{\text{medium}} \cap I_d)}{\#I_d} \leq \sum_{\substack{P \in Z \\ r \leq \deg P \leq \frac{d-b}{m+1}}} q^{-(m-\ell)\deg P} + \sum_{\substack{P \in X - Z \\ r \leq \deg P \leq \frac{d-b}{m+1}}} q^{-(m+1)\deg P} \\
\leq \sum_{P \in Z_{\geq r}} q^{-(m-\ell)\deg P} + \sum_{P \in (X-Z)_{\geq r}} q^{-(m+1)\deg P}.$$

Using the trivial bound that an m-dimensional variety has at most  $O(q^{em})$  closed points of degree e, as in the proof of [Poo04, Lemma 2.4], we show that each of the two sums converges to a value that is  $O(q^{-r})$  as  $r \to \infty$ , under our assumption  $m > 2\ell$ .

#### 4. Singular points of high degree

**Lemma 4.1.** Let P be a closed point of degree e in  $\mathbb{P}^n - Z$ . For  $d \geq c$ , the fraction of  $f \in I_d$  that vanish at P is at most  $q^{-\min(d-c,e)}$ .

*Proof.* Equivalently, we must show that the image of  $\phi_d$  in Lemma 2.1 for Y = P has  $\mathbb{F}_q$ -dimension at least  $\min(d-c,e)$ . The proof of Lemma 2.1 shows that as d runs through the integers  $c, c+1, \ldots$ , this dimension increases by at least 1 until it reaches its maximum, which is e.

**Lemma 4.2** (Singularities of high degree off V). Define

 $\mathcal{Q}_{X-V}^{\text{high}} := \bigcup_{d \geq 0} \{ f \in I_d : \exists P \in (X-V)_{\geq \frac{d-c}{m+1}} \text{ such that } H_f \cap X \text{ is not smooth of dimension } m-1 \text{ at } P \}$ 

Then  $\overline{\mu}_Z(\mathcal{Q}_{X-V}^{\text{high}}) = 0.$ 

*Proof.* It suffices to prove the lemma with X replaced by each of the sets in an open covering of X - V, so we may assume X is contained in  $\mathbb{A}^n = \{x_0 \neq 0\} \subseteq \mathbb{P}^n$ , and that  $V = \emptyset$ . Dehomogenize by setting  $x_0 = 1$ , to identify  $I_d \subseteq S_d$  with subspaces of  $I'_d \subseteq S'_d \subseteq A := \mathbb{F}_q[x_1, \ldots, x_n]$ .

Given a closed point  $x \in X$ , choose a system of local parameters  $t_1, \ldots, t_n \in A$  at x on  $\mathbb{A}^n$  such that  $t_{m+1} = t_{m+2} = \cdots = t_n = 0$  defines X locally at x. Multiplying all the  $t_i$  by an element of A vanishing on Z but nonvanishing at x, we may assume in addition that all the  $t_i$  vanish on Z. Now  $dt_1, \ldots, dt_n$  are a  $\mathcal{O}_{\mathbb{A}^n, x}$ -basis for the stalk  $\Omega^1_{\mathbb{A}^n/\mathbb{F}_q, x}$ . Let  $\partial_1, \ldots, \partial_n$  be the dual basis of the stalk  $\mathcal{T}_{\mathbb{A}^n/\mathbb{F}_q, x}$  of the tangent sheaf. Choose  $s \in A$  with  $s(x) \neq 0$  to clear denominators so that  $D_i := s\partial_i$  gives a global derivation  $A \to A$  for  $i = 1, \ldots, n$ . Then there is a neighborhood  $N_x$  of x in  $\mathbb{A}^n$  such that  $N_x \cap \{t_{m+1} = t_{m+2} = \cdots = t_n = 0\} = N_x \cap X$ ,  $\Omega^1_{N_x/\mathbb{F}_q} = \bigoplus_{i=1}^n \mathcal{O}_{N_x} dt_i$ , and  $s \in \mathcal{O}(N_u)^*$ . We may cover X with finitely many  $N_x$ , so we may reduce to the case where  $X \subseteq N_x$  for a single x. For  $f \in I'_d \simeq I_d$ ,  $H_f \cap X$  fails to be smooth of dimension m-1 at a point  $P \in U$  if and only if  $f(P) = (D_1 f)(P) = \cdots = (D_m f)(P) = 0$ .

Let  $\tau = \max_i(\deg t_i)$ ,  $\gamma = \lfloor (d-\tau)/p \rfloor$ , and  $\eta = \lfloor d/p \rfloor$ . If  $f_0 \in I'_d$ ,  $g_1 \in S'_{\gamma}$ , ...,  $g_m \in S'_{\gamma}$ , and  $h \in I'_{\eta}$  are selected uniformly and independently at random, then the distribution of

$$f := f_0 + g_1^p t_1 + \dots + g_m^p t_m + h^p$$

is uniform over  $I'_d$ , because of  $f_0$ . We will bound the probability that an f constructed in this way has a point  $P \in X_{> \frac{d-c}{m+1}}$  where  $f(P) = (D_1 f)(P) = \cdots = (D_m f)(P) = 0$ . We have  $D_i f = (D_i f_0) + g_i^p s$  for  $i = 1, \ldots, m$ . We will select  $f_0, g_1, \ldots, g_m, h$  one at a time. For  $0 \le i \le m$ , define

$$W_i := X \cap \{D_1 f = \dots = D_i f = 0\}.$$

Claim 1: For  $0 \le i \le m-1$ , conditioned on a choice of  $f_0, g_1, \ldots, g_i$  for which  $\dim(W_i) \le m-i$ , the probability that  $\dim(W_{i+1}) \le m-i-1$  is 1-o(1) as  $d \to \infty$ . (The function of d represented by the o(1) depends on X and the  $D_i$ .)

Proof of Claim 1: This is completely analogous to the corresponding proof in [Poo04].

Claim 2: Conditioned on a choice of  $f_0, g_1, \ldots, g_m$  for which  $W_m$  is finite,  $\operatorname{Prob}(H_f \cap W_m \cap X_{>\frac{d-c}{m+1}} = \emptyset) = 1 - o(1)$  as  $d \to \infty$ .

Proof of Claim 2: By Bézout's theorem as in [Ful84, p. 10], we have  $\#W_m = O(d^m)$ . For a given point  $P \in W_m$ , the set  $H^{\text{bad}}$  of  $h \in I'_{\eta}$  for which  $H_f$  passes through P is either  $\emptyset$  or a coset of  $\ker(\text{ev}_P : I'_{\eta} \to \kappa(P))$ , where  $\kappa(P)$  is the residue field of P, and  $\text{ev}_P$  is the evaluation-at-P map. If moreover  $\deg P > \frac{d-c}{m+1}$ , then Lemma 4.1 implies  $\#H^{\text{bad}}/\#I'_{\eta} \leq q^{-\nu}$  where  $\nu = \min\left(\eta, \frac{d-c}{m+1}\right)$ . Hence

$$\text{Prob}(H_f \cap W_m \cap X_{> \frac{d-c}{m+1}} \neq \emptyset) \leq \#W_m q^{-\nu} = O(d^m q^{-\nu}) = o(1)$$

as  $d \to \infty$ , since  $\nu$  eventually grows linearly in d. This proves Claim 2.

End of proof: Choose  $f \in I_d$  uniformly at random. Claims 1 and 2 show that with probability  $\prod_{i=0}^{m-1} (1-o(1)) \cdot (1-o(1)) = 1-o(1)$  as  $d \to \infty$ , dim  $W_i = m-i$  for  $i=0,1,\ldots,m$  and  $H_f \cap W_m \cap X_{>\frac{d-c}{m+1}} = \emptyset$ . But  $H_f \cap W_m$  is the subvariety of X cut out by the equations  $f(P) = (D_1 f)(P) = \cdots = (D_m f)(P) = 0$ , so  $H_f \cap W_m \cap X_{>\frac{d-c}{m+1}}$  is exactly the set of points of  $H_f \cap X$  of degree  $> \frac{d-c}{m+1}$  where  $H_f \cap X$  is not smooth of dimension m-1. Thus  $\overline{\mu}_Z(\mathcal{Q}_{X-V}^{\text{high}}) = 0$ .

**Lemma 4.3** (Singularities of high degree on V). Define

 $\mathcal{Q}_{V}^{\text{high}} := \bigcup_{d \geq 0} \{ f \in I_d : \exists P \in V_{> \frac{d-c}{m+1}} \text{ such that } H_f \cap X \text{ is not smooth of dimension } m-1 \text{ at } P \}.$ 

Then  $\overline{\mu}_Z(\mathcal{Q}_V^{\text{high}}) = 0.$ 

Proof. As before, we may assume  $X \subseteq \mathbb{A}^n$  and we may dehomogenize. Given a closed point  $x \in X$ , choose a system of local parameters  $t_1, \ldots, t_n \in A$  at x on  $\mathbb{A}^n$  such that  $t_{m+1} = t_{m+2} = \cdots = t_n = 0$  defines X locally at x, and  $t_1 = t_2 = \cdots = t_{m-\ell} = t_{m+1} = t_{m+2} = \cdots = t_n = 0$  defines V locally at x. If  $\mathfrak{m}_w$  is the ideal sheaf of w on  $\mathbb{P}^n$ , then  $\mathcal{I}_Z \to \frac{\mathfrak{m}_w}{\mathfrak{m}_w^2}$  is surjective, so we may adjust  $t_1, \ldots, t_{m-\ell}$  to assume that they vanish not only on V but also on Z.

Define  $\partial_i$  and  $D_i$  as in the proof of Lemma 4.2. Then there is a neighborhood  $N_x$  of x in  $\mathbb{A}^n$  such that  $N_x \cap \{t_{m+1} = t_{m+2} = \cdots = t_n = 0\} = N_x \cap X$ ,  $\Omega^1_{N_x/\mathbb{F}_q} = \bigoplus_{i=1}^n \mathcal{O}_{N_x} dt_i$ , and  $s \in \mathcal{O}(N_u)^*$ . Again we may assume  $X \subseteq N_x$  for a single x. For  $f \in I'_d \simeq I_d$ ,  $H_f \cap X$  fails to be smooth of dimension m-1 at a point  $P \in V$  if and only if  $f(P) = (D_1 f)(P) = \cdots = (D_m f)(P) = 0$ .

Again let  $\tau = \max_i(\deg t_i)$ ,  $\gamma = \lfloor (d-\tau)/p \rfloor$ , and  $\eta = \lfloor d/p \rfloor$ . If  $f_0 \in I'_d$ ,  $g_1 \in S'_{\gamma}$ , ...,  $g_{\ell+1} \in S'_{\gamma}$ , are chosen uniformly at random, then

$$f := f_0 + g_1^p t_1 + \dots + g_{\ell+1}^p t_{\ell+1}$$

is a random element of  $I'_d$ , since  $\ell + 1 \leq m - \ell$ .

For  $i = 0, \dots, \ell + 1$ , the subscheme

$$W_i := V \cap \{D_1 f = \dots = D_i f = 0\}$$

depends only on the choices of  $f_0, g_1, \ldots, g_i$ . The same argument as in the previous proof shows that for  $i = 0, \ldots, \ell$ , we have

$$\operatorname{Prob}(\dim W_i \le \ell - i) = 1 - o(1)$$

as  $d \to \infty$ . In particular,  $W_{\ell}$  is finite with probability 1 - o(1).

To prove that  $\overline{\mu}_Z(\mathcal{Q}_V^{\text{high}}) = 0$ , it remains to prove that conditioned on choices of  $f_0, g_1, \ldots, g_\ell$  making dim  $W_\ell$  finite,

$$\text{Prob}(W_{\ell+1} \cap V_{> \frac{d-c}{m+1}} = \emptyset) = 1 - o(1).$$

By Bézout's theorem,  $\#W_{\ell} = O(d^{\ell})$ . The set  $H^{\text{bad}}$  of choices of  $g_{\ell+1}$  making  $D_{\ell+1}f$  vanish at a given point  $P \in W_{\ell}$  is either empty or a coset of  $\ker(\text{ev}_P : S'_{\gamma} \to \kappa(P))$ . Lemma 2.5 of [Poo04] implies that the size of this kernel (or its coset) as a fraction of  $\#S'_{\gamma}$  is at most  $q^{-\nu}$  where  $\nu := \min\left(\gamma, \frac{d-c}{m+1}\right)$ . Since  $\#W_{\ell}q^{\nu} = o(1)$  as  $d \to \infty$ , we are done.

#### 5. Conclusion

Proof of Theorem 1.1(i). We have

$$\mathcal{P} \subseteq \mathcal{P}_r \subseteq \mathcal{P} \cup \mathcal{Q}_r^{ ext{medium}} \cup \mathcal{Q}_{X-V}^{ ext{high}} \cup \mathcal{Q}_V^{ ext{high}},$$

so  $\overline{\mu}_Z(\mathcal{P})$  and  $\underline{\mu}_Z(\mathcal{P})$  each differ from  $\mu_Z(\mathcal{P}_r)$  by at most  $\overline{\mu}_Z(\mathcal{Q}_r^{\text{medium}}) + \overline{\mu}_Z(\mathcal{Q}_{X-V}^{\text{high}}) + \overline{\mu}_Z(\mathcal{Q}_V^{\text{high}})$ . Applying Corollary 2.4 and Lemmas 3.2, 4.2, and 4.3, we obtain

$$\mu_Z(\mathcal{P}) = \lim_{r \to \infty} \mu_Z(\mathcal{P}_r) = \frac{\zeta_V(m+1)}{\zeta_V(m-\ell) \zeta_X(m+1)}.$$

## ACKNOWLEDGEMENTS

I thank Shuji Saito for asking the question answered by this paper, and for pointing out [KA79].

#### References

- [Blo70] Spencer Bloch, 1970. Ph.D. thesis, Columbia University. \(\frac{1}{2}\)
- [Ful84] William Fulton, Introduction to intersection theory in algebraic geometry, CBMS Regional Conference Series in Mathematics, vol. 54, Published for the Conference Board of the Mathematical Sciences, Washington, DC, 1984.MR735435 (85j:14008) ↑4
- [Gab01] O. Gabber, On space filling curves and Albanese varieties, Geom. Funct. Anal. 11 (2001), no. 6, 1192-1200.MR1878318 (2003g:14034)  $\uparrow 1$
- [KA79] Steven L. Kleiman and Allen B. Altman, Bertini theorems for hypersurface sections containing a subscheme, Comm. Algebra 7 (1979), no. 8, 775–790.MR529493 (81i:14007) ↑1, 5
- [Poo04] Bjorn Poonen, Bertini theorems over finite fields, Ann. of Math. (2) **160** (2004), no. 3, 1099–1127. MR2144974 (2006a:14035)  $\uparrow$ 1, 1, 3, 4, 4
- [SS07] Shuji Saito and Kanetomo Sato, Finiteness theorem on zero-cycles over p-adic fields (April 11, 2007). arXiv:math.AG/0605165. ↑1
- [Wei49] André Weil, Numbers of solutions of equations in finite fields, Bull. Amer. Math. Soc. **55** (1949), 497-508.MR0029393 (10,592e)  $\uparrow 1$

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, BERKELEY, CA 94720-3840, USA *E-mail address*: poonen@math.berkeley.edu *URL*: http://math.berkeley.edu/~poonen