

ON ISOLATED LOG CANONICAL SINGULARITIES WITH INDEX ONE

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Dedicated to Professor Shihoko Ishii on the occasion of her sixtieth birthday

ABSTRACT. In this paper, we give a method to investigate isolated log canonical singularities with index one which are not log terminal. Our method depends on the minimal model program. One of the main purposes is to prove that our invariant coincides with Ishii's Hodge theoretic invariant.

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1. INTRODUCTION

Let $P \in X$ be an n -dimensional isolated log canonical singularity with index one which is not log terminal. Let $f : Y \rightarrow X$ be a projective resolution such that f is an isomorphism outside P and that $\text{Supp} f^{-1}(P)$ is a simple normal crossing divisor on Y . Then we can write

$$K_Y = f^*K_X + F - E$$

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where E and F are effective Cartier divisors and have no common irreducible components. The divisor E is sometimes called the *essential divisor* for f (see [I2, Definition 7.4.3] and [I4, Definition 2.5]). We prove that

$$R^i f_* \mathcal{O}_Y \simeq H^i(E, \mathcal{O}_E)$$

for every $i > 0$ (cf. Proposition 4.6) and that

$$R^{n-1} f_* \mathcal{O}_Y \simeq \mathbb{C}(P)$$

(cf. Remark 4.7). By Professor Shihoko Ishii, the singularity $P \in X$ is said to be of type $(0, i)$ if

$$\mathrm{Gr}_k^W H^{n-1}(E, \mathcal{O}_E) = \begin{cases} \mathbb{C} & \text{if } k = i \\ 0 & \text{otherwise} \end{cases}$$

where W is the weight filtration of the mixed Hodge structure on $H^{n-1}(E, \mathbb{C})$. Note that E is a projective connected simple normal crossing variety. On the other hand, we defined $\mu(P \in X)$ by

$$\mu = \mu(P \in X) = \min\{\dim W \mid W \text{ is a stratum of } E\}$$

(see [F2, Definition 4.12]). We prove that $P \in X$ is of type $(0, \mu)$, that is, Ishii's Hodge theoretic invariant coincides with our invariant μ (cf. Theorem 5.4). By our method, we can prove the following properties of E . Let $E = \sum_i E_i$ be the irreducible decomposition. Then $\sum_{i \neq i_0} E_i|_{E_{i_0}}$ has at most two connected components for every irreducible component E_{i_0} of E (cf. Remark 4.8). Let W_1 and W_2 be any two minimal strata of E . Then W_1 is birationally equivalent to W_2 (cf. 4.9 and Remark 4.8).

Let Γ be the dual graph of E and let $|\Gamma|$ be the topological realization of Γ . Then the dimension of $|\Gamma|$ is $n - 1 - \mu$ by the definition of μ .

From now on, we assume that $\mu(P \in X) = 0$. In this case, we can prove that

$$H^i(E, \mathcal{O}_E) \simeq H^i(|\Gamma|, \mathbb{C})$$

for every i . Therefore, $P \in X$ is Cohen–Macaulay, equivalently, Gorenstein, if and only if

$$H^i(|\Gamma|, \mathbb{C}) = \begin{cases} \mathbb{C} & \text{if } i = 0, n - 1, \\ 0 & \text{otherwise.} \end{cases}$$

It is Theorem 4.10.

Anyway, by this paper, our approach based on the minimal model program (cf. [F2]) becomes compatible with Ishii's Hodge theoretic method in [I1], [I2], and [I4]. Our approach is much more geometric than Ishii's. From our point of view, the main result of [IW] becomes

almost obvious. We note that we do not use the notion of *Du Bois singularities*, which is one of the main ingredients of Ishii's Hodge theoretic approach.

We summarize the contents of this paper. Section 2 is a preliminary section. In Section 2.1, we give a criterion of Cohen–Macaulayness. In Section 2.2, we investigate basic properties of dlt pairs. In Section 2.3, we explain the notion of *dlt blow-ups*, which is very useful in the subsequent sections. Section 3 is devoted to the study of dlt pairs with torsion log canonical divisor. In Section 4, we investigate isolated lc singularities with index one which are not log terminal. In Section 5, we prove that our invariant μ coincides with Ishii's Hodge theoretic invariant. The main result (cf. Theorem 5.2) in Section 5 can be applied to special fibers of semi-stable minimal models for varieties with trivial canonical divisor (cf. [F6]).

Notation. Let X be a normal variety and let B be an effective \mathbb{Q} -divisor such that $K_X + B$ is \mathbb{Q} -Cartier. Then we can define the *discrepancy* $a(E, X, B) \in \mathbb{Q}$ for every prime divisor E over X . If $a(E, X, B) \geq -1$ (resp. > -1) for every E , then (X, B) is called *log canonical* (resp. *kawamata log terminal*). We sometimes abbreviate log canonical (resp. kawamata log terminal) to *lc* (resp. *klt*). When $(X, 0)$ is klt, we simply say that X is *log terminal* (*lt*, for short).

Assume that (X, B) is log canonical. If E is a prime divisor over X such that $a(E, X, B) = -1$, then $c_X(E)$ is called a *log canonical center* (*lc center*, for short) of (X, B) , where $c_X(E)$ is the closure of the image of E on X .

Let T be a simple normal crossing variety and let $T = \sum_{i \in I} T_i$ be the irreducible decomposition. Then a *stratum* of T is an irreducible component of $T_{i_1} \cap \cdots \cap T_{i_k}$ for some $\{i_1, \dots, i_k\} \subset I$.

Let r be a rational number. The integral part $\lfloor r \rfloor$ is the largest integer $\leq r$ and the fractional part $\{r\}$ is defined by $r - \lfloor r \rfloor$. We put $\lceil r \rceil = -\lfloor -r \rfloor$ and call it the round-up of r . Let $D = \sum_{i=1}^r d_i D_i$ be a \mathbb{Q} -divisor where D_i is a prime divisor for every i and $D_i \neq D_j$ for $i \neq j$. We put $\lfloor D \rfloor = \sum \lfloor d_i \rfloor D_i$, $\lceil D \rceil = \sum \lceil d_i \rceil D_i$, $\{D\} = \sum \{d_i\} D_i$, and $D^{-1} = \sum_{d_i=1} D_i$.

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in the late 1990's. This paper is a supplement to [F2], [I4], and [I2, Chapter 7].

In this paper, we will work over \mathbb{C} , the complex number field. We will freely make use of the standard notation and definition in [KM].

2. PRELIMINARIES

In this section, we prove some preliminary results.

2.1. A criterion of Cohen–Macaulayness. The main purpose of this subsection is to prove Corollary 2.3, which is well known to experts. Here, we give a global proof based on the Kawamata–Viehweg vanishing theorem for the reader's convenience. See also the arguments in [F5, 4.3.1].

Lemma 2.1. *Let X be a normal variety with an isolated singularity $P \in X$. Let $f : Y \rightarrow X$ be any resolution. If X is Cohen–Macaulay, then $R^i f_* \mathcal{O}_Y = 0$ for $0 < i < n - 1$, where $n = \dim X$.*

Proof. Without loss of generality, we can assume that X is projective. We consider the following spectral sequence

$$E_2^{p,q} = H^p(X, R^q f_* \mathcal{O}_Y \otimes L^{-1}) \Rightarrow H^{p+q}(Y, f^* L^{-1})$$

for a sufficiently ample line bundle L on X . By the Kawamata–Viehweg vanishing theorem, $H^{p+q}(Y, f^* L^{-1}) = 0$ for $p + q < n$. On the other hand, $E_2^{p,0} = H^p(X, L^{-1}) = 0$ for $p < n$ since X is Cohen–Macaulay. By using the exact sequence

$$0 \rightarrow E_2^{1,0} \rightarrow E^1 \rightarrow E_2^{0,1} \rightarrow E_2^{2,0} \rightarrow E^2 \rightarrow \cdots,$$

we obtain $E_2^{0,1} \simeq E_2^{2,0} = 0$ when $n \geq 3$. This implies $R^1 f_* \mathcal{O}_Y = 0$. We note that $\text{Supp} R^i f_* \mathcal{O}_Y \subset \{P\}$ for every $i > 0$. Inductively, we obtain $R^i f_* \mathcal{O}_Y \simeq H^0(X, R^i f_* \mathcal{O}_Y \otimes L^{-1}) = E_2^{0,i} \simeq E_\infty^{0,i} = 0$ for $0 < i < n - 1$. \square

Lemma 2.2. *Let X be a normal projective n -fold and let $f : Y \rightarrow X$ be a resolution. Assume that $R^i f_* \mathcal{O}_Y = 0$ for $0 < i < n - 1$. Then X is Cohen–Macaulay.*

Proof. It is sufficient to prove $H^i(X, L^{-1}) = 0$ for any ample line bundle L on X for all $i < n$ (see [KM, Corollary 5.72]). We consider the spectral sequence

$$E_2^{p,q} = H^p(X, R^q f_* \mathcal{O}_Y \otimes L^{-1}) \Rightarrow H^{p+q}(Y, f^* L^{-1}).$$

As before, $H^{p+q}(Y, f^*L^{-1}) = 0$ for $p+q < n$ by the Kawamata–Viehweg vanishing theorem. By the exact sequence

$$0 \rightarrow E_2^{1,0} \rightarrow E^1 \rightarrow E_2^{0,1} \rightarrow E_2^{2,0} \rightarrow E^2 \rightarrow \cdots,$$

we obtain $H^1(X, L^{-1}) = 0$ and $H^2(X, L^{-1}) = 0$ if $n \geq 3$. Inductively, we can check that $H^i(X, L^{-1}) = E_2^{i,0} \simeq E_\infty^{i,0} = 0$ for $i < n$. We finish the proof. \square

Combining the above two lemmas, we obtain the next corollary.

Corollary 2.3. *Let $P \in X$ be a normal isolated singularity and let $f : Y \rightarrow X$ be a resolution. Then X is Cohen–Macaulay if and only if $R^i f_* \mathcal{O}_Y = 0$ for $0 < i < n - 1$, where $n = \dim X$.*

Proof. We shrink X and assume that X is affine. Then we compactify X and can assume that X is projective. Therefore, we can apply Lemmas 2.1 and 2.2. \square

2.2. Basic properties of dlt pairs. In this subsection, we prove supplementary results on dlt pairs. For the definition of dlt pairs, see [KM, Definition 2.37, Theorem 2.44]. See also [F4] for details of singularities of pairs.

The following proposition generalizes [FA, 17.5 Corollary], where it was only proved that S is semi-normal and S_2 . In the subsequent sections, we will use the arguments in the proof of Proposition 2.4.

Proposition 2.4 (cf. [F5, Theorem 4.4]). *Let (X, Δ) be a dlt pair and let $\sqcup \Delta =: S = S_1 + \cdots + S_k$ be the irreducible decomposition. We put $T = S_1 + \cdots + S_l$ for $1 \leq l \leq k$. Then T is semi-normal, Cohen–Macaulay, and has only Du Bois singularities.*

Proof. We put $B = \{\Delta\}$. Let $f : Y \rightarrow X$ be a resolution such that $K_Y + S' + B' = f^*(K_X + S + B) + E$ with the following properties: (i) S' (resp. B') is the strict transform of S (resp. B), (ii) $\text{Supp}(S' + B') \cup \text{Exc}(f)$ and $\text{Exc}(f)$ are simple normal crossing divisors on Y , (iii) f is an isomorphism over the generic point of every lc center of $(X, S + B)$, and (iv) $\ulcorner E \urcorner \geq 0$. We write $S = T + U$. Let T' (resp. U') be the strict transform of T (resp. U) on Y . We consider the following short exact sequence

$$0 \rightarrow \mathcal{O}_Y(-T' + \ulcorner E \urcorner) \rightarrow \mathcal{O}_Y(\ulcorner E \urcorner) \rightarrow \mathcal{O}_{T'}(\ulcorner E|_{T'} \urcorner) \rightarrow 0.$$

Since $-T' + E \sim_{\mathbb{Q},f} K_Y + U' + B'$ and $E \sim_{\mathbb{Q},f} K_Y + S' + B'$, we have $-T' + \ulcorner E \urcorner \sim_{\mathbb{Q},f} K_Y + U' + B' + \{-E\}$ and $\ulcorner E \urcorner \sim_{\mathbb{Q},f} K_Y + S' + B' + \{-E\}$. By the vanishing theorem,

$$R^i f_* \mathcal{O}_Y(-T' + \ulcorner E \urcorner) = R^i f_* \mathcal{O}_Y(\ulcorner E \urcorner) = 0$$

for every $i > 0$. Note that we used the vanishing theorem of Reid–Fukuda type. Therefore, we have

$$0 \rightarrow f_*\mathcal{O}_Y(-T' + \lceil E \rceil) \rightarrow \mathcal{O}_X \rightarrow f_*\mathcal{O}_{T'}(\lceil E|_{T'} \rceil) \rightarrow 0$$

and $R^i f_*\mathcal{O}_{T'}(\lceil E|_{T'} \rceil) = 0$ for all $i > 0$. Note that $\lceil E \rceil$ is effective and f -exceptional. Thus, $\mathcal{O}_T \simeq f_*\mathcal{O}_{T'} \simeq f_*\mathcal{O}_{T'}(\lceil E|_{T'} \rceil)$. Since T' is a simple normal crossing divisor, T is semi-normal. By the above vanishing result, we obtain $Rf_*\mathcal{O}_{T'}(\lceil E|_{T'} \rceil) \simeq \mathcal{O}_T$ in the derived category. Therefore, the composition $\mathcal{O}_T \rightarrow Rf_*\mathcal{O}_{T'} \rightarrow Rf_*\mathcal{O}_{T'}(\lceil E|_{T'} \rceil) \simeq \mathcal{O}_T$ is a quasi-isomorphism. Apply $R\mathcal{H}om_T(_, \omega_T^\bullet)$ to the quasi-isomorphism $\mathcal{O}_T \rightarrow Rf_*\mathcal{O}_{T'} \rightarrow \mathcal{O}_T$. Then the composition $\omega_T^\bullet \rightarrow Rf_*\omega_{T'}^\bullet \rightarrow \omega_T^\bullet$ is a quasi-isomorphism by the Grothendieck duality. By the vanishing theorem (see, for example, [F5, Lemma 2.33]), $R^i f_*\omega_{T'}^\bullet = 0$ for $i > 0$. Hence, $h^i(\omega_T^\bullet) \subseteq R^i f_*\omega_{T'}^\bullet \simeq R^{i+d} f_*\omega_{T'}^\bullet$, where $d = \dim T = \dim T'$. Therefore, $h^i(\omega_T^\bullet) = 0$ for $i > -d$. Thus, T is Cohen–Macaulay. This argument is the same as the proof of Theorem 1 in [K2]. Since T' is a simple normal crossing divisor, T' has only Du Bois singularities. The quasi-isomorphism $\mathcal{O}_T \rightarrow Rf_*\mathcal{O}_{T'} \rightarrow \mathcal{O}_T$ implies that T has only Du Bois singularities (cf. [K1, Corollary 2.4]). Since the composition $\omega_T \rightarrow f_*\omega_{T'} \rightarrow \omega_T$ is an isomorphism, we obtain $f_*\omega_{T'} \simeq \omega_T$. By the Grothendieck duality,

$$Rf_*\mathcal{O}_{T'} \simeq R\mathcal{H}om_T(Rf_*\omega_{T'}^\bullet, \omega_T^\bullet) \simeq R\mathcal{H}om_T(\omega_T^\bullet, \omega_T^\bullet) \simeq \mathcal{O}_T.$$

So, $R^i f_*\mathcal{O}_{T'} = 0$ for all $i > 0$. \square

We obtained the following vanishing theorem in the proof of Proposition 2.4.

Corollary 2.5. *Under the notation in the proof of Proposition 2.4, $R^i f_*\mathcal{O}_{T'} = 0$ for every $i > 0$ and $f_*\mathcal{O}_{T'} \simeq \mathcal{O}_T$.*

2.3. Dlt blow-ups. Let us recall the notion of *dlt blow-ups*. Theorem 2.6 was first obtained by Professor Christopher Hacon (cf. [F7, Section 10]). For a simplified proof, see [F6, Section 4].

Theorem 2.6 (Dlt blow-up). *Let (X, Δ) be a quasi-projective lc pair. Then we can construct a projective birational morphism $f : Y \rightarrow X$ such that $K_Y + \Delta_Y = f^*(K_X + \Delta)$ with the following properties.*

- (a) (Y, Δ_Y) is a \mathbb{Q} -factorial dlt pair.
- (b) $a(E, X, \Delta) = -1$ for every f -exceptional divisor E .

When (X, Δ) is dlt, we can make f small and an isomorphism over the generic point of every lc center of (X, Δ) .

Note that Theorem 2.6 was proved by the minimal model program with scaling (cf. [BCHM]).

As a corollary of Theorem 2.6, we obtain the following useful lemma.

Lemma 2.7. *Let $P \in X$ be an isolated lc singularity with index one, where X is quasi-projective. Then there exists a proper birational morphism $g : Z \rightarrow X$ such that $K_Z + D = g^*K_X$, (Z, D) is a \mathbb{Q} -factorial dlt pair, g is an isomorphism outside P , and D is a reduced divisor on Z .*

Remark 2.8. If $P \in X$ is \mathbb{Q} -factorial, then $f^{-1}(P)$ is a divisor. So, we have $\text{Supp}D = f^{-1}(P)$. In general, we have only $\text{Supp}D \subset f^{-1}(P)$.

For non-degenerate isolated hypersurface log canonical singularities, we can use the toric geometry to construct dlt blow-ups as in Lemma 2.7 (see [FS, Section 6]).

3. DLT PAIRS WITH TORSION LOG CANONICAL DIVISOR

This section is a supplement to [F1, Section 2] and [F2, Section 2]. We introduce a new invariant for dlt pairs with torsion log canonical divisor.

Definition 3.1. Let (X, D) be a dlt pair such that $K_X + D \sim_{\mathbb{Q}} 0$. We put

$$\tilde{\mu} = \tilde{\mu}(X, D) = \min\{\dim W \mid W \text{ is an lc center of } (X, D)\}.$$

It is related to the invariant μ , which was defined in [F2] and will play important roles in the subsequent sections. See 4.9 below.

Remark 3.2. By [CKP, Theorem 1] or [G, Theorem 1.2], $K_X + D \equiv 0$ if and only if $K_X + D \sim_{\mathbb{Q}} 0$.

As we pointed out in [FG], [F1, Section 2] works in any dimension by using the minimal model program with scaling (cf. [BCHM]). Therefore, we obtain the following proposition (cf. [F2, Proposition 2.4]).

Proposition 3.3. *Let (X, D) be a dlt pair such that $K_X + D \sim_{\mathbb{Q}} 0$. Let W be any minimal lc center of (X, D) . Then $\dim W = \tilde{\mu}(X, D)$. Moreover, all the minimal lc centers of (X, D) are birational each other.*

Sketch of the proof. By Theorem 2.6, we can assume that X is \mathbb{Q} -factorial. The induction on dimension and [F1, Proposition 2.1] implies the desired properties. More precisely, all the minimal lc centers are B -birational each other (cf. [F1, Definition 1.5]). Note that Proof of Claims in the proof of [F1, Lemma 4.9] may help us understand this proposition. \square

The next lemma is new. We will use it in Section 4.

Lemma 3.4. *Let (X, D) be an n -dimensional projective dlt pair such that $K_X + D \sim_{\mathbb{Q}} 0$. Assume that $\lfloor D \rfloor \neq 0$. Then there exists an irreducible component D_0 of $\lfloor D \rfloor$ such that $h^i(X, \mathcal{O}_X) \leq h^i(D_0, \mathcal{O}_{D_0})$ for every i .*

Proof. By using the dlt blow-up (cf. Theorem 2.6), we can construct a small projective \mathbb{Q} -factorialization of X . So, by replacing X with its \mathbb{Q} -factorialization, we can assume that X is \mathbb{Q} -factorial. By the assumption, $K_X + D - \varepsilon \lfloor D \rfloor$ is not pseudo-effective for $0 < \varepsilon \ll 1$. Let H be an effective ample \mathbb{Q} -divisor on X such that $K_X + D - \varepsilon \lfloor D \rfloor + H$ is nef and klt. Apply the minimal model program on $K_X + D - \varepsilon \lfloor D \rfloor$ with scaling of H . Then we obtain a sequence of divisorial contractions and flips:

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_k,$$

and an extremal Fano contraction $\varphi : X_k \rightarrow Z$ (cf. [F6, Section 2]). By the construction, there is an irreducible component D_0 of $\lfloor D \rfloor$ such that the strict transform D'_0 of D_0 on X_k dominates Z . Since X and X_k have only rational singularities, we have $h^i(X, \mathcal{O}_X) = h^i(X_k, \mathcal{O}_{X_k})$ for every i . Since $R^i \varphi_* \mathcal{O}_{X_k} = 0$ for every $i > 0$, we have $h^i(X_k, \mathcal{O}_{X_k}) = h^i(Z, \mathcal{O}_Z)$ for every i . Since D_0 and Z have only rational singularities, $h^i(Z, \mathcal{O}_Z) \leq h^i(D_0, \mathcal{O}_{D_0})$ for every i (see, for example, [PS, Theorem 2.29]). Therefore, we have the desired inequalities $h^i(X, \mathcal{O}_X) \leq h^i(D_0, \mathcal{O}_{D_0})$ for every i . \square

Example 3.5. Let $X = \mathbb{P}^2$ and let D be an elliptic curve on $X = \mathbb{P}^2$. Then (X, D) is a projective dlt pair such that $K_X + D \sim 0$. In this case, $h^1(X, \mathcal{O}_X) = 0 < h^1(D, \mathcal{O}_D) = 1$.

By combining the above results, we obtain the next proposition.

Proposition 3.6. *Let (X, D) be a projective dlt pair such that $K_X + D \sim_{\mathbb{Q}} 0$ and that $\lfloor D \rfloor \neq 0$. We assume that $\tilde{\mu}(X, D) = 0$. Then $h^i(X, \mathcal{O}_X) = 0$ for every $i > 0$. Moreover, X is rationally connected.*

Proof. By the induction on dimension, we know that every irreducible component D_0 of $\lfloor D \rfloor$ is rationally connected and $h^i(D_0, \mathcal{O}_{D_0}) = 0$ for every $i > 0$. Thus, Lemma 3.4, we have that $h^i(X, \mathcal{O}_X) = 0$ for every $i > 0$. In the proof of Lemma 3.4, Z has only log terminal singularities by [F3, Corollary 4.5]. Since D_0 is rationally connected, so is Z by [HM, Corollary 1.5]. On the other hand, the general fiber of $\varphi : X_k \rightarrow Z$ is rationally connected (cf. [Z, Theorem 1] and [HM, Corollaries 1.3 and 1.5]). By [GHS, Corollary 1.3], X_k is rationally connected. Thus, X is rationally connected by [HM, Corollary 1.5]. \square

By Proposition 3.6, we obtain a corollary: Corollary 3.7.

Corollary 3.7. *Let (X, D) be a projective dlt pair such that $K_X + D \sim_{\mathbb{Q}} 0$ and that $\lfloor D \rfloor \neq 0$. Let $f : Y \rightarrow X$ be any resolution such that $K_Y + D_Y = f^*(K_X + D)$ and that $\text{Supp} D_Y$ is a simple normal crossing divisor on Y . Assume that $\tilde{\mu}(X, D) = 0$. Then every stratum of D_Y^{-1} is rationally connected. Moreover, $h^i(W, \mathcal{O}_W) = 0$ for every $i > 0$ where W is a stratum of D_Y^{-1} .*

Proof. Let W be a stratum of D_Y^{-1} . Let $\pi : Y' \rightarrow Y$ be a blow-up at W and let E_W be the exceptional divisor of π . Then it is sufficient to prove that E_W is rationally connected and $h^i(E_W, \mathcal{O}_{E_W}) = 0$ for every $i > 0$. Therefore, by replacing Y with Y' , we can assume that W is an irreducible component of D_Y^{-1} . We can construct a dlt blow-up $f' : Y' \rightarrow X$ such that $K_{Y'} + D_{Y'} = f'^*(K_X + D)$ and that $f'^{-1} \circ f : Y \dashrightarrow Y'$ is an isomorphism at the generic point of W (cf. [F6, Section 6]). Since $K_{Y'} + D_{Y'} \sim_{\mathbb{Q}} 0$ and $\tilde{\mu}(Y', D_{Y'}) = 0$, we see that W' , the strict transform of W , is rationally connected and $h^i(W', \mathcal{O}_{W'}) = 0$ for every $i > 0$ by Proposition 3.6. Thus, W is rationally connected (cf. [HM, Corollary 1.5]) and $h^i(W, \mathcal{O}_W) = 0$ for every $i > 0$. \square

4. ISOLATED LOG CANONICAL SINGULARITIES WITH INDEX ONE

In this section, we consider when an isolated log canonical singularity with index one is Cohen–Macaulay or not.

4.1. Let $P \in X$ be an n -dimensional isolated lc singularity with index one. By the algebraization theorem (cf. [I2, Theorems 3.2.3 and 3.2.4]), we always assume that X is an algebraic variety in this paper. Assume that $P \in X$ is not lt. We consider a resolution $f : Y \rightarrow X$ such that (i) f is an isomorphism outside $P \in X$, and (ii) $f^{-1}(P)$ is a simple normal crossing divisor on Y . In this setting, we can write $K_Y = f^*K_X + F - E$, where F and E are both effective Cartier divisors without common irreducible components. In particular, E is a reduced simple normal crossing divisor on Y .

Lemma 4.2. *The cohomology group $H^i(E, \mathcal{O}_E)$ is independent of f for every i .*

Proof. Let $f' : Y' \rightarrow X$ be another resolution with $K_{Y'} = f'^*K_X + F' - E'$ as in 4.1. By the weak factorization theorem (see [M, Theorem 5-4-1] or [AKMW, Theorem 0.3.1(6)]), we can assume that $\varphi : Y' \rightarrow Y$ is a blow-up whose center $C \subset \text{Supp} f^{-1}(P)$ is smooth, irreducible, and transversal to a simple normal crossing divisor $\text{Supp} f^{-1}(P)$. Thus, we can directly check that $H^i(E, \mathcal{O}_E) \simeq H^i(E', \mathcal{O}_{E'})$ for every i . \square

4.3. Let Γ be the dual graph of E and let $|\Gamma|$ be the topological realization of Γ . Note that the vertices of Γ correspond to the components E_i , the edges correspond to $E_i \cap E_j$, and so on, where $E = \sum_i E_i$ is the irreducible decomposition of E . More precisely, E defines a conical polyhedral complex Δ (see [KKMS, Chapter II, Definition 5]). By [KKMS, p.70 Remark], we get a compact polyhedral complex Δ_0 from Δ . The dual graph Γ of E is nothing but this compact polyhedral complex Δ_0 . Therefore, we obtain the following lemma.

Lemma 4.4. *The dual graph Γ is well defined and $|\Gamma|$ is independent of f .*

Proof. As we explained above, the well-definedness of Γ is in [KKMS, Chapter II]. By the weak factorization theorem (see [M, Theorem 5-4-1] or [AKMW, Theorem 0.3.1(6)]), we can easily check that the topological realization $|\Gamma|$ does not depend on f . \square

4.5. Let $g : Z \rightarrow X$ be a proper birational morphism as in Lemma 2.7. Then we have $0 \rightarrow \mathcal{O}_Z(-D) \rightarrow \mathcal{O}_Z \rightarrow \mathcal{O}_D \rightarrow 0$. By the vanishing theorem, we obtain $R^i f_* \mathcal{O}_Z(K_Z) = 0$ for every $i > 0$. Therefore, $R^i f_* \mathcal{O}_Z \simeq R^i f_* \mathcal{O}_D \simeq H^i(D, \mathcal{O}_D)$ for every $i > 0$. We note that D is connected since $\mathcal{O}_X \simeq g_* \mathcal{O}_Z \rightarrow g_* \mathcal{O}_D$ is surjective. By applying Corollary 2.5, we can construct a resolution $h : Y \rightarrow Z$ such that $K_Y + E - F = h^*(K_Z + D) = f^* K_X$, where F and E are both effective Cartier divisors without common irreducible components, $f = g \circ h$, h is an isomorphism outside $g^{-1}(P)$, $R^i h_* \mathcal{O}_E = 0$ for every $i > 0$, and $h_* \mathcal{O}_E \simeq \mathcal{O}_D$. Therefore, $H^i(D, \mathcal{O}_D) \simeq H^i(E, \mathcal{O}_E)$ for every i . So, we obtain the next proposition.

Proposition 4.6. *Let $f : Y \rightarrow X$ be a resolution as in 4.1. Then $R^i f_* \mathcal{O}_Y \simeq H^i(E, \mathcal{O}_E)$ for every $i > 0$. Therefore, $P \in X$ is Cohen–Macaulay, equivalently, $P \in X$ is Gorenstein, if and only if $H^i(E, \mathcal{O}_E) = 0$ for $0 < i < n - 1$.*

Proof. It is a direct consequence of Lemma 4.2 and Corollary 2.3 by 4.5. \square

Remark 4.7. In 4.5, $(K_Z + D)|_D = K_D \sim 0$. Therefore, $H^{n-1}(D, \mathcal{O}_D)$ is dual to $H^0(D, \mathcal{O}_D)$, where $n = \dim X$. So, $R^{n-1} g_* \mathcal{O}_Z \simeq \mathbb{C}(P)$. Thus, $P \in X$ is not a rational singularity.

By using the minimal model program with scaling, we can prove Proposition 4.6 without appealing to Lemma 4.2.

Remark 4.8. Let $f : Y \rightarrow X$ with $K_Y + E = f^* K_X + F$ be as in 4.1. Let H be an effective ample \mathbb{Q} -divisor on Y such that $(Y, E + H)$ is dlt

and that $K_Y + E + H$ is nef over X . We can run the minimal model program on $K_Y + E$ over X with scaling of H . Then we obtain a dlt blow-up $f' : Y' \rightarrow X$ such that (Y', E') is a \mathbb{Q} -factorial dlt pair and that $K_{Y'} + E' = f'^* K_X$ where E' is the pushforward of E on Y' (cf. [F6, Section 4]). We note that each step of the minimal model program

$$Y \dashrightarrow Y_1 \dashrightarrow Y_2 \dashrightarrow \cdots \dashrightarrow Y'$$

is an isomorphism at the generic point of any lc center of (Y, E) . By 4.5, $R^i f_* \mathcal{O}_Y \simeq R^i f'_* \mathcal{O}_{Y'} \simeq R^i f'_* \mathcal{O}_{E'} \simeq H^i(E', \mathcal{O}_{E'})$ for every $i > 0$. By taking a suitable common resolution

$$\begin{array}{ccc} & W & \\ \alpha \swarrow & & \searrow \beta \\ Y & \dashrightarrow & Y' \end{array}$$

such that α (resp. β) is an isomorphism over the generic point of any lc center of (Y, E) (resp. (Y', E')), we can easily check that

$$H^i(E, \mathcal{O}_E) \simeq H^i(E', \mathcal{O}_{E'})$$

for every i because $R\alpha_* \mathcal{O}_W \simeq \mathcal{O}_Y$ and $R\beta_* \mathcal{O}_W \simeq \mathcal{O}_{Y'}$ (cf. Corollary 2.5). Note that $K_W + \Delta_1 = \alpha^*(K_Y + E)$ and $K_W + \Delta_2 = \beta^*(K_{Y'} + E')$ with $\Delta_1^{-1} = T = \Delta_2^{-1}$ such that T is a reduced simple normal crossing divisor on W . Therefore,

$$H^i(E, \mathcal{O}_E) \simeq H^i(E', \mathcal{O}_{E'}) \simeq R^i f_* \mathcal{O}_Y$$

for $i > 0$.

Let $E = \sum_i E_i$ be the irreducible decomposition and let $E' = \sum_i E'_i$ be the corresponding irreducible decomposition. Let E_{i_0} be an irreducible component of E and let T_{i_0} be the strict transform of E_{i_0} on W . By applying the connectedness lemma (cf. [KM, Theorem 5.48]) to $\alpha : T_{i_0} \rightarrow E_{i_0}$ and $\beta : T_{i_0} \rightarrow E'_{i_0}$, we know that the number of the connected components of $\sum_{i \neq i_0} E_i|_{E_{i_0}}$ coincides with that of $\sum_{i \neq i_0} E'_i|_{E'_{i_0}}$. Therefore, $\sum_{i \neq i_0} E_i|_{E_{i_0}}$ has at most two connected components by applying [F1, Proposition 2.1] to $\sum_{i \neq i_0} E'_i|_{E'_{i_0}}$ with the aid of Theorem 2.6 and [KM, Theorem 5.48].

4.9 (Invariant μ). Let $P \in X$ be an isolated lc singularity with index one which is not lt. Let $g : Z \rightarrow X$ be a proper birational morphism such that $K_Z + D = g^* K_X$ and that (Z, D) is a \mathbb{Q} -factorial dlt pair. We define

$$\mu = \mu(P \in X) = \min\{\dim W \mid W \text{ is an lc center of } (Z, D)\}.$$

This invariant μ was first introduced in [F2, Definition 4.12]. Let $D = \sum_i D_i$ be the irreducible decomposition. Then $K_{D_i} + \Delta_i := (K_Z + D)|_{D_i} \sim 0$ and (D_i, Δ_i) is dlt. By applying Proposition 3.3 to each (D_i, Δ_i) , every minimal lc center of (Z, D) is μ -dimensional and all the minimal lc centers are birational each other. Note that D is connected.

Let $g' : Z' \rightarrow X$ be another proper birational morphism such that $K_{Z'} + D' = g'^*K_X$ and that (Z', D') is a \mathbb{Q} -factorial dlt pair. Then it is easy to see that $(Z, D) \dashrightarrow (Z', D')$ is B -birational. This means that there is a common resolution

$$\begin{array}{ccc} & W & \\ \alpha \swarrow & & \searrow \beta \\ Z & \text{-----} & Z' \end{array}$$

such that $\alpha^*(K_Z + D) = \beta^*(K_{Z'} + D')$. Then we can easily check that

$$\begin{aligned} & \min\{\dim W \mid W \text{ is an lc center of } (Z, D)\} \\ &= \min\{\dim W' \mid W' \text{ is an lc center of } (Z', D')\}. \end{aligned}$$

See, for example, the proof of [F1, Lemma 4.9]. Therefore, $\mu(P \in X)$ is well-defined.

Now, the following theorem is not difficult to prove.

Theorem 4.10. *We use the notation in 4.1. We assume $\mu(P \in X) = 0$. Then $H^i(E, \mathcal{O}_E) \simeq H^i(|\Gamma|, \mathbb{C})$. Therefore, $P \in X$ is Cohen-Macaulay, equivalently, $P \in X$ is Gorenstein, if and only if*

$$H^i(|\Gamma|, \mathbb{C}) = \begin{cases} \mathbb{C} & \text{for } i = 0, n-1, \\ 0 & \text{otherwise.} \end{cases}$$

Note that $|\Gamma|$ is oriented and $|\Gamma|$ has no boundaries.

Proof. We use the spectral sequence in 4.11 to calculate $H^i(E, \mathcal{O}_E)$. By Corollary 3.7, $H^q(E^{[p]}, \mathcal{O}_{E^{[p]}}) = 0$ for every $q > 0$. Therefore, we obtain $H^i(E, \mathcal{O}_E) \simeq H^i(|\Gamma|, \mathbb{C})$ for every i . \square

4.11. Let E be a simple normal crossing variety and let $E = \sum_i E_i$ be the irreducible decomposition. We put $E^{[0]} = \coprod_i E_i$, $E^{[1]} = \coprod_{i,j} (E_i \cap E_j)$, \dots , $E^{[p]} = \coprod_{i_0, \dots, i_p} (E_{i_0} \cap \dots \cap E_{i_p})$, \dots . Let $a_p : E^{[p]} \rightarrow E$ be the obvious map. Then it is well known that

$$(a_0)_* \mathcal{O}_{E^{[0]}} \rightarrow (a_1)_* \mathcal{O}_{E^{[1]}} \rightarrow \dots \rightarrow (a_p)_* \mathcal{O}_{E^{[p]}} \rightarrow \dots$$

is a resolution of \mathcal{O}_E . By taking the associated hypercohomology, we obtain a spectral sequence

$$E_1^{p,q} = H^q(E^{[p]}, \mathcal{O}_{E^{[p]}}) \Rightarrow H^{p+q}(E, \mathcal{O}_E).$$

We close this section with the following obvious two propositions.

Proposition 4.12. *We assume that the dimension of X is ≥ 3 . By the above spectral sequence, if $P \in X$ is Cohen–Macaulay, then $H^1(|\Gamma|, \mathbb{C}) = 0$.*

Proof. By the spectral sequence in 4.11, it is easy to see that $H^1(|\Gamma|, \mathbb{C}) \neq 0$ implies $H^1(E, \mathcal{O}_E) \neq 0$. \square

Proposition 4.13. *Let $P \in X$ be an n -dimensional isolated lc singularity with index one which is not lt. If $P \in X$ is Cohen–Macaulay, then $\chi(\mathcal{O}_E) := \sum_i (-1)^i h^i(E, \mathcal{O}_E) = 1 + (-1)^{n-1} = \sum_{p,q} (-1)^{p+q} \dim E_1^{p,q}$.*

Remark 4.14. Tsuchihashi’s cusp singularities (cf. [T1] and [T2]) give us many examples of three dimensional index one isolated lc singularities with $\mu = 0$ that are not Cohen–Macaulay.

5. ISHII’S HODGE THEORETIC INVARIANT

In this section, we give a Hodge theoretic characterization of our invariant μ . It shows that our invariant μ coincides with Ishii’s Hodge theoretic invariant.

Let us quickly recall Ishii’s definition of singularities of type $(0, i)$. For the details, see [I2, Section 7] and [I4, 2.6 and Definition 2.7].

5.1 (Type $(0, i)$ singularities due to Shihoko Ishii). Let $P \in X$ be an n -dimensional isolated lc singularity with index one which is not lt. Let $f : Y \rightarrow X$ be a resolution such that $K_Y = f^*K_X + F - E$ as in 4.1. Ishii proved that $H^{n-1}(E, \mathcal{O}_E) = \mathbb{C}$ (cf. Proposition 4.6 and Remark 4.7). In [I2, Definition 7.4.5], she defined that the singularity $P \in X$ is of type $(0, i)$ if $\text{Gr}_i^W H^{n-1}(E, \mathcal{O}_E) \neq 0$. Note that E is a projective simple normal crossing variety and that W is the weight filtration of the natural mixed Hodge structure on $H^{n-1}(E, \mathbb{C})$. By Deligne’s theory of mixed Hodge structures, we know that $0 \leq i \leq n - 1$.

The main purpose of this section is to show that $\mu(P \in X) = i$ where $P \in X$ is of type $(0, i)$.

The following theorem corresponds to [I1, Theorem 4.3] in our framework. For the definition of *sdl*t pairs, see [F1, Definition 1.1].

Theorem 5.2. *Let V be an m -dimensional connected projective sdl*t variety such that $K_V \sim 0$. *Let $f : V' \rightarrow V$ be a projective birational morphism from a simple normal crossing variety V' such that f is an isomorphism at the generic point of any stratum of V' . Then*

$H^m(V', \mathcal{O}_{V'}) = \mathbb{C}$. Moreover, we obtain that

$$\begin{aligned} & \mathrm{Gr}_F^0 \mathrm{Gr}_k^W H^m(V', \mathbb{C}) \\ & \simeq \mathrm{Gr}_k^W \mathrm{Gr}_F^0 H^m(V', \mathbb{C}) \\ & \simeq \mathrm{Gr}_k^W H^m(V', \mathcal{O}_{V'}) \\ & = \begin{cases} \mathbb{C} & \text{if } k = \mu \\ 0 & \text{otherwise} \end{cases} \end{aligned}$$

where μ is the dimension of the minimal stratum of V' . Note that F is the Hodge filtration and W is the weight filtration of the mixed Hodge structure on $H^m(V', \mathbb{C})$.

Proof. First we prove that $H^m(V', \mathcal{O}_{V'}) = \mathbb{C}$.

Step 1. If V is irreducible, then $H^m(V', \mathcal{O}_{V'}) \simeq H^m(V, \mathcal{O}_V)$ and $H^m(V, \mathcal{O}_V)$ is dual to $H^0(V, \mathcal{O}_V) = \mathbb{C}$ by $K_V \sim 0$. Note that V has only rational singularities because V is dlt.

Step 2. From now on, we assume that V is reducible. Let V'_1 be an irreducible component of V' and let V_1 be the corresponding irreducible component of V . We write $V' = V'_1 + V'_2$ and $V = V_1 + V_2$. We can write

$$K_{V'} = f^* K_V + E \sim E$$

where E is an effective f -exceptional Cartier divisor on V' . We consider the following commutative diagram:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{O}_{V_1}(-V_2|_{V_1}) & \longrightarrow & \mathcal{O}_V & \longrightarrow & \mathcal{O}_{V_2} & \longrightarrow & 0 \\ & & \downarrow \simeq & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & f_* \mathcal{O}_{V'_1}(E|_{V'_1} - V'_2|_{V'_1}) & \longrightarrow & f_* \mathcal{O}_{V'}(E) & \longrightarrow & f_* \mathcal{O}_{V'_2}(E|_{V'_2}) & \longrightarrow & 0. \end{array}$$

Note that $R^i f_* \mathcal{O}_{V'_1}(E|_{V'_1} - V'_2|_{V'_1}) = 0$ for every $i > 0$ by the Kawamata–Viehweg vanishing theorem. If $\mathcal{O}_{V_2} \simeq f_* \mathcal{O}_{V'_2}(E|_{V'_2})$, then we can check that $f_* \mathcal{O}_{V'}(E) \simeq \mathcal{O}_V$ by the above commutative diagram. So, $f_* \mathcal{O}_{V'} \simeq \mathcal{O}_V$. Let V'_3 be an irreducible component of V'_2 and let $V'_2 = V'_3 + V'_4$ be the decomposition. By replacing V' , V'_1 , and V'_2 with V'_2 , V'_3 , and V'_4 and considering the commutative diagram similar to the above diagram, we can check that $f_* \mathcal{O}_{V'_2}(E|_{V'_2}) \simeq \mathcal{O}_{V_2}$ inductively. We note that we need the vanishing theorem of Reid–Fukuda type to prove $R^i f_* \mathcal{O}_{V'_3}(E|_{V'_3} - V'_4|_{V'_3}) = 0$ for $i > 0$. Since $R^i f_* \mathcal{O}_{V'}(E) \simeq R^i f_* \mathcal{O}_{V'}(K_{V'}) = 0$ for every $i > 0$ and $f_* \mathcal{O}_{V'}(E) \simeq \mathcal{O}_V$, we have $Rf_* \mathcal{O}_{V'}(E) \simeq \mathcal{O}_V$ in the derived category. By the same arguments as in the proof of Proposition 2.4, we obtain that V is Cohen–Macaulay. Moreover, $R^i f_* \mathcal{O}_{V'} = 0$ for

every $i > 0$ (see the proof of Proposition 2.4). Thus, $H^m(V', \mathcal{O}_{V'}) \simeq H^m(V, \mathcal{O}_V) = \mathbb{C}$. We note that $K_V \sim 0$ and V is Cohen–Macaulay.

We use the induction on dimension for the latter statement. The statement is obvious for 0-dimensional variety.

Step 3. When V is irreducible, the statement is obvious. It is because V' is a smooth connected projective variety. So, $H^m(V', \mathbb{C})$ has the natural pure Hodge structure of weight m .

Step 4. From now on, we assume that V is reducible. Let V'_1 be an irreducible component of V' . We write $V' = V'_1 + V'_2$. Consider the exact sequence:

$$\begin{aligned} (\spadesuit) \quad H^{m-1}(V'_1 \cap V'_2, \mathcal{O}_{V'_1 \cap V'_2}) &\rightarrow H^m(V', \mathcal{O}_{V'}) \\ &\rightarrow H^m(V'_1, \mathcal{O}_{V'_1}) \oplus H^m(V'_2, \mathcal{O}_{V'_2}). \end{aligned}$$

By the Serre duality, $H^m(V'_i, \mathcal{O}_{V'_i})$ is dual to $H^0(V'_i, \mathcal{O}_{V'_i}(K_{V'_i}))$. We put $f_i = f|_{V'_i}$ for $i = 1, 2$. We can write

$$K_{V'_i} + V'_j|_{V'_i} = f_i^*(K_{V_i} + V_j|_{V_i}) + F_i \sim F_i$$

for $\{i, j\} = \{1, 2\}$ where F_i is an effective f_i -exceptional divisor. We note that $K_{V_i} + V_j|_{V_i} = K_V|_{V_i} \sim 0$. Let H be an ample Cartier divisor on V . Then $(f_i^*H)^{m-1} \cdot K_{V'_i} < 0$ because $V'_j|_{V'_i} \neq 0$ for $i = 1, 2$. Thus $H^0(V'_i, \mathcal{O}_{V'_i}(K_{V'_i})) = 0$ for $i = 1, 2$. This means that $H^m(V'_i, \mathcal{O}_{V'_i}) = 0$ for $i = 1, 2$. So the last term in (\spadesuit) is zero. Therefore, we obtain that

$$\mathrm{Gr}_k^W H^{m-1}(V'_1 \cap V'_2, \mathcal{O}_{V'_1 \cap V'_2}) \rightarrow \mathrm{Gr}_k^W H^m(V', \mathcal{O}_{V'})$$

is surjective for every k . We note that $V'_1 \cap V'_2$ is an $(m-1)$ -dimensional projective simple normal crossing variety and that $V'_1 \cap V'_2$ has at most two connected components by [F1, Proposition 2.1] with the aid of [KM, Theorem 5.48] and Theorem 2.6. Moreover, each connected component of $V'_1 \cap V'_2$ satisfies the assumptions of this theorem and the dimension of the minimal stratum of each connected component of $V'_1 \cap V'_2$ is also μ . Therefore, by the induction on dimension, we obtain that $\mathrm{Gr}_k^W H^m(V', \mathcal{O}_{V'}) \neq 0$ if and only if $k = \mu$.

We obtain all the desired results. \square

Remark 5.3 (Semi-stable minimal models for varieties with trivial canonical divisor). Let $f : X \rightarrow Y$ be a projective surjective morphism from a smooth quasi-projective variety X to a smooth quasi-projective curve Y . Assume that f is smooth over $Y \setminus P$, $K_{f^{-1}(Q)} \sim 0$ for every $Q \in Y \setminus P$, and f^*P is a reduced simple normal crossing divisor on X . Then we obtain a relative good minimal model $f' : X' \rightarrow Y$ of

$f : X \rightarrow Y$ by [F6, Theorem 1.1]. Then the special fiber $S = f'^*P$ is a semi divisorial log terminal variety with $K_S \sim 0$. So, we can apply Theorem 5.2 to S .

As an application of Theorem 5.2, we obtain the following theorem.

Theorem 5.4. *Let $P \in X$ be an isolated lc singularity with index one which is not lt. Then $P \in X$ is of type $(0, i)$ if and only if $\mu(P \in X) = i$.*

Proof. We apply Theorem 5.2 to $E \rightarrow D$ in 4.5. Then we obtain

$$\mathrm{Gr}_\mu^W H^{n-1}(E, \mathcal{O}_E) \neq 0$$

where $\mu = \mu(P \in X)$. This means that $P \in X$ is of type $(0, \mu)$. \square

We note that Theorem 5.4 also follows from [I2, Proposition 7.4.8] and [I3] (see [F2, Remark 4.13]).

Anyway, by Theorem 5.4, our approach in [F2] and this paper is compatible with Ishii's theory developed in [I1], [I2], and [I4].

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