

# Krausz dimension and its generalizations in special graph classes

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A *krausz*  $(k, m)$ -partition of a graph  $G$  is the partition of  $G$  into cliques, such that any vertex belongs to at most  $k$  cliques and any two cliques have at most  $m$  vertices in common. The *m-krausz* dimension  $kdim_m(G)$  of the graph  $G$  is the minimum number  $k$  such that  $G$  has a *krausz*  $(k, m)$ -partition. 1-krausz dimension is known and studied krausz dimension of graph  $kdim(G)$ .

In this paper we prove, that the problem " $kdim(G) \leq 3$ " is polynomially solvable for chordal graphs, thus partially solving the problem of P. Hlineny and J. Kratochvil. We show, that the problem of finding *m-krausz* dimension is NP-hard for every  $m \geq 1$ , even if restricted to  $(1,2)$ -colorable graphs, but the problem " $kdim_m(G) \leq k$ " is polynomially solvable for  $(\infty, 1)$ -polar graphs for every fixed  $k, m \geq 1$ .

**Keywords:** Krausz dimension, intersection graphs, linear  $k$ -uniform hypergraphs, chordal graphs, polar graphs

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## 1 Introduction

In this paper we consider finite undirected graphs without loops and multiple edges. The vertex and the edge sets of a graph (hypergraph)  $G$  are denoted by  $V(G)$  and  $E(G)$  respectively.  $N(v) = N_G(v)$  is the neighborhood of a vertex  $v$  in  $G$  and  $deg(v)$  is the degree of  $v$ . Let  $G(X)$  denote the subgraph of  $G$  induced by a set  $X \subseteq V(G)$  and  $ecc_G(v)$  is the eccentricity of a vertex  $v \in V(G)$ .

A *krausz partition* of a graph  $G$  is the partition of  $G$  into cliques (called *clusters* of the partition), such that every edge of  $G$  belongs to exactly one cluster. If every vertex of  $G$  belongs to at most  $k$  clusters then the partition is called *krausz k-partition*. The *krausz dimension*  $kdim(G)$  of the graph  $G$  is a minimal  $k$  such that  $G$  has *krausz k-partition*.

*Krausz k-partitions* are closely connected with the representation of a graph as an intersection graph of a hypergraph. The *intersection graph*  $L(H)$  of a hypergraph  $H = (V(H), E(H))$  is defined as follows:

- 1) the vertices of  $L(H)$  are in a bijective correspondence with the edges of  $H$ ;
- 2) two vertices are adjacent in  $L(H)$  if and only if the corresponding edges have a nonempty intersection.

Hypergraph  $H$  is called *linear*, if any two of its edges have at most one common vertex; *k-uniform*, if every edge contains  $k$  vertices.

The *multiplicity* of the pair of vertices  $u, v$  of the hypergraph  $H$  is the number  $m(u, v) = |\{\mathcal{E} \in E(H) : u, v \in \mathcal{E}\}|$ ; the *multiplicity*  $m(H)$  of the hypergraph  $H$  is the maximum multiplicity of the pairs of its vertices. So, linear hypergraphs are the hypergraphs with the multiplicity 1.

Denote by  $H^*$  the dual hypergraph of  $H$  and by  $H_{[2]}$  the 2-section of  $H$  (i.e. the simple graph obtained from  $H$  by transformation each edge into a clique). It follows immediately from the definition that

$$L(H) = (H^*)_{[2]} \quad (1)$$

(first this relation was implicitly formulated by C. Berge in [2]). This relation implies that a graph  $G$  has krausz  $k$ -partition if and only if it is intersection graph of linear  $k$ -uniform hypergraph.

A graph is called  $(p, q)$ -colorable [4], if its vertex set could be partitioned into  $p$  cliques and  $q$  stable sets. In this terms  $(1, 1)$ -colorable graphs are well-known split graphs.

Another generalization of split graphs are *polar graphs* (see [6],[20]). A graph  $G$  is called *polar* if there exists a partition of its vertex set

$$V(G) = A \cup B, \quad A \cap B = \emptyset \quad (2)$$

(*bipartition*  $(A, B)$ ) such that all connected components of the graphs  $\overline{G}(A)$  and  $G(B)$  are complete graphs. If, in addition,  $\alpha$  and  $\beta$  are fixed integers, and the orders of connected components of the graphs  $\overline{G}(A)$  and  $G(B)$  are at most  $\alpha$  and  $\beta$  respectively, then the polar graph  $G$  with bipartition (2) is called  $(\alpha, \beta)$ -polar. Given a polar graph  $G$  with bipartition (2), if the order of connected components of the graph  $\overline{G}(A)$  (the graph  $G(B)$ ) is not restricted above, then the parameter  $\alpha$  (respectively  $\beta$ ) is supposed to be equal  $\infty$ . Thus an arbitrary polar graph is  $(\infty, \infty)$ -polar, and a split graph is  $(1, 1)$ -polar.

Denote by  $KDIM(k)$  the problem of determining whether  $kdim(G) \leq k$  and by  $KDIM$  the problem of finding the krausz dimension.

The class of line graphs (intersection graphs of linear 2-uniform hypergraphs, i.e graphs with krausz dimension at most 2) have been studied for a long time. It is characterized by a finite list of forbidden induced subgraphs [1], efficient algorithms for recognizing it (i.e. solving the problem  $KDIM(2)$ ) and constructing the corresponding krausz 2-partition are also known (see for example [5], [11], [17], [18]).

The situation changes radically if one takes  $k = 3$  instead of  $k = 2$  : the problem  $KDIM(k)$  is NP-complete for every fixed  $k \geq 3$  [8]. The case  $k = 3$  was studied in the different papers (see [9],[14],[15],[16],[19]), and several graph classes, where the problem  $KDIM(3)$  is polynomially solvable or remains NP-complete, were found.

In [8] P. Hlineny and J. Kratochvil studied the computational complexity of the krausz dimension in detail. Besides another results, the following results were obtained in their paper:

- 1) The problem  $KDIM$  is polynomially solvable for graphs with bounded treewidth. In particular, it is polynomially solvable for chordal graphs with bounded clique size.
- 2) For the whole class of chordal graphs the problem  $KDIM(k)$  is NP-complete for every  $k \geq 6$ .

So, the problem of deciding the complexity of  $KDIM(k)$  restricted to chordal graphs for  $k = 3, 4, 5$  was posed by P. Hlineny and J. Kratochvil. As a partial answer to it, in the Section 2 we prove that the problem  $KDIM(3)$  is polynomially solvable in the class of chordal graphs.

In the Section 3 we consider the natural generalization of the krausz dimension. The *krausz*  $(k, m)$ -partition of a graph  $G$  is the partition of  $G$  into cliques (called *clusters* of the partition), such that any vertex belongs to at most  $k$  clusters of the partition, and any two clusters have at most  $m$  vertices in common. As above, the relation (1) implies the following statement:

**Proposition 1** *A graph  $G$  has krausz  $(k, m)$ -partition if and only if it is the intersection graph of a  $k$ -uniform hypergraph with the multiplicity at most  $m$ .*

The  $m$ -krausz dimension  $kdim_m(G)$  of the graph  $G$  is the minimum  $k$  such that  $G$  has a krausz  $(k, m)$ -partition. The krausz dimension in this terms is the 1-krausz dimension.

Denote by  $KDIM_m$  the problem of determining the  $m$ -krausz dimension of graph, by  $KDIM_m(k)$  the problem of determining whether  $kdim_m(G) \leq k$  and by  $L_k^m$  the class of graphs with a krausz  $(k, m)$ -partition. It was proved in [10] that the class  $L_3^m$  could not be characterized by a finite set of forbidden induced subgraphs for every  $m \geq 2$ , but the complexity of the problem  $KDIM_m$  for an arbitrary  $m$  was not established yet. We prove that the problem  $KDIM_m$  is NP-hard for every  $m \geq 1$ , even if restricted to the class of  $(1, 2)$ -colorable graphs.

The class  $L_k^m$  is hereditary (i.e. closed with respect to deleting the vertices) and therefore can be characterized in terms of forbidden induced subgraphs. We prove that for every fixed integers  $m, k$  such finite characterization of the class exists when restricted to  $(\infty, 1)$ -polar graphs. In particular, it follows that the problem  $KDIM_m(k)$  is polynomially solvable for  $(\infty, 1)$ -polar graphs for every fixed  $m$  and  $k$ . In particular, it generalizes the result of [8] and [12], that for every fixed  $k$  the problem  $KDIM(k)$  is polynomially solvable for split graphs.

## 2 Krausz 3-partitions of chordal graphs

Let  $F$  be a family of cliques of graph  $G$ . The cliques from  $F$  are called *clusters of  $F$* . Denote by  $l_F(v)$  the number of clusters from  $F$  covering the vertex  $v$ .

A maximal clique with at least  $k^2 - k + 2$  vertices is called a  *$k$ -large clique*. For such cliques the following statement holds:

**Lemma 2** [8, 9, 16] *Any  $k$ -large clique of a graph  $G$  belongs to every krausz  $k$ -partition of  $G$ .*

Further in this section 3-large clique will be called simply *large clique*.

Let  $G$  be a graph with  $kdim(G) \leq 3$  and  $Q$  be some its krausz 3-partition. Any subset  $F \subseteq Q$  is called *a fragment of the krausz 3-partition  $Q$*  (or simply *a fragment*).

Let  $F$  be some fragment of krausz 3-partition  $Q$  and  $H$  be the subgraph of  $G$  obtained by deleting edges covered by  $F$  ( $F$  could be empty). Fix some vertex  $a \in V(H)$  and positive integer  $k$ . Denote by  $B_k[a]$  the  $k$ th neighborhood of  $a$  in  $H$ , i.e. the set of vertices at distance at most  $k$  from  $a$ . A family of cliques  $F_k(a)$  in  $H$  is called  *$(a, k)$ -local fragment* (or simply *a local fragment*), if

- (1) every edge with at least one end in  $B_k[a]$  is covered by some cluster of  $F_k(a)$ ;
- (2) every vertex  $v \in B_k[a]$  belongs to at most  $3 - l_F(v)$  clusters of  $F_k(a)$ .
- (3) every two clusters of  $F_k(a)$  have at most one common vertex.

A clique  $C$  is called *special*, if  $C$  is a cluster of every  $(a, k)$ -local fragment for some  $a$  and  $k$ . In particular, by Lemma 2 large cliques are special.

The following statements are evident.

**Lemma 3** 1) If  $\deg(v) \geq 19$  for some vertex  $v \in V(G)$ , then  $v$  is contained in some large clique.

2) If  $l_F(v) = 2$ , then  $C = N_H(v) \cup \{v\}$  is a special clique.

3) If  $v \in B_k[a]$  is adjacent to at least  $4 - l_F(v)$  vertices of the cluster  $C$  of some local fragment  $F_k(a)$ , then  $v \in C$ .

4) for every  $a \in V(H)$  and every  $k$  there exists at least one  $(a, k)$ -local fragment;

5) If the clique  $C$  is special, then  $F \cup \{C\}$  is a fragment.

*Proof:* Let's illustrate, for example, 3) and 5). If  $v \in B_k[a]$  is adjacent to vertices  $v_1, \dots, v_{4-l_F(v)} \in C \in F_k(a)$ , but  $v \notin C$ , then the edges  $vv_1, \dots, vv_{4-l_F(v)}$  should be covered by different clusters of  $F_k(a)$ . It contradicts (2).

The family of cliques  $X = \{C \in Q \setminus F : C \cap B_k[a] \neq \emptyset\}$  is a local fragment. Since  $C$  is special,  $C \in X$  and therefore  $C \in Q \setminus F$ .  $\square$

Denote by  $lc(H)$  the length of a longest induced cycle of the graph  $H$ .

**Lemma 4** Let  $G$  be a chordal graph with  $kdim(G) \leq 3$ . Let further there are no special cliques in  $H$ . Then  $lc(H) \leq 6$ .

*Proof:* Suppose contrary, i.e. let  $a_1, \dots, a_k$  form the induced cycle  $S \cong C_k$  in  $H$ ,  $k \geq 7$ ,  $a_i a_{i+1} \in E(H)$ , indices are taken modulo  $k$ .

Since for every  $a_i$  there are two nonadjacent neighbors in  $H$ , then in every local fragment with center in  $a_i$  it is covered by at least 2 clusters. It implies  $l_F(a_i) \leq 1$  for every  $i = 1, \dots, k$ .

As  $G$  is a chordal graph, there exist chords of the cycle  $S$  covered by the fragment  $F$ . It is easy to see, that for every two consecutive vertices  $a_i, a_{i+1}$  of  $S$  at least one of them belongs to some chord of  $S$  (indices are taken modulo  $k$ ). Indeed, let without loss of generality  $a_i = a_k, a_{i+1} = a_1$ . If our statement is not true, then one can choose the chord  $a_p a_q$ ,  $1 < p < q < k$  such, that  $(p-1) + (k-q)$  is minimal. But then  $G(a_1, \dots, a_p, a_q, \dots, a_k)$  is a chordless cycle.

Assume without loss of generality, that one of chords of  $S$  contains  $a_1$ . As  $l_F(a_i) \leq 1$ , for every vertex  $a_i$  chords incident to this vertex are covered by exactly one cluster of  $F$ . It implies that there are no pairs of chords of the form  $\{a_i a_j, a_i a_{j+1}\}$ , since in this case the vertices  $a_i, a_j, a_{j+1}$  are covered by one cluster of  $F$  and thus the edge  $a_j a_{j+1}$  should be covered by  $F$ .

Let us show, that all chords of  $S$  are covered by the cluster  $C_{chord} \supseteq \{a_1, a_3, \dots, a_{k-1}\}$  (and thus  $k$  is even). Indeed, suppose that some chords of  $S$  are covered by the cluster  $C \supseteq \{a_{i_1}, \dots, a_{i_r}\}$ ,  $i_1 < i_2 < \dots < i_r$ ,  $i_1 = 1$ ,  $C \neq C_{chord}$ . Then there exist  $1 \leq p < q \leq r$  such, that  $q - p \geq 3$ . So,  $G(a_{i_p}, a_{i_p+1}, \dots, a_{i_q-1}, a_{i_q})$  is a cycle of length at least 4, where without loss of generality  $a_{i_p}$  belongs to some chord. That chord should be covered by a cluster  $C' \in F$ ,  $C' \neq C$ . So, we have  $l_F(a_{i_p}) \geq 2$ , the contradiction.

In particular, this proposition implies that for any odd  $i$  and even  $j$  such that  $a_i a_j$  is not the edge of  $S$ , the vertices  $a_i$  and  $a_j$  are nonadjacent in  $G$  (otherwise  $l_F(a_i) \geq 2$ ).

Let us denote by  $C(v_1, \dots, v_r)$  the clique containing vertices  $v_1, \dots, v_r$ .

Let  $e = \min\{ecc_H(a_1), 5\}$ . Since there is no special cliques in  $H$  there exists two different local fragments  $F_e(a_1) \supseteq \{C(a_1, a_2), C(a_1, a_k)\}$  and  $F'_e(a_1) \supseteq \{C'(a_1, a_2), C'(a_1, a_k)\}$ , such that without loss of generality  $C(a_1, a_2) \setminus C'(a_1, a_2) \neq \emptyset$ .

Let  $v \in C(a_1, a_2) \setminus C'(a_1, a_2)$ . Then  $v \in C'(a_1, a_k)$  and therefore  $a_1 v, a_2 v, a_k v \in E(H)$ .

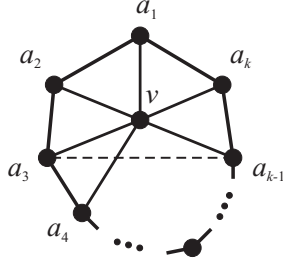


Figure 1:

The vertices  $a_2, v, a_k, a_{k-1}, a_3$  form a cycle in  $G$ . It should have at least 2 chords. Since  $a_2a_{k-1}, a_3a_k \notin E(G)$ , there are edges  $va_3, va_{k-1} \in E(G)$ . The edges  $va_3, va_{k-1}$  are not covered by  $F$  (otherwise  $v \in C_{chord}$  and thus  $\{a_1, v\} \in C_{chord} \cap C(a_1, a_2)$ ) and hence  $va_3, va_{k-1} \in E(H)$ . It implies, that  $v \in C(a_3, a_4) \in F_e(a_1)$ . So,  $va_4 \in E(H)$  (see Figure 1). Note, that since  $k \geq 7$  we have  $a_4a_{k-1} \notin E(H)$ .

Let us remind, that in the local fragment  $F'_e(a_1)$  the vertex  $v$  is covered by the cluster  $C'(v, a_1, a_k)$ . So, all other edges of  $H$  incident to  $v$ , should be covered by at most two clusters of  $F_e(a_1)$ . But it is impossible, since the vertices  $a_2, a_4, a_{k-1}$  are pairwise nonadjacent. This contradiction proves Lemma 4.  $\square$

The considerations above suggest the following algorithm which reduces the problem of recognition chordal graphs with krausz dimension at most 3 to the same problem for graphs with bounded maximum degree and maximum induced cycle length.

#### Algorithm 1

**Input:** chordal graph  $G$ .

**Output:** One of the following:

- 1) graph  $H$  with  $\Delta(H) \leq 18$  and  $lc(H) \leq 6$  such that  $kdim(G) \leq 3$  if and only if  $kdim(H) \leq 3$ ;
- 2) the answer " $kdim(G) > 3$ ".

**begin**

$F := \emptyset; H := G; isContinue := true;$

**while** ( $isContinue = true$ )

**if** there exists a vertex  $v \in V(H)$  such that  $l_F(v) = 2$

$C := N(v) \cup \{v\};$

**if**  $C$  is a clique

$F := F \cup \{C\}$ ; **continue** to the next iteration of the cycle;

**else** the answer is " $kdim(G) > 3$ "; **stop**;

**if** there exists a vertex  $v \in V(H)$  with  $deg(v) \geq 19$

**if**  $v$  is contained in a clique  $C$  with  $|C| \geq 8$

      extend  $C$  to a maximal clique;  $F := F \cup \{C\}$ ;

**continue** to the next iteration of the cycle;

**else** the answer is " $kdim(G) > 3$ "; **stop**;

  For every non-isolated vertex  $v \in V(H)$  generate all

$(v, e)$ -local fragments,  $e = \min\{ecc_H(v), 5\}$ ;

**if** there exists a vertex  $v \in V(H)$  such that there is  
 no  $(v, e)$ -local fragments  
 the answer is " $kdim(G) > 3$ "; **stop**;  
**if** there exists a special clique  $C$   
 $F := F \cup \{C\}$ ; **continue** to the next iteration of the cycle;  
 $isContinue := false$   
**endwhile**;  
 add a pendant edge  $vp_v$  to every vertex  $v \in V(H)$  with  $l_F(v) = 1$ ;  
**end**.

**Theorem 5** [3] *Let  $lc(H) \leq s + 2$ ,  $\Delta(H) \leq \Delta$ . Then  $treewidth(H) \leq \Delta(\Delta - 1)^{s-1}$ .*

**Theorem 6** *The problem  $KDIM(3)$  is polynomially solvable for chordal graph.*

*Proof:* The correctness of algorithm 1 follows from the considerations above. Let us show, that the Algorithm 1 is polynomial. Indeed, the procedure of finding large clique which contains the fixed vertex  $v \in V(H)$  has the complexity  $O(m)$ . We start to generate all possible  $(v, e)$ -local fragments for a vertex  $v \in V(H)$  only then  $deg(v) \leq 18$ . It implies  $|B_e[v]| \leq const$  and thus the complexity of this procedure is constant. The outer loop of the algorithm 1 is performed at most  $m$  times.

After performing the Algorithm 1 we obtained the graph  $H$  with bounded maximum degree and the length of a longest induced cycle. By Theorem 5  $H$  has bounded treewidth. For such a graph the problem of determining its krausz dimension is polynomially solvable [8]. □

### 3 m-krausz dimension of graphs

We will start with proving the NP-hardness of the problem  $KDIM_m$ . In order to make the proof more clear, we firstly will prove, that  $KDIM_m$  is NP-hard for general graphs, and then we will use the developed construction to prove, that  $KDIM_m$  is NP-hard for  $(1, 2)$ -colorable graphs.

**Theorem 7** *The problem  $KDIM_m$  is NP-hard for every fixed  $m \geq 1$ .*

*Proof:* Let us reduce to the problem  $KDIM_m$  the following special case of the 3-dimensional matching problem (which we will call the problem A):

Given: Non-intersecting sets  $X, Y, Z$ , such that  $|X| = |Y| = |Z| = q$ ;  $M \subseteq X \times Y \times Z$ , such that the following condition holds:

(\*) if  $(a, b, w), (a, x, c), (y, b, c) \in M$ , then  $(a, b, c) \in M$ .

The question: Does  $M$  contain a subset  $M' \subseteq M$  (3-dimensional matching) such, that  $|M'| = q$  and every two elements of  $M'$  have not common coordinates?

It is known, that the problem A is NP-complete [7]. Let  $X, Y, Z, M, |X| = |Y| = |Z| = q$ , be the input of the problem A. Let us reduce the problem A to the problem of determining, if  $kdim_m(G) \leq 2q$ . Construct the graph  $G$  as follows:

$$V(G) = X \cup Y \cup Z \cup \{v, v_1, \dots, v_q\}; \quad (3)$$

$$E(G) = \bigcup_{(a,b,c) \in M} \{ab, bc, ac\} \cup \{v_i v : i = 1, \dots, q\} \cup \{vd : d \in X \cup Y \cup Z\} \quad (4)$$

(see Figure 2). Let us show that  $M$  contains the 3-dimensional matching  $M'$  if and only if there exists a krausz  $(2q, m)$ -partition of  $G$ .

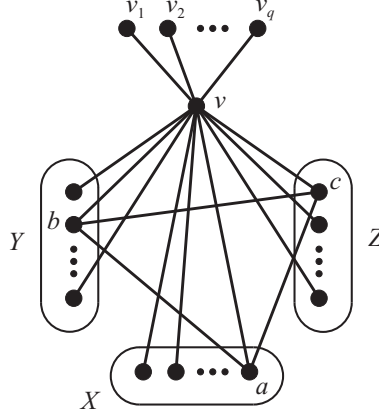


Figure 2:

Suppose, that  $M' = \{(a_i, b_i, c_i) : i = 1, \dots, q\}$  is the 3-dimensional matching. Let  $Q_1 = \{\{v, a_i, b_i, c_i\} : i = 1, \dots, q\}$ ,  $Q_2 = \{\{v, v_i\} : i = 1, \dots, q\}$ ,  $Q_3 = \{\{z, t\} : zt \in E(G - (Q_1 \cup Q_2))\}$ . Then  $Q = Q_1 \cup Q_2 \cup Q_3$  is krausz  $(2q, m)$ -partition of  $G$ , since  $\deg(u) \leq 2q$  for every vertex  $u \in V(G) \setminus \{v\}$  and the vertex  $v$  is covered by exactly  $2q$  clusters of  $Q$ .

Let now  $Q$  be krausz  $(2q, m)$ -partition of  $G$ . Denote by  $Q(v)$  the set of clusters of  $Q$ , which contain the vertex  $v$ . Since the vertices  $v_i, i = 1, \dots, q$ , have degree 1, there exist  $q$  clusters from  $Q(v)$  of the form  $vv_i, i = 1, \dots, q$ . Let  $C_1, \dots, C_p$  be the remaining clusters from  $Q(v), p \leq q$ . Then  $(C_1 \cup \dots \cup C_p) \setminus \{v\} = X \cup Y \cup Z$ . Since  $X, Y, Z$  are stable sets of  $G$ , we have  $|C_i| \leq 4, i = 1, \dots, p$ . As  $|X \cup Y \cup Z| = 3q$ , we have  $p = q, |C_i| = 4, C_i \cap C_j = \{v\}, i, j = 1, \dots, p, i \neq j$ .

Let  $C_i = \{a_i, b_i, c_i, v : a_i \in X, b_i \in Y, c_i \in Z\}, i = 1, \dots, q$ . The property (\*) implies, that  $M' = \{(a_i, b_i, c_i) : i = 1, \dots, q\} \subseteq M$  and, by the consideration above,  $M'$  is the 3-dimensional matching.  $\square$

**Corollary 8** *The problem  $KDIM_m$  is NP-hard in the class of  $(1, 2)$ -colorable graphs for every fixed  $m \geq 1$ .*

*Proof:* Let us show, that the problem A could be reduced to the problem  $KDIM_m$  in the class of  $(1, 2)$ -colorable graphs.

Let  $G$  be the graph constructed in the proof of Theorem 7. Let us construct the graph  $G'$  as follows:  $V(G') = V(G) \cup V'_1 \cup V'_2$ , where

$$V'_1 = \{w, w_1, \dots, w_{2q}\}; \quad (5)$$

$$V'_2 = \{f_u : u \in V(G) \setminus (X \cup \{v_1, \dots, v_q\})\}; \quad (6)$$

$E(G') = E(G) \cup E'_1 \cup E'_2 \cup E'_3 \cup E'_4$ , where

$$E'_1 = \{ww_i : i = 1, \dots, 2q\}; \quad (7)$$

$$E'_2 = \{wx : x \in X\}; \quad (8)$$

$$E'_3 = \{x_1x_2 : x_1, x_2 \in X, x_1 \neq x_2\}; \quad (9)$$

$$E'_4 = \{uf_u : u \in V(G) \setminus (X \cup \{v_1, \dots, v_q\})\} \quad (10)$$

(see Figure 3). The set  $X \cup \{v\}$  is a clique, and the sets  $Y \cup \{f_z : z \in Z\} \cup \{v_1, \dots, v_q, f_v, w\}$  and  $Z \cup \{f_y : y \in Y\} \cup \{w_1, \dots, w_{2q}\}$  are stable sets of  $G'$ . So,  $G'$  is  $(1, 2)$ -colorable graph.

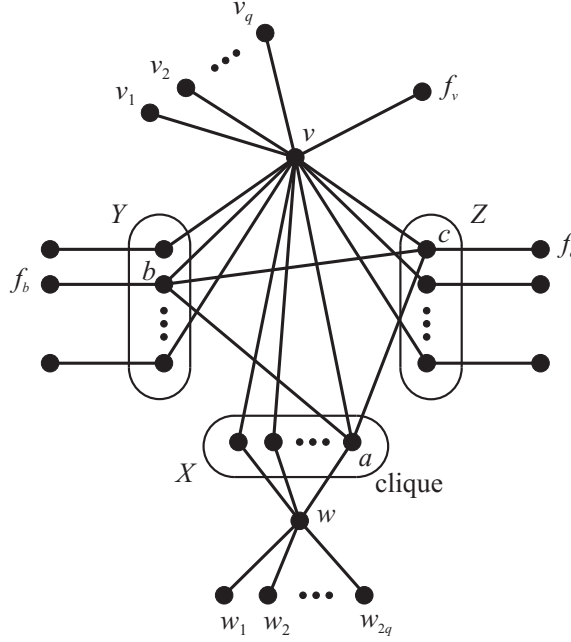


Figure 3:

It is evident, that  $Q$  is the krausz  $(2q, m)$ -partition of  $G$  if and only if

$$Q \cup \{X \cup \{w\}\} \cup \{\{ww_i\} : i = 1, \dots, 2q\} \cup \{\{u, f_u\} : u \in V(G) \setminus (X \cup \{v_1, \dots, v_q\})\} \quad (11)$$

is the krausz  $(2q + 1, m)$ -partition of  $G'$ .  $\square$

Now we turn to the complexity of the recognition problem  $KDIM_m(k)$  in the class of  $(\infty, 1)$ -polar graphs.

A maximal clique with at least  $f(k, m) = m(k^2 - k + 1) + 1$  vertices is called a  $(k, m)$ -large clique.

In [13] the following two statements were proved. Since they were published only in Russian in a journal, which is difficult of access for a general reader, we repeat their proofs here.

**Theorem 9** Any  $(k, m)$ -large clique  $C$  of a graph  $G$  belongs to every krausz  $(k, m)$ -partition of  $G$ .

*Proof:* Let  $A$  be a krausz  $(k, m)$ -partition of graph  $G$ ,  $A_1, A_2, \dots, A_t$  be those clusters of  $A$  which have common vertices with  $C$ . Assume that  $C \not\subseteq A$ . Then the family  $B = (B_1, B_2, \dots, B_t)$ , where



$B_i = A_i \cap C$ , is a krausz  $(k, m)$ -partition of the graph  $G(C)$ , and (by maximality of  $C$ )  $B_i \neq C$  for every  $i = 1, 2, \dots, t$ .

Let us show, that  $|B_i| \leq mk$  for any  $i = 1, 2, \dots, t$ . Consider a cluster of  $B$ , say  $B_1$ , and a vertex  $u \in C \setminus B_1$ . No edge of the form  $ux$ , where  $x \in B_1$ , is contained in  $B_1$ . Moreover, each cluster of  $B$  different from  $B_1$  contains at most  $m$  of such edges (by the definition of krausz  $(k, m)$ -partition). Taking into account that the vertex  $u$  belongs to at most  $k$  clusters of  $B$ , we obtain the inequality  $|B_1| \leq mk$ .

Now we will prove that if  $B_i \setminus B_j \neq \emptyset$  for some clusters  $B_j \in B$ , then  $|B_j \setminus B_i| \leq m(k-1)$ . Consider a vertex  $u \in B_i \setminus B_j$ . Any edge of the form  $ux$ , where  $x \in B_j \setminus B_i$  (if such one exists) is contained neither in  $B_i$ , nor in  $B_j$ . Besides, no cluster of  $B$  contains more than  $m$  of such edges by definition of krausz  $(k, m)$ -partition. Taking into account that  $u$  belongs to at most  $k-1$  clusters of  $B$  different from  $B_i$ , we obtain the inequality  $|B_j \setminus B_i| \leq m(k-1)$ .

Consider an arbitrary vertex  $v$  of the clique  $C$ . Let, without loss of generality, it belongs to the clusters  $B_1, B_2, \dots, B_s$  of  $B$ ,  $s \leq t$ . We show that  $|B_1 \cup B_2 \cup \dots \cup B_s| \leq mk + (s-1)m(k-1)$ . The following equality is obvious

$$|B_1 \cup B_2 \cup \dots \cup B_s| = |B_1| + |B_2 \setminus B_1| + |B_3 \setminus (B_1 \cup B_2)| + \dots + |B_s \setminus (B_1 \cup B_2 \cup \dots \cup B_{s-1})|. \quad (12)$$

If  $B_1 \setminus B_2 \neq \emptyset$ ,  $(B_1 \cup B_2) \setminus B_3 \neq \emptyset$ ,  $\dots$ ,  $(B_1 \cup B_2 \cup \dots \cup B_{s-1}) \setminus B_s \neq \emptyset$ , then by proved above each term in the right part of the equality (12), starting from the second, does not exceed  $m(k-1)$ . Hence we have  $|B_1 \cup B_2 \cup \dots \cup B_s| \leq mk + (s-1)m(k-1)$ . Let, on the contrary,  $i \in \{2, \dots, s\}$  is the maximal number such, that  $(B_1 \cup \dots \cup B_{i-1}) \setminus B_i = \emptyset$ . Then  $B_1 \subseteq B_i$ ,  $B_2 \subseteq B_i$ ,  $\dots$ ,  $B_{i-1} \subseteq B_i$ , and the sum of the first  $i$  terms in the right part of (12) is equal to  $|B_1 \cup B_2 \cup \dots \cup B_i| = |B_i| \leq mk$ . Each of the other terms does not exceed  $m(k-1)$  by the maximality of  $i$ . Hence

$$|B_1 \cup B_2 \cup \dots \cup B_s| \leq mk + (s-i)m(k-1) < mk + (s-1)m(k-1).$$

So, in any case we obtain that the inequality  $|B_1 \cup B_2 \cup \dots \cup B_s| \leq mk + (s-1)m(k-1)$  holds. Taking into account that  $C = B_1 \cup B_2 \cup \dots \cup B_s$  and  $s \leq k$ , we have

$$|C| \leq mk + (k-1)m(k-1) = m(k^2 - k + 1) < f(k, m).$$

The obtained contradiction proves the lemma.  $\square$

**Theorem 10** *There exists a finite set  $\mathcal{F}_0$  of forbidden induced subgraphs such that a split graph  $G$  belongs to the class  $L_k^m$  if and only if no induced subgraph of  $G$  is isomorphic to an element of  $\mathcal{F}_0$ .*

*Proof:* Denote by  $R_p$  the graph obtained from the complete graph  $H \cong K_{f(k,m)}$  by adding a new vertex and connecting it with exactly  $p$  vertices of  $H$ . Put  $\mathcal{F}_0 = \{R_p : km+1 \leq p \leq f(k,m)-1\} \cup \{K_{1,k+1}\}$ . Using Theorem 9 one can immediately verify that no graph from  $\mathcal{F}_0$  belongs to  $L_k^m$ .

Let, without loss of generality,  $G$  be connected graph, and  $V(G) = C \cup S$  be a bipartition of  $V(G)$  into clique  $C$  and stable set  $S$  such, that  $C$  is a maximal clique. Let also no induced subgraph of  $G$  be isomorphic to an element of  $\mathcal{F}_0$ . Put  $S = \{v_1, \dots, v_s\}$ . Consider two cases:

1)  $|C| > (km-1)k+1$ .

In this case we have

$$|C| \geq (km-1)k+2 = mk^2 - (k-1) + 1 \geq mk^2 - m(k-1) + 1 = f(k, m).$$

Then, since no induced subgraph of  $G$  is isomorphic to a graph  $R_p$ ,  $km + 1 \leq p \leq f(k, m) - 1$ , we have  $\deg(v_i) \leq km$  for any  $i = 1, 2, \dots, s$ . Since  $G$  contains no induced  $K_{1,k+1}$ , we have  $|N(u) \cap S| \leq k$  for any vertex  $u$  from  $C$ . Moreover, we prove that for any vertex  $u$  from  $C$  the inequality  $|N(u) \cap S| \leq k - 1$  holds. Assume this is not true. Let, without loss of generality, some vertex  $u$  from  $C$  be adjacent to the vertices  $v_1, \dots, v_k$  from  $S$ ,  $k \leq s$ . Since  $\deg(v_i) \leq km$ ,  $i = 1, 2, \dots, k$ , and  $u \in \bigcap_{i=1}^k N(v_i)$ ,

then  $|\bigcup_{i=1}^k N(v_i)| \leq \sum_{i=1}^k (\deg(v_i) - 1) + 1 \leq (km - 1)k + 1 < \varphi(G)$ . Hence, there exists a vertex  $u'$  from  $C$ , which is not adjacent to any vertex from  $v_1, \dots, v_k$ . But then  $G(u, u', v_1, \dots, v_k) \cong K_{1,k+1}$ , a contradiction.

Now we can construct a krausz  $(k, m)$ -partition of  $G$ . Since  $\deg(v_i) \leq km$  for any  $i = 1, 2, \dots, s$ , then there exists a partition  $N(v_i) = C_{i_1} \cup \dots \cup C_{i_{s_i}}$ , where  $C_{i_j} \cap C_{i_l} = \emptyset$ ,  $j, l \in \{1, \dots, s_i\}$ ,  $j \neq l$ ,  $|C_{i_j}| \leq m$ ,  $s_i \leq k$ . Obviously, the list of cliques  $\{C_{i_j} \cup \{v_i\} : i = \overline{1, s}, j = \overline{1, s_i}\}$  together with the clique  $C$  is a krausz  $(k, m)$ -partition of graph  $G$ .

2)  $|C| \leq (km - 1)k + 1$ .

Since  $G$  contains no induced  $K_{1,k+1}$ , we have  $|N(u) \cap S| \leq k$  for any vertex  $u$  from  $C$ . Therefore, as  $G$  is connected,

$$|G| = |C| + |S| \leq |C| + \sum_{u \in C} |N(u) \cap S| \leq ((km - 1)k + 1) + ((km - 1)k + 1)k = ((km - 1)k + 1)(k + 1),$$

i. e. the order of graph  $G$  is bounded above by a value, depending on  $k$  and  $m$ . Add to the list  $\mathcal{F}_0$  all such split graphs  $H$ , that  $H \notin L_k^m$  and  $|H| \leq ((km - 1)k + 1)(k + 1)$ .

Obviously, the constructed in the cases 1) and 2) finite list  $\mathcal{F}_0$  is a required list of forbidden induced subgraphs.  $\square$

Since  $K_{1,k+1} \notin L_k^m$ , the heredity of  $L_k^m$  immediately implies

**Lemma 11** *A bipartite graph  $G$  belongs to the class  $L_k^m$  if and only if no induced subgraph of  $G$  is isomorphic to  $K_{1,k+1}$ .*

**Theorem 12** *There exists a finite set  $\mathcal{F}$  of forbidden induced subgraphs such that an  $(\infty, 1)$ -polar graph  $G$  belongs to the class  $L_k^m$  if and only if no induced subgraph of  $G$  is isomorphic to an element of  $\mathcal{F}$ .*

*Proof:* Without loss of generality we can suppose that  $(\infty, 1)$ -polar graph  $G$  is connected. Let  $G$  have bipartition  $(A, B)$ ;  $A_i$ ,  $i = 1, 2, \dots, t$ , be the vertex sets of connected components of  $\overline{G}(A)$ ;  $\mathcal{F}_0$  be the set of split graphs from Theorem 10. Denote by  $\mathcal{F}_1$  the set of  $(\infty, 1)$ -polar graphs which do not belong to the class  $L_k^m$  and have order at most  $(k + 1)k(f(k, m) - 1)$ .

Put  $\mathcal{F} = \mathcal{F}_0 \cup \mathcal{F}_1 \cup \{K_{1,k+1}, K_{f(k,m)+1} - e\}$ , where  $K_{f(k,m)+1} - e$  is the graph obtained from the complete graph  $K_{f(k,m)+1}$  after deleting an edge. The set  $\mathcal{F}$  is finite, since  $\mathcal{F}_0$  and  $\mathcal{F}_1$  are finite. According to Theorem 9, there is no krausz  $(k, m)$ -partition for  $K_{f(k,m)+1} - e$ . Therefore  $K_{f(k,m)+1} - e \notin L_k^m$ . Thus,  $\mathcal{F} \cap L_k^m = \emptyset$ . The necessity of the statement follows from the heredity of the class  $L_k^m$ .

Now let  $G$  contain no induced subgraph isomorphic to an element from  $\mathcal{F}$ . If  $G(A)$  is complete, then  $G$  is split graph and by Theorem 10  $G \in L_k^m$ . If  $G(A)$  is empty, then  $G$  is bipartite graph and by Lemma 11  $G \in L_k^m$ .

Now suppose that  $G(A)$  is neither complete nor bipartite graph. Then  $2 \leq t \leq |A| - 1$ . Since  $K_{1,k+1} \in \mathcal{F}$ , then  $|A_i| \leq k$  for any  $i = 1, 2, \dots, t$ . Now we will prove that since  $K_{f(k,m)+1} - e \in \mathcal{F}$ , then

$t \leq f(k, m) - 1$ . Let, to the contrary,  $t \geq f(k, m)$ . As  $G(A)$  is not complete graph, there exists an index  $i_0 \in \{1, 2, \dots, t\}$  such that  $|A_{i_0}| \geq 2$ . Consider the set  $S = \{a_1, a_2, \dots, a_{i_0-1}, a'_{i_0}, a''_{i_0}, a_{i_0+1}, \dots, a_t\}$ , where  $a_i \in A_i$  for any  $i \in \{1, 2, \dots, t\} \setminus \{i_0\}$  and  $a'_{i_0}, a''_{i_0} \in A_{i_0}$ . Then  $G(S)$  contains  $K_{f(k,m)+1} - e$  as induced subgraph, a contradiction. Therefore

$$|A| \leq \sum_{i=1}^t |A_i| \leq k(f(k, m) - 1).$$

Since  $|N(a) \cap B| \leq k$  for any vertex  $a \in A$  and  $G$  is connected, we have

$$|G| \leq |A| + |B| \leq |A| + \sum_{a \in A} |N(a) \cap B| \leq k(f(k, m) - 1) + k^2(f(k, m) - 1) = (k + 1)k(f(k, m) - 1).$$

It follows from the inclusion  $\mathcal{F}_1 \subseteq \mathcal{F}$  that  $G \in L_k^m$ .  $\square$

**Corollary 13** *The problem  $KDIM_m(k)$  is polynomially solvable in the class of  $(\infty, 1)$ -polar graphs for every fixed  $k, m \geq 1$ .*

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