

# Finite Symplectic Actions on the $K3$ Lattice

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## Abstract

In this paper, we study finite symplectic actions on  $K3$  surfaces  $X$ , i.e. actions of finite groups  $G$  on  $X$  which act on  $H^{2,0}(X)$  trivially. We show that the action on the  $K3$  lattice  $H^2(X, \mathbb{Z})$  induced by a symplectic action of  $G$  on  $X$  depends only on  $G$  up to isomorphism, except for five groups.

## 0 Introduction

A compact complex surface  $X$  is called a  $K3$  surface if it is simply connected and has a nowhere vanishing holomorphic 2-form  $\omega_X$ . For properties on  $K3$  surfaces, see [2]. An automorphism  $g$  of  $X$  is said to be symplectic if  $g^*\omega_X = \omega_X$ . Nikulin [17] studied symplectic actions of finite groups on  $K3$  surfaces. In particular, he showed the following result:

**Theorem 0.1** ([17]). *There exist exactly 14 finite abelian groups  $G$  ( $G = C_2, C_3, \dots$ ) which act on  $K3$  surfaces faithfully and symplectically. Moreover, for each  $G$ , the action of  $G$  on the  $K3$  lattice induced by a faithful and symplectic action of  $G$  on a  $K3$  surface is unique up to isomorphism.*

In this paper, we prove that the above uniqueness holds for any finite groups except for five groups (see Theorem 8.11). We use the same notations for groups as in [26] (see Table 10.2).

**Main Theorem.** *Let  $G$  be a finite group such that  $G \neq Q_8, T_{24}, \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$ . Then the action of  $G$  on the  $K3$  lattice induced by a faithful and symplectic action of  $G$  on a  $K3$  surface is unique up to isomorphism. More precisely, if  $G_i \cong G$  acts on a  $K3$  surface  $X_i$  faithfully and symplectically ( $i = 1, 2$ ), then there exists an isomorphism  $\alpha : H^2(X_1, \mathbb{Z}) \rightarrow H^2(X_2, \mathbb{Z})$  preserving the intersection forms such that  $\alpha \circ G_1 \circ \alpha^{-1} = G_2$  in  $\mathrm{GL}(H^2(X_2, \mathbb{Z}))$ .*

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As a corollary, we have the following by a similar argument in [17] (see [25] for a detailed argument).

**Corollary 0.2.** *Let  $G$  be a finite group which does not belong to the exceptional cases listed above. If  $G$  acts on  $K3$  surfaces  $X_i$  faithfully and symplectically for  $i = 1, 2$ , then there exists a connected family  $\mathcal{X}$  of  $K3$  surfaces with an action of  $G$  which satisfies the following conditions:*

- (1)  $X_1, X_2$  are fibers of  $\mathcal{X}$ ;
- (2) the restriction of the action of  $G$  on  $\mathcal{X}$  to the fiber  $X_i$  coincides with the given one ( $i = 1, 2$ );
- (3) the action of  $G$  on each fiber of  $\mathcal{X}$  is symplectic.

If two  $K3$  surfaces  $X_1$  and  $X_2$  with actions of  $G$  satisfy the conclusions of Corollary 0.2,  $X_1$  and  $X_2$  are said to be  $G$ -deformable.

We recall known results on finite symplectic actions on  $K3$  surfaces. After a result of Nikulin [17], Mukai [16] classified finite groups which act on  $K3$  surfaces faithfully and symplectically by listing the eleven maximal groups (see Theorem 2.4). Xiao [26] gave another proof of Mukai's result by studying the singularities of the quotient  $G \backslash X$  for a  $K3$  surface  $X$  with a symplectic action of a finite group  $G$ . Moreover, he showed the following:

**Theorem 0.3** ([26]). *Let  $G$  be a finite group. Suppose that  $G \neq Q_8, T_{24}$ . Then, for any  $K3$  surface  $X$  with a faithful and symplectic action of  $G$ , the quotient  $G \backslash X$  has the same A-D-E-configuration of the singularities.*

Considering his result, one may expect that the uniqueness as in Theorem 0.1 holds for most of non-abelian finite groups as well. This paper is motivated by this expectation. We follow Kondō's approach [12] with which he gave another proof of Mukai's result. He embeds the coinvariant lattice  $H^2(X, \mathbb{Z})_G = (H^2(X, \mathbb{Z})^G)^\perp$  into a Niemeier lattice  $N$ , and describes a symplectic action as an action on  $N$ . Here a Niemeier lattice is a negative definite even unimodular lattice of rank 24 which is not isomorphic to the Leech lattice. By looking this action more carefully, we prove Main Theorem. For some finite groups, the uniqueness of their (symplectic) actions on  $K3$  surfaces were studied by several authors [13, 20, 11, 19, 27, 25]. In the case where  $G$  is abelian, Garbagnati and Sarti [7, 8, 6] computed the structure of  $H^2(X, \mathbb{Z})^G$  and  $H^2(X, \mathbb{Z})_G$ . We use computer algebra systems GAP [10] and Maxima [14] for the computations of permutation groups and lattices.

The paper proceeds as follows. In Section 1, we recall basic facts on lattices, which are used through the paper. We recall results on finite symplectic actions on  $K3$  surfaces in Section 2. Using these results, we take a lattice theoretic approach to study finite symplectic actions on  $K3$  surfaces.

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We introduce the notion of “finite symplectic actions on the  $K3$  lattice  $\Lambda$ ,” taking account of Nikulin’s characterization of symplectic actions on  $K3$  surfaces (see Definition 2.5 and Proposition 2.6). The set of finite symplectic actions  $G \subset O(\Lambda)$  on  $\Lambda$  is denoted by  $\mathcal{L}$ . For  $G \in \mathcal{L}$ , there exists a  $K3$  surface  $X$  with a symplectic action of  $G$  such that we have a  $G$ -equivalent isomorphism  $\Lambda \cong H^2(X, \mathbb{Z})$ . Section 3 is the key of the paper. By Kondō’s lemma (see Lemma 3.2), the coinvariant lattice  $\Lambda_G$  for  $G \in \mathcal{L}$  is embedded into a Niemeier lattice  $N$  primitively. Since the action of  $G$  on  $\Lambda_G$  is extended to that on  $N$  such that  $N_G = \Lambda_G$ , we can study  $G$  as an automorphism group of  $N$ . Applying the classification of Niemeier lattices, we classify the primitive embeddings of  $\Lambda_G$  into Niemeier lattices. To prove Main Theorem, we first prove the uniqueness of  $\Lambda_G$  and  $\Lambda^G$ . In Sections 4 and 6, we show the uniqueness of  $\Lambda_G$  and  $\Lambda^G$  respectively, by using the result in Section 3. Next, we show the uniqueness of the glueing data of  $\Lambda^G$  and  $\Lambda_G$  to  $\Lambda$ . In Sections 5 and 7, we show that either  $\overline{O(\Lambda_G)} = O(q(\Lambda_G))$  or  $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$  holds for any  $G \in \mathcal{L}$ . This implies the uniqueness of the glueing data. Finally, in Section 8, we prove Main Theorem by using the results in the previous sections. Some applications of Main Theorem are given in Section 9. We give the list of Niemeier lattices and the results of the computations in Section 10.

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## 1 Basic facts on lattices

### 1.1 Definitions

A lattice  $L = (L, \langle \cdot, \cdot \rangle)$  is a free  $\mathbb{Z}$ -module  $L$  of finite rank equipped with an integral symmetric bilinear form  $\langle \cdot, \cdot \rangle$ . We identify a lattice  $L$  with its Gramian matrix  $(\langle v_i, v_j \rangle)$  under an integral basis  $(v_i)$  of  $L$ . The discriminant  $\text{disc}(L)$  of  $L$  is defined as the determinant of the Gramian matrix of  $L$ . If  $\text{disc}(L) \neq 0$  (resp.  $= \pm 1$ ), a lattice  $L$  is said to be non-degenerate (resp. unimodular). Let  $t_{(+)}$  (resp.  $t_{(-)}$ ) be the number of positive (resp. negative) eigenvalues of the Gramian matrix of  $L$ . We call  $(t_{(+)}, t_{(-)})$  the signature of  $L$  and write

$$\text{sign } L = (t_{(+)}, t_{(-)}). \quad (1.1)$$

If  $\langle v, v \rangle \equiv 0 \pmod{2}$  for all  $v \in L$ , a lattice  $L$  is said to be even. We denote by  $L(\lambda)$  the  $\mathbb{Z}$ -module  $L$  equipped with  $\lambda$  times the bilinear form  $\langle \cdot, \cdot \rangle$ , i.e.  $(L, \lambda \langle \cdot, \cdot \rangle)$ . A sublattice  $K$  of  $L$  is said to be primitive if  $L/K$  is torsion-free.

An automorphism of  $L$  is defined as a  $\mathbb{Z}$ -automorphism of  $L$  preserving the bilinear form  $\langle \cdot, \cdot \rangle$ . We denote by  $O(L)$  the group of automorphisms of  $L$ . For a subset  $S \subset L$ , we define a subgroup  $O(L, S)$  of  $O(L)$  by

$$O(L, S) = \{g \in O(L) \mid g \cdot S = S\}. \quad (1.2)$$

**Definition 1.1.** A lattice  $L$  with an action of  $G$  is called a  $G$ -lattice if  $G$  is a subgroup of  $O(L)$  and is denoted by  $(G, L)$ . For a  $G$ -lattice  $(G, L)$ , we define the invariant lattice  $L^G$  and the coinvariant lattice  $L_G$  by

$$L^G = \{v \in L \mid g \cdot v = v \ (\forall g \in G)\}, \quad L_G = (L^G)^\perp. \quad (1.3)$$

A  $G$ -lattice  $(G, L)$  and a  $G'$ -lattice  $(G', L')$  are said to be isomorphic if there exists an isomorphism  $\alpha : L \rightarrow L'$  such that

$$\alpha \circ G \circ \alpha^{-1} = G'. \quad (1.4)$$

We recall some basic properties on discriminant forms of lattices for the reader's convenience. See [18] for details. Let  $L$  be a non-degenerate even lattice. The discriminant group  $A(L)$  is a finite abelian group defined by

$$A(L) = L^\vee / L, \quad L^\vee = \{v \in L \otimes \mathbb{Q} \mid \langle v, L \rangle \subset \mathbb{Z}\}. \quad (1.5)$$

Here we extend the bilinear form  $\langle \cdot, \cdot \rangle$  on  $L$  to that on  $L \otimes \mathbb{Q}$  linearly. We have

$$|A(L)| = |\text{disc}(L)|. \quad (1.6)$$

The discriminant form  $q(L)$  of  $L$  is defined by

$$q(L) : A(L) \rightarrow \mathbb{Q}/2\mathbb{Z}; \quad x \bmod L \mapsto \langle x, x \rangle \bmod 2\mathbb{Z}. \quad (1.7)$$

We simply write  $q(L)$  instead of  $(A(L), q(L))$ . For a prime number  $p$ , let  $A(L)_p$  and  $q(L)_p$  denote the  $p$ -components of  $A(L)$  and  $q(L)$ , respectively. We have

$$A(L) = \bigoplus_p A(L)_p, \quad q(L) = \bigoplus_p q(L)_p. \quad (1.8)$$

We can consider  $q(L)_p$  as the discriminant form of  $L \otimes \mathbb{Z}_p$ . (The discriminant group and form for a non-degenerate even lattice over  $\mathbb{Z}_p$  are similarly defined. Note that any lattice over  $\mathbb{Z}_p$  is even if  $p \neq 2$ .) An automorphism of  $q(L)$  is defined as an automorphism of a finite abelian group  $A(L)$  preserving  $q(L)$ . We denote the group of automorphisms of  $q(L)$  by  $O(q(L))$ . An automorphism  $\varphi \in O(L)$  induces an automorphism  $\overline{\varphi} \in O(q(L))$ . This correspondence gives the natural homomorphism

$$O(L) \rightarrow O(q(L)). \quad (1.9)$$

We define

$$O_0(L) = \text{Ker}(O(L) \rightarrow O(q(L))) \quad (1.10)$$

and

$$\overline{O(L)} = \text{Im}(O(L) \rightarrow O(q(L))). \quad (1.11)$$

## 1.2 Facts

We use the following facts. For details, see [18].

**Lemma 1.2** ([18]). *Let  $L_1, L_2$  be non-degenerate even lattices. We define*

$$\text{Isom}(q(L_1), -q(L_2)) = \{\gamma : q(L_1) \xrightarrow{\sim} q(L_2)\}. \quad (1.12)$$

*If  $\gamma \in \text{Isom}(q(L_1), -q(L_2))$ , the lattice  $\Gamma_\gamma$  defined by*

$$\Gamma_\gamma = \{x \oplus y \in L_1^\vee \oplus L_2^\vee \mid \gamma(x \bmod L_1) = y \bmod L_2\} \quad (1.13)$$

*is an even unimodular lattice which contains  $L_1$  and  $L_2$  primitively. This correspondence gives a one-to-one correspondence between  $\text{Isom}(q(L_1), -q(L_2))$  and the set of even unimodular lattices  $\Gamma \subset L_1^\vee \oplus L_2^\vee$  which contain  $L_1$  and  $L_2$  primitively. Moreover, let  $\gamma' \in \text{Isom}(q(L_1), -q(L_2))$  and  $\varphi_i \in \text{O}(L_i)$ . Then,  $\varphi_1 \oplus \varphi_2 \in \text{O}(L_1 \oplus L_2)$  is extended to an isomorphism  $\Gamma_\gamma \rightarrow \Gamma_{\gamma'}$  if and only if  $\gamma' \circ \overline{\varphi}_1 \circ \gamma^{-1} = \overline{\varphi}_2$  in  $\text{O}(q(L_2))$ .*

**Lemma 1.3.** *Let  $\Gamma$  be a non-degenerate even lattice and  $L$  a non-degenerate primitive sublattice of  $\Gamma$ .*

- (1) *If  $g \in \text{O}_0(L)$ , the action of  $g$  on  $L$  is extended to that on  $\Gamma$  whose restriction to  $(L)_\Gamma^\perp$  is trivial.*
- (2) *Suppose that  $\Gamma$  is unimodular. If  $G$  is a subgroup of  $\text{O}(\Gamma, L)$  and the action of  $G$  on  $(L)_\Gamma^\perp$  is trivial, then the induced action of  $G$  on  $A(L)$  is trivial.*
- (3) *Suppose that  $\Gamma$  is unimodular. If a group  $G$  acts on  $\Gamma$  and  $\Gamma_G$  is non-degenerate, then the induced action of  $G$  on  $A(\Gamma_G)$  is trivial.*

A lattice over  $\mathbb{Z}_p$  is defined as a free  $\mathbb{Z}_p$ -module of finite rank with a  $\mathbb{Z}_p$ -valued symmetric bilinear form  $\langle \cdot, \cdot \rangle$ . First we consider the case  $p \neq 2$ . In this case, any lattice can be diagonalized over  $\mathbb{Z}_p$ .

**Proposition 1.4** (cf. [4, 18, 5]). *Let  $p$  be an odd prime and  $\varepsilon_p \in \mathbb{Z}_p^\times$  a non-square  $p$ -adic unit. If  $L^{(p)}$  is a non-degenerate lattice over  $\mathbb{Z}_p$ ,*

$$L^{(p)} \cong \bigoplus_{k \geq 0} (\langle p^k \rangle^{\oplus n_k} \oplus \langle \varepsilon_p p^k \rangle^{\oplus m_k}), \quad (1.14)$$

*where  $n_k \geq 0$  and  $m_k \in \{0, 1\}$  are uniquely determined. Hence*

$$q(L^{(p)}) \cong \bigoplus_{k \geq 1} \left( q_+^{(p)}(p^k)^{\oplus n_k} \oplus q_-^{(p)}(p^k)^{\oplus m_k} \right), \quad (1.15)$$

where

$$q_+^{(p)}(p^k) = \langle 1/p^k \rangle \text{ on } \mathbb{Z}/p^k\mathbb{Z}, \quad (1.16)$$

$$q_-^{(p)}(p^k) = \langle \varepsilon_p/p^k \rangle \text{ on } \mathbb{Z}/p^k\mathbb{Z}. \quad (1.17)$$

In (1.15), the  $n_k$  and  $m_k$  are also uniquely determined.

Let  $L$  be a non-degenerate lattice. We can determine  $q(L)_p$  as follows. Let  $\mathbb{Z}_{(p)}$  be a localization of  $\mathbb{Z}$  by the prime ideal  $(p)$ , which is considered as a subring of  $\mathbb{Z}_p$ . Then  $L$  can be diagonalized over  $\mathbb{Z}_{(p)}$ . Then we can write

$$L \cong \bigoplus_{k \geq 0} L_k^{(p)}(p^k) \quad (1.18)$$

over  $\mathbb{Z}_{(p)}$ , where  $L_k^{(p)}$  are lattices over  $\mathbb{Z}_{(p)}$  such that  $L_k^{(p)} = 0$  or  $\text{disc}(L_k^{(p)}) \in \mathbb{Z}_{(p)}^\times / (\mathbb{Z}_{(p)}^\times)^2$ . (The discriminant of a lattice over a ring  $R$  is defined modulo  $(R^\times)^2$ .) The  $n_k$  and  $m_k$  for  $L \otimes \mathbb{Z}_p$  in the above proposition are determined by

$$(n_k, m_k) = \begin{cases} (0, 0) & \text{if } L_k^{(p)} = 0, \\ (\text{rank } L_k^{(p)}, 0) & \text{if } \text{disc}(L_k^{(p)}) \in (\mathbb{Z}_p^\times)^2 / (\mathbb{Z}_{(p)}^\times)^2, \\ (\text{rank } L_k^{(p)} - 1, 1) & \text{otherwise.} \end{cases} \quad (1.19)$$

Next we consider the more complicated case  $p = 2$ .

**Proposition 1.5** (cf. [4, 18, 5]). *Let  $L^{(2)}$  be a non-degenerate lattice over  $\mathbb{Z}_2$ . Then  $L^{(2)}$  can be written as an orthogonal sum of the following lattices:*

$$\langle \varepsilon 2^k \rangle, \begin{pmatrix} 0 & 2^k \\ 2^k & 0 \end{pmatrix}, \begin{pmatrix} 2^{k+1} & 2^k \\ 2^k & 2^{k+1} \end{pmatrix}, \quad (1.20)$$

where  $k \geq 0$  and  $\varepsilon \in \{1, 3, 5, 7\}$ . Hence, if  $L^{(2)}$  is even,  $q(L^{(2)})$  can be written as an orthogonal sum of the following:

$$q_\varepsilon^{(2)}(2^k) = \langle \varepsilon/2^k \rangle \text{ on } \mathbb{Z}/2^k\mathbb{Z}, \quad (1.21)$$

$$u^{(2)}(2^k) = \begin{pmatrix} 0 & 1/2^k \\ 1/2^k & 0 \end{pmatrix} \text{ on } (\mathbb{Z}/2^k\mathbb{Z})^{\oplus 2}, \quad (1.22)$$

$$v^{(2)}(2^k) = \begin{pmatrix} 1/2^{k-1} & 1/2^k \\ 1/2^k & 1/2^{k-1} \end{pmatrix} \text{ on } (\mathbb{Z}/2^k\mathbb{Z})^{\oplus 2}. \quad (1.23)$$

In the case  $p = 2$ , the uniqueness as in Proposition 1.4 does not hold. Although there is a complete system of invariants of a non-degenerate lattice over  $\mathbb{Z}_2$  (see [5]), we only recall the unimodular case.

**Proposition 1.6** (cf. [5]). *For a non-degenerate lattice  $L^{(2)}$  over  $\mathbb{Z}_2$  with  $\text{disc}(L^{(2)}) \in \mathbb{Z}_2^\times$ , a quadruple  $(r, d, t, e)$  defined as follows is a complete system of invariants of  $L^{(2)}$ . If*

$$L^{(2)} \cong \bigoplus_i \langle \varepsilon_i \rangle \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^{\oplus n} \oplus \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}^{\oplus m}, \quad (1.24)$$

the invariants  $r, d, t, e$  are defined by

$$r = \text{rank } L^{(2)}, \quad (1.25)$$

$$d = \begin{cases} +1 & \text{if } \text{disc}(L^{(2)}) \in \pm(\mathbb{Z}_2^\times)^2/(\mathbb{Z}_2^\times)^2, \\ -1 & \text{otherwise,} \end{cases} \quad (1.26)$$

$$t = \sum_i \varepsilon_i \bmod 8\mathbb{Z}_2 \in \mathbb{Z}_2/8\mathbb{Z}_2, \quad (1.27)$$

$$e = \begin{cases} \text{I} & \text{if } L^{(2)} \text{ is odd,} \\ \text{II} & \text{otherwise.} \end{cases} \quad (1.28)$$

For example, we can directly check that

$$\langle 1 \rangle^{\oplus 3} \cong \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \oplus \langle 3 \rangle \quad (1.29)$$

over  $\mathbb{Z}_2$ . We actually have  $(r, d, t, e) = (3, +1, \bar{3}, \text{I})$  for both lattices. Using Proposition 1.6, we can determine  $q(L)_2$  for a non-degenerate even lattice  $L$  similarly to the case  $p \neq 2$ . We can find an orthogonal decomposition

$$L \cong \bigoplus_{k \geq 0} L_k^{(2)}(2^k) \quad (1.30)$$

over  $\mathbb{Z}_2$ , where  $L_k^{(2)}$  is of the form (1.24). Then we can write  $q(L)_2$  as the corresponding orthogonal sum of (1.21)–(1.23). For relations between (1.21)–(1.23), see [18].

For a finite abelian group  $A$ , let  $l(A)$  denote the minimum number of generators of  $A$ . Let  $L$  be a non-degenerate even lattice. Since  $\text{rank } L^\vee = \text{rank } L$  (see (1.5)), we have

$$l(A(L)) \leq \text{rank } L. \quad (1.31)$$

The following theorem is a reformulation of Eichler's result in a view-point of discriminant forms.

**Theorem 1.7** ([18]). *Let  $L, L'$  be indefinite (non-degenerate) even lattices of rank  $\geq 3$ . Suppose that the following conditions are satisfied:*

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- (1) For each  $p \neq 2$ , either  $\text{rank } L \geq l(A(L)_p) + 2$ , or  $n_k + m_k \geq 2$  for some  $k$  in the orthogonal decomposition (1.15), i.e.,

$$q(L)_p \cong q_p \oplus q_{\pm}^{(p)}(p^k) \oplus q_{\pm}^{(p)}(p^k) \quad (1.32)$$

for some  $q_p$  and  $k > 0$ .

- (2) Either  $\text{rank } L \geq l(A(L)_p) + 2$ , or

$$q(L)_2 \cong q_2 \oplus q'_2 \quad (1.33)$$

for some  $q_2$  and  $q'_2$ , where  $q'_2$  is one of the following:

$$u^{(2)}(2^k), \quad k > 0, \quad (1.34)$$

$$v^{(2)}(2^k), \quad k > 0, \quad (1.35)$$

$$q_{\varepsilon_1}^{(2)}(2^k) \oplus q_{\varepsilon_2}^{(2)}(2^k) \oplus q_{\varepsilon_3}^{(2)}(2^{k'}), \quad \varepsilon_i \in \mathbb{Z}_2^\times, k, k' > 0, |k - k'| \leq 1. \quad (1.36)$$

- (3)  $\text{sign } L = \text{sign } L'$  and  $q(L) \cong q(L')$ .

Then  $L$  is isomorphic to  $L'$ .

We use the following facts in Section 7.

**Theorem 1.8** ([18]). *Let  $L$  be an indefinite even lattice of rank  $\geq 3$ . If the following conditions are satisfied,  $\overline{\text{O}(L)} = \text{O}(q(L))$ .*

- (1) For each  $p \neq 2$ ,  $\text{rank } L \geq l(A(L)_p) + 2$ .

- (2) Either  $\text{rank } L \geq l(A(L)_p) + 2$ , or

$$q(L)_2 \cong q_2 \oplus u^{(2)}(2) \quad \text{or} \quad q_2 \oplus v^{(2)}(2) \quad (1.37)$$

for some  $q_2$ .

**Remark 1.9.** The conditions of Theorem 1.8 are stronger than those of Theorem 1.7.

**Theorem 1.10** ([18]). *If  $L^{(p)}$  is a non-degenerate even lattice over  $\mathbb{Z}_p$ , we have  $\overline{\text{O}(L^{(p)})} = \text{O}(q(L^{(p)}))$ .*

## 2 Finite symplectic actions on the $K3$ lattice $\Lambda$

A compact complex surface  $X$  is called a  $K3$  surface if it is simply connected and has a nowhere vanishing holomorphic 2-form  $\omega_X$ .

**Definition 2.1.** For a  $K3$  surface  $X$ , an automorphism  $g$  of  $X$  is said to be symplectic if  $g^*\omega_X = \omega_X$ .



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We study faithful and symplectic actions of finite groups on  $K3$  surfaces.

**Notation 2.2.** We use a Fraktur letter (e.g.  $\mathfrak{G}$ ) for an abstract group and use a roman letter (e.g.  $G$ ) for a group with an action on an object (a lattice, a finite set, ...). The abstract group structure of  $G$  is denoted by  $[G]$ .

**Definition 2.3.** We denote by  $\mathfrak{G}_{K3}^{\text{symp}}$  the set of finite abstract groups  $\mathfrak{G} \neq 1$  which can be realized as faithful and symplectic actions of groups on  $K3$  surfaces.

Mukai determined  $\mathfrak{G}_{K3}^{\text{symp}}$  by listing the eleven maximal groups in  $\mathfrak{G}_{K3}^{\text{symp}}$ .

**Theorem 2.4** ([16]). *A finite abstract group  $\mathfrak{G} \neq 1$  is an element in  $\mathfrak{G}_{K3}^{\text{symp}}$  if and only if  $\mathfrak{G}$  is a subgroup of the following eleven groups:*

$$T_{48}, N_{72}, M_9, \mathfrak{G}_5, L_2(7), H_{192}, T_{192}, \mathfrak{A}_{4,4}, \mathfrak{A}_6, F_{384}, M_{20}.$$

There are exactly 79 groups in  $\mathfrak{G}_{K3}^{\text{symp}}$ . See Table 10.2 for all elements in  $\mathfrak{G}_{K3}^{\text{symp}}$ . We use Xiao's notation [26].

For a  $K3$  surface  $X$ , the second integral cohomology group  $H^2(X, \mathbb{Z})$  with its intersection form is isomorphic to the  $K3$  lattice  $\Lambda$  defined by

$$\Lambda = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^{\oplus 3} \oplus E_8(-1)^{\oplus 2}, \quad (2.1)$$

which is the unique even unimodular lattice of signature  $(3, 19)$  up to isomorphism (see Theorem 1.7). Here  $E_8$  is the root lattice of type  $E_8$ . The Néron–Severi group  $\text{NS}(X)$  of  $X$  is considered as a sublattice of  $H^2(X, \mathbb{Z})$ . If a group  $G$  acts on  $X$ , the action of  $G$  induces a left action on  $H^2(X, \mathbb{Z})$  by

$$g \cdot v = (g^{-1})^* v, \quad g \in G, v \in H^2(X, \mathbb{Z}). \quad (2.2)$$

Note that if the action of  $G$  is faithful, so is the induced action of  $G$  on  $H^2(X, \mathbb{Z})$  by the global Torelli theorem (see [2]). Hence, if we take an isomorphism  $\alpha : H^2(X, \mathbb{Z}) \rightarrow \Lambda$ , the action of  $G$  on  $X$  induces a subgroup  $\alpha \circ G \circ \alpha^{-1} \subset \text{O}(\Lambda)$ , which is isomorphic to  $G$  as an abstract group.

We define the notion of “finite symplectic actions on the  $K3$  lattice.”

**Definition 2.5.** A finite subgroup  $G \neq 1$  of  $\text{O}(\Lambda)$  is called a finite symplectic action on the  $K3$  lattice  $\Lambda$ , if the following conditions are satisfied:

- (1)  $\Lambda_G$  is negative definite;
- (2)  $\langle v, v \rangle \neq -2$  for all  $v \in \Lambda_G$ .

We denote the set of finite symplectic actions on the  $K3$  lattices  $\Lambda$  by  $\mathcal{L}$ . Note that the finiteness of  $G$  follows from the condition (1).

---

Definition 2.5 is justified due to the following:

**Proposition 2.6** ([17]). *If a finite group  $G$  acts on a K3 surface  $X$  faithfully and symplectically, then  $H^2(X, \mathbb{Z})_G \subset \text{NS}(X)$  and the induced subgroup of  $O(\Lambda)$  is an element in  $\mathcal{L}$ . Conversely, any element in  $\mathcal{L}$  is induced by a symplectic action of a finite group on a K3 surface.*

A K3 surface which admits a symplectic action of a finite group is characterized by coinvariant lattices  $\Lambda_G$  of  $G \in \mathcal{L}$ .

**Proposition 2.7** ([17]). *Let  $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$ . A K3 surface  $X$  admits a symplectic action of  $\mathfrak{G}$  if and only if there exists a primitive embedding  $\Lambda_G \hookrightarrow \text{NS}(X)$  for some  $G \in \mathcal{L}$  such that  $[G] = \mathfrak{G}$ .*

Now we consider extensions of symplectic actions.

**Proposition 2.8.** *Suppose that a finite group  $G$  acts on a K3 surface  $X$  faithfully and symplectically. Then the action of  $G$  on  $X$  is extended to a faithful and symplectic action of  $G' := O_0(H^2(X, \mathbb{Z})_G)$ .*

*Proof (cf. [17]).* By Lemma 1.3(1), the action of  $G$  on  $H^2(X, \mathbb{Z})$  is extended to that of  $G'$  such that

$$H^2(X, \mathbb{Z})^G = H^2(X, \mathbb{Z})^{G'}. \quad (2.3)$$

By the definition of a symplectic action, we have  $\omega_X \in H^2(X, \mathbb{C})^G$ . Since  $G$  is a finite group, there exists a  $G$ -invariant Kähler  $(1, 1)$ -form  $\kappa \in H^2(X, \mathbb{R})^G$ . By (2.3), the action of  $G'$  also fixes  $\omega_X$  and  $\kappa$ . By the global Torelli theorem for K3 surfaces, the action of  $G'$  on  $H^2(X, \mathbb{Z})$  is induced by that on  $X$ . Since the action of  $G'$  fixes  $\omega_X$ , the action of  $G'$  on  $X$  is symplectic.  $\square$

**Definition 2.9.** For  $G \in \mathcal{L}$ , we define  $\text{Clos}(G)$  by

$$\text{Clos}(G) = O_0(\Lambda_G). \quad (2.4)$$

By Lemma 1.3(1), the action of  $G$  on  $\Lambda$  is extended to that of  $\text{Clos}(G)$  such that  $\Lambda_G = \Lambda_{\text{Clos}(G)}$ , and  $\text{Clos}(G)$  is considered as an element in  $\mathcal{L}$  (see Definition 2.5). We define the subset  $\mathcal{L}_{\text{clos}}$  of  $\mathcal{L}$  by

$$\mathcal{L}_{\text{clos}} = \{G \in \mathcal{L} \mid \text{Clos}(G) = G\}. \quad (2.5)$$

By the following proposition,  $\text{rank } \Lambda_G$  depends only on the structure of  $G$  as an abstract group.

**Proposition 2.10** ([17, 16]). *Let  $g$  be an element in  $O(\Lambda)$  such that the group  $\langle g \rangle$  generated by  $g$  is an element in  $\mathcal{L}$ . Then  $\text{ord}(g) \leq 8$  and  $\text{Tr}(g; \Lambda) = \chi(g) - 2$ , where*

$$\chi(g) = 24, 8, 6, 4, 4, 2, 3, 2 \quad \text{if} \quad \text{ord}(g) = 1, 2, 3, 4, 5, 6, 7, 8. \quad (2.6)$$

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Hence, for  $G \in \mathcal{L}$ ,

$$\text{rank } \Lambda_G = c(G) := 24 - \frac{1}{|G|} \sum_{g \in G} \chi(g). \quad (2.7)$$

In particular,  $c(G) = c(\text{Clos}(G))$ .

### 3 Embeddings of $\Lambda_G$ into Niemeier lattices

In this paper, a Niemeier lattice is a negative definite even unimodular lattice of rank 24 which is not isomorphic to the negative Leech lattice. Here the negative Leech lattice is the unique negative definite even unimodular lattice of rank 24 which has no vector  $v$  such that  $\langle v, v \rangle = -2$  (cf. [5]). In this section, We study primitive embeddings of  $\Lambda_G$  into Niemeier lattices.

**Definition 3.1.** Let  $\mathcal{N}$  denote the set of isomorphism classes of  $G$ -lattices  $(G, N)$  which satisfy the following conditions:

- (1)  $G \neq 1$  and  $N$  is a Niemeier lattice;
- (2) there exists a vector  $v \in N^G$  such that  $\langle v, v \rangle = -2$ ;
- (3) there exists no vector  $v \in N_G$  such that  $\langle v, v \rangle = -2$ ;
- (4) there exists a primitive embedding  $N_G \hookrightarrow \Lambda$ .

**Lemma 3.2** ([12]). *For any  $G \in \mathcal{L}$ ,  $(G, \Lambda_G) \cong (G', N_{G'})$  for some  $(G', N) \in \mathcal{N}$ . Conversely, if  $(G', N) \in \mathcal{N}$ , then there exists an element  $G \in \mathcal{L}$  such that  $(G, \Lambda_G) \cong (G', N_{G'})$ .*

**Remark 3.3.** In the above lemma, we write  $(G, \Lambda_G)$  instead of  $(G|_{\Lambda_G}, \Lambda_G)$  (cf. Definition 1.1). We use the same notation in what follows.

By Lemma 3.2, the study of  $(G, \Lambda_G)$  for  $G \in \mathcal{L}$  is reduced to that of  $\mathcal{N}$ . In the following subsections, we present how to make a complete list of  $\mathcal{N}$ . Some consequences from the list are given in Subsection 3.4.

#### 3.1 Some facts on Niemeier lattices

The following theorem is standard.

**Theorem 3.4** (cf. [5]). *There exist exactly 23 isomorphism classes of Niemeier lattices. The isomorphism class of a Niemeier lattice  $N$  is determined by the root sublattice of  $N$ , whose type is given in Table 10.1. Here the root sublattice of  $N$  is the sublattice generated by vectors  $v \in N$  such that  $\langle v, v \rangle = -2$ .*

Let  $N$  be a Niemeier lattice. A vector  $d \in N$  is called a root if  $\langle d, d \rangle = -2$ . Let  $\Delta$  denote the set of roots of  $N$ . A Weyl chamber  $\mathcal{C}$  is a connected component of  $N \otimes \mathbb{R} - \cup_{d \in \Delta} d^\perp$ . The set of positive roots  $\Delta^+$  corresponding to  $\mathcal{C}$  is defined by

$$\Delta^+ = \{d \in \Delta \mid \langle d, \mathcal{C} \rangle \subset \mathbb{R}_{>0}\}. \quad (3.1)$$

We have  $\Delta = \Delta^+ \sqcup -\Delta^+$ . The set of simple roots  $R(N, \Delta^+)$  corresponding to  $\Delta^+$  is the set of positive roots  $d \in \Delta^+$  such that there exists no decomposition  $d = d_1 + d_2$  with  $d_i \in \Delta^+$ . It is known that  $R(N, \Delta^+)$  becomes a Dynkin diagram of rank 24. The automorphism group of the Dynkin diagram  $R(N, \Delta^+)$  is denoted by  $\text{Aut}(R(N, \Delta^+))$ . Let  $W(N)$  denote the subgroup of  $O(N)$  generated by reflections of  $d \in \Delta$ . The action of  $W(N)$  on the set of Weyl chambers is free and transitive. The group  $O(N, \Delta^+)$  (see (1.2)) is considered as a subgroup of  $\text{Aut}(R(N, \Delta^+))$ . We have  $O(N) = W \rtimes O(N, \Delta^+)$ .

### 3.2 Method for making the list of $\mathcal{N}$

We use the above result to construct a complete list of  $\mathcal{N}$ . For the proof of the following lemma, see [12].

**Lemma 3.5** ([12]). *Let  $N$  be a Niemeier lattice and  $G$  a subgroup of  $O(N)$ . Then the condition (3) in Definition 3.1 is satisfied if and only if there exists a  $G$ -invariant set of positive roots.*

Let  $N_1, \dots, N_{23}$  be all Niemeier lattices and  $\Delta_i^+$  a set of positive roots of  $N_i$ . Let  $G \subset O(N_i)$  be a subgroup satisfying the condition (3) in Definition 3.1. By the above lemma, we may assume that  $G$  preserves  $\Delta_i^+$  by replacing  $G$  by  $\gamma G \gamma^{-1}$  for some  $\gamma \in W(N_i)$  if necessary. Hence we may only consider subgroups of  $O(N_i, \Delta_i^+)$ . Using GAP [10], we can make a complete list of subgroups  $G_{i1}, \dots, G_{ij}$  of  $O(N_i, \Delta_i^+)$  such that  $[G_{ij}] \in \mathfrak{G}_{K3}^{\text{symp}}$  up to conjugacy<sup>1</sup>. Since  $O(N_i, \Delta_i^+)$  is realized as a subgroup of  $\text{Aut}(R(N_i, \Delta_i^+))$ , so is  $G_{ij}$ . To decide whether  $(G_{ij}, N_i) \in \mathcal{N}$  or not, we should check conditions (2)–(4) in Definition 3.1 for  $(G_{ij}, N_i)$ .

The condition (2) can be checked directly. For example, if  $N_i$  is of type  $A_1^{\oplus 24}$ , the condition (2) is equivalent to the existence of a  $G_{ij}$ -fixed element in  $R(N_i, \Delta_i^+)$ . By Lemma 3.5, the condition (3) is already satisfied.

To confirm the condition (4), it is sufficient to show that there exists an even lattice  $L$  such that

$$\text{sign } L = (3, 19 - c(G_{ij})), \quad q(L) \cong -q(N_{G_{ij}}) \quad (3.2)$$

by Lemma 1.2 and Proposition 2.10. We can compute the Gramian matrix of  $N^{G_{ij}}$  by using the orbit decomposition of  $R(N_i, \Delta_i^+)$  which is obtained from

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<sup>1</sup>Note that conjugacy in  $O(N_i, \Delta_i^+)$  is equivalent to conjugacy in  $O(N_i)$ , which is a property of semi-direct product groups.

### 3.3 Example

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the list of  $(G_{ij}, N_i)$ . From the Gramian matrix of  $N^{G_{ij}}$ , we can determine  $A(N^{G_{ij}})$  and  $q(N^{G_{ij}})$  (cf. Section 1). Since  $q(N_{G_{ij}}) \cong -q(N^{G_{ij}})$  by Lemma 1.2, we obtain the list of  $q(N_{G_{ij}})$ . From the list, we have the following:

**Lemma 3.6.** *For  $(G_{ij}, N_i)$  satisfying the condition (2) in Definition 3.1, the condition (4) is equivalent to the inequality*

$$l(A(N^{G_{ij}})) \leq 22 - c(G_{ij}) = \text{rank } N^{G_{ij}} - 2. \quad (3.3)$$

Here  $l(A)$  denotes the minimum number of generators of a finite abelian group  $A$ .

*Proof.* For each case satisfying the inequality (3.3), we can find a lattice  $L$  satisfying (3.2). See Tables 10.2 and 10.3 for  $q(N_{G_{ij}})$  and  $L$  in each case respectively. Conversely, the existence of  $L$  implies that

$$l(A(N^{G_{ij}})) = l(A(N_{G_{ij}})) = l(A(L)) \leq \text{rank } L = 22 - c(G_{ij}) \quad (3.4)$$

by Lemma 1.2 and (1.31).  $\square$

By the above argument, the set which consists of  $(G_{ij}, N_i)$  satisfying the condition (2) and the inequality (3.3) becomes a complete list of  $\mathcal{N}$ .

### 3.3 Example

We consider the case of the cyclic group  $C_8$  of order 8 as an example. We make the list of  $(G, N) \in \mathcal{N}$  with  $[G] = C_8$ . Since  $c(C_8) = 18$ , we have  $\text{rank } N_G = 18$  and  $\text{rank } N^G = 6$ . Using GAP [10], we can make a complete list of subgroups  $G \subset O(N, \Delta^+)$  such that  $[G] = C_8$  up to conjugacy for each Niemeier lattice  $N$ . The result is as follows.

case	(I)	(II)	(III)	(IV)	(V)	(VI)
root type of $N$	$E_6^{\oplus 4}$	$A_5^{\oplus 4} \oplus D_4$	$A_3^{\oplus 8}$	$A_2^{\oplus 12}$	$A_2^{\oplus 12}$	$A_1^{\oplus 24}$
number of stable components of $R(N, \Delta^+)$	0	1	0	2	0	2
$(G, N) \in \mathcal{N}?$	no	yes	no	yes	no	yes

If the condition (2) in Definition 3.1 holds, then at least one component of the Dynkin diagram  $R(N, \Delta^+)$  is stable under the action of  $G$ . In the case (I), the action of  $G$  as a permutation group of the components  $E_6$  of  $R(N, \Delta^+)$  is transitive. Therefore, we have  $(G, N) \notin \mathcal{N}$  in the case (I). Similarly, we have  $(G, N) \notin \mathcal{N}$  in the cases (III) and (V). In fact, we have  $(G, N) \in \mathcal{N}$  in the cases (II), (IV) and (VI), as we will see below. Let  $g$  be a generator of  $G$ .

The case (II). There exists a numbering of  $R(N, \Delta^+) = \{v_1, \dots, v_{24}\}$  as in Figure 1 such that

$$g \cdot v_i = v_{\sigma(i)}, \quad (3.5)$$

### 3.3 Example

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where

$$\sigma = (1, 6, 11, 16, 5, 10, 15, 20)(2, 7, 12, 17, 4, 9, 14, 19)(3, 8, 13, 18)(23, 24). \quad (3.6)$$

Hence  $N^G \otimes \mathbb{Q}$  is generated by

$$\begin{aligned} w_1 &= \sum_{i=0}^3 (v_{1+5i} + v_{5+5i}), & w_2 &= \sum_{i=0}^3 (v_{2+5i} + v_{4+5i}), \\ w_3 &= \sum_{i=0}^3 v_{3+5i}, & w_4 &= v_{21}, & w_5 &= v_{22}, & w_6 &= v_{23} + v_{24} \end{aligned} \quad (3.7)$$

over  $\mathbb{Q}$ . From the explicit description of  $G \subset O(N, \Delta^+)$ , we find that  $N^G$  is generated by the above vectors and  $(w_1 + w_3)/2$  over  $\mathbb{Z}$ . Therefore,

$$w_1, w_2, (w_1 + w_3)/2, w_4, w_5, w_6 \quad (3.8)$$

form a basis of  $N^G$  over  $\mathbb{Z}$ . The Gramian matrix of  $N^G$  under the basis (3.8) is

$$\begin{pmatrix} -16 & 8 & 0 & 0 & 0 & 0 \\ 8 & -16 & 8 & 0 & 0 & 0 \\ 0 & 8 & -8 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 2 \\ 0 & 0 & 0 & 0 & 2 & -4 \end{pmatrix}. \quad (3.9)$$

We can determine  $A(N^G)$  and  $q(N^G)$  from (3.9) (cf. Section 1):

$$A(N^G) \cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z} \oplus (\mathbb{Z}/8\mathbb{Z})^{\oplus 2}, \quad (3.10)$$

$$q(N^G) \cong \langle 1/2 \rangle \oplus \langle 1/4 \rangle \oplus \begin{pmatrix} 0 & 1/8 \\ 1/8 & 0 \end{pmatrix}. \quad (3.11)$$

Since  $q(N_G) \cong -q(N^G)$  by Lemma 1.2, we have

$$q(N_G) \cong \langle -1/2 \rangle \oplus \langle -1/4 \rangle \oplus \begin{pmatrix} 0 & 1/8 \\ 1/8 & 0 \end{pmatrix}. \quad (3.12)$$

The case (IV). Similarly, there exists a numbering of  $R(N, \Delta^+)$  as in Figure 2 such that  $g \cdot v_i = v_{\sigma(i)}$ , where

$$\sigma = (3, 4)(5, 7, 6, 8)(9, 11, 13, 15, 17, 19, 21, 23)(10, 12, 14, 16, 18, 20, 22, 24). \quad (3.13)$$

Moreover,  $N^G \otimes \mathbb{Q}$  is generated by

$$\begin{aligned} w_1 &= v_1, & w_2 &= v_2, & w_3 &= v_3 + v_4, & w_4 &= \sum_{i=5}^8 v_i, \\ w_5 &= \sum_{i=0}^7 v_{9+2i}, & w_6 &= \sum_{i=0}^7 v_{10+2i} \end{aligned} \quad (3.14)$$

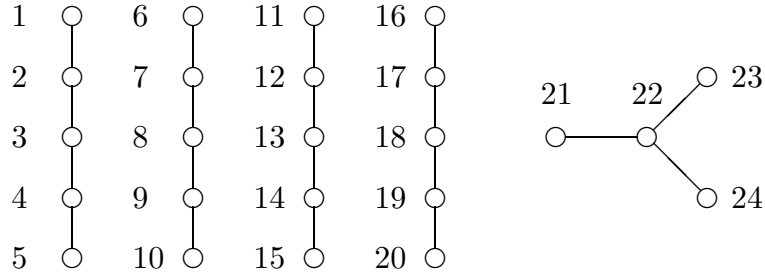


Figure 1:  $A_5^{\oplus 4} \oplus D_4$

over  $\mathbb{Q}$ , and  $N^G$  is generated by

$$w_1, w_2, w_3, w_4, w_5, \frac{1}{3}(w_1 - w_2 + w_5 - w_6) \tag{3.15}$$

over  $\mathbb{Z}$ . The Gramian matrix of  $N^G$  under the basis (3.15) is

$$\begin{pmatrix} -2 & 1 & 0 & 0 & 0 & -1 \\ 1 & -2 & 0 & 0 & 0 & 1 \\ 0 & 0 & -2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -4 & 0 & 0 \\ 0 & 0 & 0 & 0 & -16 & -8 \\ -1 & 1 & 0 & 0 & -8 & -6 \end{pmatrix}. \tag{3.16}$$

From (3.16), we can check that  $q(N_G)$  is isomorphic to (3.12).



Figure 2:  $A_2^{\oplus 12}$

The case (VI). There exists a numbering of  $R(N, \Delta^+)$  as in Figure 3 such that  $g \cdot v_i = v_{\sigma(i)}$ , where

$$\sigma = (3, 4)(5, 6, 7, 8)(9, 10, 11, 12, 13, 14, 15, 16)(17, 18, 19, 20, 21, 22, 23, 24). \tag{3.17}$$

### 3.3 Example

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Moreover,  $N^G \otimes \mathbb{Q}$  is generated by

$$\begin{aligned} w_1 = v_1, \quad w_2 = v_2, \quad w_3 = \sum_{i=3}^4 v_i, \quad w_4 = \sum_{i=5}^8 v_i, \\ w_5 = \sum_{i=9}^{16} v_i, \quad w_6 = \sum_{i=17}^{24} v_i \end{aligned} \quad (3.18)$$

over  $\mathbb{Q}$ , and  $N^G$  is generated by

$$w_1, w_2, w_3, \frac{1}{2}(w_1 + w_2 + w_3 + w_4), \frac{1}{2}(w_4 + w_5), \frac{1}{2}(w_4 + w_6) \quad (3.19)$$

over  $\mathbb{Z}$ . The Gramian matrix of  $N^G$  under the basis (3.19) is

$$\begin{pmatrix} -2 & 0 & 0 & -1 & 0 & 0 \\ 0 & -2 & 0 & -1 & 0 & 0 \\ 0 & 0 & -4 & -2 & 0 & 0 \\ -1 & -1 & -2 & -4 & -2 & -2 \\ 0 & 0 & 0 & -2 & -6 & -2 \\ 0 & 0 & 0 & -2 & -2 & -6 \end{pmatrix}. \quad (3.20)$$

From (3.20), we can check that  $q(N_G)$  is isomorphic to (3.12).

$$1 \circ \quad 2 \circ \quad \dots \quad 23 \circ \quad 24 \circ$$

Figure 3:  $A_1^{\oplus 24}$

The type of the root sublattice of  $N^G$ , i.e. the sublattice generated by vectors  $v \in N^G$  such that  $\langle v, v \rangle = -2$ , in each case is as follows.

case	(II)	(IV)	(VI)	(3.21)
root type	$A_3$	$A_1 \oplus A_2$	$A_1^{\oplus 2}$	

Hence the condition (2) in Definition 3.1 is satisfied. The condition (3) is satisfied by Lemma 3.5. By the above argument, we have

$$q(N_G) \cong \langle -1/2 \rangle \oplus \langle -1/4 \rangle \oplus \begin{pmatrix} 0 & 1/8 \\ 1/8 & 0 \end{pmatrix} \quad (3.22)$$

in each case. Let  $L$  be a lattice defined by

$$L = \langle 2 \rangle \oplus \langle 4 \rangle \oplus \begin{pmatrix} 0 & 8 \\ 8 & 0 \end{pmatrix}. \quad (3.23)$$



Then we have  $\text{sign } L = (3, 1)$  and  $q(L) \cong -q(N_G)$ . By Lemma 1.2, there exists a primitive embedding  $N_G \hookrightarrow \Lambda$  such that  $(N_G)_\Lambda^\perp \cong L$ . Thus the condition (4) is satisfied. Therefore, we have  $(G, N) \in \mathcal{N}$  in the cases (II), (IV) and (VI).

### 3.4 Consequences from the list of $\mathcal{N}$

Let  $\mathcal{Q}$  denote a set defined by

$$\mathcal{Q} = \{(\mathfrak{G}, q) \mid \exists G \in \mathcal{L} \text{ such that } \mathfrak{G} = [G], q \cong q(\Lambda_G)\}. \quad (3.24)$$

By Lemma 3.2, we have

$$\mathcal{Q} = \{(\mathfrak{G}, q) \mid \exists (G, N) \in \mathcal{N} \text{ such that } \mathfrak{G} = [G], q \cong q(N_G)\}. \quad (3.25)$$

We introduce an equivalence relation  $\sim$  on  $\mathcal{Q}$  by

$$(\mathfrak{G}, q) \sim (\mathfrak{G}', q') \Leftrightarrow \mathfrak{G} = \mathfrak{G}' \text{ and } q \cong q'. \quad (3.26)$$

By (3.25) and the list of  $q((N_i)^{G_{ij}})$  for  $(G_{ij}, N_i) \in \mathcal{N}$ , we have the following:

**Proposition 3.7.** *For  $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$ , we have*

$$\sharp(\{q \mid (\mathfrak{G}, q) \in \mathcal{Q}\}/\text{isom}) = \begin{cases} 1 & \text{if } \mathfrak{G} \neq Q_8, T_{24}, \\ 2 & \text{if } \mathfrak{G} = Q_8, T_{24}. \end{cases} \quad (3.27)$$

**Remark 3.8.** From the Xiao's list [26], we have  $\sharp\mathfrak{G}_{K3}^{\text{symp}} = 79$ . By the above proposition,  $\sharp(\mathcal{Q}/\sim) = 81$ . In Table 10.2, we list a complete representative  $\{(\mathfrak{G}_n, q_n)\}$  of  $\mathcal{Q}/\sim$ . Our numbering coincides with that in [26].

By (3.25), we have the natural map

$$\pi : \mathcal{N} \rightarrow \mathcal{Q}; \quad (G, N) \mapsto ([G], q(N)). \quad (3.28)$$

In Table 10.6, the type of the root sublattice of  $N^G$  for each  $(G, N) \in \mathcal{N}$  is given. From the table, we have the following:

**Proposition 3.9.** *Let  $\mathcal{Q}^\circ$  denote the subset of  $\mathcal{Q}$  defined by*

$$\mathcal{Q}^\circ = \{(\mathfrak{G}, q) \in \mathcal{Q} \mid \mathfrak{G} \neq \mathfrak{G}_{58}\}. \quad (3.29)$$

*There exists a section  $\sigma : \mathcal{Q}^\circ \rightarrow \pi^{-1}(\mathcal{Q}^\circ)$  of  $\pi$  with the following conditions. We set  $\mathcal{N}' = \sigma(\mathcal{Q}^\circ)$ .*

- (1) *Let  $(G, N) \in \mathcal{N}$  and  $(G', N') \in \mathcal{N}'$ . If  $\pi(G, N) = \pi(G', N')$  and  $N^G \cong (N')^{G'}$ , then  $(G, N) \cong (G', N')$ .*

---

(2) Let  $(G, N) \in \mathcal{N}'$ . If  $[G] \neq \mathfrak{G}_3$ , then  $N$  is of type  $A_1^{\oplus 24}$ .

*Proof.* For each  $(\mathfrak{G}, q) \in \mathcal{Q}^\circ$ , we can chose  $\sigma(\mathfrak{G}, q) \in \mathcal{N}$  case by case. For example, we consider the case of  $C_8 = \mathfrak{G}_{14}$  (see Subsection 3.3). By the table (3.21), the root types of  $N^G$  for  $(G, N) \in \mathcal{N}$  with  $[G] = C_8$  are different from each other. Therefore,  $N^G$  are not isomorphic to each other. Hence we can chose  $(G, N)$  of the case (VI), in which  $N$  is of type  $A_1^{\oplus 24}$ , as  $\sigma(\mathfrak{G}_{14}, q_{14})$ . Similarly, for  $(G, N) \in \mathcal{N}$  with  $\pi(G, N) = (\mathfrak{G}_n, q_n)$ , the isomorphism classes of  $N^G$  can be distinguished by looking the root types except for the cases  $n = 32, 41, 56, 63$ . For the cases  $n = 32, 41, 56, 63$ , we can distinguish them by looking the root types and the numbers of vectors  $v \in N^G$  such that  $\langle v, v \rangle = -4$  or  $-6$ . As a consequence, we can choose  $(G, N)$  enclosed by boxes in Table 10.6. The choice of  $\sigma$  is not unique.  $\square$

## 4 Uniqueness of coinvariant lattices $\Lambda_G$

Let  $\mathcal{S}$  denote a set of  $G$ -lattices defined by

$$\mathcal{S} = \{(G, S) \mid \exists G' \in \mathcal{L} \text{ such that } (G, S) \cong (G', \Lambda_{G'})\}. \quad (4.1)$$

For  $(G, S) \in \mathcal{S}$ , we have  $G \subset \text{O}_0(S)$  by Lemma 1.3(3). In this section, we apply the results in the previous section to prove the following:

**Theorem 4.1.** *The natural map  $\varphi : \mathcal{S}/\text{isom} \rightarrow \mathcal{Q}/\sim$  is bijective.*

*Proof.* The surjectivity of  $\varphi$  is trivial. We shall show the injectivity. Let  $(\mathfrak{G}, q) \in \mathcal{Q}$ . Suppose that  $(G, S) \in \mathcal{S}$ ,  $[G] = \mathfrak{G}$  and  $q(S) \cong q$ . We show that  $(G, S)$  is uniquely determined up to isomorphism.

(1) The case  $\mathfrak{G} \neq \mathfrak{G}_{58}$ . By Proposition 3.9, there exists an element  $(\Gamma, N) \in \mathcal{N}'$  such that  $[\Gamma] = \mathfrak{G}$  and  $q(N_\Gamma) \cong q$ . We show that  $(G, S) \cong (\Gamma, N_\Gamma)$ . By Lemma 1.2,  $q(S) \cong q \cong q(N_\Gamma) \cong -q(N^\Gamma)$ . Again by Lemma 1.2, there exists a primitive embedding  $S \hookrightarrow N'$  of  $S$  into a Niemeier lattice  $N'$  such that  $(S)_{N'}^\perp \cong N^\Gamma$ . By Lemma 1.3, the action of  $G$  on  $S$  is extended to that on  $N'$  such that  $(N')_G = S$  and  $(N')^G \cong N^\Gamma$ . Thus  $(G, N') \in \mathcal{N}$  (see Definition 3.1). By Proposition 3.9, we have  $(G, N') \cong (\Gamma, N)$ . Hence  $(G, S) = (G, (N')_G) \cong (\Gamma, N_\Gamma)$ .

(2) The case  $\mathfrak{G} = \mathfrak{G}_{58}$ . From Table 10.4, we find that  $\mathfrak{G}_{43} \subsetneq \mathfrak{G}_{58}$  and  $c(\mathfrak{G}_{43}) = c(\mathfrak{G}_{58})$ . Hence there exists a subgroup  $G'_{43}$  of  $G$  such that  $[G'_{43}] = \mathfrak{G}_{43}$ . Since  $c(\mathfrak{G}_{43}) = c(\mathfrak{G}_{58})$ , we have  $(G'_{43}, S) \in \mathcal{S}$ . Let  $G_{43} \in \mathcal{L}$  be as in Lemma 8.8. By (1) and Proposition 3.7,  $(G', S') \in \mathcal{S}$  such that  $[G'] = \mathfrak{G}_{43}$  is unique up to isomorphism. Therefore, we have  $(G'_{43}, S) \cong (G_{43}, \Lambda_{G_{43}})$ . By the condition (2) in Lemma 8.8, there exists a unique subgroup  $G_{58}$  of  $\text{O}_0(\Lambda_{G_{48}})$  such that  $[G_{58}] = \mathfrak{G}_{58}$  up to conjugacy in  $\text{O}(\Lambda_{G_{48}})$ . Hence  $(G, S) \cong (G_{58}, \Lambda_{G_{43}})$ .  $\square$

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**Definition 4.2.** Let  $(\mathfrak{G}, q) \in \mathcal{Q}$ . By Theorem 4.1, there exists a unique element  $(G, S) \in \mathcal{S}$  such that  $([G], q(S)) \sim (\mathfrak{G}, q)$ , i.e.,  $[G] = \mathfrak{G}$  and  $q(S) \cong q$  up to isomorphism. The lattice  $S$  determined by this conditions is denoted by  $S(\mathfrak{G}, q)$ . Since  $G \subset O_0(S)$ ,  $\mathfrak{G}$  is a subgroup of  $[O_0(S(\mathfrak{G}, q))]$ .

By the definition of  $S(\mathfrak{G}, q)$ , we have

$$\Lambda_G \cong S([G], q(\Lambda_G)) \quad (4.2)$$

for  $G \in \mathcal{L}$ .

**Corollary 4.3.** Let  $(\mathfrak{G}, q), (\mathfrak{G}', q') \in \mathcal{Q}$ . If  $\mathfrak{G} \subset \mathfrak{G}'$ ,  $q \cong q'$  and  $c(\mathfrak{G}) = c(\mathfrak{G}')$ , then  $S(\mathfrak{G}, q) \cong S(\mathfrak{G}', q')$ .

*Proof.* Let  $G' \in \mathcal{L}$  such that  $[G'] = \mathfrak{G}'$  and  $q(\Lambda_{G'}) \cong q'$ . Then  $\Lambda_{G'} \cong S(\mathfrak{G}', q')$ . Let  $G$  be the subgroup of  $G'$  which corresponds to the subgroup  $\mathfrak{G}$  of  $\mathfrak{G}'$ . Since  $c(G) = c(G')$ , we have  $S(\mathfrak{G}, q) \cong \Lambda_G = \Lambda_{G'} \cong S(\mathfrak{G}', q')$ .  $\square$

**Remark 4.4.** In Table 10.4, we give the trees of

$$T_S = \{\mathfrak{G}_n \mid S(\mathfrak{G}_n, q_n) \cong S\} \quad (4.3)$$

for  $T_S$  with  $\sharp T_S \geq 2$ . From Tables 10.2 and 10.4, we find that there exist exactly 40 isomorphism classes of lattices  $S(\mathfrak{G}_n, q_n)$  (or  $\Lambda_G$  for  $G \in \mathcal{L}$ ). Also, we can check that the natural map

$$\{S(\mathfrak{G}, q) \mid (\mathfrak{G}, q) \in \mathcal{Q}\} / \text{isom} \rightarrow \{q \mid (\mathfrak{G}, q) \in \mathcal{Q}, q \cong q(S(\mathfrak{G}, q))\} / \text{isom} \quad (4.4)$$

is bijective.

**Definition 4.5.** Let  $(\mathfrak{G}, q) \in \mathcal{Q}$ . We define  $\text{Clos}(\mathfrak{G}, q)$  by

$$\text{Clos}(\mathfrak{G}, q) = ([O_0(S(\mathfrak{G}, q))], q). \quad (4.5)$$

Note that  $\mathfrak{G}$  is a subgroup of  $[O_0(S(\mathfrak{G}, q))]$  (see Definition 4.2).

For  $(\mathfrak{G}, q) \in \mathcal{Q}$ , there exists an element  $G \in \mathcal{L}$  such that  $([G], q(\Lambda_G)) \sim (\mathfrak{G}, q)$ . Since  $S([G], q(\Lambda_G)) \cong \Lambda_G$ , we have

$$\text{Clos}(\mathfrak{G}, q) = ([O_0(\Lambda_G)], q) = ([\text{Clos}(G)], q) \quad (4.6)$$

(see Definition 2.9). In particular, we have  $\text{Clos}(\mathfrak{G}, q) \in \mathcal{Q}$ . Let  $\mathcal{Q}_{\text{clos}}$  denote a subset of  $\mathcal{Q}$  defined by

$$\mathcal{Q}_{\text{clos}} = \{(\mathfrak{G}, q) \in \mathcal{Q} \mid \text{Clos}(\mathfrak{G}, q) = (\mathfrak{G}, q)\}. \quad (4.7)$$

For  $G \in \mathcal{L}$ ,  $G \in \mathcal{L}_{\text{clos}}$  if and only if  $([G], q(\Lambda_G)) \in \mathcal{Q}_{\text{clos}}$ .

---

**Corollary 4.6.** *The map*

$$\mathcal{Q}_{\text{clos}} / \sim \rightarrow \{\Lambda_G \mid G \in \mathcal{L}\} / \text{isom} \quad (4.8)$$

which is induced by the correspondence  $(\mathfrak{G}, q) \mapsto S(\mathfrak{G}, q)$  is bijective.

*Proof.* The inverse map of (4.8) is the map induced by the correspondence  $S \mapsto ([\mathcal{O}_0(S)], q(S))$ .  $\square$

**Corollary 4.7.** *Let  $(\mathfrak{G}, q) \in \mathcal{Q}$ . Then we have  $\text{Clos}(\mathfrak{G}, q) = (\mathfrak{G}', q)$ , where  $\mathfrak{G}'$  is the unique maximal element in*

$$\{\mathfrak{G}'' \in \mathfrak{G}_{K^3}^{\text{symp}} \mid (\mathfrak{G}'', q'') \in \mathcal{Q}, \mathfrak{G} \subset \mathfrak{G}'', q \cong q'', c(\mathfrak{G}) = c(\mathfrak{G}'')\}. \quad (4.9)$$

Moreover, we have the following.

- (1) *If  $\mathfrak{G} \in \{Q_8, T_{24}\}$ , i.e.,  $(\mathfrak{G}, q) \sim (\mathfrak{G}_n, q_n)$  for  $n \in \{12, 13, 37, 38\}$ , then we have the following table.*

$n$	$\mathfrak{G} = \mathfrak{G}_n$	$m$	$\mathfrak{G}' = \mathfrak{G}_m$
12	$Q_8$	12	$Q_8$
13	$Q_8$	40	$Q_8 * Q_8$
37	$T_{24}$	77	$T_{192}$
38	$T_{24}$	54	$T_{48}$

Here  $m$  is determined by  $(\mathfrak{G}_m, q_m) \sim \text{Clos}(\mathfrak{G}, q)$ .

- (2) *If  $\mathfrak{G} \notin \{Q_8, T_{24}\}$ , then  $\mathfrak{G}'$  is the unique maximal element in*

$$\{\mathfrak{G}'' \in \mathfrak{G}_{K^3}^{\text{symp}} \mid \mathfrak{G} \subset \mathfrak{G}'', c(\mathfrak{G}) = c(\mathfrak{G}'')\}. \quad (4.10)$$

*Proof.* For any element  $\mathfrak{G}''$  in (4.9), we have  $S(\mathfrak{G}, q) \cong S(\mathfrak{G}'', q'')$  by Corollary 4.3. Hence  $\mathfrak{G}'' \subset \mathfrak{G}' = [\mathcal{O}_0(S(\mathfrak{G}, q))]$ . Therefore, the former part of the corollary follows. We can directly check the latter part by Proposition 3.7 and Table 10.4.  $\square$

## 5 Property $\overline{\mathcal{O}(\Lambda_G)} = \mathcal{O}(q(\Lambda_G))$

This section is devoted to prove the following theorem, which gives a sufficient condition for  $G \in \mathcal{L}$  such that  $\overline{\mathcal{O}(\Lambda_G)} = \mathcal{O}(q(\Lambda_G))$  (see (1.11)).

**Theorem 5.1.** *Let  $G \in \mathcal{L}$  with  $c(G) = \text{rank } \Lambda_G \geq 17$  (see Proposition 2.10). The group  $\overline{\mathcal{O}(\Lambda_G)}$  is equal to  $\mathcal{O}(q(\Lambda_G))$  if and only if  $[\text{Clos}(G)] \in \{\mathfrak{G}_{48}, \mathfrak{G}_{51}\}$ . In particular, if  $c(G) = \text{rank } \Lambda_G = 19$ , then  $\overline{\mathcal{O}(\Lambda_G)} = \mathcal{O}(q(\Lambda_G))$ .*

Since  $c(\mathfrak{G}_{48}) = c(\mathfrak{G}_{51}) = 18$  by Table 10.2, the latter part of the theorem follows from the former part.

### 5.1 Criterion of the property $\overline{\mathcal{O}(L)} = \mathcal{O}(q(L))$

We prepare for a criterion of the property  $\overline{\mathcal{O}(L)} = \mathcal{O}(q(L))$ .

**Lemma 5.2.** *Let  $H$  be a group and  $K_1, K_2$  subgroups of  $H$ . If  $K_1 \subset K_2$  and  $\sharp K_1 \backslash H / K_2 = 1$ , then  $K_2 = H$ .*

*Proof.* By the second assumption, any element in  $H$  is of the form  $k_1 k_2$  with  $k_i \in K_i$ . Hence  $K_2 = H$  by the first assumption.  $\square$

**Proposition 5.3.** *Let  $L_1$  be a non-degenerate even lattice. The group  $\overline{\mathcal{O}(L_1)}$  is equal to  $\mathcal{O}(q(L_1))$  if and only if there exists a non-degenerate even lattice  $L_2$  satisfying the following conditions.*

- (1) *There exists an essentially unique even unimodular lattice  $\Gamma \subset L_1^\vee \oplus L_2^\vee$  which contains  $L_i$  primitively. Here the uniqueness of  $\Gamma$  means that for another  $\Gamma'$ , there exist isomorphisms  $\varphi_i \in \mathcal{O}(L_i)$  for  $i = 1, 2$  such that  $\varphi_1 \oplus \varphi_2$  induces an isomorphism  $\Gamma \rightarrow \Gamma'$ .*
- (2) *The restriction map  $\mathcal{O}(\Gamma, L_2) \rightarrow \mathcal{O}(L_2)$  is surjective (see (1.2)).*

*Proof.* Assume that there exists  $L_2$  satisfying the conditions (1) and (2). Let  $\gamma \in \text{Isom}(q(L_1), -q(L_2))$  be the isomorphism corresponding to  $\Gamma$  (see Lemma 1.2). The condition (1) implies that

$$\overline{\mathcal{O}(L_2)} \backslash \text{Isom}(q(L_1), -q(L_2)) / \overline{\mathcal{O}(L_1)} \cong \gamma^{-1} \circ \overline{\mathcal{O}(L_2)} \circ \gamma \backslash \mathcal{O}(q(L_1)) / \overline{\mathcal{O}(L_1)} \quad (5.1)$$

is a one point set by Lemma 1.2. On the other hand, the condition (2) implies that for any  $\varphi_2 \in \mathcal{O}(L_2)$ , there exists an automorphism  $\varphi_1 \in \mathcal{O}(L_1)$  such that  $\gamma \circ \overline{\varphi_1} \circ \gamma^{-1} = \overline{\varphi_2}$  by Lemma 1.2. Hence  $\gamma^{-1} \circ \overline{\mathcal{O}(L_2)} \circ \gamma \subset \overline{\mathcal{O}(L_1)}$ . By Lemma 5.2, we have  $\overline{\mathcal{O}(L_1)} = \mathcal{O}(q(L_1))$ .

Conversely, assume that  $\overline{\mathcal{O}(L_1)} = \mathcal{O}(q(L_1))$ . Then any non-degenerate even lattice  $L_2$  with  $q(L_2) \cong -q(L_1)$  satisfies the conditions (1) and (2) by Lemma 1.2. For example, we can take  $L_1(-1)$  as  $L_2$ .  $\square$

### 5.2 Proof of Theorem 5.1

Now we apply Proposition 5.3 to prove Theorem 5.1. Let  $G_0 \in \mathcal{L}$  with  $c(G_0) \geq 17$ . By Corollary 4.6,  $\Lambda_{G_0} \cong S(\mathfrak{G}_n, q_n)$  for some  $(\mathfrak{G}_n, q_n) \in \mathcal{Q}_{\text{clos}}$ . Since  $n \neq 58$  (see Table 10.4), we have

$$\Lambda_{G_0} \cong S(\mathfrak{G}_n, q_n) \cong N_G, ([G], q(N_G)) \sim (\mathfrak{G}_n, q_n) \in \mathcal{Q}_{\text{clos}} \quad (5.2)$$

for some  $(G, N) \in \mathcal{N}'$  by Proposition 3.9. Since  $c(\mathfrak{G}_3) = 12 < 17$ ,  $N$  is of type  $A_1^{\oplus 24}$  by Proposition 3.9. To prove Theorem 5.1, it is sufficient to show that the conditions (1) and (2) in Proposition 5.3 are satisfied for  $L_1 = N_G$  and  $L_2 = N^G$  if and only if  $n \neq 48, 51$ .

We check that for  $(G, N) \in \mathcal{N}'$  satisfying the conditions (5.2), the condition (1) is satisfied as follows: Let  $N' \subset (N_G)^\vee \oplus (N^G)^\vee$  be a Niemeier lattice which contains  $N_G$  and  $N^G$  primitively. By Lemma 1.3, the action of  $G$  on  $N_G$  is extended to that on  $N'$  such that  $(N')^G = N^G$ . We have  $(G, N') \in \mathcal{N}$  by Definition 3.1. By Proposition 3.9,  $(G, N) \cong (G, N')$ . The uniqueness of  $N$  is shown.

Before showing the condition (2), we prepare for a couple of lemmas.

**Lemma 5.4.** *For  $(G, N) \in \mathcal{N}'$  satisfying the conditions (5.2), let  $\pi$  denote the restriction map*

$$\pi : \mathrm{O}(N, N^G) \rightarrow \mathrm{O}(N^G). \quad (5.3)$$

*Then we have  $\mathrm{Ker}(\pi) = G$ . In particular,  $G \triangleleft \mathrm{O}(N, N^G)$ .*

*Proof.* Clearly, we have  $G \subset \mathrm{Ker}(\pi)$ . Let  $g \in \mathrm{Ker}(\pi)$ . Then  $g|_{N_G} \in \mathrm{O}_0(N_G)$  by Lemma 1.3(3). Since  $(\mathfrak{G}_n, q_n) \in \mathcal{Q}_{\mathrm{clos}}$ , i.e.,  $\mathrm{Clos}(\mathfrak{G}_n, q_n) = (\mathfrak{G}_n, q_n)$ , we have  $g \in G$  (see Definition 4.5). Hence  $\mathrm{Ker}(\pi) \subset G$ .  $\square$

Let  $\Delta^+$  be a set of positive roots of  $N$  which is stable under the action of  $G$  (see Subsection 3.1). Since  $N$  is of type  $A_1^{\oplus 24}$ ,  $\mathrm{O}(N, \Delta^+)$  is isomorphic to the Mathieu group  $M_{24}$  of degree 24 and the Weyl group  $W(N)$  of  $N$  is isomorphic to  $C_2^{24}$ . We have  $\mathrm{O}(N) = W(N) \rtimes M_{24}$ .

**Lemma 5.5.** *For  $(G, N) \in \mathcal{N}'$  satisfying the conditions (5.2), we have*

$$\mathrm{O}(N, N^G) = C_2^m \rtimes N_{M_{24}}(G), \quad (5.4)$$

*where  $m = \mathrm{rank} N^G = 24 - c(G)$  and  $N_{M_{24}}(G)$  is the normalizer subgroup of  $G$  in  $M_{24}$ . In particular, we have  $|\mathrm{O}(N, N^G)| = 2^m |N_{M_{24}}(G)|$*

*Proof.* Set  $\{v_1, \dots, v_{24}\} = R(N, \Delta^+)$  and  $W' = \mathrm{O}(N, N^G) \cap W$ . The action of  $G$  decomposes  $R(N, \Delta^+)$  into  $n$  orbits  $O_1, \dots, O_m$ . The invariant lattice  $N^G$  is generated by  $\sum_{v \in O_j} v$  ( $j = 1, \dots, m$ ) over  $\mathbb{Q}$ . Let  $w \in W$ . Then  $w$  is of the form

$$w = \prod_{i=1}^{24} T(v_i)^{e_i}, \quad e_i \in \{0, 1\}, \quad (5.5)$$

where  $T(v)$  is the reflection of  $v$ . Since

$$w \cdot \sum_{i=1}^{24} a_i v_i = \sum_{i=1}^{24} (-1)^{e_i} a_i v_i, \quad a_i \in \mathbb{Q}, \quad (5.6)$$

$W'$  is generated by  $\prod_{v \in O_j} T(v)$  ( $j = 1, \dots, m$ ), thus  $W' \cong C_2^m$ . By Lemma 5.4, we have an injection  $\iota : \mathrm{O}(N, N^G)/W' \rightarrow N_{M_{24}}(G)$ . For  $g \in N_{M_{24}}(G)$ , we have  $gG \cdot v_i = Gg \cdot v_i$ . Therefore, for any  $j$ , we have  $g \cdot O_j = O_{j'}$  for some  $j'$ . Hence we have  $N_{M_{24}}(G) \subset \mathrm{O}(N, N^G)$ , and  $\iota$  is an isomorphism. The assertion follows from this.  $\square$

Now we show that for  $(G, N) \in \mathcal{N}'$  satisfying the conditions (5.2), the condition (2) is satisfied. By Lemma 5.5, we can determine the order of  $O(N, N^G)$  from the order of  $N_{M_{24}}(G)$ . We can compute the order of  $N_{M_{24}}(G)$  by using GAP [10]. On the other hand, we can also determine the order of  $O(N^G)$  as follows.

Let  $B = (b_{ij}) \in M_m(\mathbb{Z})$  be the Gramian matrix of  $N^G$ . Then  $O(N^G)$  is identified with the matrix group  $H$  consisting of  $P \in M_m(\mathbb{Z})$  such that  ${}^tPBP = B$ . Let  $S$  denote the set consisting of column vectors  $v \in \mathbb{Z}^m$  such that  ${}^tvBv = b_{ii}$  for some  $i$ . Then any element  $P \in H$  is of the form  $(v_1 \cdots v_m)$  with  $v_i \in S$ . Since  $N^G$  is negative definite, we can enumerate all elements in  $S$  and  $H$  in finite steps. Practically, we take  $B$  with smaller  $|b_{ii}|$  (cf. the reduction theory of quadratic forms). Since the rank of  $N^G$  is less than or equal to  $24 - 17 = 7$  by the assumption of the theorem, we can determine the order of  $O(N^G)$  in practical time by this method. The author used Maxima [14] for this computation. The result is the following:

**Lemma 5.6.** *For  $(G, N) \in \mathcal{N}'$  satisfying the conditions (5.2), we have  $[O(N, N^G) : G] = |O(N^G)|$  if and only if  $[G] \neq \mathfrak{G}_{48}, \mathfrak{G}_{51}$ .*

For example, we consider the case  $n = 80$  ( $[G] = \mathfrak{G}_{80} = F_{384}$ ). There exists exactly one element  $(G, N) \in \mathcal{N}$  such that  $[G] = F_{384}$ . The Niemeier lattice  $N$  is of type  $A_1^{\oplus 24}$ . We have  $[N_{M_{24}}(G) : G] = 2$  and  $|O(N^G)| = 64$ . Since  $c(G) = 19$ , we have  $|O(N^G)| = [O(N, N^G) : G] = 2^{24-19} \cdot 2 = 64$  by Lemma 5.5.

Similarly, for other cases except  $n \neq 48, 51$ , we have  $[O(N, N^G) : G] = |O(N^G)|$ . The following is the table of  $k(G) = [N_{M_{24}}(G) : G]$ .

$n$	12	26	32	33	34	39	40	46	49	54	55	56	61
$k(G)$	48	4	2	6	8	2	24	4	120	2	6	12	2
$n$	62	63	65	70	74	75	76	77	78	79	80	81	
$k(G)$	2	6	24	1	2	24	2	4	4	2	2	24	

On the other hand, we have  $[O(N, N^G) : G] < |O(N^G)|$  for the cases  $n = 48, 51$ , as follows.

$n$	48	51
$k(G)$	2	2
$O(N^G)/2^m$	6	6

We shall finish the proof of Theorem 5.1. We already checked that the condition (1) is satisfied. By Lemma 5.4, the restriction map  $\pi : O(N, N^G) \rightarrow O(N^G)$  induces an injection  $O(N, N^G)/G \hookrightarrow O(N^G)$ . By Lemma 5.6, this map is an isomorphism if and only if  $n \neq 48, 51$ . Therefore, the condition (2), i.e. the surjectivity of  $\pi$  is satisfied if and only if  $n \neq 48, 51$ . By Proposition 5.3,  $\overline{O(N_G)} = O(q_{N_G})$  if and only if  $n \neq 48, 51$ .

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## 6 Uniqueness of invariant lattices $\Lambda^G$

This section is devoted to prove the following:

**Proposition 6.1.** *Set  $E = \{\mathfrak{S}_5, L_2(7), \mathfrak{A}_6\}$ . For  $(\mathfrak{G}, q) \in \mathcal{Q}$  (see (3.24)), we have*

$$\sharp(\{\Lambda^G \mid G \in \mathcal{L}, [G] = \mathfrak{G}, q(\Lambda_G) \cong q\}/\text{isom}) = \begin{cases} 2 & \text{if } \mathfrak{G} \in E, \\ 1 & \text{otherwise.} \end{cases} \quad (6.1)$$

The Gramian matrices of  $\Lambda^G$  are given in Table 10.3.

*Proof.* Let  $G \in \mathcal{L}$  such that  $[G] = \mathfrak{G}$  and  $q(\Lambda_G) \cong q$ . By Lemma 1.2,  $q(\Lambda^G) \cong -q(\Lambda_G) \cong -q$ .

First we consider the case  $\text{rank } \Lambda^G > 3$ . Since  $\text{sign } \Lambda = (3, 19)$  and  $\Lambda_G$  is negative definite,  $\Lambda^G$  is indefinite in this case. From Table 10.3, we can check that the conditions (1) and (2) in Theorem 1.7 for  $\Lambda^G$  are satisfied. Hence the assertion follows from Theorem 1.7. We can directly find the Gramian matrices of  $\Lambda^G$  with the given signature and discriminant form for each case.

Next we consider the case  $\text{rank } \Lambda^G = 3$ . In this case,  $\Lambda^G$  is positive definite. From the table of definite ternary forms [22], we can check that there exists a unique positive definite even lattice  $K$  of rank 3 such that  $q(K) \cong -q$  up to isomorphism, except for the cases  $\mathfrak{G} = \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$ . If  $\mathfrak{G} = \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$ , there exist exactly two positive definite even lattices  $K_1, K_2$  of rank 3 such that  $q(K_i) \cong -q$  up to isomorphism. For each  $i = 1, 2$ , there exists a primitive embedding  $\Lambda_G \rightarrow \Lambda$  such that  $(\Lambda_G)_{\Lambda}^{\perp} \cong K_i$  by Lemma 1.2. By Lemma 1.3, the action of  $G$  on  $\Lambda_G$  is extended to that on  $\Lambda$  such that  $\Lambda^G \cong K_i$ . This action is an element in  $\mathcal{L}$  by Definition 2.5. Therefore, the assertion follows.  $\square$

## 7 Property $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$

This section is devoted to prove the following:

**Theorem 7.1.** *Let  $G \in \mathcal{L}$ . If  $\text{rank } \Lambda^G \geq 4$ , or equivalently,  $c(G) \leq 18$  (see Proposition 2.10), then  $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$ .*

We may assume that  $G \in \mathcal{L}_{\text{clos}}$  by replacing  $G$  by  $\text{Clos}(G)$  if necessary. Then  $\Lambda_G \cong S(\mathfrak{G}_n, q_n)$  for some  $(\mathfrak{G}_n, q_n) \in \mathcal{Q}_{\text{clos}}$  (see Section 4). We can check that  $\Lambda^G$  satisfies the conditions (1) and (2) in Theorem 1.8 from Table 10.3, except for the following nine cases:

$$n = 26, 30, 32, 33, 40, 46, 48, 56, 61. \quad (7.1)$$

Hence we have  $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$  except for these nine cases.



For example, in the case  $n = 65$ , we find that

$$\Lambda^G \cong \begin{pmatrix} 4 & 2 \\ 2 & 4 \end{pmatrix} \oplus \langle 4 \rangle \oplus \langle -8 \rangle, \quad (7.2)$$

$$q(\Lambda^G) \cong -q_{65} \cong v^{(2)}(2) \oplus q_1^{(2)}(4) \oplus q_7^{(2)}(8) \oplus q_+^{(3)}(3) \quad (7.3)$$

from Table 10.3. Since

$$\text{rank } \Lambda^G = 4 > l(A(\Lambda^G)_3) + 2 = 3, \quad (7.4)$$

the condition (1) is satisfied. On the other hand, since  $v^{(2)}(2)$  appears in the orthogonal decomposition (7.3) of  $q(\Lambda^G)$ , the condition (2) is satisfied.

### 7.1 Preparation for the cases (7.1)

Before studying the cases (7.1), we recall some properties of the spinor norm (see e.g. [4]). Let  $L$  be a non-degenerate lattice. For any  $\varphi \in \text{O}(L \otimes \mathbb{Q})$ ,  $\varphi$  is written as a composition of reflections:

$$\varphi = \prod_{i=1}^r T(v_i), \quad v_i \in L \otimes \mathbb{Q}, \quad \langle v_i, v_i \rangle \neq 0. \quad (7.5)$$

Here  $T(v) \in \text{O}(L \otimes \mathbb{Q})$  is the reflection of  $v$ , which is defined by

$$T(v) \cdot w = w - \frac{2\langle v, w \rangle}{\langle v, v \rangle} v. \quad (7.6)$$

The spinor norm  $\theta(\varphi)$  of  $\varphi$  is defined by

$$\theta(\varphi) = \prod_{i=1}^r \langle v_i, v_i \rangle \text{ mod } (\mathbb{Q}^\times)^2 \in \mathbb{Q}^\times / (\mathbb{Q}^\times)^2, \quad (7.7)$$

which is independent of the choice of the expression (7.5). We define a map  $f$  and a subgroup  $\text{O}'(L) \subset \text{O}(L)$  by

$$f = \det \times \theta : \text{O}(L) \rightarrow \{\pm 1\} \times \mathbb{Q}^\times / (\mathbb{Q}^\times)^2 \quad (7.8)$$

and  $\text{O}'(L) = \text{Ker}(f)$ . Note that if  $L = L_1 \oplus L_2$ , then  $f(\text{O}(L_i)) \subset f(\text{O}(L))$ . We can define the spinor norm  $\theta_p(\varphi_p) \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$  of  $\varphi_p \in \text{O}(L \otimes \mathbb{Q}_p)$  in a similar way. Moreover, we define

$$f_p = \det \times \theta_p : \text{O}(L_p) \rightarrow \{\pm 1\} \times \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2 \quad (7.9)$$

and  $\text{O}'(L_p) = \text{Ker}(f_p)$ , where  $L_p = L \otimes \mathbb{Z}_p$ .

To deal with the cases (7.1), we use the following proposition, which is a consequence of Strong Approximation Theorem of quadratic forms (cf. [4]).

**Proposition 7.2.** *Let  $L$  be an indefinite even lattice of rank  $\geq 3$ . We set  $O_0(L_p) = \text{Ker}(O(L_p) \rightarrow O(q(L_p)))$  and  $d = \text{disc}(L)$ . If the natural map*

$$O(L) \rightarrow \prod_{p|d} \frac{f_p(O(L_p))}{f_p(O_0(L_p))} \quad (7.10)$$

is surjective, then  $\overline{O(L)} = O(q(L))$ .

*Proof.* We have a natural commutative diagram

$$\begin{array}{ccccccc} 1 & \rightarrow & O'(L) & \rightarrow & O(L) & \rightarrow & f(O(L)) & \rightarrow & 1 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \\ 1 & \rightarrow & \prod_{p|d} \frac{O'(L_p)}{O'_0(L_p)} & \rightarrow & \prod_{p|d} \frac{O(L_p)}{O_0(L_p)} & \rightarrow & \prod_{p|d} \frac{f_p(O(L_p))}{f_p(O_0(L_p))} & \rightarrow & 1 \end{array} \quad (7.11)$$

where  $O'_0(L_p) = O'(L_p) \cap O_0(L_p)$ . The rows in (7.11) are exact. Since

$$O(q(L)) = \prod_{p|d} O(q(L)_p) \cong \prod_{p|d} \frac{O(L_p)}{O_0(L_p)} \quad (7.12)$$

by Theorem 1.10, it is sufficient to show that  $\beta$  is surjective. Since  $[O'(L_p) : O'_0(L_p)] < \infty$ , each coset of  $O'(L_p)/O'_0(L_p)$  is open dense subset of  $O'(L_p)$  in  $p$ -adic topology. By Strong Approximation Theorem of quadratic forms (cf. [4]), the image of  $O'(L)$  in  $\prod_{p|d} O'(L_p)$  is dense. Therefore,  $\alpha$  is surjective. On the other hand,  $\gamma$  is surjective by the assumption. By chasing the diagram,  $\beta$  is surjective.  $\square$

For  $f(O(L))$  and  $f_p(O_0(L_p))$ , we have the following:

**Lemma 7.3.** *Let  $L^{(p)}$  be a non-degenerate even lattice over  $\mathbb{Z}_p$ .*

- (1) *If  $v \in L^{(p)}$  satisfies  $a = \langle v, v \rangle \in \mathbb{Z}_p^\times \cup 2\mathbb{Z}_p^\times$ , then  $T(v) \in O_0(L^{(p)})$  and  $f_p(T(v)) = (-1, \bar{a}) \in f_p(O_0(L_p))$ .*
- (2) *If  $L^{(p)}$  contains  $U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  as a sublattice, then*

$$f_p(O_0(L^{(p)})) \supset \begin{cases} J_2 := \langle (1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2), (-1, \bar{2}) \rangle & \text{if } p = 2, \\ J_p := \{\pm 1\} \times \mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 & \text{otherwise.} \end{cases} \quad (7.13)$$

- (3) *If  $p = 2$  and  $L^{(2)}$  contains  $V = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$  as a sublattice, then*

$$f_2(O_0(L^{(2)})) \supset J_2. \quad (7.14)$$

*Proof.* Let  $v, a$  be as in (1). Since  $T(v) \cdot w = w - (2\langle v, w \rangle/a)v$  and  $2/a \in \mathbb{Z}_p^\times$ , we have  $T(v) \cdot w \in L^{(p)}$  for  $w \in L^{(p)}$ . Hence  $T(v) \in \mathrm{O}(L^{(p)})$ . If  $w \in (L^{(p)})^\vee$ , then  $\langle v, w \rangle \in \mathbb{Z}_p$ , thus  $T(v) \cdot w \equiv w \pmod{L^{(p)}}$ . Hence  $T(v) \in \mathrm{O}_0(L^{(p)})$ . Since the determinant of any reflection is equal to  $-1$ , we have  $f_p(T(v)) = (-1, \bar{a})$ . This proves (1).

Let  $(e_1, e_2)$  be a basis of  $U$  such that  $\langle e_i, e_i \rangle = 0$  and  $\langle e_1, e_2 \rangle = 1$ . For  $x \in \mathbb{Z}_p^\times$ , set  $v_x = e_1 + xe_2$ . We have  $\langle v_x, v_x \rangle = 2x \in 2\mathbb{Z}_p^\times$ . By (1),  $T(v_x) \in \mathrm{O}_0(L^{(p)})$  and  $f_p(T(v_x)) = (-1, \overline{2x})$ . We can check that the group generated by elements of the form  $(-1, \overline{2x})$  is  $J_2$  (resp.  $J_p$ ) if  $p = 2$  (resp.  $p \neq 2$ ).

The proof of (3) is similar to (2), and we omit it.  $\square$

**Lemma 7.4.** *Let  $L$  be a non-degenerate even lattice.*

$$(1) \quad f(-1_L) = ((-1)^{\mathrm{rank} L}, \overline{\mathrm{disc}(L)}).$$

$$(2) \quad \text{If } L \cong U(t) \oplus L' \text{ for some } L', \text{ then } f(\mathrm{O}(L)) \supset \langle (-1, \pm \overline{2t}) \rangle, \text{ where } U(t) = \begin{pmatrix} 0 & t \\ t & 0 \end{pmatrix}.$$

*Proof.* Let  $(e_1, \dots, e_r)$  be an orthogonal basis of  $L \otimes \mathbb{Q}$ , where  $r = \mathrm{rank} L$ . Then,  $-1_L = \prod_{i=1}^r T(e_i)$  and  $\prod_{i=1}^r \langle e_i, e_i \rangle \equiv \mathrm{disc}(L) \pmod{(\mathbb{Q}^\times)^2}$ . Therefore,  $f(-1_L) = ((-1)^r, \overline{\mathrm{disc}(L)})$ . This proves (1).

Let  $(e_1, e_2)$  be a basis of  $U(t)$  such that  $\langle e_i, e_i \rangle = 0$  and  $\langle e_1, e_2 \rangle = t$ . Then,  $\mathrm{O}(U(t)) \cong (\mathbb{Z}/2\mathbb{Z})^2$  is generated by  $T(e_1 \pm e_2)$ . Therefore,  $f(\mathrm{O}(U(t))) = \langle (-1, \pm \overline{2t}) \rangle$ . This proves (2).  $\square$

## 7.2 Proof of Theorem 7.1 for the cases (7.1)

We set  $L = \Lambda^G$ ,  $r = \mathrm{rank} L$  and  $d = \mathrm{disc}(L)$ . We shall show that the map (7.10) is surjective in each case in (7.1). In other words, we show that  $\prod_{p|d} f_p(\mathrm{O}(L_p))$  is generated by the images of  $\mathrm{O}(L)$  and  $\prod_{p|d} f_p(\mathrm{O}_0(L_p))$ . As is shown below, we have  $f_p(\mathrm{O}(L_p)) = N_p$  except for the cases  $n = 46, 61$ , where

$$N_p = \{\pm 1\} \times \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2. \quad (7.15)$$

Recall that the map  $(a, b, c) \mapsto (-1)^a 3^b 2^c$  induces an isomorphism  $(\mathbb{Z}/2\mathbb{Z})^3 \rightarrow \mathbb{Q}_2^\times / (\mathbb{Q}_2^\times)^2$ . Moreover, the map  $(a, b) \mapsto \varepsilon_p^a p^b$  induces an isomorphism  $(\mathbb{Z}/2\mathbb{Z})^2 \rightarrow \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$  if  $p \neq 2$ , where  $\varepsilon_p$  is a non-square  $p$ -adic unit. Let  $(e_1, \dots, e_r)$  be a basis of  $L$  whose Gramian matrix is given by Table 10.3. We say  $a$  is represented by  $L$  if there exists a vector  $v \in L$  such that  $\langle v, v \rangle = a$ . We denote  $f(\mathrm{O}(L))$  and  $f_p(\mathrm{O}_0(L_p))$  by  $I$  and  $I_p$ , respectively.

(1) The case  $n = 26$ . We have

$$L \cong \begin{pmatrix} 0 & 8 \\ 8 & 0 \end{pmatrix} \oplus \langle 2 \rangle \oplus \langle 4 \rangle, \quad d = -2^9. \quad (7.16)$$

Since 2 and 6 are represented by  $L$ , we have  $(-1, \bar{2}), (-1, \bar{6}) \in I_2$  by Lemma 7.3(1). By Lemma 7.4(2),  $(-1, \pm\bar{16}) = (-1, \pm\bar{1}) \in I$ . We can check that the images of these four elements generate  $N_2$ . (In what follows, we omit “the image(s) of” for simplicity.)

(2) The case  $n = 30$ . We have

$$L \cong \begin{pmatrix} 0 & 3 \\ 3 & 0 \end{pmatrix}^{\oplus 2} \oplus \begin{pmatrix} 2 & 3 \\ 3 & 0 \end{pmatrix}, \quad d = -3^6. \quad (7.17)$$

By Lemma 7.4(2),  $(-1, \pm\bar{6}) \in I$ . Since  $T(e_5) \in O(L)$ , we have  $f(T(e_5)) = (-1, \bar{2}) \in I$ . We can check that these three elements generate  $N_3$ .

(3) The case  $n = 32$ . We have

$$L \cong \begin{pmatrix} 0 & 5 \\ 5 & 0 \end{pmatrix} \oplus \begin{pmatrix} 4 & 2 \\ 2 & 6 \end{pmatrix}, \quad d = -2^2 \cdot 5^3. \quad (7.18)$$

Since  $L_2$  contains  $U$ , we have  $J_2 \subset I_2$  by Lemma 7.3(2). Since 4 is represented by  $L$ , we have  $(-1, \bar{4}) = (-1, \bar{1}) \in I_5$  by Lemma 7.3(1). By Lemma 7.4(2),  $(-1, \pm\bar{10}) \in I$ . Since  $T(e_3) \in O(L)$ , we have  $f(T(e_1)) = (-1, \bar{4}) = (-1, \bar{1}) \in I$ . Let  $L' = \begin{pmatrix} 4 & 2 \\ 2 & 6 \end{pmatrix}$ . By Lemma 7.4(1),  $f(-1_{L'}) = (1, \bar{20}) = (1, \bar{5}) \in I$ . Therefore, the images of  $I, I_2, I_5$  contain the following elements.

	image in $N_2 \times N_5$
$I_2$	$(1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2) \times (1, \bar{1}), (-1, \bar{2}) \times (1, \bar{1})$
$I_5$	$(1, \bar{1}) \times (-1, \bar{1})$
$I$	$(-1, \pm\bar{10}) \times (-1, \pm\bar{10}), (-1, \bar{1}) \times (-1, \bar{1}), (1, \bar{5}) \times (1, \bar{5})$

From this, we can check that  $I, I_2, I_5$  generate  $N_2 \times N_5$ .

(4) The case  $n = 33$ . We have

$$L \cong \begin{pmatrix} 0 & 7 \\ 7 & 0 \end{pmatrix} \oplus \begin{pmatrix} 2 & 1 \\ 1 & 4 \end{pmatrix}, \quad d = -7^3. \quad (7.19)$$

By Lemma 7.4(2),  $(-1, \pm\bar{14}) \in I$ . Since  $T(e_3) \in O(L)$ , we have  $(-1, \bar{2}) \in I$ . We can check that these three elements generate  $N_7$ .

(5) The case  $n = 40$ . We have

$$L \cong \langle 4 \rangle^{\oplus 3} \oplus \langle -4 \rangle^{\oplus 2}, \quad d = 2^{10}. \quad (7.20)$$

Let  $\varphi = T(e_1)T(e_1 + 2e_2) \in O(L_2)$ . Then, modulo  $L_2$ , we have

$$\varphi \cdot \frac{e_1}{4} = T(e_1) \cdot \left( \frac{e_1}{4} - \frac{2}{20}(e_1 + 2e_2) \right) \equiv T(e_1) \cdot \frac{3}{4}e_1 \equiv \frac{e_1}{4}, \quad (7.21)$$

$$\varphi \cdot \frac{e_2}{4} = T(e_1) \cdot \left( \frac{e_2}{4} - \frac{4}{20}(e_1 + 2e_2) \right) \equiv T(e_1) \cdot \frac{e_2}{4} = \frac{e_2}{4}. \quad (7.22)$$

Hence  $\varphi \in \mathbf{O}_0(L_2)$  and  $f_2(\varphi) = (-1, \bar{4}) \cdot (-1, \bar{20}) = (1, \bar{5}) \in I_2$ . Since  $T(e_1), T(e_4), T(e_1 + e_2) \in \mathbf{O}(L)$ , we have  $(-1, \pm\bar{4}), (-1, \bar{8}) \in I$ . We can check that these four elements generate  $N_2$ .

(6) The case  $n = 46$ . We have

$$L \cong \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \oplus \langle 6 \rangle \oplus \langle -18 \rangle, \quad d = -2^2 \cdot 3^4. \quad (7.23)$$

Since  $L_2$  contains  $V$ , we have  $J_2 \subset I_2$  by Lemma 7.3(3). By Theorem 3.14(i) of [1], we have  $f_2(\mathbf{O}(L_2)) = J_2$ , thus  $I_2 = f_2(\mathbf{O}(L_2)) = J_2$ . Since  $T(e_1), T(e_3), T(e_4) \in \mathbf{O}(L)$ , we have  $(-1, \bar{2}), (-1, \bar{6}), (-1, -\bar{18}) \in I$ . From this, we can check that  $I, I_2$  generate  $f_2(\mathbf{O}(L_2)) \times N_3$ .

(7) The case  $n = 48$ . We have

$$L \cong \begin{pmatrix} 0 & 3 \\ 3 & 0 \end{pmatrix} \oplus \begin{pmatrix} 12 & 6 \\ 6 & 12 \end{pmatrix}, \quad d = -2^2 \cdot 3^5. \quad (7.24)$$

Since  $L_2$  contains  $U$ , we have  $J_2 \subset I_2$  by Lemma 7.3(2). By Lemma 7.4(2),  $(-1, \pm\bar{6}) \in I$ . Since  $T(e_3), T(e_3 + e_4) \in \mathbf{O}(L)$ , we have  $(-1, \bar{12}), (-1, \bar{36}) \in I$ . Therefore, the images of  $I, I_2$  contains the following elements.

	image in $N_2 \times N_3$
$I_2$	$(1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2) \times (1, 1), (-1, \bar{2}) \times (1, \bar{1})$
$I$	$(-1, \pm\bar{6}) \times (-1, \pm\bar{6}), (-1, \bar{3}) \times (-1, \bar{3}), (-1, \bar{1}) \times (-1, \bar{1})$

From this, we can check that  $I, I_2$  generate  $N_2 \times N_3$ .

(8) The case  $n = 56$ . We have

$$L \cong \langle 4 \rangle^{\oplus 3} \oplus \langle -8 \rangle, \quad d = -2^9. \quad (7.25)$$

By the argument in the case  $n = 40$ ,  $\varphi = T(e_1)T(e_1 + 2e_2) \in \mathbf{O}_0(L_2)$  and  $f_2(\varphi) = (1, \bar{5}) \in I_2$ . Since  $T(e_1), T(e_4), T(e_1 + e_2) \in \mathbf{O}(L)$ , we have  $(-1, \bar{4}), (-1, -\bar{8}), (-1, \bar{8}) \in I$ . We can check that these four elements generate  $N_2$ .

(9) The case  $n = 61$ . We have

$$L \cong \begin{pmatrix} 0 & 3 \\ 3 & 0 \end{pmatrix} \oplus \begin{pmatrix} 8 & 4 \\ 4 & 8 \end{pmatrix}, \quad d = -2^4 \cdot 3^3. \quad (7.26)$$

Since  $L_2$  contains  $U$ , we have  $J_2 \subset I_2$  by Lemma 7.3(2). By Theorem 3.14(i) of [1],  $f_2(\mathbf{O}(L_2)) = J_2$ , thus  $I_2 = f_2(\mathbf{O}(L_2)) = J_2$ . Since  $T(e_3) \in \mathbf{O}(L)$ ,  $(-1, \bar{8}) = (-1, \bar{2}) \in I$ . By Lemma 7.4(2),  $(-1, \pm\bar{6}) \in I$ . From this, we can check that  $I, I_2$  generate  $f_2(\mathbf{O}(L_2)) \times N_3$ .

Now we have proved Theorem 7.1.

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## 8 Uniqueness of symplectic actions on the $K3$ lattice

In this section, we use the results in the previous sections to prove Main Theorem.

### 8.1 Case $c(G) \leq 18$

**Proposition 8.1.** *The natural map*

$$\{G \in \mathcal{L} \mid c(G) \leq 18\}/\text{conj} \rightarrow \{(G, S) \in \mathcal{S} \mid c(G) \leq 18\}/\text{isom} \quad (8.1)$$

*is bijective.*

*Proof.* The surjectivity follows from the definition of  $\mathcal{S}$  (see (4.1)). Let  $(G, S) \in \mathcal{S}$  such that  $c(G) \leq 18$ . Suppose that  $G_i \in \mathcal{L}$  and  $(G_i, \Lambda_{G_i}) \cong (G, S)$  for  $i = 1, 2$ . To prove the injectivity, it is sufficient to show that  $G_1$  and  $G_2$  are conjugate in  $O(\Lambda)$ . By Proposition 6.1,  $\Lambda^{G_1} \cong \Lambda^{G_2}$ . By Theorem 7.1,  $O(\Lambda^{G_1}) = O(q(\Lambda^{G_1}))$ . Therefore, a primitive embedding  $\Lambda_{G_1} \rightarrow \Lambda$  such that  $(\Lambda_{G_1})_{\Lambda}^{\perp} \cong \Lambda^{G_1}$  is unique up to isomorphism and the restriction map

$$\pi : O(\Lambda, \Lambda_{G_1}) \rightarrow O(\Lambda_{G_1}) \quad (8.2)$$

is surjective by Lemma 1.2. Hence we may assume that  $\Lambda_{G_1} = \Lambda_{G_2}$  by replacing  $G_2$  by  $\varphi G_2 \varphi^{-1}$  for some  $\varphi \in O(\Lambda)$  if necessary. Since  $(G_1, \Lambda_{G_1}) \cong (G_2, \Lambda_{G_2}) \cong (G, S)$ ,  $G_1$  and  $G_2$  are conjugate as subgroups of  $O(\Lambda_{G_1})$ . Since  $\pi$  is surjective,  $G_1$  and  $G_2$  are conjugate in  $O(\Lambda)$ .  $\square$

### 8.2 Case $c(G) = 19$

**Lemma 8.2.** *Let  $G_1, G_2 \in \mathcal{L}$  such that  $[G_1] = [G_2]$ ,  $\text{Clos}(G_1) = \text{Clos}(G_2)$  and  $c(G_i) = 19$ . If  $[\text{Clos}(G_i)] \neq \mathfrak{A}_{4,4}, F_{384}$ , then  $G_1$  and  $G_2$  are conjugate in  $\text{Clos}(G_i)$ .*

*Proof.* It is sufficient to consider the case  $G_i \subsetneq \text{Clos}(G_i)$ . By Tables 10.2 and 10.4, we find that  $\mathfrak{H} := [\text{Clos}(G_i)] = T_{48}, H_{192}, T_{192}, M_{20}$ . Using GAP [10], we can check that there exists a unique subgroup  $\mathfrak{G}$  of  $\mathfrak{H}$  up to conjugacy in  $\mathfrak{H}$  such that  $\mathfrak{G} = [G_i]$ . The assertion follows from this.  $\square$

Now we consider subgroups  $\mathfrak{G}$  of  $\mathfrak{A}_{4,4}$  or  $F_{384}$  such that  $c(\mathfrak{G}) = 19$ . In [16], Mukai constructed  $K3$  surfaces with maximal finite symplectic actions. We use two  $K3$  surfaces with symplectic actions of  $\mathfrak{A}_{4,4}$  or  $F_{384}$  from [16].

Let  $X$  be a surface in  $\mathbb{P}^5$  defined by the following equations:

$$x^2 + y^2 + z^2 = \sqrt{3}u^2, \quad (8.3)$$

$$x^2 + \zeta y^2 + \zeta^2 z^2 = \sqrt{3}v^2, \quad (8.4)$$

$$x^2 + \zeta^2 y^2 + \zeta z^2 = \sqrt{3}w^2, \quad (8.5)$$

where  $\zeta = \exp(2\pi\sqrt{-1}/3)$  and  $x, y, z, u, v, w$  are homogeneous coordinates of  $\mathbb{P}^5$ . Since  $X$  is a smooth complete intersection of type  $(2, 2, 2)$  in  $\mathbb{P}^5$ ,  $X$  is a  $K3$  surface. Let  $G$  denote a subgroup of  $\mathrm{PGL}(6, \mathbb{C})$  generated by

$$(x : y : z : u : v : w) \mapsto (-x : -y : z : u : v : w), \quad (8.6)$$

$$(x : y : z : u : v : w) \mapsto (x : y : z : -u : -v : w), \quad (8.7)$$

$$(x : y : z : u : v : w) \mapsto (y : z : x : u : \zeta v : \zeta^2 w), \quad (8.8)$$

$$(x : y : z : u : v : w) \mapsto (x : \zeta^2 y : \zeta z : v : w : u), \quad (8.9)$$

$$(x : y : z : u : v : w) \mapsto (-x : -z : -y : u : w : v). \quad (8.10)$$

Then  $G$  acts on  $X$  symplectically and  $[G] = \mathfrak{A}_{4,4}$ . Moreover, let  $\tilde{G}$  denote the group generated by  $G$  and

$$g : (x : y : z : u : v : w) \mapsto (u : v : w : x : z : y). \quad (8.11)$$

Then  $\tilde{G}$  acts on  $X$  and  $g^*\omega_X = \sqrt{-1}\omega_X$ . Using GAP [10], we can show the following:

**Lemma 8.3.** *Suppose that  $\mathfrak{G} \in \mathfrak{G}_{K3}^{\mathrm{symp}}$  is a subgroup of  $\mathfrak{A}_{4,4}$  and  $c(\mathfrak{G}) = 19$ . Then there exists a unique subgroup  $K$  of  $G$  such that  $[K] = \mathfrak{G}$  up to conjugacy in  $\tilde{G}$ .*

Let  $Y$  be a surface in  $\mathbb{P}^3$  defined by the following equation:

$$x^4 + y^4 + z^4 + t^4 = 0, \quad (8.12)$$

where  $x, y, z, t$  are homogeneous coordinates of  $\mathbb{P}^3$ . Since  $Y$  is a smooth quartic surface in  $\mathbb{P}^3$ ,  $Y$  is a  $K3$  surface. Let  $H$  denote a subgroup of  $\mathrm{PGL}(4, \mathbb{C})$  generated by

$$(x : y : z : t) \mapsto (ix : -iy : z : t), \quad (8.13)$$

$$(x : y : z : t) \mapsto (y : x : z : t), \quad (8.14)$$

$$(x : y : z : t) \mapsto (y : z : t : x), \quad (8.15)$$

where  $i = \sqrt{-1}$ . Then  $H$  acts on  $Y$  symplectically and  $[H] = F_{384}$ . Moreover, let  $\tilde{H}$  denote the group generated by  $H$  and

$$h : (x : y : z : t) \mapsto (ix : y : z : t). \quad (8.16)$$

Then  $\tilde{H}$  acts on  $Y$  and  $h^*\omega_Y = i\omega_Y$ . Again using GAP, we can show the following:

**Lemma 8.4.** *Suppose that  $\mathfrak{G} \in \mathfrak{G}_{K3}^{\mathrm{symp}}$  is a subgroup of  $F_{384}$  and  $c(\mathfrak{G}) = 19$ . Then there exists a unique subgroup  $K$  of  $H$  such that  $[K] = \mathfrak{G}$  up to conjugacy in  $\tilde{H}$ .*

**Remark 8.5.** In GAP system, we can realize  $\tilde{G}$  and  $\tilde{H}$  as quotients of permutation groups. For example, a subgroup of  $\mathrm{PGL}(2, \mathbb{C})$  generated by  $(x : y) \mapsto (\zeta x : y)$  and  $(x : y) \mapsto (y : x)$  is realized as

$$\langle (1\ 2\ 3), (1\ 4)(2\ 5)(3\ 6) \rangle / \langle (1\ 2\ 3)(4\ 5\ 6) \rangle. \quad (8.17)$$

**Remark 8.6.** We can show that the projective automorphism groups of  $X$  and  $Y$  are  $\tilde{G}$  and  $\tilde{H}$ , respectively (cf. [9]). However, since  $X$  and  $Y$  have Picard number 20, the automorphism groups of  $X$  and  $Y$  are infinite groups by [24].

By considering induced actions on  $H^2(X, \mathbb{Z})$  and  $H^2(Y, \mathbb{Z})$ , which are isomorphic to  $\Lambda$ , we have the following:

**Lemma 8.7.** *Consider  $G$  (resp.  $H$ ) as a subgroup of  $\mathrm{O}(\Lambda)$ . Suppose that  $\mathfrak{G}$  is a subgroup of  $\mathfrak{A}_{4,4}$  (resp.  $F_{384}$ ) such that  $c(\mathfrak{G}) = 19$ . Then there exists a unique subgroup  $K$  of  $G$  (resp.  $H$ ) up to conjugacy in  $\mathrm{O}(\Lambda)$  such that  $[K] = \mathfrak{G}$ .*

We use the following lemma in the proof of Theorem 4.1.

**Lemma 8.8.** *There exists an element  $G_{43} \in \mathcal{L}$  which satisfies the following:*

- (1)  $[G_{43}] = \mathfrak{G}_{43}$ ;
- (2) *There exists a unique subgroup  $G_{58}$  of  $\mathrm{O}_0(\Lambda_{G_{43}})$  such that  $[G_{58}] = \mathfrak{G}_{58}$  up to conjugacy in  $\mathrm{O}(\Lambda_{G_{43}})$ .*

*Proof.* We fix an identification  $H^2(Y, \mathbb{Z}) = \Lambda$ . By Table 10.4, there exists a subgroup  $G_{43}$  of  $H$  such that  $[G_{43}] = \mathfrak{G}_{43}$ . Since  $c(\mathfrak{G}_{43}) = c(H) = 19$ , we have  $\Lambda_{G_{43}} = \Lambda_H$ . Since  $[H] = F_{384}$  is a maximal element in  $\mathfrak{G}_{K3}^{\mathrm{symp}}$ , we have  $[\mathrm{O}_0(\Lambda_H)] = [H]$ . Since  $H \triangleleft \tilde{H}$ , we have  $\tilde{H} \subset \mathrm{O}(\Lambda, \Lambda^H)$ . By Lemma 8.4 and Table 10.4, the condition (2) is satisfied.  $\square$

We have the following by the above lemmas.

**Proposition 8.9.** *Set  $E = \{\mathfrak{G}_5, L_2(7), \mathfrak{A}_6\} \subset \mathfrak{G}_{K3}^{\mathrm{symp}}$ . The natural map*

$$\{G \in \mathcal{L} \mid c(G) = 19, [G] \notin E\} / \mathrm{conj} \rightarrow \{(G, S) \in \mathcal{S} \mid c(G) = 19, [G] \notin E\} / \mathrm{isom} \quad (8.18)$$

*is bijective.*

*Proof.* The surjectivity follows from the definition of  $\mathcal{S}$  (see (4.1)). Let  $(G, S) \in \mathcal{S}$  such that  $c(G) = 19$  and  $[G] \notin E$ . Suppose that  $G_i \in \mathcal{L}$  and  $(G_i, \Lambda_{G_i}) \cong (G, S)$  for  $i = 1, 2$ . To prove the injectivity, it is sufficient to show that  $G_1$  and  $G_2$  are conjugate in  $\mathrm{O}(\Lambda)$ . By Proposition 6.1,  $\Lambda^{G_1} \cong \Lambda^{G_2}$ . By Theorem 5.1,  $\mathrm{O}(\Lambda_{G_1}) = \mathrm{O}(q(\Lambda_{G_1}))$ . Therefore, a primitive embedding



$\Lambda_{G_1} \rightarrow \Lambda$  such that  $(\Lambda_{G_1})_{\Lambda}^{\perp} \cong \Lambda^{G_1}$  is unique up to isomorphism by Lemma 1.2. Hence we may assume that  $\Lambda_{G_1} = \Lambda_{G_2}$  by replacing  $G_2$  by  $\varphi G_2 \varphi^{-1}$  for some  $\varphi \in \text{O}(\Lambda)$  if necessary. Thus  $[\text{Clos}(G_1)] = [\text{Clos}(G_2)]$ .

(1) The case  $[\text{Clos}(G_i)] \neq \mathfrak{A}_{4,4}, F_{384}$ . By Lemma 8.2,  $G_1$  and  $G_2$  are conjugate in  $\text{Clos}(G_i) (\subset \text{O}(\Lambda))$ .

(2) The case  $[\text{Clos}(G_i)] = \mathfrak{A}_{4,4}$  (resp.  $F_{384}$ ). By the above argument, we have  $\Lambda_{G_i} = \Lambda_G$  (resp.  $\Lambda_H$ ) for some identification  $\Lambda = H^2(X, \mathbb{Z})$  (resp.  $H^2(Y, Z)$ ). Hence  $\text{Clos}(G_i) = G$  (resp.  $H$ ). By Lemma 8.7,  $G_1$  and  $G_2$  are conjugate in  $\text{O}(\Lambda)$ .  $\square$

**Proposition 8.10.** *For  $\mathfrak{G} = \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$ , there exist exactly two elements  $G_1, G_2$  in  $\mathcal{L}$  up to conjugacy in  $\text{O}(\Lambda)$  such that  $[G_i] = \mathfrak{G}$ . We have  $\Lambda_{G_1} \cong \Lambda_{G_2}$ ,  $q(\Lambda^{G_1}) \cong q(\Lambda^{G_2})$  and  $\Lambda^{G_1} \not\cong \Lambda^{G_2}$ .*

*Proof.* By Proposition 3.7 and Theorem 4.1, there exists a unique element  $(G_0, S) \in \mathcal{S}$  up to isomorphism such that  $[G_0] = \mathfrak{G}$ . Since  $\overline{\mathfrak{G}}$  is a maximal element in  $\mathfrak{G}_{K^3}^{\text{symp}}$ , we have  $\text{O}_0(S) = G_0$ . By Theorem 5.1,  $\text{O}(S) = \text{O}(q(S))$ . By Lemma 1.2 and Proposition 6.1, there exist exactly two primitive sublattices  $S_1, S_2$  of  $\Lambda$  such that  $S_i \cong S$  up to  $\text{O}(\Lambda)$ . The action of  $G_i := \text{O}_0(S_i)$  on  $S_i$  is extended to that on  $\Lambda$  such that  $\Lambda_{G_i} = S_i$  ( $i = 1, 2$ ). Let  $G \in \mathcal{L}$  such that  $[G] = \mathfrak{G}$ . Then  $\Lambda_G \cong S$ . Hence we may assume that  $\Lambda_G = S_i$  ( $i = 1, 2$ ) by replacing  $G$  by  $\varphi G \varphi^{-1}$  for some  $\varphi \in \text{O}(\Lambda)$  if necessary. Then we have  $G = G_i$ . This implies the assertion.  $\square$

### 8.3 Proof of Main Theorem

**Theorem 8.11.** *Let  $\mathfrak{G} \in \mathfrak{G}_{K^3}^{\text{symp}}$ .*

- (1) *If  $\mathfrak{G} = Q_8, T_{24}$ , there exist exactly two elements  $G_1, G_2 \in \mathcal{L}$  such that  $[G_i] = \mathfrak{G}$  up to conjugacy in  $\text{O}(\Lambda)$ . We have the following table, by changing numbering of  $G_1, G_2$  if necessary (see Corollary 4.7).*

$\mathfrak{G}$	$n$	$[\text{Clos}(G_1)]$	$\text{disc}(\Lambda_{G_1})$	$n$	$[\text{Clos}(G_2)]$	$\text{disc}(\Lambda_{G_2})$
$Q_8$	12	$Q_8$	-512	40	$Q_8 * Q_8$	-1024
$T_{24}$	77	$T_{192}$	-192	54	$T_{48}$	-384

Here  $n$  is determined by  $([G_i], q(\Lambda_{G_i})) \sim (\mathfrak{G}_n, q_n)$ .

- (2) *If  $\mathfrak{G} = \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$ , there exist exactly two elements  $G_1, G_2 \in \mathcal{L}$  such that  $[G_i] = \mathfrak{G}$  up to conjugacy in  $\text{O}(\Lambda)$ . We have  $\Lambda_{G_1} \cong \Lambda_{G_2}$ ,  $q(\Lambda^{G_1}) \cong q(\Lambda^{G_2})$  and  $\Lambda^{G_1} \not\cong \Lambda^{G_2}$ .*
- (3) *Otherwise, there exists a unique  $G \in \mathcal{L}$  such that  $[G] = \mathfrak{G}$  up to conjugacy in  $\text{O}(\Lambda)$ .*

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*Proof.* By Theorem 4.1,  $(G, S) \in \mathcal{S}$  is determined uniquely by  $[G]$  and  $q(S)$  up to isomorphism. The assertions (1) and (3) follow from Propositions 8.1, 8.9 and Table 10.2. The assertion (2) is the same as Proposition 8.10.  $\square$

## 9 Applications

Combining Xia's result (Theorem 0.3), the following theorem is a consequence of Theorem 8.11 and global Torelli theorem for K3 surfaces (cf. [17]).

**Theorem 9.1.** *Let  $G$  be a group such that  $[G] \in \mathfrak{G}_{K3}^{\text{symp}}$  (see Notation 2.2). Set  $E_1 = \{Q_8, T_{24}\}$ ,  $E_2 = \{\mathfrak{S}_5, L_2(7), \mathfrak{A}_6\}$ .*

- (1) *If  $[G] \notin E_1 \cup E_2$ , then the moduli of K3 surfaces with faithful and symplectic  $G$ -actions is connected.*
- (2) *If  $[G] \in E_1 \cup E_2$ , then the moduli of K3 surfaces with faithful and symplectic  $G$ -actions has exactly two connected components.*
- (3) *If  $X_i$  is a K3 surface with a faithful and symplectic  $G_i$ -action for  $i = 1, 2$  such that  $[G_i] \notin E_2$  and  $G_1 \setminus X_1, G_2 \setminus X_2$  have the same A-D-E-configuration of the singularities, then  $[G_1] = [G_2] =: G$  and  $X_1, X_2$  are  $G$ -deformable (see Section 0).*
- (4) *If  $X$  is a K3 surface with a faithful and symplectic action of  $G$  of type  $(\mathfrak{G}, q) \in \mathcal{Q}$ , i.e.,  $([G], q(H^2(X, \mathbb{Z})_G)) \sim (\mathfrak{G}, q)$ , then the action is extended to that of type  $\text{Clos}(\mathfrak{G}, q)$  (see Section 4 and Table 10.4).*

## 10 Tables

### 10.1 Niemeier lattices

We give the list of Niemeier lattices  $N$  (see Subsection 3.1). Let  $\Delta^+$  be a set of positive roots of  $N$ . We denote by  $O(N, \Delta^+)_1$  the group which consists of  $g \in O(N, \Delta^+)$  preserving each connected component of the Dynkin diagram  $R(N, \Delta^+)$ . We set  $O(N, \Delta^+)_2 = O(N, \Delta^+)/O(N, \Delta^+)_1$ . The group  $O(N, \Delta^+)_2$  acts on the set of connected components of  $R(N, \Delta^+)$ .

$i$	root type	$ \mathrm{O}(N_i, \Delta_i^+)_1 $	$\mathrm{O}(N_i, \Delta_i^+)_2$	$ \mathrm{O}(N_i, \Delta_i^+) $
1	$D_{24}$	1	1	1
2	$D_{16} \oplus E_8$	1	1	1
3	$E_8^{\oplus 3}$	1	$\mathfrak{S}_3$	6
4	$A_{24}$	2	1	2
5	$D_{12}^{\oplus 2}$	1	$\mathfrak{S}_2$	2
6	$A_{17} \oplus E_7$	2	1	2
7	$D_{10} \oplus E_7^{\oplus 2}$	1	$\mathfrak{S}_2$	2
8	$A_{15} \oplus D_9$	2	1	2
9	$D_8^{\oplus 3}$	1	$\mathfrak{S}_3$	6
10	$A_{12}^{\oplus 2}$	2	$\mathfrak{S}_2$	4
11	$A_{11} \oplus D_7 \oplus E_6$	2	1	2
12	$E_6^{\oplus 4}$	2	$\mathfrak{S}_4$	48
13	$A_9^{\oplus 2} \oplus D_6$	2	$\mathfrak{S}_2$	4
14	$D_6^{\oplus 4}$	1	$\mathfrak{S}_4$	24
15	$A_8^{\oplus 3}$	2	$\mathfrak{S}_3$	12
16	$A_7^{\oplus 2} \oplus D_5^{\oplus 2}$	2	$\mathfrak{S}_2 \times \mathfrak{S}_2$	8
17	$A_6^{\oplus 4}$	2	$\mathfrak{A}_4$	24
18	$A_5^{\oplus 4} \oplus D_4$	2	$\mathfrak{S}_4$	48
19	$D_4^{\oplus 6}$	3	$\mathfrak{S}_6$	2160
20	$A_4^{\oplus 6}$	2	$\mathfrak{S}_5$	240
21	$A_3^{\oplus 8}$	2	$\mathbb{F}_2^3 \rtimes \mathrm{GL}(3, \mathbb{F}_2)$	2688
22	$A_2^{\oplus 12}$	2	$M_{12}$	190080
23	$A_1^{\oplus 24}$	1	$M_{24}$	244823040

## 10.2 Abstract groups and discriminant forms

We give the list of a complete representative  $\{(Q_n, q_n)\}$  of  $\mathcal{Q}/\sim$ . Recall that

$$\begin{aligned} \mathcal{Q} &= \{(\mathfrak{G}, q) \mid \exists G \in \mathcal{L} \text{ such that } \mathfrak{G} = [G], q \cong q(\Lambda_G)\} \\ &= \{(\mathfrak{G}, q) \mid \exists (G, N) \in \mathcal{N} \text{ such that } \mathfrak{G} = [G], q \cong q(N_G)\} \end{aligned}$$

and  $(\mathfrak{G}, q) \sim (\mathfrak{G}', q')$  if and only if  $\mathfrak{G} = \mathfrak{G}'$ ,  $q \cong q'$  (see Subsection 3.4). For  $q : A(q) \rightarrow \mathbb{Q}/2\mathbb{Z}$ , we denote the order of  $A(q)$  by  $|q|$ . We use the following notation (cf. [5]):

$$\begin{aligned} a^{+n} &= q_+^{(p)}(a)^{\oplus n}, \quad a^{-n} = q_+^{(p)}(a)^{\oplus n-1} \oplus q_-^{(p)}(a), \\ b_{\mathrm{II}}^{+n} &= u^{(2)}(b)^{\oplus n}, \quad b_{\mathrm{II}}^{-n} = u^{(2)}(b)^{\oplus n-1} \oplus v^{(2)}(b), \quad b_t^{dr} = q(L_{r,d,t,\mathrm{I}}^{(2)}(b)), \end{aligned}$$

where  $p$  is an odd prime,  $a = p^k$ ,  $b = 2^k$  and  $L_{r,d,t,e}^{(2)}$  is a (unique) unimodular lattice over  $\mathbb{Z}_2$  which has the invariants  $r, d, t, e$  defined in Proposition 1.6 (see

Section 1). For example,

$$A(q_{63}) \cong (\mathbb{Z}/2)^{\oplus 3} \oplus \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/9\mathbb{Z},$$
$$q_{63} \cong \langle -1/2 \rangle \oplus \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix} \oplus \langle 2/3 \rangle \oplus \langle 2/9 \rangle.$$

In the list, e.g.  $q_5$  is isomorphic to  $q_{16}$ .

$n$	$ \mathfrak{G}_n $	$\mathfrak{G}_n$	$ q_n $	$q_n$	$c(\mathfrak{G}_n)$
1	2	$C_2$	256	$2_{\text{II}}^{+8}$	8
2	3	$C_3$	729	$3^{+6}$	12
3	4	$C_2^2$	1024	$2_{\text{II}}^{-6}, 4_{\text{II}}^{-2}$	12
4	4	$C_4$	1024	$2_2^{+2}, 4_{\text{II}}^{+4}$	14
5	5	$C_5$	625	$\sharp 16$	16
6	6	$D_6$	972	$2_{\text{II}}^{-2}, 3^{+5}$	14
7	6	$C_6$	1296	$\sharp 18$	16
8	7	$C_7$	343	$\sharp 33$	18
9	8	$C_2^3$	1024	$2_{\text{II}}^{+6}, 4_2^{+2}$	14
10	8	$D_8$	1024	$4_1^{+5}$	15
11	8	$C_2 \times C_4$	1024	$\sharp 22$	16
12	8	$Q_8$	512	$2_7^{-3}, 8_{\text{II}}^{-2}$	17
13	8	$Q_8$	1024	$\sharp 40$	17
14	8	$C_8$	512	$\sharp 26$	18
15	9	$C_3^2$	729	$\sharp 30$	16
16	10	$D_{10}$	625	$5^{+4}$	16
17	12	$\mathfrak{A}_4$	576	$2_{\text{II}}^{-2}, 4_{\text{II}}^{-2}, 3^{+2}$	16
18	12	$D_{12}$	1296	$2_{\text{II}}^{+4}, 3^{+4}$	16
19	12	$C_2 \times C_6$	1728	$\sharp 61$	18
20	12	$Q_{12}$	432	$\sharp 61$	18
21	16	$C_2^4$	512	$2_{\text{II}}^{+6}, 8_1^{+1}$	15
22	16	$C_2 \times D_8$	1024	$2_{\text{II}}^{+2}, 4_0^{+4}$	16
23	16	$\Gamma_2 c_1$	512	$\sharp 39$	17
24	16	$Q_8 * C_4$	1024	$\sharp 40$	17
25	16	$C_4^2$	1024	$\sharp 75$	18
26	16	$SD_{16}$	512	$2_7^{+1}, 4_7^{+1}, 8_{\text{II}}^{+2}$	18
27	16	$C_2 \times Q_8$	256	$\sharp 75$	18
28	16	$\Gamma_2 d$	256	$\sharp 80$	19
29	16	$Q_{16}$	256	$\sharp 80$	19
30	18	$\mathfrak{A}_{3,3}$	729	$3^{+4}, 9^{-1}$	16
31	18	$C_3 \times D_6$	972	$\sharp 48$	18
32	20	$\text{Hol}(C_5)$	500	$2_6^{-2}, 5^{+3}$	18
33	21	$C_7 \times C_3$	343	$7^{+3}$	18
34	24	$\mathfrak{S}_4$	576	$4_3^{+3}, 3^{+2}$	17
35	24	$C_2 \times \mathfrak{A}_4$	576	$\sharp 51$	18
36	24	$C_3 \times D_8$	432	$\sharp 61$	18
37	24	$T_{24}$	192	$\sharp 77$	19
38	24	$T_{24}$	384	$\sharp 54$	19
39	32	$2^4 C_2$	512	$2_{\text{II}}^{+2}, 4_0^{+2}, 8_7^{+1}$	17
40	32	$Q_8 * Q_8$	1024	$4_7^{+5}$	17

41	32	$\Gamma_7 a_1$	512	#56	18
42	32	$\Gamma_4 c_2$	256	#75	18
43	32	$\Gamma_7 a_2$	256	#80	19
44	32	$\Gamma_3 e$	256	#80	19
45	32	$\Gamma_6 a_2$	256	#80	19
46	36	$3^2 C_4$	324	$2_6^{-2}, 3^{+2}, 9^{-1}$	18
47	36	$C_3 \times \mathfrak{A}_4$	432	#61	18
48	36	$\mathfrak{S}_{3,3}$	972	$2_{\text{II}}^{-2}, 3^{+3}, 9^{-1}$	18
49	48	$2^4 C_3$	384	$2_{\text{II}}^{-4}, 8_1^{+1}, 3^{-1}$	17
50	48	$4^2 C_3$	256	#75	18
51	48	$C_2 \times \mathfrak{S}_4$	576	$2_{\text{II}}^{+2}, 4_2^{+2}, 3^{+2}$	18
52	48	$2^2(C_2 \times C_6)$	288	#78	19
53	48	$2^2 Q_{12}$	288	#78	19
54	48	$T_{48}$	384	$2_7^{+1}, 8_{\text{II}}^{-2}, 3^{-1}$	19
55	60	$\mathfrak{A}_5$	300	$2_{\text{II}}^{-2}, 3^{+1}, 5^{-2}$	18
56	64	$\Gamma_{25} a_1$	512	$4_5^{+3}, 8_1^{+1}$	18
57	64	$\Gamma_{13} a_1$	256	#75	18
58	64	$\Gamma_{22} a_1$	256	#80	19
59	64	$\Gamma_{23} a_2$	256	#80	19
60	64	$\Gamma_{26} a_2$	256	#80	19
61	72	$\mathfrak{A}_{4,3}$	432	$4_{\text{II}}^{-2}, 3^{-3}$	18
62	72	$N_{72}$	324	$4_1^{+1}, 3^{+2}, 9^{-1}$	19
63	72	$M_9$	216	$2_7^{-3}, 3^{-1}, 9^{-1}$	19
64	80	$2^4 C_5$	160	#81	19
65	96	$2^4 D_6$	384	$2_{\text{II}}^{-2}, 4_7^{+1}, 8_1^{+1}, 3^{-1}$	18
66	96	$2^4 C_6$	384	#76	19
67	96	$4^2 D_6$	256	#80	19
68	96	$2^3 D_{12}$	288	#78	19
69	96	$(Q_8 * Q_8) \rtimes C_3$	192	#77	19
70	120	$\mathfrak{S}_5$	300	$4_3^{-1}, 3^{+1}, 5^{-2}$	19
71	128	$F_{128}$	256	#80	19
72	144	$\mathfrak{A}_4^2$	288	#78	19
73	160	$2^4 D_{10}$	160	#81	19
74	168	$L_2(7)$	196	$4_1^{+1}, 7^{+2}$	19
75	192	$4^2 \mathfrak{A}_4$	256	$2_{\text{II}}^{-2}, 8_6^{-2}$	18
76	192	$H_{192}$	384	$4_4^{-2}, 8_7^{+1}, 3^{-1}$	19
77	192	$T_{192}$	192	$4_7^{-3}, 3^{+1}$	19
78	288	$\mathfrak{A}_{4,4}$	288	$2_{\text{II}}^{+2}, 8_1^{+1}, 3^{+2}$	19
79	360	$\mathfrak{A}_6$	180	$4_5^{-1}, 3^{+2}, 5^{+1}$	19
80	384	$F_{384}$	256	$4_7^{+1}, 8_6^{+2}$	19
81	960	$M_{20}$	160	$2_{\text{II}}^{-2}, 8_1^{+1}, 5^{-1}$	19

### 10.3 Invariant lattices $\Lambda^G$

For  $G \in \mathcal{L}$ , there exists a number  $n$  such that  $([G], q(\Lambda_G)) \sim (\mathfrak{G}_n, q_n)$  (see Table 10.2). Here we give the invariant lattices  $\Lambda^G$  for each  $n$ . We set

$$r = \text{rank } \Lambda^G = 22 - c(G), \quad d = \text{disc } \Lambda^G, \quad q = -q_n \cong q(\Lambda^G).$$

In the table, we set

$$U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad A_2 = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}, \quad D_4 = \begin{pmatrix} 2 & 0 & 0 & -1 \\ 0 & 2 & 0 & -1 \\ 0 & 0 & 2 & -1 \\ -1 & -1 & -1 & 2 \end{pmatrix}$$

and  $E_8$  denotes the root lattice of type  $E_8$ , as usual. For abelian  $G \in \mathcal{L}$ , the Gramian matrices of  $\Lambda^G$  were determined in [8].

$n$	$r$	$d$	$q$	Gramian matrix
1	14	-256	$2_{\text{II}}^{+8}$	$U^{\oplus 3} \oplus E_8(-2)$
2	10	-729	$3^{+6}$	$U \oplus U(3)^{\oplus 2} \oplus A_2(-1)^{\oplus 2}$
3	10	-1024	$2_{\text{II}}^{-6}, 4_{\text{II}}^{-2}$	$U \oplus U(2)^{\oplus 2} \oplus D_4(-2)$
4	8	-1024	$2_6^{+2}, 4_{\text{II}}^{+4}$	$U \oplus U(4)^{\oplus 2} \oplus \langle -2 \rangle^{\oplus 2}$
6	8	-972	$2_{\text{II}}^{-2}, 3^{-5}$	$U(3) \oplus A_2(2) \oplus A_2(-1)^{\oplus 2}$
9	8	-1024	$2_{\text{II}}^{+6}, 4_6^{+2}$	$U(2)^{\oplus 3} \oplus \langle -4 \rangle^{\oplus 2}$
10	7	1024	$4_7^{+5}$	$U \oplus \langle 4 \rangle^{\oplus 2} \oplus \langle -4 \rangle^{\oplus 3}$
12	5	512	$2_1^{-3}, 8_{\text{II}}^{-2}$	$\begin{pmatrix} 6 & 2 & 2 \\ 2 & 6 & -2 \\ 2 & -2 & 6 \end{pmatrix} \oplus \langle -2 \rangle^{\oplus 2}$
16	6	-625	$5^{+4}$	$U \oplus U(5)^{\oplus 2}$
17	6	-576	$2_{\text{II}}^{-2}, 4_{\text{II}}^{-2}, 3^{+2}$	$U \oplus A_2(2) \oplus A_2(-4)$
18	6	-1296	$2_{\text{II}}^{+4}, 3^{+4}$	$U \oplus U(6)^{\oplus 2}$
21	7	512	$2_{\text{II}}^{+6}, 8_7^{+1}$	$U(2)^{\oplus 3} \oplus \langle -8 \rangle$
22	6	-1024	$2_{\text{II}}^{+2}, 4_0^{+4}$	$U(2) \oplus \langle 4 \rangle^{\oplus 2} \oplus \langle -4 \rangle^{\oplus 2}$
26	4	-512	$2_1^{+1}, 4_1^{+1}, 8_{\text{II}}^{+2}$	$U(8) \oplus \langle 2 \rangle \oplus \langle 4 \rangle$
30	6	-729	$3^{+4}, 9^{+1}$	$U(3)^{\oplus 2} \oplus \begin{pmatrix} 2 & 3 \\ 3 & 0 \end{pmatrix}$
32	4	-500	$2_2^{-2}, 5^{+3}$	$U(5) \oplus \begin{pmatrix} 4 & 2 \\ 2 & 6 \end{pmatrix}$
33	4	-343	$7^{-3}$	$U(7) \oplus \begin{pmatrix} 2 & 1 \\ 1 & 4 \end{pmatrix}$
34	5	576	$4_5^{+3}, 3^{+2}$	$U \oplus A_2(2) \oplus \langle -12 \rangle$
39	5	512	$2_{\text{II}}^{+2}, 4_0^{+2}, 8_1^{+1}$	$U(2) \oplus \langle 4 \rangle \oplus \langle -4 \rangle \oplus \langle 8 \rangle$
40	5	1024	$4_1^{+5}$	$\langle 4 \rangle^{\oplus 3} \oplus \langle -4 \rangle^{\oplus 2}$

46	4	-324	$2_2^{-2}, 3^{+2}, 9^{+1}$	$A_2 \oplus \langle 6 \rangle \oplus \langle -18 \rangle$
48	4	-972	$2_{\text{II}}^{-2}, 3^{-3}, 9^{+1}$	$U(3) \oplus A_2(6)$
49	5	384	$2_{\text{II}}^{-4}, 8_7^{+1}, 3^{+1}$	$U(2) \oplus A_2(2) \oplus \langle -8 \rangle$
51	4	-576	$2_{\text{II}}^{+2}, 4_6^{+2}, 3^{+2}$	$U(2) \oplus \langle 12 \rangle^{\oplus 2}$
54	3	384	$2_1^{+1}, 8_{\text{II}}^{-2}, 3^{+1}$	$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 16 & 8 \\ 0 & 8 & 16 \end{pmatrix}$
55	4	-300	$2_{\text{II}}^{-2}, 3^{-1}, 5^{-2}$	$U \oplus A_2(10)$
56	4	-512	$4_3^{+3}, 8_7^{+1}$	$\langle 4 \rangle^{\oplus 3} \oplus \langle -8 \rangle$
61	4	-432	$4_{\text{II}}^{-2}, 3^{+3}$	$U(3) \oplus A_2(4)$
62	3	324	$4_7^{+1}, 3^{+2}, 9^{+1}$	$\begin{pmatrix} 6 & 3 & 3 \\ 3 & 6 & 3 \\ 3 & 3 & 12 \end{pmatrix}$
63	3	216	$2_1^{-3}, 3^{+1}, 9^{+1}$	$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 12 & 6 \\ 0 & 6 & 12 \end{pmatrix}$
65	4	-384	$2_{\text{II}}^{-2}, 4_1^{+1}, 8_7^{+1}, 3^{+1}$	$A_2(2) \oplus \langle 4 \rangle \oplus \langle -8 \rangle$
70	3	300	$4_5^{-1}, 3^{-1}, 5^{-2}$	$\begin{pmatrix} 4 & 1 & 0 \\ 1 & 4 & 0 \\ 0 & 0 & 20 \end{pmatrix}, \begin{pmatrix} 4 & 2 & 2 \\ 2 & 6 & 1 \\ 2 & 1 & 16 \end{pmatrix}$
74	3	196	$4_7^{+1}, 7^{+2}$	$\begin{pmatrix} 2 & 1 & 0 \\ 1 & 4 & 0 \\ 0 & 0 & 28 \end{pmatrix}, \begin{pmatrix} 4 & 2 & 2 \\ 2 & 8 & 1 \\ 2 & 1 & 8 \end{pmatrix}$
75	4	-256	$2_{\text{II}}^{-2}, 8_2^{-2}$	$\begin{pmatrix} 4 & 0 & 2 & 0 \\ 0 & 4 & 2 & 0 \\ 2 & 2 & 4 & 4 \\ 0 & 0 & 4 & 0 \end{pmatrix}$
76	3	384	$4_4^{-2}, 8_1^{+1}, 3^{+1}$	$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 8 & 0 \\ 0 & 0 & 12 \end{pmatrix}$
77	3	192	$4_1^{-3}, 3^{-1}$	$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 8 & 4 \\ 0 & 4 & 8 \end{pmatrix}$
78	3	288	$2_{\text{II}}^{+2}, 8_7^{+1}, 3^{+2}$	$\begin{pmatrix} 8 & 4 & 4 \\ 4 & 8 & 2 \\ 4 & 2 & 8 \end{pmatrix}$
79	3	180	$4_3^{-1}, 3^{+2}, 5^{+1}$	$\begin{pmatrix} 2 & 1 & 0 \\ 1 & 8 & 0 \\ 0 & 0 & 12 \end{pmatrix}, \begin{pmatrix} 6 & 0 & 3 \\ 0 & 6 & 3 \\ 3 & 3 & 8 \end{pmatrix}$
80	3	256	$4_1^{+1}, 8_2^{+2}$	$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 8 & 0 \\ 0 & 0 & 8 \end{pmatrix}$
81	3	160	$2_{\text{II}}^{-2}, 8_7^{+1}, 5^{-1}$	$\begin{pmatrix} 4 & 0 & 2 \\ 0 & 4 & 2 \\ 2 & 2 & 12 \end{pmatrix}$

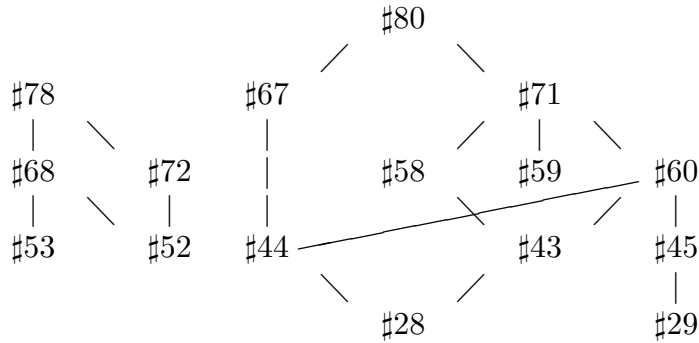
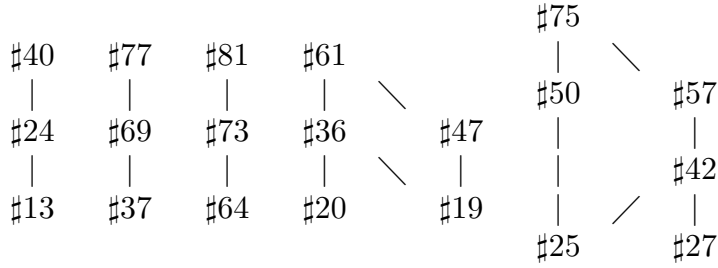
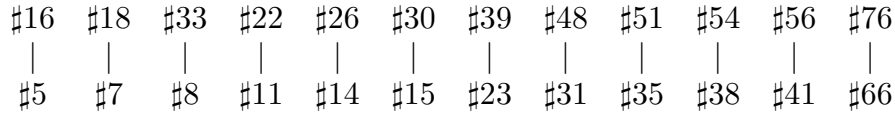
#### 10.4 Trees of groups with common invariant lattices

We give the trees of

$$T_S = \{\mathfrak{G}_n \mid S(\mathfrak{G}_n, q_n) \cong S\} = \{\mathfrak{G}_n \mid q_n \cong q(S)\}$$

for  $T_S$  with  $\sharp T_S \geq 2$ . In the table,  $\sharp n$  denotes  $\mathfrak{G}_n$ . The maximal element in each  $T_S$  corresponds to an element in  $\mathcal{Q}_{\text{clos}}$  defined by (4.7).





**10.5 Extensions of  $G \in \mathcal{L}$**

We give the list of possible extensions of  $G \in \mathcal{L}_{\text{clos}}$ . For example, let  $G \in \mathcal{L}$  of type  $(\mathfrak{G}_{55}, q_{55})$ , i.e.,  $([G], q(\Lambda_G)) \sim (\mathfrak{G}_{55}, q_{55})$ . Then, for  $i = 1, 2$ , there exists an element  $G' \in \mathcal{L}_{\text{clos}}$  of type  $(\mathfrak{G}_{79}, q_{79})$  such that  $G \subset G'$  and  $G'$  is conjugate to  $G_i$  in Theorem 8.11(2). We omit the eleven maximal cases:  $n = 54, 62, 63, 70, 74, 76, 77, 78, 79, 80, 81$ .

$n$	extensions
1	3, 4, 6, 9, 10, 12, 16, 17, 18, 21, 22, 26, 30, 32, 34, 39, 40, 46, 48, 49, 51, 54, 55, 56, 61, 62, 63, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
2	6, 17, 18, 30, 33, 34, 46, 48, 49, 51, 54, 55, 61, 62, 63, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
3	9, 10, 17, 18, 21, 22, 26, 34, 39, 40, 48, 49, 51, 54, 55, 56, 61, 62, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
4	10, 12, 22, 26, 32, 34, 39, 40, 46, 51, 54, 56, 61, 62, 63, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
6	18, 30, 34, 46, 48, 51, 54, 55, 61, 62, 63, 65, 70, 74, 76, 77, 78, 79, 80, 81
9	21, 22, 39, 40, 49, 51, 56, 65, 75, 76, 77, 78, 80, 81
10	22, 26, 34, 39, 40, 51, 54, 56, 61, 62, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
12	26, 54, 63, 75, 80, 81
16	32, 55, 70, 79, 81
17	34, 49, 51, 55, 61, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
18	48, 51, 54, 61, 62, 70, 76, 77, 78
21	39, 49, 56, 65, 75, 76, 77, 78, 80, 81
22	39, 40, 51, 56, 65, 75, 76, 77, 78, 80, 81
26	54, 80
30	46, 48, 61, 62, 63, 78, 79
32	70
33	74
34	51, 61, 65, 70, 74, 76, 77, 78, 79, 80, 81
39	56, 65, 75, 76, 77, 78, 80, 81
40	56, 76, 77, 80
46	62, 63, 79
48	62
49	65, 75, 76, 78, 80, 81
51	76, 77, 78
55	70, 79, 81
56	76, 77, 80
61	78
65	76, 78, 80, 81
75	80, 81

### 10.6 Root types of $N^G$

We give the type of the root sublattice of  $N^G$ , which is generated by vectors  $v \in N^G$  with  $\langle v, v \rangle = -2$ , for each  $(G, N) \in \mathcal{N}$  such that  $[G] = \mathfrak{G}_n$  and  $q(N_G) \cong q_n$  (see Table 10.2). In the list, elements in  $\mathcal{N}'$  are enclosed by boxes (see Proposition 3.9) and the number of vectors  $v \in N^G$  with  $\langle v, v \rangle = -4$  or

10.6 Root types of  $N^G$

–6 are given for the cases  $n = 32, 41, 56, 63$ . As for Niemeier lattices  $N = N_i$ , see Table 10.1.

$n = 1$

$i$	3	6	7	8	9
type	$E_8$	$A_1^{\oplus 9} \oplus E_7$	$D_9$	$A_1^{\oplus 8} \oplus D_8$	$D_8$
$i$	11	12	12	13	14
type	$A_1^{\oplus 6} \oplus D_4 \oplus D_6$	$D_4^{\oplus 4}$	$D_4 \oplus E_6$	$A_1^{\oplus 10} \oplus D_6$	$D_5^{\oplus 2}$
$i$	15	16	16	16	18
type	$A_8$	$A_1^{\oplus 8} \oplus D_4^{\oplus 2}$	$A_1^{\oplus 4} \oplus A_7$	$D_4 \oplus D_5$	$A_1^{\oplus 12} \oplus D_4$
$i$	18	19	19	20	21
type	$A_1^{\oplus 3} \oplus A_3 \oplus A_5$	$A_3^{\oplus 4}$	$D_4^{\oplus 2}$	$A_4^{\oplus 2}$	$A_1^{\oplus 16}$
$i$	21	22	23		
type	$A_1^{\oplus 4} \oplus A_3^{\oplus 2}$	$A_2^{\oplus 4}$	$A_1^{\oplus 8}$		

$n = 2$

$i$	12	14	17	18	19	19	21	22	23
type	$E_6$	$D_6$	$A_6$	$A_2 \oplus A_5$	$A_2^{\oplus 6}$	$D_4 \oplus A_2^{\oplus 2}$	$A_3^{\oplus 2}$	$A_2^{\oplus 3}$	$A_1^{\oplus 6}$

$n = 3$

$i$	12	16	16	18	19	19	21
type	$D_4^{\oplus 2}$	$A_1^{\oplus 8}$	$D_4^{\oplus 2}$	$A_1^{\oplus 6} \oplus A_3$	$A_3^{\oplus 2}$	$D_4^{\oplus 2}$	$A_1^{\oplus 4}$
$i$	21	21	21	22	23	23	
type	$A_1^{\oplus 8}$	$A_3 \oplus A_1^{\oplus 6}$	$A_3^{\oplus 2}$	$A_2^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$	

$n = 4$

$i$	13	18	19	20	21	22	23
type	$D_5$	$D_4$	$A_3^{\oplus 2}$	$A_1^{\oplus 2} \oplus A_4$	$A_1^{\oplus 2} \oplus A_3$	$A_1^{\oplus 2} \oplus A_2^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 5, 16$

$i$	19	20	22	23
type	$D_4$	$A_4$	$A_2^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 6$

$i$	12	12	14	18	18	19
type	$D_4$	$E_6$	$D_5$	$A_1^{\oplus 3} \oplus A_2$	$A_2 \oplus A_5$	$A_2^{\oplus 4}$
$i$	19	19	21	22	22	23
type	$A_2^{\oplus 2} \oplus A_3$	$D_4$	$A_1^{\oplus 2} \oplus A_3$	$A_2$	$A_2^{\oplus 3}$	$A_1^{\oplus 4}$

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$n = 7, 18$

$i$	12	18	19	19	21	22	23
type	$D_4$	$A_1^{\oplus 3} \oplus A_2$	$A_2^{\oplus 2}$	$A_3$	$A_1^{\oplus 4}$	$A_2$	$A_1^{\oplus 2}$

$n = 8, 33$

$i$	21	23
type	$A_3$	$A_1^{\oplus 3}$

$n = 9$

$i$	21	21	23	23	23
type	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$

$n = 10$

$i$	18	19	21	21	22	23	23
type	$A_3$	$A_3^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 2} \oplus A_3$	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 11, 22$

$i$	21	23	23
type	$A_1^{\oplus 4}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 12$

$i$	18	22	23
type	$D_4$	$A_1^{\oplus 3} \oplus A_2$	$A_1^{\oplus 4}$

$n = 13, 24, 28, 29, 37, 40, 43, 44, 45, 59, 60, 67, 69, 71, 77, 80$

$i$	23
type	$A_1^{\oplus 2}$

$n = 14, 26$

$i$	18	22	23
type	$A_3$	$A_1 \oplus A_2$	$A_1^{\oplus 2}$

$n = 15, 30$

$i$	19	22	23
type	$A_2^{\oplus 3}$	$A_2^{\oplus 3}$	$A_1^{\oplus 3}$

$n = 17$

$i$	19	19	21	21	22	23	23	23
type	$A_2^{\oplus 2}$	$A_2 \oplus D_4$	$A_3$	$A_3^{\oplus 2}$	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 5}$

$n = 19, 20, 36, 47, 61$

$i$	19	23
type	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$

10.6 Root types of  $N^G$

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$n = 21$

$i$	23	23
type	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$

$n = 23, 39$

$i$	23	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 4}$

$n = 25, 27, 42, 50, 57, 75$

$i$	23
type	$A_1^{\oplus 4}$

$n = 31$

$i$	19	19	22	23
type	$A_2$	$A_2$	$A_2$	$A_1$

$n = 32$

$i$	19	20	20	22	23
type	$A_3$	$A_1^{\oplus 2}$	$A_4$	$A_1 \oplus A_2$	$A_1^{\oplus 2}$
$\#\{v \in N^G \mid \langle v, v \rangle = -4\}$	14				22

$n = 34$

$i$	19	19	21	21	21
type	$A_2^{\oplus 2}$	$A_2 \oplus A_3$	$A_1^{\oplus 2}$	$A_1^{\oplus 2} \oplus A_3$	$A_3$
$i$	22	23	23	23	23
type	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 35, 51$

$i$	21	21	23	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1$	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$

$n = 38, 54$

$i$	18	22	23
type	$A_2$	$A_2$	$A_1$

$n = 41$

$i$	23	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$
$\#\{v \in N^G \mid \langle v, v \rangle = -4\}$	26	26	42

$n = 46$

$i$	22	22	23
type	$A_1^{\oplus 2} \oplus A_2$	$A_1 \oplus A_2^{\oplus 2}$	$A_1^{\oplus 3}$

$n = 48$

$i$	19	22	23
type	$A_2$	$A_2$	$A_1$

10.6 Root types of  $N^G$

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$n = 49$

$i$	23	23	23
type	$A_1$	$A_1^{\oplus 4}$	$A_1^{\oplus 5}$

$n = 52, 53, 68, 72, 78$

$i$	23	23
type	$A_1$	$A_1^{\oplus 2}$

$n = 55$

$i$	19	22	22	23	23
type	$D_4$	$A_2$	$A_2^{\oplus 2}$	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 56$

$i$	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$
$\#\{v \in N^G \mid \langle v, v \rangle = -4\}$	26	42

$n = 58$

$i$	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$

$n = 62$

$i$	22	23
type	$A_2$	$A_1$

$n = 63$

$i$	22	22	23
type	$A_1^{\oplus 3}$	$A_1^{\oplus 2} \oplus A_2$	$A_1^{\oplus 3}$
$\#\{v \in N^G \mid \langle v, v \rangle = -6\}$	14		26

$n = 64, 73, 81$

$i$	23	23
type	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 65$

$i$	23	23	23	23
type	$A_1$	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 66, 76$

$i$	23	23	23
type	$A_1$	$A_1$	$A_1^{\oplus 2}$

$n = 70$

$i$	19	22	23	23
type	$A_3$	$A_2$	$A_1$	$A_1^{\oplus 2}$

$n = 74$

$i$	21	23	23
type	$A_3$	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$

$n = 79$ 

$i$	22	23	23
type	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$

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