

# COMBINATORIAL METHODS FOR DETECTING SURFACE SUBGROUPS IN RIGHT-ANGLED ARTIN GROUPS

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**ABSTRACT.** We give a short proof of the following theorem of Sang-hyun Kim: if  $A(\Gamma)$  is a right-angled Artin group with defining graph  $\Gamma$ , then  $A(\Gamma)$  contains a hyperbolic surface subgroup if  $\Gamma$  contains an induced subgraph  $\overline{C}_n$  for some  $n \geq 5$ , where  $\overline{C}_n$  denotes the complement graph of an  $n$ -cycle. Furthermore, we give a new proof of Kim's co-contraction theorem.

## 1. INTRODUCTION AND DEFINITIONS

Suppose  $\Gamma$  is a simple finite graph with vertex set  $V_\Gamma$  and edge set  $E_\Gamma$ . We say that  $\Gamma$  is the defining graph of the right-angled Artin group defined by the presentation

$$A(\Gamma) = \langle V_\Gamma ; [v, w] := v w v^{-1} w^{-1} = 1 \ \forall \{v, w\} \in E_\Gamma \rangle.$$

Right-angled Artin groups are also called graph groups or partially commutative groups in the literature. All graphs in this article are assumed to be simple and finite.

Right-angled Artin groups have been studied using both combinatorial and geometric methods. In particular, it is well-known that these groups have simple solutions to the word and conjugacy problems. Moreover, each right-angled Artin group can be geometrically represented as the fundamental group of a non-positively curved cubical complex  $X_\Gamma$  called the Salvetti complex. For these and other fundamental results, we refer the reader to the survey article by Charney [3].

Let  $\Gamma$  be a graph, and suppose that  $W \subset V_\Gamma$ . The induced subgraph  $\Gamma_W$  is the maximal subgraph of  $\Gamma$  on the vertex set  $W$ . A subgraph  $\Lambda \subset \Gamma$  is called an induced subgraph if  $\Lambda = \Gamma_{V_\Lambda}$ . In this case, the subgroup of  $A(\Gamma)$  generated by  $V_\Lambda$  is canonically isomorphic to  $A(\Lambda)$ . This follows from the fact that  $f : A(\Gamma) \rightarrow A(\Lambda)$  given by  $f(v) = v$  if  $v \in V_\Lambda$  and  $f(v) = 1$  if  $v \notin V_\Lambda$  defines a retraction. Therefore, we identify  $A(\Lambda)$  with its image in  $A(\Gamma)$ .

In this article, we study the following problem: find conditions on a graph  $\Gamma$  which imply or deny the existence of hyperbolic surface subgroup in  $A(\Gamma)$ . Herein, we say that a group is a hyperbolic surface group if it is the fundamental group of a closed orientable surface with negative Euler characteristic.

Droms, Servatius, and Servatius proved that if  $\Gamma$  contains an induced  $n$ -cycle, i.e. the underlying graph of a regular  $n$ -gon, for some  $n \geq 5$ , then  $A(\Gamma)$  has a hyperbolic surface subgroup [8]. In fact, they construct an isometrically embedded closed surface of genus  $1 + (n - 4)2^{n-3}$  in the cover of the Salvetti complex  $X_\Gamma$  corresponding to the commutator subgroup of  $A(\Gamma)$ .

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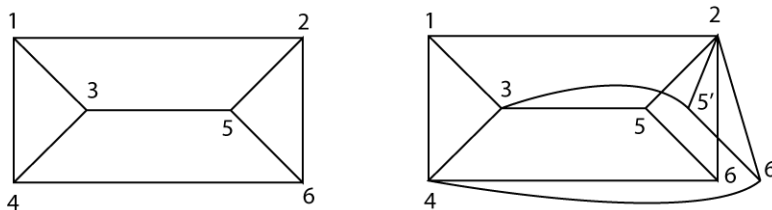


FIGURE 1. The group given by the graph  $P$  on the right injects into the group given by the graph  $P'$  on the left. The vertex labeled by  $i$  is referred to as  $v_i$  in the discussion below.

Kim and, independently, Crisp, Sageev, and Sapir gave the first examples of graphs without induced  $n$ -cycles,  $n \geq 5$ , which define a right-angled Artin groups which, nonetheless, contain hyperbolic surface subgroups [6, 4]. We give one such example here to illustrate the main lemma of this article.

Consider the graphs in Figure 1. The map  $\phi : A(P') \rightarrow A(P)$  sending  $v_1$  to  $v_1^2$ ,  $v_i$  to  $v_i$ , and  $v'_i$  to  $v_i$  for  $i > 1$  defines an injective homomorphism onto an index two subgroup of  $A(P)$  (see the discussion below). Since  $P'$  contains an induced circuit of length five (the induced subgraph on the vertices  $v_2, \dots, v_5$  and  $v'_6$ ),  $A(P)$  contains a hyperbolic surface subgroup; however  $P$  does not contain an  $n$ -cycle for any  $n \geq 5$ .

That the map  $\phi$  is injective can be seen from several perspectives. The approach of Kim and Crisp, Sageev, and Sapir is to use dissection diagrams; these are collections of simple closed curves which are dual to van Kampen diagrams on a surface over the presentation  $A(\Gamma)$ . The method was introduced in this context by Crisp and Wiest and used with much success by Kim and Crisp, Sageev, and Sapir [5, 6, 4].

The purpose of this article is show how classical methods from combinatorial group theory can offer a somewhat different perspective and to simplify some of the arguments. We will use the Reidemeister-Schreier rewriting process to give a direct proof that the map  $\phi$ , above, is injective; and we also indicate how this can be proven using normal forms for splittings of groups. This in turn will lead to a short proof of Kim's theorem on co-contractions (Theorem 4.2 in [6]) alluded to in the abstract; see Theorem 2 in this article.

**Lemma 1.** *Suppose  $A(\Gamma)$  is a graph group, and let  $n$  be a positive integer. Choose a vertex  $z \in V(\Gamma)$ , and define  $\phi : A(\Gamma) \rightarrow \langle x ; x^n = 1 \rangle \cong \mathbb{Z}/n\mathbb{Z}$  by  $\phi(v) = 1$  if  $v \neq z$  and  $\phi(z) = x$ . Then  $\ker \phi$  is a graph group whose defining graph  $\Gamma'$  is obtained by gluing  $n$  copies of  $\Gamma \setminus st(z)$  to  $st(z)$  along  $lk(z)$ , where  $st$  and  $lk$  are the star and link, respectively. Moreover, the vertices of  $\Gamma'$  naturally correspond to the following generating set:*

$$\{z^2\} \cup lk(z) \cup \{u : u \notin st(z)\} \cup \{zuz^{-1} : u \notin st(z)\} \cup \dots \cup \{z^{n-1}uz^{1-n} : u \notin st(z)\}.$$

The proof is a fairly straightforward computation (or geometric observation from the point of view of covering space theory) using the Reidemeister-Schreier method. The details are given in Section 2. Applying Lemma 1 to the graphs in Figure 1, proves that  $A(P')$  injects into  $A(P)$ : if  $\phi : A(P) \rightarrow \mathbb{Z}/2\mathbb{Z}$  maps  $z = v_1$  to 1 mod 2, then  $A(P') = \ker \phi$ .

Another way to prove Lemma 1 is to take advantage of “visual” splittings of the groups  $A(\Gamma)$  and  $A(\Gamma')$  as an HNN extension or amalgamated free product. This second approach is stated in the article by Crisp, Sageev, and Sapir (see Remark 4.1 in [4]).

We illustrate the utility of Lemma 1 by giving a short proof of the following theorem of Kim.

**Theorem 1** (Kim [6], Corollary 4.3 (2)). *Let  $\overline{C}_n$  denote the complement graph of an  $n$ -cycle. For each  $n \geq 5$ , the group  $A(\overline{C}_n)$  contains a hyperbolic surface subgroup.*

In fact, we give a new proof of Kim’s more general theorem (Theorem 4.2 in [6]) on co-contractions of right-angled Artin groups in Section 3. Kim’s proof used the method of dissection diagrams. Kim has also discovered a short proof using visual splittings (personal communication).

In preparing this article, we found that the Reidemeister-Schreier method has been used previously to study certain Bestvina-Brady subgroups of right-angled Artin groups (see [7] and [2]).

This work was inspired by a desire to better understand Crisp, Sageev, and Sapir’s very interesting classification of the graphs on fewer than nine vertices which define right-angled Artin groups with hyperbolic surface subgroups. We hope that our retelling of this small piece of theirs and Kim’s work will help to clarify some aspects of the general problem.

The author would like to thank several colleagues for advice and feedback: Jon Hall, Ian Leary, Ulrich Meierfrankenfeld, and especially Mike Davis and Tadeusz Januskiewicz. Furthermore, the author owes many of the ideas herein to Kim, Crisp, Sageev, and Sapir, as this article is essentially an account of some of his attempts to better understand their body of work on this problem.

## 2. THE REIDEMEISTER-SCHREIER METHOD AND PROOF OF LEMMA 1

The Reidemeister-Schreier method solves the following problem: suppose that  $G$  is a group given by the presentation  $\langle X ; R \rangle$ , and suppose  $H \subset G$  is a subgroup; find a presentation for  $H$ . The treatment below is brisk; see [1] for details and complete proofs.

Let  $F = F(X)$  be free with basis  $X$ , and let  $\pi : F \rightarrow G$  extend the identity map on  $X$ . Consider the preimage  $P = \pi^{-1}(H)$ . Let  $T \subset F$  be a right Schreier transversal for  $P$  in  $F$ , i.e.  $T$  is a complete set of right coset representatives that is closed under the operation of taking initial subwords (of freely reduced words over  $X$ ). Given  $w \in F$ , let  $[w]$  be the unique element of  $T$  such that  $Pw = P[w]$ . For each  $t \in T$  and  $x \in X$ , let  $s(t, x) = tx[tx]^{-1}$ . Define  $S = \{s(t, x) : t \in T, x \in X, \text{ and } s(t, x) \neq 1\}$ . Then  $S$  is a basis for the free group  $P$ . Define a rewriting process  $\tau : F \rightarrow P$  on freely reduced words over  $X$  by

$$\tau(y_1 y_2 \cdots y_n) = s(1, y_1) s([y_1], y_2) \cdots s([y_1 \cdots y_{n-1}], y_n),$$

where  $y \in X \cup X^{-1}$ . Then  $\tau(w) = w[w]^{-1}$  for every reduced word  $w \in F$ , and

$$H = \langle S ; \tau(t^{-1}rt) = 1 \forall t \in T, r \in R \rangle.$$

This rewriting process together with the resulting presentation for the given subgroup  $H$  of  $G = \langle X ; R \rangle$  is called the Reidemeister-Schreier Method.

*Proof of Lemma 1.* Let  $\Gamma$  be a graph,  $G = A(\Gamma)$  the corresponding right-angled Artin group, and  $z$  a distinguished vertex of  $\Gamma$ . Let  $\phi : G \rightarrow \langle x; x^n \rangle$  be given by  $\phi(v) = 1$  if  $v \neq z$  and  $\phi(z) = x$ .

Let  $F$  be free on  $X = V_\Gamma$  and let  $R$  be the set of defining relators corresponding to  $E_\Gamma$ . Let  $H = \ker \phi$ , and let  $P$  be the inverse image of  $H$  in  $F$  under the natural map  $F \rightarrow G$ . The set  $T = \{1, z, \dots, z^{n-1}\}$  is a right Schreier transversal for  $P < F$ . One verifies (directly) that the following equations hold:

$$\begin{aligned} s(z^k, v) &= z^k v z^{-k} && \text{if } v \neq z \text{ and } k = 0, \dots, n-1 \\ s(z^k, z) &= 1 && \text{if } k = 0, \dots, n-2 \\ s(z^k, z) &= z^n && \text{if } k = n-1. \end{aligned}$$

Thus, we have a set  $S$  of generators for  $\ker \phi$ ; however, many of these generators are redundant. Again, one verifies (using  $\tau(w) = w[w]^{-1}$ ) that the following equations hold:

$$\begin{aligned} \tau(z^k[u, v]z^{-k}) &= [(s(z^k, u), s(z^k, v))] && \text{if } u, v \neq z \text{ and } k = 0, \dots, n-1 \\ \tau(z^k[z, v]z^{-k}) &= s(z^{k+1}, v) \cdot s(z^k, v)^{-1} && \text{if } v \neq z \text{ and } k = 0, \dots, n-2 \\ \tau(z^k[z, v]z^{-k}) &= z^n \cdot s(1, v) \cdot z^{-n} \cdot s(z^{n-1}, v)^{-1} && \text{if } v \neq z \text{ and } k = n-1. \end{aligned}$$

Therefore, if  $[z, v] = 1$  is a relation in  $A(\Gamma)$ , then

$$v = s(1, v) = s(z, v) = \dots = s(z^{n-1}, v) \text{ and } [z^n, v] = 1$$

hold in  $\ker \phi$ . It follows that  $\ker \phi$  is generated by  $z^n$ , the vertices adjacent to  $z$  in  $\Gamma$ , and  $n$  copies  $(u, zuz^{-1}, \dots, z^{n-1}uz^{1-n})$  of each vertex  $u \neq z$  and not adjacent to  $z$  in  $\Gamma$ . Moreover, the relations are such that  $\ker \phi$  is presented as a right-angled Artin group where the defining graph is obtained from  $\Gamma$  by taking the star of  $z$  and  $n$  copies of the complement of the star of  $z$  and gluing these copies along the link of  $z$ . This completes the proof of Lemma 1.

### 3. A SHORT PROOF OF TWO THEOREMS OF KIM

Suppose that  $\Gamma$  is a graph. The complement graph  $\bar{\Gamma}$  is the graph having the same vertices as  $\Gamma$  but which has edges complementary to the edges of  $\Gamma$ . Recall that an  $n$ -cycle  $C_n$  is the underlying graph of a regular  $n$ -gon.

Theorem 1 follows from Kim's co-contraction theorem (see Theorem 2 below); however, we present a short independent proof here.

*Proof of Theorem 1.* Suppose that  $\Gamma$  is a graph which contains an induced  $C_5$ . Then  $A(\Gamma)$  contains a hyperbolic surface subgroup by [8]. Since  $C_5 \cong \bar{C}_5$ , Theorem 1 follows from the following Lemma 2.

**Lemma 2** (Kim [6], Corollary 4.3 (1)). *For each  $n \geq 4$ ,  $A(\bar{C}_{n-1}) < A(\bar{C}_n)$ .*

*Proof.* Let  $V_{C_n} = \{x_1, \dots, x_n\} = V_{\bar{C}_n}$ . Define  $\phi : A(\bar{C}_n) \rightarrow \langle a; a^2 \rangle$  by  $\phi(x_n) = a$  and  $\phi(x_i) = 1$  for  $i \neq n$ . By Lemma 1, the defining graph  $\Gamma$  of  $\ker \phi$  has vertex set  $V_\Gamma = \{z^2\} \cup \{x_1, \dots, x_{n-1}\} \cup \{y_1, y_{n-1}\}$ , where  $z = x_n$  and  $y_i = zx_i z^{-1}$ . Let  $S = \{y_1, y_2, \dots, y_{n-1}\}$ . Consider the induced subgraph  $\Gamma_S$ . The vertices  $y_1$  and  $x_i$  are not adjacent if and only if  $i \in \{2, n-1\}$ . The vertices  $x_i$  and  $x_j$  are not adjacent if and only if  $|i - j| \leq 1$ . Therefore,  $\Gamma_S \cong \bar{C}_{n-1}$ .  $\square$

Kim proved a more general theorem about subgroups of a right-angled Artin group  $A(\Gamma)$  defined by “co-contractions”. Let  $S \subset V_\Gamma$ , and let  $S' = V_\Gamma \setminus S$ . If  $\Gamma_S$  is connected, then the contraction  $CO(\Gamma, S)$  of  $\Gamma$  relative to  $S$  is defined by taking the induced subgraph  $\Gamma_{S'}$  together with a vertex  $v_S$  and declaring  $v_S$  to be adjacent to  $w \in S'$  if  $w$  is adjacent in  $\Gamma$  to some vertex in  $S$ . The co-contraction  $\overline{CO}(\Gamma, S)$  is defined as follows:

$$\overline{CO}(\Gamma, S) = \overline{CO(\overline{\Gamma}, S)}$$

Kim insists that  $\Gamma_S$  be connected whenever he considers the contraction  $CO(\Gamma, S)$ . This assumption is not necessary. Moreover, the following lemma shows that the structure of  $\Gamma_S$  is immaterial; the proof follows directly from the definitions.

**Lemma 3.** *Suppose  $\Gamma$  is a graph and  $S \subset V_\Gamma$ . Let  $\Gamma'$  be the graph obtained from  $\Gamma$  by removing any edges joining two elements of  $S$ . Then  $CO(\Gamma, S) = CO(\Gamma', S)$ .*

**Corollary 1.** *Suppose  $\Gamma$  is a graph and  $S \subset V_\Gamma$ . Let  $\Gamma'$  be a graph obtained from  $\Gamma$  by adding or deleting any collection of edges with both of their vertices belonging to  $S$ . Then  $CO(\Gamma, S) = CO(\Gamma', S)$  and  $\overline{CO}(\Gamma, S) = \overline{CO}(\Gamma', S)$ .*

**Lemma 4.** *Suppose  $\Gamma$  is a graph and  $n \geq 2$ . Suppose  $S = \{s_1, \dots, s_n\} \subset V_\Gamma$ . Let  $\Lambda = \overline{CO}(\Gamma, \{s_1, \dots, s_{n-1}\})$  and  $S' = S \setminus \{s_n\}$ . Then  $\overline{CO}(\Gamma, S) = \overline{CO}(\Lambda, \{v_{S'}, s_n\})$ .*

*Proof.* It suffices to compare the collection of vertices which are adjacent to  $v_S$  in  $\Gamma_1 = \overline{CO}(\Gamma, S)$  and  $\Gamma_2 = \overline{CO}(\Lambda, \{v_{S'}, s_n\})$ ; in the latter case, we are identifying the vertex  $v_{S' \cup \{s_n\}}$  with  $v_S$ .

A vertex  $w$  in  $\Gamma_1$  not belonging to  $S$  is adjacent to  $v_S$  if and only if  $w$  is adjacent to every  $s_i$  in  $\Gamma$ . A vertex  $w$  in  $\Gamma_2$  not equal to  $v_{S'}$  nor  $s_n$  is adjacent to  $v_S$  if and only if  $w$  is adjacent to  $v_{S'}$  and  $s_n$  in  $\Lambda$ ; but this, in turn, means that  $w$  is adjacent to every  $s_i$  in  $\Gamma$ . (Note that the case of  $n = 2$  is trivial since  $CO(\Gamma, \{s_1\}) = \Gamma$ .)  $\square$

A collection of vertices  $S \subset V_\Gamma$  is said to be anti-connected if  $\overline{\Gamma}_S$  is connected. (Note:  $(\overline{\Gamma})_S = (\overline{\Gamma}_S)$ .)

**Theorem 2** (Kim [6], Theorem 4.2). *Suppose  $\Gamma$  is a graph and  $S \subset V(\Gamma)$  is an anti-connected subset. Then  $A(\overline{CO}(\Gamma, S))$  embeds in  $A(\Gamma)$ .*

*Proof.* First consider the case when  $S$  consists of two non-adjacent vertices  $z, z' \in V_\Gamma$ . Define  $\phi : A(\Gamma) \rightarrow \langle x ; x^2 \rangle$  by  $\phi(z) = x$ , and  $\phi(v) = 1$  if  $v \neq z$ . Let  $A(\Gamma') = \ker \phi$ . Let

$$T = (V(\Gamma) \setminus \{z^2, z'\}) \cup \{zz'z^{-1}\} \subset V(\Gamma').$$

We claim that  $\Gamma'_T \cong \overline{CO}(\Gamma, S)$  via  $v \mapsto v$  if  $v \neq zz'z^{-1}$  and  $zz'z^{-1} \mapsto v_S$ .

If  $v$  and  $w$  are distinct from  $z$  and  $z'$ , then  $v$  and  $w$  are adjacent in  $\overline{CO}(\Gamma, S)$  if and only if they are adjacent in  $\Gamma$ .

On the other hand, a vertex  $w$  is adjacent to  $v_S$  in  $\overline{CO}(\Gamma, S)$  if and only if  $w$  is adjacent to  $z$  and  $z'$ , whereas a vertex  $w$  is adjacent to  $zz'z^{-1}$  in  $\Gamma'_T$  if and only if  $w$  belongs to the link of  $z$  and to the link of  $z'$ , i.e.  $w$  is adjacent to  $z$  and  $z'$ . Therefore,  $\Gamma'_T \cong \overline{CO}(\Gamma, S)$  and, hence,  $A(\overline{CO}(\Gamma, S))$  embeds in  $A(\Gamma)$ .

Now we prove the general statement by induction on  $|S|$ . Suppose  $S = \{s_1, \dots, s_n\}$  is anti-connected, and suppose we have chosen the ordering so that  $S' = \{s_1, \dots, s_{n-1}\}$  is also anti-connected. (This is always possible: choose  $s_n$  so that it is not a cut point of  $\overline{\Gamma}_S$ .) Let  $\Lambda = \overline{CO}(\Gamma, S')$ . Suppose that  $A(\Lambda)$  embeds in  $A(\Gamma)$ . By the

case of two vertices above,  $A(\overline{CO}(\Lambda, \{v_{S'}, s_n\}))$  embeds in  $A(\Lambda)$ . (Note that  $v_{S'}$  and  $s_n$  are not adjacent in  $\Lambda$  for, otherwise,  $s_n$  would be adjacent to every  $s_i$ ,  $i = 1, \dots, n - 1$ , which would contradict the hypothesis that  $S$  is anti-connected.) This proves the inductive step. The proof of the theorem is completed by applying Lemma 4. □

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