ON THE BEHAVIOUR OF STRONG SEMISTABILITY IN GEOMETRIC DEFORMATIONS

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Abstract. Let $Y \to B$ be a relative smooth projective curve over an affine integral base scheme B of positive characteristic. We provide for all prime characteristics example classes of vector bundles $\mathcal S$ over Y such that $\mathcal S$ is generically strongly semistable and semistable but not strongly semistable for some special fibre.

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Introduction

Let B be an affine base scheme over a field k of positive characteristic p and consider a relative smooth projective curve $Y \to B$. Let S be a vector bundle over Y so that every point $t \in B$ induces a vector bundle S_t on the fibre Y_t . We are interested in the question how the property of S_t being strongly semistable varies with t. Recall that a vector bundle on a smooth projective curve over a field of positive characteristic is called strongly semistable if all its Frobenius pull-backs are semistable.

The set of base points $t \in B$ such that the bundle S_t is semistable is open (possibly empty) – see [15, Corollary 3.12 and the remark before Proposition 5.2]. As we are in equal characteristic p, the eth Frobenius pull-back $F^{e^*}S$ is again a bundle on the given curve and the semistability property of its induced bundles on the fibres also defines an open subset. Therefore the set of points parametrising strongly semistable bundles is a countable intersection of open subsets. In characteristic 2 and 3, P. Monsky has given examples (in the language of Hilbert-Kunz theory) over the affine line (minus some points) such that the bundle is strongly semistable over the generic point but for no closed point (see [16], [17]).

In this paper we want to provide in all characteristics new example classes of bundles over $Y \to B$, where B is an affine smooth curve of finite type over k, such that the generic bundle S_{η} over Y_{η} is strongly semistable for the generic point $\eta \in B$ and semistable but not strongly semistable for some closed point $t \in B$. By localising at t we get then a bundle over a relative curve over a discrete valuation domain such that the generic bundle is strongly semistable and such that the special bundle is semistable but not strongly semistable.

We describe here two constructions which lead to such examples. In both constructions we use syzygy bundles S = Syz, i.e. bundles given by short exact sequences of the form

$$0 \longrightarrow \operatorname{Syz}(f_1, \dots, f_n) \longrightarrow \bigoplus_{i=1}^n \mathcal{O}_Y(-d_i) \stackrel{f_1, \dots, f_n}{\longrightarrow} \mathcal{O}_Y \longrightarrow 0.$$

Here the f_i are homogeneous elements of degree d_i in a graded ring R with Y = Proj R which are primary to the maximal graded ideal. Syzygy bundles exhibit a rich behaviour yet they are accessible for computations. In the end, we will work with syzygy bundles of rank two (given by three generators) on plane curves.

In the first construction (Section 1) the curve family will be trivial, i. e. a product $Y_0 \times B \to B$ where Y_0 is a smooth projective curve over k, and the syzygy bundle will be given by homogeneous elements varying with the basis. With this construction we provide examples in all characteristics for Fermat curves of some degree $\delta \geq 5$.

In the second construction (Sections 3 and 4 after some prepatory work in Section 2) the syzygy bundle is defined on the projective plane \mathbb{P}^2 and the family arises by restricting to a certain family of plane curves of degree 4. Due to computational constraints, we can provide with this method only examples for characteristics $p \leq 3331$ with 103 exceptions, the smallest one being 103.

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1. Deforming syzygy bundles on a fixed curve

Suppose that we have a fixed smooth projective curve Y over an algebraically closed field k of positive characteristic. The moduli space \mathcal{M} of semistable vector bundles of given rank and degree [13] may also contain points representing stable but not strongly semistable bundles. If we connect such a point with a point representing a strongly semistable bundle by an integral affine curve B, then the universal bundle on \mathcal{M} (if it exists) induces a bundle \mathcal{S} on $Y \times B$ such that for some closed point $b_0 \in B$ the bundle \mathcal{S}_0 is strongly semistable and such that for some closed point $b_1 \in B$ the bundle \mathcal{S}_1 is semistable but not strongly semistable. In this case the bundle \mathcal{S}_n on the generic curve Y_n over $\kappa(\eta)$ is also strongly semistable.

However, we are particularly interested in bundles of degree 0. So there is no universal bundle. We still would like to connect a semistable but not strongly semistable bundle with the trivial bundle of the same rank (for simplicity).

In order to get concrete examples with such a behaviour we work instead directly with syzygy bundles and deform their defining sections. Fix an ample line bundle $\mathcal{O}_Y(1)$ on Y and a degree type (d_1,\ldots,d_n) . For a tuple of global sections $f_i \in \Gamma(Y,\mathcal{O}_Y(d_i))$ we get a syzygy bundle $\mathcal{S}_0 = \operatorname{Syz}(f_1,\ldots,f_n)$ provided that the sections determine a surjective map $\bigoplus_i \mathcal{O}_Y(-d_i) \to \mathcal{O}_Y$. If g_1,\ldots,g_n is another such tuple (with the same degree type and syzygy bundle $\mathcal{S}_1 = \operatorname{Syz}(g_1,\ldots,g_n)$), then we can consider for $t_1,\ldots,t_n \in k$ the family

$$Syz(t_1g_1 + (1 - t_1)f_1, \dots, t_ng_n + (1 - t_n)f_n)$$

of syzygy bundles on $Y \times \mathbb{A}^n \to \mathbb{A}^n$ (or over an open subset of \mathbb{A}^n). For $t = (t_1, \ldots, t_n) = (0, \ldots, 0)$ the fiber is \mathcal{S}_0 and for $t = (1, \ldots, 1)$ the fiber is \mathcal{S}_1 . Note that one should look at this family over an open subset of \mathbb{A}^n to make sure that the corresponding morphisms are surjective for every parameter (this is not automatically fulfilled, as the example $\operatorname{Syz}(x,y)$ and $\operatorname{Syz}(y,x)$ on \mathbb{P}^1_k , where k is a field of characteristic $\neq 2$, shows). Also, if \mathcal{S}_0 and \mathcal{S}_1 are semistable, one might shrink the open subset further to ensure that all bundles in the family are semistable.

The following simple instance of this construction yields already a large class of examples of families with the properties described in the introduction. We look at examples of semistable rank two syzygy bundles on Fermat curves and we deform one parameter of the defining sections to get the trivial bundle.

1.1. **Theorem.** Let $\delta \geq 5$ be a natural number and let p be a prime number such that there exists e so that $2r^e < \delta < 3r^e$, where $r = p \mod \delta$, and where r^e is considered modulo δ as the least non-negative representative. Let k be a field of characteristic p and let

$$S = Syz(x^2, y^2, tz^2 + (1 - t)xy)(3)$$

$$Y = \operatorname{Proj} k[t][x, y, z]/(x^{\delta} + y^{\delta} - z^{\delta}) \longrightarrow \operatorname{Spec} k[t]$$
.

Then for t=1 the special bundle S_1 is semistable but not strongly semistable and for t=0 the special bundle S_0 is trivial, hence strongly semistable. For the generic point $\eta \in \operatorname{Spec} k[t]$ the bundle S_{η} on Y_{η} is strongly semistable.

Proof. First of all, note that $Y \to \operatorname{Spec} k[t]$ is flat by [9, Proposition III.9.7]. Furthermore, the sheaf of relative differentials is locally free so that the morphism is indeed smooth. The specialisation t=1 yields the syzygy bundle $\operatorname{Syz}(x^2,y^2,z^2)(3)$ which is semistable but not strongly semistable under the given numerical condition by virtue of [2, Corollary 2].

The specialisation t = 0 yields $\operatorname{Syz}(x^2, y^2, xy)(3)$ which is already defined on \mathbb{P}^1_k and splits as $\mathcal{O}^2_{Y_0}$ (the trivialising sections are the syzygies (0, x, -y), (y, 0, -x)). Therefore \mathcal{S}_0 is strongly semistable and hence \mathcal{S}_{η} is also strongly semistable by the openness of semistability.

The bundles in this family have rank 2 and degree 0. We do not know whether the bundles are semistable for all t, but they are for an open subset. The locus of points parametrizing strongly semistable bundles need not be open. Note that the generic bundle in this family is not trivial. Indeed, the specialisation at t=1 does not have a non-trivial global section and by semicontinuity ([9, Theorem III.12.8]) this also holds generically.

If δ is a prime number ≥ 5 then there do exist prime numbers p fulfilling the given numerical condition. On the other hand, for every prime number p there exist natural numbers δ with $2p < \delta < 3p$ and $\delta \geq 5$. Hence, Theorem 1.1 provides examples for all characteristics.

Localising we immediately obtain

1.2. Corollary. Let $\delta \geq 5$ be a natural number and let p be a prime number such that there exists e so that $2r^e < \delta < 3r^e$, where $r = p \mod \delta$. Let k be a field of characteristic p and let $V = k[t]_{(t-1)}$. Then the syzygy bundle

$$S = Svz(x^2, y^2, tz^2 + (1 - t)xy)(3)$$

on the smooth relative curve

$$Y = \operatorname{Proj} V[x, y, z] / (x^{\delta} + y^{\delta} - z^{\delta}) \longrightarrow \operatorname{Spec} V$$

has strongly semistable generic fibre and semistable but not strongly semistable special fibre.

1.3. **Example.** For $\delta = 5$ exactly the prime characteristics p with $p = 2, 3 \mod 5$ fulfill the numerical condition of Theorem 1.1. For $p = 1, 4 \mod 5$ we do not know whether there are semistable but not strongly semistable fibres in the family.

2. Sufficient criteria for semistability

In this section we provide several sufficient criteria for deciding whether a given vector bundle on a curve is (strongly) semistable. Similar considerations also occur in [11, Chapter 3]. We say that a global section $s \in \Gamma(Y, \operatorname{Syz}(m))$ is a syzygy of total degree m.

2.1. **Lemma.** Let Y be a smooth projective curve of genus g > 0 over a field k of positive characteristic p > 0 with an ample line bundle $\mathcal{O}_Y(1)$. Let S be a rank 2 vector bundle of slope μ . Then S is strongly semistable if and only if $F^{e*}S$ has no global section of total degree $< -\frac{\mu}{\deg \mathcal{O}_Y(1)}q$ for all $q = p^e$.

If moreover, $\mathcal{O}_Y(1)$ has a non-trivial global section then it is enough that $F^{e*}\mathcal{S}$ has no non-trivial global section of total degree $-([\frac{\mu}{\deg \mathcal{O}_Y(1)}q]+1)$ for all $q=p^e$.

Proof. Since $F^{e^*}\mathcal{S}$ is of rank 2 it is semistable if and only if there are no line bundles of degree $> \mu q$ injecting into $F^{e^*}\mathcal{S}$.

If $F^{e^*}\mathcal{S}(m)$ has a non-trivial global section, with $m<-\frac{\mu}{\deg\mathcal{O}_Y(1)}q$, then we obtain an exact sequence $0\to\mathcal{O}_Y(-m)\to F^{e^*}\mathcal{S}$ contradicting semistability. Conversely, let $0\to\mathcal{L}\to F^{e^*}\mathcal{S}$ be exact, where \mathcal{L} is a line bundle with $\deg\mathcal{L}>$

Conversely, let $0 \to \mathcal{L} \to F^{e^*}\mathcal{S}$ be exact, where \mathcal{L} is a line bundle with $\deg \mathcal{L} > q\mu$. Let $q' = p^{e'}$ be such that $q'(\deg \mathcal{L} - q\mu) \ge 2g - 1 + \deg \mathcal{O}_Y(1)$, and let l be such that

$$-\deg \mathcal{O}_{Y}(1) \le \mu(F^{(e+e')^{*}}\mathcal{S})(l)) = p^{e+e'}\mu + l\deg \mathcal{O}_{Y}(1) < 0,$$

i. e. $-1 - \frac{p^{e+e'}\mu}{\deg \mathcal{O}_Y(1)} \le l < -\frac{p^{e+e'}\mu}{\deg \mathcal{O}_Y(1)}$. We have the injection $(F^{e'^*}\mathcal{L})(l) = \mathcal{L}^{q'}(l) \to (F^{(e+e')^*}\mathcal{S})(l)$ and

$$\deg(\mathcal{L}^{q'}(l)) = q' \deg(\mathcal{L}) + l \deg(\mathcal{O}_Y(1)) \ge q' \deg(\mathcal{L}) - \deg(\mathcal{O}_Y(1)) - p^{e+e'} \mu \ge 2g - 1.$$

So by [9, Example IV.1.3.4] and since g > 0 it must have a non-trivial global section. If $\mathcal{O}_Y(1)$ and $\mathcal{S}(m)$ both have a non-trivial global section then a fortiori $\mathcal{S}(m+1)$. Hence, in this case it is enough to check that $F^{e^*}\mathcal{S}$ has no global sections in degree m, where m is the maximal $m \in \mathbb{Z}$ such that $\mu((F^{e^*}\mathcal{S})(m)) < 0$.

We will call the degree occuring in the last statement of the previous lemma the critical degree. A similar proof yields the following sufficient condition for semistability.

2.2. **Lemma.** Let Y be a smooth projective curve of genus g > 0 over a field k of positive characteristic p > 0 with an ample line bundle $\mathcal{O}_Y(1)$. Let \mathcal{S} be a rank 2 vector bundle of slope μ . Suppose that $F^{e*}\mathcal{S}$ has no global sections of total degree $< -\frac{\mu}{\deg \mathcal{O}_Y(1)}q$ for some $q = p^e \ge 2g - 1 + \deg \mathcal{O}_Y(1)$. Then \mathcal{S} is semistable.

If moreover, $\mathcal{O}_Y(1)$ has a non-trivial global section then \mathcal{S} is semistable if $F^{e^*}\mathcal{S}$ has no global sections of total degree $-([\frac{\mu}{\deg \mathcal{O}_Y(1)}q]+1)$ for some $q=p^e\geq 2g-1+\deg \mathcal{O}_Y(1)$.

For a plane curve of degree δ the bound in the previous lemma becomes $q \ge (\delta - 1)^2$.

2.3. **Lemma.** Let Y be a smooth projective curve over a field k of positive characteristic p > 0 with a fixed ample line bundle $\mathcal{O}_Y(1)$. Let \mathcal{S} be a vector bundle of rank 2 on Y. If $(F^*\mathcal{S})(m)$ has a global section s without zeros, where $m < -\frac{p \deg \mathcal{S}}{2 \deg \mathcal{O}_Y(1)}$ and $p \nmid 2m \deg \mathcal{O}_Y(1)$ then \mathcal{S} is semistable but not strongly semistable.

Proof. First of all, since S has rank two any Harder-Narasimhan filtration is automatically strong. Moreover, $0 \to \mathcal{O}_Y \xrightarrow{\cdot s} (F^*S)(m)$ is a strong Harder Narasimhan filtration of $(F^*S)(m)$. Denote the cokernel by Q. Its degree is

$$\deg \mathcal{Q} = \deg(F^*\mathcal{S})(m) = p \deg \mathcal{S} + 2m \deg \mathcal{O}_Y(1)$$

Hence $\deg \mathcal{Q}$ is not divisible by p so that \mathcal{Q} cannot be the Frobenius pull-back of some other line bundle. Hence \mathcal{S} is semistable.

Note that this method is in principle also applicable for smooth surfaces since we may pass to the reflexive hull of a destabilising subsheaf which is locally free ([10, Corollary 1.4]).

3. Restricting a syzygy bundle to varying curves - generic case

In this section we want to "fix the syzygy bundle and deform the curve". What we mean by this is that we fix a syzygy bundle $\mathcal S$ on $\mathbb P^2_k$ and study the restriction of $\mathcal S$ to a family of plane curves $Y_t \subset \mathbb P^2_k$ of a certain degree δ . So $\mathcal S = \operatorname{Syz}(f_1,\ldots,f_n)$ with

homogeneous polynomials $f_i \in k[x, y, z]$ and $Y_t = V_+(G_t)$ where $G_t \in k[t, x, y, z]$ is homogeneous with respect to x, y, z and where t is either a new variable or represents any deforming parameter. If S is semistable on \mathbb{P}^2 , then it is natural to expect that for (very) generic t the restriction to Y_t is (strongly) semistable, but for specific t anything may happen. There are many strong results in this direction ([14], [7], [1], [3], [12]).

We shall study the semistability properties of $S_t = \operatorname{Syz}(f_1, \ldots, f_n)|_{Y_t}$ by looking at the existence of non-trivial global sections of the Frobenius pull-backs

$$F^{e^*} \mathcal{S}_t \cong \operatorname{Syz}(f_1^q, \dots, f_n^q)|_{Y_t}$$
.

 $(q=p^e)$ in certain critical degree twists of the bundle. The existence of such non-trivial global sections is equivalent to the property that certain systems of linear equations have a non-zero solution, which in turn depends on the (non)vanishing of certain determinants. These determinants will be non-zero polynomials in t, but for certain values of t they will have a zero producing a (strong) semistability behaviour different from the generic behaviour.

We will mainly work with the syzygy bundles $\operatorname{Syz}(x^a,y^a,z^a)$ and their restrictions to a family of plane curves, where we allow transcendental coefficients. For a=1 this is directly related to the Hilbert-Kunz multiplicity of the corresponding coordinate ring.

3.1. **Theorem.** Let k denote a field of positive characteristic p > 0 and consider the smooth generic plane projective curve Y of even degree δ (prime to p) given by the homogeneous coordinate ring

$$k(t)[x,y,z]/(x^{\delta}+y^{\delta}+tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}}-z^{\delta})$$
.

Then the syzygy bundle $\operatorname{Syz}(x^a,y^a,z^a)$ $(a\in\mathbb{N}_+$ such that $\delta\nmid a)$ is strongly semistable on Y.

Proof. By Lemma 2.1 it is enough to show that for every $q = p^e$ the syzygy bundle $\operatorname{Syz}(x^{aq}, y^{aq}, z^{aq})$ has no global sections of total degree $<\frac{3}{2}aq$.

Write $aq = \delta l + r$ with $0 < r < \delta$ (note that we have strict inequality due to the constraints we imposed on a and δ). By [2, Lemma 1] global sections of minimal degree of $F^{e^*} \mathcal{S} = \operatorname{Syz}(x^{aq}, y^{aq}, z^{aq})$ stem from global sections of

$$\mathcal{F}_l = \operatorname{Syz}(x^{aq}, y^{aq}, (x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}})^l)$$

(add r to the total degree) or of

$$\mathcal{F}_{l+1} = \operatorname{Syz}(x^{aq}, y^{aq}, (x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}})^{l+1})$$

(of the same total degree) or a sum of such syzygies¹. Set $f = x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}}$. To determine the global sections of \mathcal{F}_l and \mathcal{F}_{l+1} we can work on the projective line $\mathbb{P}^1_{k(t)}$ or the ring k(t)[x,y] respectively. The critical degree of \mathcal{S} is $\lceil \frac{3aq+r}{2} \rceil - 1$ while the critical degree of \mathcal{F}_l is $\lceil \frac{3aq+r}{2} \rceil - 1$ and that of \mathcal{F}_{l+1} is $\lceil \frac{3aq+\delta-r}{2} \rceil - 1$. We will show that \mathcal{F}_l and \mathcal{F}_{l+1} have no non-trivial global sections of critical degree. Then also $F^{e^*}\mathcal{S}$ will not have non-trivial global sections of critical degree.

First we consider the case \mathcal{F}_l . Assume that there is a non-trivial syzygy $\alpha x^{aq} + \beta y^{aq} + \gamma f^l = 0$ of critical degree m. In particular, we must have $\gamma f^l \equiv 0$ mod (x^{aq}, y^{aq}) (conversely, if this condition holds for some non-zero γ then we obtain a non-trivial syzygy). That is, we must have that each coefficient ω_v (in k[t]) in

$$\gamma f^l = \sum_v \omega_v x^v y^{m-v}$$

¹A section (s_1, s_2, s_3) of \mathcal{F}_l yields the syzygy $(z^r s_1, z^r s_2, s_3)$ of $\operatorname{Syz}(x^{aq}, y^{aq}, z^{aq})$ and a section (t_1, t_2, t_3) of \mathcal{F}_{l+1} yields the syzygy $(t_1, t_2, z^{\delta-r}t_3)$ of $\operatorname{Syz}(x^{aq}, y^{aq}, z^{aq})$.

with m-v < aq and v < aq is zero. This is the case if and only if $w_v = 0$ for $m-aq+1 = \frac{\delta l}{2} \le v \le aq-1 = m-\frac{\delta l}{2}$. Let us write

$$f^l = \sum_{i=0}^{\delta l} D_i x^i y^{\delta l - i}$$
 and $\gamma = \sum_{u=0}^{m-\delta l} c_u x^u y^{m-\delta l - u}$.

Then $\omega_v = D_v c_0 + \ldots + D_{v-m+\delta l} c_{m-\delta l}$. In particular, we set $D_i = 0$ if i < 0 or if $i > \deg f^l$. The condition that the w_v be zero translates to a system of linear equations in the c_u which we may write in the form of a square matrix of length $m - \delta l + 1 = aq - \frac{\delta l}{2}$ as

$$\begin{pmatrix} w_{\frac{\delta l}{2}} \\ w_{\frac{\delta l}{2}+1} \\ \vdots \\ w_{m-\frac{\delta l}{2}-1} \\ w_{m-\frac{\delta l}{2}} \end{pmatrix} = \begin{pmatrix} D_{\frac{\delta l}{2}} & D_{\frac{\delta l}{2}-1} & D_{\frac{\delta l}{2}-2} & \dots & D_{\frac{3}{2}\delta l-m} \\ D_{\frac{\delta l}{2}+1} & D_{\frac{\delta l}{2}} & D_{\frac{\delta l}{2}-1} & \dots & D_{\frac{3}{2}\delta l-m+1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ D_{m-\frac{\delta l}{2}-1} & D_{m-\frac{\delta l}{2}-2} & D_{m-\frac{\delta l}{2}-3} & \dots & D_{\frac{\delta l}{2}-1} \\ D_{m-\frac{\delta l}{2}} & D_{m-\frac{\delta l}{2}-1} & D_{m-\frac{\delta l}{2}-2} & \dots & D_{\frac{\delta l}{2}} \end{pmatrix} \begin{pmatrix} c_{0} \\ c_{1} \\ \vdots \\ c_{m-\delta l-1} \\ c_{m-\delta l} \end{pmatrix}.$$

The *i*th column of the matrix is obtained by taking the coefficients of f^l whose degrees in x range from $\frac{\delta l}{2} - i + 1$ to aq - i.

This system has a non-trivial solution if and only if the determinant of this square matrix is zero. This determinant is a polynomial in k[t].

The coefficient $D_{\frac{\delta l}{2}}$ is of the form t^l+ terms of lower degree and the other entries D_j for $j \neq \frac{\delta l}{2}$ are polynomials of degree $\leq l-1$ in t. Since the $D_{\frac{\delta l}{2}}$ occur exactly in the diagonal of the matrix we have that the determinant is a polynomial of degree $l(aq - \frac{\delta l}{2})$ in t. In particular, it is not the zero polynomial and hence invertible in k(t). Therefore there is no non-trivial solution to the above system.

Next, we turn our attention to the case of \mathcal{F}_{l+1} . Again we denote the critical degree by m. By a similar argument to the previous case we obtain that there is a non-trivial syzygy (α, β, γ) of total degree m if and only if the w_v in $\gamma f^{l+1} = \sum_{v=0} w_v x^v y^{m-v}$ are zero for $m - aq + 1 = \frac{\delta(l+1)}{2} \leq v \leq aq - 1$. Again we write

$$f^{l+1} = \sum_{i=0}^{\delta(l+1)} E_i x^i y^{\delta l - i} \text{ and } \gamma = \sum_{u=0}^{m-\delta(l+1)} c_u x^u y^{m-(l+1)\delta - u}.$$

We set $E_i = 0$ for i < 0 or $i > \deg f^{l+1}$. This translates to a system of linear equations in the c_i which we write with the following square matrix of length $m - \delta(l+1) + 1 = \frac{aq - \delta + r}{2} = aq - \frac{\delta(l+1)}{2}$ as

$$\begin{pmatrix} E_{\frac{\delta(l+1)}{2}} & E_{\frac{\delta(l+1)}{2}-1} & E_{\frac{\delta(l+1)}{2}-2} & \dots & E_{\frac{3}{2}\delta(l+1)-m} \\ E_{\frac{\delta(l+1)}{2}+1} & E_{\frac{\delta(l+1)}{2}} & E_{\frac{\delta(l+1)}{2}-1} & \dots & E_{\frac{3}{2}\delta(l+1)-m+1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ E_{m-\frac{\delta(l+1)}{2}-1} & E_{m-\frac{\delta(l+1)}{2}-2} & E_{m-\frac{\delta(l+1)}{2}-3} & \dots & E_{\frac{\delta(l+1)}{2}-1} \\ E_{m-\frac{\delta(l+1)}{2}} & E_{m-\frac{\delta(l+1)}{2}-1} & E_{m-\frac{\delta(l+1)}{2}-2} & \dots & E_{\frac{\delta(l+1)}{2}} \end{pmatrix} \begin{pmatrix} c_0 \\ c_1 \\ \vdots \\ c_{m-\delta(l+1)-1} \\ c_{m-\delta(l+1)-1} \end{pmatrix}.$$

The coefficient E_i is a polynomial in t of degree l+1 if $i=\frac{\delta(l+1)}{2}$ and of degree l+1 otherwise. Hence, we obtain that the determinant is a polynomial of degree $(l+1)(aq-\frac{\delta(l+1)}{2})$ in t. In particular, the matrix is invertible over k(t).

3.2. **Remark.** In the class of examples considered in Theorem 3.1 we may assume that $\gcd(\frac{\delta}{2},a)=1$. For if $mr=\frac{\delta}{2}$ and mb=a then we can obtain $\operatorname{Syz}(x^a,y^a,z^a)$ as the pull-back of $\operatorname{Syz}(u^b,v^b,w^b)$ on $X=\operatorname{Proj} k[u,v,w]/(u^{2r}+v^{2r}+tu^rv^r-w^{2r})$

along the mapping $u \mapsto x^m, v \mapsto y^m, w \mapsto y^m$. In particular, if r = 1 then $X \cong \mathbb{P}^1_k$ and semistability coincides with strong semistability.

3.3. Corollary. Let k denote a field of positive characteristic p > 0 and consider the smooth generic plane projective curve Y of even degree δ (prime to p) given by the homogeneous coordinate ring

$$k(C_{\nu})[x,y,z]/(\sum_{|\nu|=\delta} C_{\nu}x^{\nu_1}y^{\nu_2}z^{\nu_3}).$$

Then the syzygy bundle $\operatorname{Syz}(x^a,y^a,z^a)$ $(a\in\mathbb{N}_+ \text{ such that }\delta\nmid a)$ is strongly semistable on Y.

Proof. By Lemma 2.1 it is enough to show that for every $q = p^e$ the syzygy bundle $\operatorname{Syz}(x^{aq}, y^{aq}, z^{aq})$ has no global sections of total degree $< \frac{3}{2}aq$. Since the non-existence of non-trivial sections is an open property by semicontinuity (cf. [9, Theorem III.12.8] we may restrict to the curve given by

$$z^{\delta} = x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}}$$

over k(t), where t is an indeterminate. Then the result follows from Theorem 3.1.

3.4. **Remark.** Corollary 3.3 can also be deduced (for a=1 and $\delta \geq 2$) from a result of Buchweitz and Chen (see [5, Corollary 1]). They show that the Hilbert-Kunz multiplicity of the homogeneous coordinate ring of a generic plane curve of degree $\delta \geq 2$ is the minimal possible one. This is equivalent to the strong semistability of the syzygy bundle.

4. Restricting a syzygy bundle to varying curves - special case

We now study semistability properties of the restriction of $\operatorname{Syz}(x^a, y^a, z^a)$ to the curve given by $z^{\delta} = x^{\delta} + y^{\delta} + t_0 x^{\frac{\delta}{2}} y^{\frac{\delta}{2}}$ for special parameters $t_0 \in \overline{k}$. The following lemma collects several criteria.

4.1. **Lemma.** Let k be a field of characteristic p > 0 and denote by S the syzygy bundle $\operatorname{Syz}(x^a, y^a, z^a)$ on

$$Y = \operatorname{Proj} k[t][x, y, z] / (x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}} - z^{\delta}),$$

where $\delta \nmid a$, δ even and $p \nmid \delta$. Write $aq = \delta l + r$ with $0 < r < \delta$, where $q = p^e$, and denote by D_q the determinant associated to

$$\mathcal{F}_l = \operatorname{Syz}(x^{aq}, y^{aq}, (x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}})^l)$$

and by E_q the determinant associated to

$$\mathcal{F}_{l+1} = \operatorname{Syz}(x^{aq}, y^{aq}, (x^{\delta} + y^{\delta} + tx^{\frac{\delta}{2}}y^{\frac{\delta}{2}})^{l+1})$$

(as in the proof of Theorem 3.1). Let $t_0 \in \overline{k}$ be such that Y_{t_0} is a smooth fibre of $Y \to \operatorname{Spec} k[t]$. Then the following hold:

- (a) If $D_q(t_0) \neq 0$ and $E_q(t_0) \neq 0$ for all $q = p^e$ then $\mathcal{S}|_{Y_{t_0}}$ is strongly semistable. If $D_{q'}(t_0) \neq 0$ and $E_{q'}(t_0) \neq 0$ for some $q' \geq (\delta 1)^2$ then $\mathcal{S}|_{Y_{t_0}}$ is semistable.
- (b) If $D_q(t_0) = 0$ for some $q = p^e$ with r = 1 then $F^{e^*} \mathcal{S}|_{Y_{t_0}}$ is not semistable. In particular, $\mathcal{S}|_{Y_{t_0}}$ is not strongly semistable.
- (c) If \mathcal{F}_l has a nonzero global section of total degree $\lceil \frac{3aq}{2} \rceil r 1$ or if \mathcal{F}_{l+1} has a nonzero global section of total degree $\lceil \frac{3aq}{2} \rceil 1$ for some $q = p^e$ then $F^{e^*} \mathcal{S}|_{Y_{t_0}}$ is not semistable.

Proof. Part (a) follows via the arguments employed in Theorem 3.1. The second statement follows from Lemma 2.2. As to part (b), we may assume that $\delta \geq 4$. Since r = 1, sections of critical degree for $\mathcal{F}_l|_{Y_{t_0}}$ yield sections of critical degree for $F^{e^*}\mathcal{S}|_{Y_{t_0}}$.

Part (c) is clear since a section of \mathcal{F}_l of total degree m yields a section of total degree m+r in $F^{e^*}\mathcal{S}|_{Y_{t_0}}$ and a section of \mathcal{F}_{l+1} yields a section of the same total degree.

We do not have a general result which guarantees the existence of special elements $t_0 \in \overline{k}$ with the several semistability behaviours described in Lemma 4.1. However, this lemma provides the basis for various computational methods to find such special elements. These methods were implemented in CoCoA (see [6]) and Macaulay2 (see [8]). The computations were made only for $\delta=4$ and a=1, so we restrict to this case.

• Part (b) of Lemma 4.1 yields in theory a way to produce examples of bundles with the properties described in the introduction if $p \equiv 1 \mod \delta$. Fix a prime characteristic p and let D_q be the determinant of the matrix corresponding to \mathcal{F}_{l+1} for $q = p^e$ (a = 1). If $(D_q \cdot E_q)(t) \neq 0$ for some $q \geq (\delta - 1)^2$, then \mathcal{S}_t is semistable. If $D_q(t) = 0$ for some $q = p^e$ (think of a larger q), then $F^{e*}\mathcal{S}_t$ is not semistable, hence \mathcal{S}_t is not strongly semistable. So for given p we "only" have to look for $t \in \overline{k}$ and $q \geq (\delta - 1)^2$ such that $(D_q \cdot E_q)(t) \neq 0$ but $D_{qp}(t) = 0$. As q grows the matrices get larger and so it is natural to expect that the number of zeros grows as well.

We implemented this as follows. Assume that $H_q(t) := (D_q \cdot E_q)(t)$ and $D^{(0)}(t) := D_{qp}(t)$ are as above. One divides $D^{(i)}$ by $\gcd(H_q, D^{(i)})$. Call the resulting polynomial $D^{(i+1)}$, increment i by one and repeat this process until $\gcd(H_q, D^{(i)}) = 1$. If the resulting polynomial has positive degree then we find t_0 as required. Unfortunately, computing determinants is very expensive. Hence, we were only able to use it for p = 5, 13 to get elements $t_0 \in k$ such that the syzygy bundle is semistable but not strongly semistable.

• Lemmata 2.3 and 4.1 (c) provide the following method. Fix a prime number p. For all elements $t_0 \in \mathbb{F}_p$ we compute syzygies of \mathcal{F}_l , \mathcal{F}_{l+1} (for q=p) of minimal degree in $\mathbb{F}_p[x,y]$ (note that it simplifies the computational matters substantially to work in two variables)². These yield syzygies of $\operatorname{Syz}(x^p, y^p, z^p)$ on the curve given by $z^{\delta} = x^{\delta} + y^{\delta} + t_0 x^{\frac{\delta}{2}} y^{\frac{\delta}{2}}$.

Suppose that such a syzygy $s=(s_1,s_2,s_3)$ of $F^*\mathcal{S}=\operatorname{Syz}(x^p,y^p,z^p)$ shows that this bundle is not semistable, which is just a degree condition. Then we check the conditions of Lemma 2.3. To verify that s has no zeros we have to check that s_1,s_2,s_3 generate an R_+ -primary ideal. This in turn is equivalent to checking that $k[x,y,z]/(x^\delta+y^\delta+t_0x^\frac\delta2y^\frac\delta2-z^4))/(s_1,s_2,s_3)$ is zerodimensional. Finally, we check that the degree fulfills the divisibility condition. If for some $t_0\in\mathbb{F}_p$ all these three conditions are fulfilled, then the syzygy bundle is semistable and its first Frobenius pull-back is not semistable anymore.

With this method we have found examples for most prime numbers $p \leq 3331$, but also with many exceptions. For $p \leq 100$ the exceptions are 7, 23, 31, 47, 89. For p = 7 we also worked with higher Frobenius pull-backs but this was not successful.

• For the prime numbers p=7,23,31,47,89 (the only cases ≤ 100 where the second method failed) we worked with \mathbb{F}_{p^2} instead. This is computationally more expensive as we need a third variable. The second method directly applied to \mathbb{F}_{p^2} is also expensive as we have to run through p^2 elements. Hence, we rather looked

 $^{^2}$ We restricted ourselves to prime fields since CoCoA does not yet support general finite fields.

at the zeros of the determinants and found elements $t_0 \in \mathbb{F}_{p^2}$ with the looked-for behaviour.

4.2. **Example.** Let p = 3. Then the determinants associated to

$$\mathcal{F}_0 = \text{Syz}(x^3, y^3, (x^4 + y^4 - tx^2y^2)^0)$$

and to

$$\mathcal{F}_1 = \text{Syz}(x^3, y^3, (x^4 + y^4 - tx^2y^2)^1)$$

are D=1 and E=t respectively. So only E has a zero over \mathbb{F}_3 and the corresponding curve is the Fermat quartic $x^4+y^4-z^4$ over \mathbb{F}_3 . Then $\mathcal{F}_1|_{t_0}=\operatorname{Syz}(x^3,y^3,(x^4+y^4))$ is generated by $(x,y,-1),(y^3,-x^3,0)$. Hence, we obtain the syzygies s=(x,y,-z) and $t=(y^3,-x^3,0)$ for $\operatorname{Syz}(x^3,y^3,z^3)$. Both sections do not have a zero since the components generate a primary ideal on the Fermat quartic. The syzygy s is of total degree 4 and t is a (Koszul-)syzygy of total degree 6. In particular, the total degree of t fails to satisfy both of the numerical conditions of Lemma 2.3 while the total degree of s satisfies these conditions. Hence, we obtain via Lemma 2.3 that $\operatorname{Syz}(x,y,z)$ is semistable on the Fermat quartic over \mathbb{F}_3 while its first Frobenius pull-back is not semistable.

4.3. **Example.** For p = 7 we have $7 = 4 \cdot 1 + 3$ and so we obtain the matrices

$$\begin{pmatrix} t & 0 & 1 & 0 & 0 \\ 0 & t & 0 & 1 & 0 \\ 1 & 0 & t & 0 & 1 \\ 0 & 1 & 0 & t & 0 \\ 0 & 0 & 1 & 0 & t \end{pmatrix}$$

with determinant $D = t^5 + 4t^3 + 2t$ (corresponding to \mathcal{F}_1) which has the zeros 0, 1, 3, 4, 6 over \mathbb{F}_7 and

$$\begin{pmatrix} t^2 + 2 & 0 & 2t \\ 0 & t^2 + 2 & 0 \\ 2t & 0 & t^2 + 2 \end{pmatrix},$$

with determinant $E=t^6+2t^4+4t^2+1$ which factors as $(t^2+2)(t^2+5t+2)(t^2+2t+2)$. In particular, E has no zeros over the prime field. However, all syzygies for $\operatorname{Syz}(x^7,y^7,z^7)$ that we obtained via \mathcal{F}_1 fail to be of total degree $<-\frac{p\deg\mathcal{S}}{2\deg\mathcal{O}_Y(1)}=\frac{21}{2}$. In order to settle the case p=7 we then looked at the fibre t_0 in \mathbb{F}_{49} satisfying $t_0^2+2=0$ and directly computed generators of $\operatorname{Syz}(x^7,y^7,z^7)$ on the curve $z^4=x^4+y^4+t_0x^2y^2$. In particular, this yielded the syzygy $(-2y^3t_0-x^2y,-2x^3t_0-xy^2,xyz)$ which is primary and satisfies all the numerical conditions of Lemma 2.3.

4.4. **Remark.** In order to prove that for all (or at least for infinitely many) prime numbers there exist elements $t_0 \in \overline{\mathbb{F}}_p$ such that $\mathrm{Syz}(x,y,z)$ is semistable but not strongly semistable on the corresponding curve one needs probably a better understanding of the structure of the matrices occurring in the proof of Theorem 3.1 and their determinants. By the structure theorem on finitely generated modules over principal ideal domains, these matrices are similar to diagonal matrices

$$\begin{pmatrix} Q_1 & 0 & \dots & 0 \\ 0 & Q_2 & \dots & 0 \\ \vdots & \dots & \ddots & \vdots \\ 0 & \dots & 0 & Q_s \end{pmatrix},$$

with polynomial entries Q_i where Q_i divides Q_{i+1} . For $t_0 \in \overline{\mathbb{F}}_p$, the number of polynomials occurring on the diagonal which vanish at t_0 is directly related to the minimal degree k where $\mathcal{F}_l(k)$ (or $\mathcal{F}_{l+1}(k)$) has a nonzero section and hence via Lemma 4.1 (c) to the destabilizing behaviour of F^{e^*} Syz. We do neither know an

explicit description of the polynomials occurring on the diagonal nor what it means when t_0 is a zero of higher order of some of these polynomials.

Combining the generic result of Section 3 and the computations we obtain the following class of examples.

4.5. **Proposition.** Let k be a field of positive characteristic p and consider the syzygy bundle $S = \operatorname{Syz}(x, y, z)$ on the curve

$$Y = \text{Proj } k[t][x, y, z]/(x^4 + y^4 + tx^2y^2 - z^4) \to \text{Spec } k[t].$$

Then \mathcal{S} is strongly semistable on the generic fibre $Y_{k(t)}$. For all primes $p \leq 3331$, $p \neq 103, 151, 199, 239, 241, 257, 263, 281, 311, 313, 337, 367, 401, 409, 433, 457, 577, 601, 641, 647, 673, 719, 727, 743, 809, 823, 881, 887, 911, 919, 937, 953, 967, 977, 1033, 1129, 1153, 1217, 1249, 1279, 1303, 1327, 1399, 1409, 1423, 1471, 1481, 1487, 1511, 1543, 1583, 1601, 1607, 1609, 1663, 1697, 1759, 1801, 1823, 1831, 1871, 1873, 1913, 1951, 1993, 1999, 2063, 2081, 2087, 2089, 2113, 2137, 2153, 2161, 2207, 2287, 2297, 2311, 2399, 2441, 2447, 2473, 2503, 2543, 2591, 2609, 2617, 2633, 2713, 2719, 2801, 2833, 2879, 2927, 2969, 3001, 3023, 3041, 3049, 3089, 3167, 3191, 3217, 3257, 3313, there is a closed point <math>t_0 \in \operatorname{Spec} k[t]$ with smooth fibre Y_{t_0} such that the special bundle \mathcal{S}_{t_0} on Y_{t_0} is semistable but not strongly semistable.

Proof. The first claim is immediate from Theorem 3.1. To check semistability we applied the method based on Lemmata 2.3 and 4.1 (c) to S.

4.6. **Remark.** (a) The bundles in Proposition 4.5 do not have degree 0, but this can be remedied easily. Consider the finite covering

$$\varphi: X = \operatorname{Proj} k[t][u, v, w]/(u^8 + v^8 + tu^4v^4 - w^8) \longrightarrow Y$$

induced by $x \mapsto u^2, y \mapsto v^2$ and $w \mapsto z^2$ and note that $\varphi^* \mathcal{O}_Y(1) = \mathcal{O}_X(2)$. Hence, we obtain that $\deg \varphi = 4$. Consequently,

$$\varphi^* \mathcal{S} \otimes \mathcal{O}_X(3) = \operatorname{Syz}(u^2, v^2, w^2)(3)$$

is of degree zero.

Moreover, S is still generically strongly semistable. Since φ is separable, φ^*S is still semistable but not strongly semistable for some special fibre for any p as in Proposition 4.5. Thus passing to a finite separable covering we find examples where S is of degree zero.

- (b) Passing to a quartic field extension of k(t) and then applying a suitable automorphism of \mathbb{P}^1 we may rewrite our curve equation as $z^4 xy(x+y)((\frac{2a}{a+b}-1)x+\frac{a+b}{a-b}y)$, where $\pm a, \pm b$ denote the zeros of x^4+tx^2+1 . Write $A=(\frac{2a}{a+b}-1)$ and $B=\frac{a+b}{a-b}$. Again passing to a field extension, transforming $z\mapsto A^{-\frac{1}{4}}z$ and replacing t by $s=\frac{B}{A}$ as a variable we have an isomorphism with $z^4-xy(x+y)(x+sy)$.
- 4.7. **Remark.** It is worth mentioning that similar examples already occured in characteristic 2 and 3 in Hilbert-Kunz theory. More precisely, Paul Monsky showed in [17, Theorem III] that the Hilbert-Kunz multiplicity of (x, y, z) in the fibre ring

$$\mathbb{F}_3[t][x, y, z]/(z^4 - xy(x+y)(x+ty))$$

is $3 + \frac{1}{9d}$ or 3 depending on whether t is algebraic or not, where $d = (\mathbb{F}_3(t) : \mathbb{F}_3)$.

Via the interpretation of Hilbert-Kunz multiplicities in terms of vector bundles over curves one obtains that $S = \operatorname{Syz}(x,y,z)$ is strongly semistable if and only if its Hilbert-Kunz multiplicity is 3 (see [4, Corollary 4.6]). Since semistability is an open property one thus obtains special fibres where S is semistable but not strongly semistable. Also note that the first example of Monsky in characteristic 3

is generically isomorphic to our example after passing to a finite field extension – this follows from the discussion in Remark 4.6 (b).

In characteristic 2, the results of [16] say that the Hilbert-Kunz multiplicity of

$$\mathbb{F}_2[t][x,y,z]/(z^4+xyz^2+x^3z+y^3z+tx^2y^2)$$

depends on whether t is algebraic or not. This in turn implies that the syzygy bundle $\operatorname{Syz}(x,y,z)$ on

$$\operatorname{Proj} \mathbb{F}_2[t][x, y, z]/(z^4 + xyz^2 + x^3z + y^3z + tx^2y^2) \longrightarrow \operatorname{Spec} \mathbb{F}_2[t]$$

is generically strongly semistable and semistable but not strongly semistable for certain (in fact all smooth) special fibres.

From Proposition 4.5 we also immediately obtain

4.8. Corollary. Consider the twisted syzygy bundle $S = \text{Syz}(u^2, v^2, w^2)(3)$ on the relative curve

$$\operatorname{Proj} V[u, v, w]/(u^8 + v^8 + tu^4v^4 - w^8) \longrightarrow \operatorname{Spec} V$$

over the discrete valuation ring $V = K[t]_{(t-t_0)}$, where K is a field of positive characteristic $p \leq 3331$, with the exceptions mentioned in Proposition 4.5, such that $\mathbb{F}_{p^2} \subseteq K$ and t_0 is a suitable element of K. Then S is of degree zero and generically strongly semistable and it is semistable but not strongly semistable in the special fibre.

Proof. Fix a fibre as in Proposition 4.5 and pull back to the curve given in Remark 4.6 (a). Replacing k by a suitable quadratic extension if necessary we may assume that this fibre corresponds to a rational point so that its ideal is of the form $(t-t_0)$. Localising thus yields the result.

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