

# LOCAL POINCARÉ INEQUALITIES FROM STABLE CURVATURE CONDITIONS ON METRIC SPACES

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**ABSTRACT.** We prove local Poincaré inequalities under various curvature-dimension conditions which are stable under the measured Gromov-Hausdorff convergence. The first class of spaces we consider is that of weak  $CD(K, N)$  spaces as defined by Lott and Villani. The second class of spaces we study consists of spaces where we have a flow satisfying an evolution variational inequality for either the Rényi entropy functional  $\mathcal{E}_N(\rho m) = -\int_X \rho^{1-1/N} dm$  or the Shannon entropy functional  $\mathcal{E}_\infty(\rho m) = \int_X \rho \log \rho dm$ . We also prove that if the Rényi entropy functional is strongly displacement convex in the Wasserstein space, then at every point of the space we have unique geodesics to almost all points of the space.

## 1. INTRODUCTION

In the recent years the concept of lower Ricci-curvature bounds has been generalized from the Riemannian setting to the setting of geodesic metric measure spaces, most prominently in the works of Sturm [21, 22] and Lott and Villani [13]. Different authors have studied slightly different definitions of curvature bounds. What is common to all these definitions is that they strive to fulfill the same set of criteria: to naturally extend Ricci-curvature bounds of Riemannian manifolds, to be stable under the measured Gromov-Hausdorff convergence, and to provide enough structure for meaningful analysis on the metric space.

The first two criteria are well met [21, 22, 13], whereas the third one has so far been met only partially. From a classical result by Buser [3] we know that a Riemannian manifold with nonnegative Ricci-curvature supports a Poincaré inequality. Moreover, in the case of measured Gromov-Hausdorff limits of Riemannian manifolds with Ricci-curvature bounded below we know that a local Poincaré inequality always holds [5]. In order to prove a local Poincaré inequality for a larger class of metric spaces with Ricci-curvature lower-bounds the stability under measured Gromov-Hausdorff convergence had to be sacrificed in [14] by assuming the spaces to be nonbranching. The purpose of this paper is to complete this part of the theory for some versions of curvature-dimension conditions by proving local Poincaré inequalities without the unnatural extra assumption of nonbranching. The local Poincaré inequality together with a doubling measure constitute the very foundation of analysis on metric spaces which was pioneered by Heinonen and Koskela [12] and Cheeger [4]. For an introduction to analysis on metric spaces we refer to the book by Heinonen [11]. Poincaré inequalities

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have been proven in many classes of metric spaces, for example in locally linearly contractible Ahlfors-regular metric spaces [20].

A metric measure space  $(X, d, m)$  admits a weak local  $(q, p)$ -Poincaré inequality with  $1 \leq p \leq q < \infty$  if there exist constants  $\lambda \geq 1$  and  $0 < C < \infty$  such that for any continuous function  $u$  defined on  $X$ , any point  $x \in X$  and radius  $r > 0$  such that  $m(B(x, r)) > 0$  and any upper gradient  $g$  of  $u$  we have

$$\left( \int_{B(x, r)} |u - \langle u \rangle_{B(x, r)}|^q dm \right)^{1/q} \leq Cr \left( \int_{B(x, \lambda r)} g^p dm \right)^{1/p}, \quad (1.1)$$

where the barred integral denotes the average integral and  $\langle u \rangle_{B(x, r)}$  denotes the average of  $u$  in the ball  $B(x, r)$ . Recall that, as introduced in [12], a Borel function  $g: X \rightarrow [0, \infty]$  is an upper gradient of  $u$  if for any constant speed curve  $\gamma: [0, 1] \rightarrow X$  with length  $l(\gamma) < \infty$  we have

$$|u(\gamma(0)) - u(\gamma(1))| \leq l(\gamma) \int_0^1 g(\gamma(t)) dt.$$

The most studied Poincaré inequalities are  $(1, p)$ -Poincaré inequalities. From Hölder's inequality it follows immediately that a weak local  $(1, p)$ -Poincaré inequality implies a weak local  $(1, p')$ -Poincaré inequality for every  $p' > p$ . In this paper we consider weak local  $(1, 1)$ -Poincaré inequalities which we simply call weak local Poincaré inequalities. The word *weak* in the weak local Poincaré inequality refers to the fact that we allow the ball on the right-hand side of (1.1) to be larger than the one on the left. If the balls on both sides of the inequality can be taken to be the same, meaning that we can take  $\lambda = 1$ , the inequality is called a strong local Poincaré inequality. In a doubling geodesic metric space the weak local Poincaré inequality implies the strong one, with possibly a different constant  $C$ , see [9] and also [10]. We say that a measure  $m$  is doubling (with a constant  $1 \leq D < \infty$ ) if for all  $x \in X$  and  $0 < r < \text{diam}(X)$  we have

$$m(B(x, 2r)) \leq Dm(B(x, r)).$$

Lott and Villani gave in [13] a definition for nonnegative  $N$ -Ricci curvature with  $N \in [1, \infty)$  and a definition for  $\infty$ -Ricci curvature being bounded below by  $K \in \mathbb{R}$ . In their definition they required weak displacement convexity for a collection  $\mathcal{DC}_N$  of suitable convex functionals. (For the precise definitions, see Section 2.) More specifically they required that between any two probability measures that have bounded Wasserstein distance between them there is at least one geodesic in the Wasserstein space of probability measures along which all the functionals in  $\mathcal{DC}_N$  satisfy a convexity inequality. At the same time when Lott and Villani defined their curvature-dimension bounds another definition was given by Sturm [21, 22]. He defined spaces where  $N$ -Ricci curvature is bounded from below by a constant  $K \in \mathbb{R}$ . In Sturm's definition the displacement convexity is also required along only one geodesic but for only one critical functional. Later Lott and Villani combined the two different definitions by considering what we call here  $CD(K, N)$  spaces with  $K \in \mathbb{R}$  referring to the lower bound on curvature and  $N \in [1, \infty]$  to the upper bound on dimension. In this definition weak displacement convexity is again required for the whole collection  $\mathcal{DC}_N$ . The assumptions in the definition by Sturm are a priori weaker. However, in nonbranching metric spaces the two notions agree, see for example [23]. It should be emphasized

that our point is to avoid making the nonbranching assumption. Therefore the results we get for  $CD(K, N)$  spaces do not necessarily hold under the definitions of Sturm.

Let us present the Poincaré inequalities we are able to obtain. The first result is for the large class of  $CD(K, \infty)$  spaces.

**Theorem 1.1.** *Suppose that  $(X, d, m)$  is a  $CD(K, \infty)$  space with  $K \leq 0$ . Then the weak local Poincaré inequality*

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 4re^{|K|r^2} \int_{B(x,2r)} g dm$$

*holds for any continuous function  $u$  defined on  $X$ , any upper gradient  $g$  of  $u$  and for each point  $x \in X$  and radius  $r > 0$ .*

We do not have average integrals in Theorem 1.1 like we had in (1.1). If we were to write the average integrals here we would have to multiply the right-hand side of the inequality by a factor  $m(B(x, 2r))/m(B(x, r))$ . This factor could well be unbounded as  $r \downarrow 0$  in an infinite dimensional space. Although for  $CD(K, \infty)$  spaces the assumption for  $m$  to be doubling is not very natural we always have doubling for  $CD(K, N)$  spaces when  $N < \infty$ : by the Bishop-Gromov inequality any  $CD(K, N)$  space  $(X, d, m)$  is doubling with a constant  $2^N$ , see for example [23]. Therefore for  $CD(K, N)$  spaces we can write our local Poincaré inequalities in a more standard form.

**Theorem 1.2.** *Any  $CD(K, N)$  space with  $K \leq 0$  supports the weak local Poincaré inequality*

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 2^{N+2} r e^{\sqrt{(N-1)|K|} 2r} \int_{B(x,2r)} g dm.$$

*In particular, any  $CD(0, N)$  space supports the uniform weak local Poincaré inequality*

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 2^{N+2} r \int_{B(x,2r)} g dm.$$

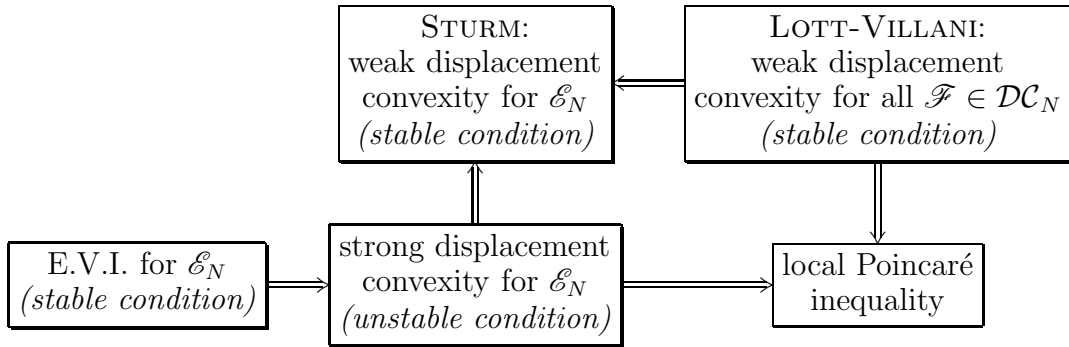
Notice that the constant  $2^{N+2}$  here is better than the constant  $2^{2N+1}$  obtained in [14] for nonbranching  $CD(0, N)$  spaces. So far we have considered  $CD(K, N)$  spaces where the weak displacement convexity is required for a class of functionals. We are unable to prove the previous two theorems from the curvature-dimension conditions of Sturm where the weak displacement convexity is required for only one functional.

The nonnegativity of  $N$ -Ricci curvature can also be generalized to metric measure spaces using gradient flows. This is done by requiring the existence of a flow satisfying the so called evolution variational inequality (E.V.I.)

$$\limsup_{h \downarrow 0} \frac{1}{2h} (W_2^2(\nu, \mu_{t+h}) - W_2^2(\nu, \mu_t)) \leq \mathcal{E}_N(\nu) - \mathcal{E}_N(\mu_t)$$

for all  $t \geq 0$  and for any probability measure  $\nu$  which is absolutely continuous with respect to the measure  $m$ . Here  $W_2$  is the Wasserstein distance between measures which will be defined in Section 2. For example in compact Alexandrov spaces with curvature bounded below an E.V.I. condition is satisfied [17, 8]. The functional  $\mathcal{E}_N$  in the E.V.I. is the critical entropy functional which depends on the dimension bound  $N$ . For finite  $N$  it is the Rényi entropy functional  $\mathcal{E}_N$  which corresponds to the  $CD(0, N)$  spaces. For  $N = \infty$  the critical functional is the Shannon entropy functional  $\mathcal{E}_\infty$  corresponding to  $CD(0, \infty)$  spaces.

The E.V.I. condition is also stable under the measured Gromov-Hausdorff convergence (see for instance [19] for this type of statement). It is perhaps easier to relate the E.V.I. condition to the previous two curvature-dimension conditions after noticing that it implies the strong displacement convexity of the entropy functional corresponding to the E.V.I., see [6]. This means that the functional is not only displacement convex along one geodesic between any two measures, as was required in the definitions by Sturm, and Lott and Villani, but it is in fact displacement convex along any geodesic between any two measures. This strong displacement convexity is not by itself stable under the measured Gromov-Hausdorff convergence and therefore it is a poor notion of curvature-dimension bound. Clearly the curvature-dimension condition of Sturm is also weaker than strong displacement convexity and thus weaker than the E.V.I. condition. What is not so clear is how the E.V.I. condition relates to the  $CD(0, N)$  spaces. The following diagram gathers the implications which we are aware of between the local Poincaré inequality and the different curvature-dimension conditions that are mentioned in this paper. Notice that in this paper we consider the E.V.I. condition and strong displacement convexity of  $\mathcal{E}_N$  only for the curvature lower-bound  $K = 0$ . We are not aware of a generalization of the E.V.I. condition for all  $K \in \mathbb{R}$  and  $N \in [1, \infty]$ . The curvature-dimension condition  $CD(K, N)$  however works for all  $K$  and  $N$ .



As indicated by the previous diagram we have a local Poincaré inequality (analogous to Theorem 1.1) for spaces where the entropy functionals are strongly displacement convex, and therefore also for the spaces satisfying the corresponding E.V.I. condition.

**Theorem 1.3.** *Suppose that  $\mathcal{E}_\infty$  (or  $\mathcal{E}_N$ ) is strongly displacement convex in a geodesic metric measure space  $(X, d, m)$ . Then the weak local Poincaré inequality*

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 4r \int_{B(x,2r)} g dm$$

*is satisfied.*

When we combine the doubling property of the measure  $m$  in the  $N < \infty$  case with Theorem 1.3 we get again a more standard version of local Poincaré inequality.

**Corollary 1.4.** *Suppose that  $\mathcal{E}_N$  is strongly displacement convex in a geodesic metric measure space  $(X, d, m)$ . Then the space  $X$  admits the weak local Poincaré inequality*

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 2^{N+2} r \int_{B(x,2r)} g dm.$$

To once more emphasize that not all of the desired connections between local Poincaré inequalities and curvature-dimension bounds are known, we state the missing ones corresponding to  $K = 0$  here as a question.

**Question 1.5.** Does the conclusion of Theorem 1.3 or Corollary 1.4 remain valid if we require only weak displacement convexity instead of strong displacement convexity?

**1.1. Almost uniqueness of geodesics.** Let us now slightly change the perspective to the question of the nonbranching assumption. In the earlier proofs of local Poincaré inequalities nonbranching was assumed in order to guarantee almost uniqueness of geodesics. It is natural to ask to what extent in general we have uniqueness of geodesics under the curvature-dimension conditions. We prove in the last section of this paper the following theorem, Theorem 1.6, which says that the strong displacement convexity of  $\mathcal{E}_N$ , and therefore also the corresponding E.V.I. condition, implies that from every point in the underlying space there is a unique geodesic to  $m$ -almost every point in the space. In connection with the local Poincaré inequalities above it means that we could also prove Corollary 1.4 with a larger constant following more directly the proof of Lott and Villani [13].

**Theorem 1.6.** *Suppose that  $\mathcal{E}_N$  is strongly displacement convex in a geodesic metric measure space  $(X, d, m)$ . Then for every  $x \in X$*

$$m(\{y \in X : \text{there exist two distinct geodesics between } x \text{ and } y\}) = 0.$$

We do not know if the conclusion of Theorem 1.6 holds also for the Shannon entropy functional  $\mathcal{E}_\infty$ . The proof of Theorem 1.6 does not seem to work in this situation. Notice that in order to have a reasonable formulation of this result for  $\mathcal{E}_\infty$  the conclusion has to be weakened so that it does not involve any singular parts of the measures. The question could be stated for example in the following way.

**Question 1.7.** Suppose that  $\mathcal{E}_\infty$  is strongly displacement convex in a geodesic metric measure space  $(X, d, m)$ . Do we then have for every  $\mu, \nu \in \mathcal{P}^{ac}(X, m)$  for which we have  $W_2(\mu, \nu) < \infty$ , and for every  $\pi \in \text{GeoOpt}(\mu, \nu)$  uniqueness of geodesics in the sense that

$$\pi(\{\gamma \in \text{Geo}(X) : \text{there exist two distinct geodesics between } \gamma(0) \text{ and } \gamma(1)\}) = 0?$$

Another way to formulate the uniqueness is to rely on the measure  $m$  without using optimal plans.

**Question 1.8.** Suppose that  $\mathcal{E}_\infty$  is strongly displacement convex in a geodesic metric measure space  $(X, d, m)$ . Do we then have

$$m \otimes m(\{(x, y) \in X \times X : \text{there exist two distinct geodesics between } x \text{ and } y\}) = 0?$$

In the next section the relevant preliminaries will be given. Section 3 contains the proofs of all the local Poincaré inequalities presented here. In the final section, Section 4, we prove Theorem 1.6 on the almost uniqueness of geodesics under the strong displacement convexity of  $\mathcal{E}_N$ .

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to me the question of uniqueness of geodesics under the strong displacement convexity. Thanks are also due to the anonymous referee for suggesting many improvements to the paper.

## 2. PRELIMINARIES

For the most parts we will follow the notation used in [13]. The metric measure spaces  $(X, d, m)$  we consider are always geodesic and complete. Furthermore, the support of the measure  $m$  can always be assumed to be the whole space  $X$ . We denote the support of a measure  $\mu$  by  $\text{spt } \mu$ . Let us denote by  $\mathcal{P}(X)$  the Borel probability measures on  $X$  and by  $\mathcal{P}^{ac}(X, m) \subset \mathcal{P}(X)$  the probability measures in  $X$  that are absolutely continuous with respect to the measure  $m$ .

Recall that any geodesic in a metric space  $(X, d)$  can be reparametrized to be a continuous mapping  $\gamma: [0, 1] \rightarrow X$  with

$$d(\gamma(t), \gamma(s)) = |t - s|d(\gamma(0), \gamma(1)) \quad \text{for all } 0 \leq t \leq s \leq 1.$$

We denote the space of all the geodesics of the space  $X$  with such parametrization by  $\text{Geo}(X)$ . An important concept for this paper is (non)branching in geodesic metric spaces. By branching of geodesics we mean that there are some distinct geodesics starting from the same point which follow the same path for some initial time interval and then become disjoint.

**2.1. Measured Gromov-Hausdorff convergence.** Let us recall what we mean by measured Gromov-Hausdorff convergence even though we will not need it in the proofs. A sequence of compact metric measure spaces  $\{(X_i, d_i, m_i)\}_{i=1}^{\infty}$  is said to converge to a compact metric measure space  $(X, d, m)$  in the measured Gromov-Hausdorff sense, if there exists a sequence of Borel maps  $f_i: X_i \rightarrow X$  and a sequence of positive numbers  $\epsilon_i \downarrow 0$  so that

- (1) For every  $x_i, y_i \in X_i$  we have  $|d(f_i(x_i), f_i(y_i)) - d_i(x_i, y_i)| < \epsilon_i$ .
- (2) For every  $x \in X$  and  $i \in \mathbb{N}$  there exists  $x_i \in X_i$  such that  $d(f_i(x_i), x) \leq \epsilon_i$ .
- (3) We have  $(f_i)_\# m_i \rightarrow m$  as  $i \rightarrow \infty$  in the weak-\* topology of  $\mathcal{P}(X)$ .

**2.2. Wasserstein space  $(\mathcal{P}(X), W_2)$ .** The convexity of the functionals will be considered along the geodesics in the Wasserstein space  $(\mathcal{P}(X), W_2)$ . The distance between two probability measures  $\mu, \nu \in \mathcal{P}(X)$  in this space is given by

$$W_2(\mu, \nu) = \left( \inf \left\{ \int_{X \times X} d(x, y)^2 d\sigma(x, y) \right\} \right)^{1/2},$$

where the infimum is taken over all  $\sigma \in \mathcal{P}(X \times X)$  with  $\mu$  as its first marginal and  $\nu$  as the second, i.e.  $\mu(A) = \sigma(A \times X)$  and  $\nu(A) = \sigma(X \times A)$  for all Borel subsets  $A$  of the space  $X$ . Notice that in the case where the distance  $d$  is not bounded the function  $W_2$  is strictly speaking not a distance as the above infimum can also take an infinite value.

Now, if it happens that there is a geodesic  $\Gamma \in \text{Geo}(\mathcal{P}(X))$  between two measures  $\mu, \nu \in \mathcal{P}(X)$  in the space  $(\mathcal{P}(X), W_2)$  it must be that this geodesic can be realized as a measure  $\pi \in \mathcal{P}(\text{Geo}(X))$  so that  $\Gamma(t) = (e_t)_\# \pi$ , where  $e_t(\gamma) = \gamma(t)$  for any geodesic  $\gamma$  and  $t \in [0, 1]$  and  $f_\# \mu$  denotes the push-forward of the measure  $\mu$  under  $f$ , see for example [23, Corollary 7.22]. This realization is convenient for us when we

want to translate information from the geodesics on  $\mathcal{P}(X)$  to the geodesics on  $X$ . The space consisting of all measures  $\pi \in \mathcal{P}(\text{Geo}(X))$  for which the mapping  $t \mapsto (e_t)_\# \pi$  is a geodesic in  $\mathcal{P}(X)$  from  $\mu = (e_0)_\# \pi$  to  $\nu = (e_1)_\# \pi$  is denoted by  $\text{GeoOpt}(\mu, \nu)$ . We refer to [23] for a detailed account on the theory of optimal transportation which includes all the basic information on the Wasserstein space.

**2.3. Convex functionals.** All the curvature-dimension conditions we consider in this paper are defined using integral functionals. For  $N \in [1, \infty)$  the functionals are build using functions in the displacement convexity class  $\mathcal{DC}_N$  consisting of all the continuous convex functions  $F: [0, \infty) \rightarrow \mathbb{R}$  for which we have  $F(0) = 0$  and for which the function

$$\lambda \mapsto \lambda^N F(\lambda^{-N})$$

is convex on the interval  $(0, \infty)$ . For  $N = \infty$  we use the class  $\mathcal{DC}_\infty$  of continuous convex functions  $F: [0, \infty) \rightarrow \mathbb{R}$  for which we have  $F(0) = 0$  and for which the function

$$\lambda \mapsto e^\lambda F(e^{-\lambda})$$

is convex on the interval  $(-\infty, \infty)$ . Notice that  $\mathcal{DC}_N \subset \mathcal{DC}_{N'}$  for all  $1 \leq N' \leq N \leq \infty$ . This means that the requirement of satisfying some condition for all  $F \in \mathcal{DC}_N$  becomes more and more demanding as we decrease  $N$ .

Most of the functionals  $\mathcal{F}: \mathcal{P}(X) \rightarrow \mathbb{R}$  which we consider are of the form

$$\mathcal{F}(\mu) = \int_X F(\rho) dm + F'(\infty) \mu^\perp(X), \quad (2.1)$$

where the measure  $\mu$  is decomposed to the absolutely continuous part  $\rho m$  and the singular part  $\mu^\perp$  with respect to  $m$ . The derivative at infinity is defined as

$$F'(\infty) = \lim_{r \rightarrow \infty} \frac{F(r)}{r}$$

which is guaranteed to exist for all  $F \in \mathcal{DC}_1$  because of the convexity assumption.

Particularly relevant integral functionals for the curvature-dimension conditions are defined via (2.1) using as  $F$  the functions

$$F_N(r) = -r^{1-\frac{1}{N}} \quad \text{and} \quad F_\infty(r) = r \log r,$$

where  $N \in [1, \infty)$ . Notice that  $F_N \in \mathcal{DC}_N$  and  $F_\infty \in \mathcal{DC}_\infty$  and that for these functions we have

$$F'_N(\infty) = 0 \quad \text{and} \quad F'_\infty(\infty) = \infty$$

meaning that the Rényi entropy functional  $\mathcal{E}_N$  built from the function  $F_N$  does not see the singular part of the measure  $\mu$  whereas the Shannon entropy functional  $\mathcal{E}_\infty$ , corresponding respectively to  $F_\infty$ , in the presence of any singular part has value  $\infty$ .

**2.4. Displacement convexity.** The notion of displacement convexity was first used by McCann in [15]. The functional  $\mathcal{F}: \mathcal{P}(X) \rightarrow \mathbb{R} \cup \{-\infty, \infty\}$  is called *strongly displacement convex* if for any  $\Gamma \in \text{Geo}(\mathcal{P}(X))$  we have

$$\mathcal{F}(\Gamma(s)) \leq (1-s)\mathcal{F}(\Gamma(0)) + s\mathcal{F}(\Gamma(1)) \quad (2.2)$$

for all  $s \in [0, 1]$ . The functional  $\mathcal{F}$  is called *weakly displacement convex* if for any two measures  $\mu, \nu$  there exists  $\Gamma \in \text{Geo}(\mathcal{P}(X))$  so that  $\Gamma(0) = \mu$ ,  $\Gamma(1) = \nu$  and  $\Gamma$  satisfies the inequality (2.2). In general only the implication

$$\text{strong displacement convexity} \Rightarrow \text{weak displacement convexity}$$

holds. In the particular case of Riemannian manifolds the converse is also true [7]. The converse is also true if the space  $X$  is nonbranching, see [23, Theorem 30.32].

**2.5. Evolution variational inequalities.** As was mentioned in the introduction one good  $N$ -dimensional condition, with  $N \in [1, \infty]$ , for nonnegative curvature is the requirement of the existence of a flow satisfying the so called *evolution variational inequality* (E.V.I.)

$$\limsup_{h \downarrow 0} \frac{1}{2h} (W_2^2(\nu, \mu_{t+h}) - W_2^2(\nu, \mu_t)) \leq \mathcal{E}_N(\nu) - \mathcal{E}_N(\mu_t)$$

for all  $t \geq 0$  and  $\nu \in \mathcal{P}^{ac}(X, m)$ . See [2] for a comprehensive introduction to E.V.I. and gradient flows. The E.V.I. condition is stable under measured Gromov-Hausdorff convergence. This can be proven in a similar way as [1, Theorem 7.12]. The existence of a flow satisfying the E.V.I. implies that the entropy  $\mathcal{E}_N$  is strongly displacement convex. This was shown in a very general setting for functionals in metric spaces in [6].

**2.6. Curvature-dimension conditions  $CD(K, N)$ .** In order to define the  $CD(K, N)$  spaces we need some more notation. Given  $K \in \mathbb{R}$  and  $N \in (1, \infty]$ , we define

$$\beta_t(x, y) = \begin{cases} e^{\frac{1}{6}K(1-t^2)d(x,y)^2} & \text{if } N = \infty, \\ \infty & \text{if } N < \infty, K > 0 \text{ and } \alpha > \pi, \\ \left(\frac{\sin(t\alpha)}{t \sin \alpha}\right)^{N-1} & \text{if } N < \infty, K > 0 \text{ and } \alpha \in [0, \pi], \\ 1 & \text{if } N < \infty \text{ and } K = 0, \\ \left(\frac{\sinh(t\alpha)}{t \sinh \alpha}\right)^{N-1} & \text{if } N < \infty \text{ and } K < 0, \end{cases}$$

where

$$\alpha = \sqrt{\frac{|K|}{N-1}} d(x, y).$$

For  $N = 1$  we define

$$\beta_t(x, y) = \begin{cases} \infty & \text{if } K > 0, \\ 1 & \text{if } K \leq 0. \end{cases}$$

We say that  $(X, d, m)$  is a  $CD(K, N)$  space, with the interpretation that it has  $N$ -Ricci curvature bounded below by  $K$ , if for any two measures  $\mu_0, \mu_1 \in \mathcal{P}(X)$  with  $W_2(\mu_0, \mu_1) < \infty$  there exists  $\pi \in \text{GeoOpt}(\mu_0, \mu_1)$  so that along the Wasserstein geodesic  $\mu_t = (e_t)_\# \pi$  for every  $F \in \mathcal{DC}_N$  and for every  $t \in [0, 1]$  we have

$$\begin{aligned} \mathcal{F}(\mu_t) &\leq (1-t) \iint_{X \times X} \beta_{1-t}(x_0, x_1) F\left(\frac{\rho_0(x_0)}{\beta_{1-t}(x_0, x_1)}\right) d\sigma(x_1|x_0) dm(x_0) \\ &\quad + t \iint_{X \times X} \beta_t(x_0, x_1) F\left(\frac{\rho_1(x_1)}{\beta_t(x_0, x_1)}\right) d\sigma(x_0|x_1) dm(x_1) \\ &\quad + F'(\infty) ((1-t)\mu_0^\perp + t\mu_1^\perp), \end{aligned} \tag{2.3}$$

where we have written  $\mu_0 = \rho_0 m + \mu_0^\perp$  and  $\mu_1 = \rho_1 m + \mu_1^\perp$  to their absolutely continuous and singular parts with respect to the measure  $m$ , and where  $d\sigma(x_0|x_1)$  denotes the disintegrated measure of  $\sigma = (e_0, e_1)_\# \pi$  with respect to  $\mu_1$  and  $d\sigma(x_1|x_0)$  with respect to  $\mu_0$ . We will not be precise about this disintegration as it will not be needed here.



This is so because in the proofs we will use only measures  $\mu_0, \mu_1 \in \mathcal{P}^{ac}(X, m)$  and for such measures we know by [23, Lemma 29.6] that the inequality (2.3) can be written as

$$\begin{aligned} \mathcal{F}(\mu_t) &\leq (1-t) \iint_{X \times X} \frac{\beta_{1-t}(x_0, x_1)}{\rho_0(x_0)} F\left(\frac{\rho_0(x_0)}{\beta_{1-t}(x_0, x_1)}\right) d\sigma(x_0, x_1) \\ &\quad + t \iint_{X \times X} \frac{\beta_t(x_0, x_1)}{\rho_1(x_1)} F\left(\frac{\rho_1(x_1)}{\beta_t(x_0, x_1)}\right) d\sigma(x_0, x_1). \end{aligned} \quad (2.4)$$

Important thing to notice from the definition of  $CD(K, N)$  spaces is that any  $CD(K, N)$  space is also a  $CD(K', N)$  space for every  $K' \leq K$  and a  $CD(K, N')$  space for any  $N' \geq N$ .

### 3. PROOF FOR THE POINCARÉ INEQUALITIES

Before proving the Poincaré inequalities mentioned in the introduction let us point out the main differences between this proof and the proof in [14] for the case of non-branching spaces. We know that in a nonbranching  $CD(K, N)$  metric space with  $N \in [1, \infty)$  there exists a unique geodesic from every point  $x$  to  $m$ -almost every point  $y$ , see [23, Theorem 30.17]. Therefore for every point  $x$  and any ball  $B$  we have a unique geodesic  $\pi$  between  $\delta_x$  and  $\frac{1}{m(B)}m|_B$ . Then for example in the  $CD(0, N)$  case we can use the displacement convexity of  $\mathcal{E}_N$  along this geodesic to obtain for each  $t \in [0, 1]$  a bound on the density  $\rho_t$  of  $(e_t)_\# \pi$  with respect to  $m$  of the form

$$\rho_t(y) \leq \frac{1}{t^N m(B)}$$

at  $m$ -almost every point  $y$ . Combining such estimates for all points  $x \in B$  to obtain a so called dynamical democratic transference plan and using the arguments of Lott and Villani, most notably the symmetry of the estimates in time, we deduce a Poincaré inequality

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 2^{N+1} r \int_{B(x,2r)} g dm$$

for the case  $CD(0, N)$ , with  $N \in [1, \infty)$ . This approach has also been used by other authors, see [18] for a similar proof of the local Poincaré inequality in nonbranching spaces using a related measure contraction property [17, 22].

The key observation for proving better local Poincaré inequalities is that it is sufficient to split the ball  $B$  into two equal sized parts  $B^+$  and  $B^-$  using the median of the function  $u$  in the ball  $B$  and then to find a geodesic  $\pi$  between  $\frac{1}{m(B^+)}m|_{B^+}$  and  $\frac{1}{m(B^-)}m|_{B^-}$  along which we have a good estimate from above to the density  $\rho_t$ . In the case  $K = 0$  the bound we obtain is the best possible one: for all  $t \in [0, 1]$

$$\rho_t(y) \leq \frac{2}{m(B)}$$

at  $m$ -almost every point  $y$ . We have two rather standard ways of obtaining this bound. In the strong displacement convexity case we can prove it by considering restrictions of the optimal plan  $\pi$ . This argument has been used for example in [23, Chapter 19]. For the  $CD(K, N)$  spaces the bound can be proven by taking a sequence of more and more convex functionals in  $\mathcal{CD}_N$ , just like in the proof of [23, Theorem 30.20].

We will write the density bounds as their own lemmas and then prove Theorem 1.1 from the corresponding density bound. The rest of the weak local Poincaré inequalities follow analogously. Let us start the proofs with the density bounds in the  $CD(K, N)$  spaces.

**Lemma 3.1.** *Suppose that  $(X, d, m)$  is a  $CD(K, N)$  space with  $K \leq 0$  and  $N \in [1, \infty]$ . Let  $\mu, \nu \in \mathcal{P}^{ac}(X, m)$  be measures with densities bounded from above by a constant  $c$  and so that  $W_2(\mu, \nu) < \infty$ . Suppose also that  $D = \text{diam}(\text{spt } \mu \cup \text{spt } \nu) < \infty$ . Then there exists  $\pi \in \text{GeoOpt}(\mu, \nu)$  so that for every  $t \in [0, 1]$  we have*

$$\rho_t(x) \leq \begin{cases} e^{\frac{1}{8}|K|D^2} c & \text{if } N = \infty, \\ e^{\sqrt{(N-1)|K|D} c} & \text{if } N < \infty \end{cases}$$

at  $m$ -almost every  $x \in X$ , where  $\rho_t$  is the density of  $(e_t)_\# \pi$  with respect to  $m$ .

*Proof.* Take the geodesic  $\pi \in \text{GeoOpt}(\mu, \nu)$  along which every  $F \in \mathcal{DC}_N$  satisfies (2.3). Take  $p \geq 1$  and define  $F(r) = r^p$ . Because  $F \in \mathcal{DC}_N$  for every  $N \in [1, \infty]$  and the measures  $\mu$  and  $\nu$  have no singular part with respect to  $m$ , we get by (2.4)

$$\begin{aligned} \|\rho_t\|_{L^p(m)}^p &= \mathcal{F}(\mu_t) \leq (1-t) \iint_{X \times X} \frac{\beta_{1-t}(x_0, x_1)}{\rho_0(x_0)} F\left(\frac{\rho_0(x_0)}{\beta_{1-t}(x_0, x_1)}\right) d\sigma(x_0, x_1) \\ &\quad + t \iint_{X \times X} \frac{\beta_t(x_0, x_1)}{\rho_1(x_1)} F\left(\frac{\rho_1(x_1)}{\beta_t(x_0, x_1)}\right) d\sigma(x_0, x_1) \\ &= (1-t) \iint_{X \times X} \left(\frac{\rho_0(x_0)}{\beta_{1-t}(x_0, x_1)}\right)^{p-1} d\sigma(x_0, x_1) \\ &\quad + t \iint_{X \times X} \left(\frac{\rho_1(x_1)}{\beta_t(x_0, x_1)}\right)^{p-1} d\sigma(x_0, x_1) \\ &\leq (1-t) \iint_{X \times X} \left(\frac{c}{L}\right)^{p-1} d\sigma(x_0, x_1) + t \iint_{X \times X} \left(\frac{c}{L}\right)^{p-1} d\sigma(x_0, x_1) \\ &= \left(\frac{c}{L}\right)^{p-1}, \end{aligned}$$

where  $\sigma = (e_0, e_1)_\# \pi$  and  $L = \inf\{\beta_t(x_0, x_1) : t \in [0, 1], d(x_0, x_1) \leq D\}$ . We then have

$$\|\rho_t\|_{L^\infty(m)} \leq \lim_{p \rightarrow \infty} \left(\frac{c}{L}\right)^{\frac{p-1}{p}} = \frac{c}{L}.$$

So it remains to estimate  $L$  from below for different  $N$  and  $K$ . If  $K = 0$  or  $N = 1$  we have  $L = 1$ . If  $N = \infty$  we have

$$\beta_t(x_0, x_1) = e^{\frac{1}{8}K(1-t^2)d(x_0, x_1)^2} \geq e^{\frac{1}{8}KD^2}.$$

Finally, if  $1 < N < \infty$  and  $K < 0$  we get

$$\begin{aligned} \beta_t(x_0, x_1) &= \left(\frac{\sinh(t\alpha)}{t \sinh \alpha}\right)^{N-1} \geq \lim_{s \downarrow 0} \left(\frac{\sinh(s\alpha)}{s \sinh \alpha}\right)^{N-1} = \left(\frac{2\alpha}{e^\alpha - e^{-\alpha}}\right)^{N-1} \\ &\geq e^{-\alpha(N-1)} \geq \exp\left(-\sqrt{\frac{|K|}{N-1}} D(N-1)\right) = e^{-\sqrt{(N-1)|K|D}}. \end{aligned}$$

□

Next we deduce the sharp bound from the strong displacement convexity of  $\mathcal{E}_\infty$ .

**Lemma 3.2.** *Suppose that  $\mathcal{E}_\infty$  (or  $\mathcal{E}_N$ ) is strongly displacement convex in a geodesic metric measure space  $(X, d, m)$ . Let  $\mu, \nu \in \mathcal{P}^{ac}(X, m)$  be measures with densities bounded from above by a constant  $c$ . Then for every  $\pi \in \text{GeoOpt}(\mu, \nu)$  we have for every  $t \in [0, 1]$*

$$\rho_t(x) \leq c$$

at  $m$ -almost every  $x \in X$ , where  $\rho_t$  is the density of  $(e_t)_\# \pi$  with respect to  $m$ .

*Proof.* We prove the claim for  $\mathcal{E}_\infty$ . The proof for  $\mathcal{E}_N$  is essentially the same. Take any

$$\pi \in \text{GeoOpt}(\mu, \nu)$$

and let  $\rho_t$  be the density of  $(e_t)_\# \pi$  with respect to  $m$ . Take  $t \in (0, 1)$  and  $a \in \mathbb{R}$  so that  $m(A_a) > 0$  with  $A_a = \{x \in X : \rho_t(x) \geq a\}$ . Define  $\Gamma \subset \text{Geo}(X)$  as

$$\Gamma = \{\gamma \in \text{Geo}(X) : \gamma(t) \in A_a\}.$$

Because of the strong displacement convexity assumption  $\mathcal{E}_\infty$  is convex also along  $\frac{1}{\pi(\Gamma)}\pi|_\Gamma$ . Write  $\tilde{\rho}_t$  for the density of  $(e_t)_\# \frac{1}{\pi(\Gamma)}\pi|_\Gamma$  with respect to  $m$ . From the displacement convexity of  $\mathcal{E}_\infty$  along the geodesic  $\frac{1}{\pi(\Gamma)}\pi|_\Gamma$  we get an upper bound

$$\begin{aligned} \int_{A_a} \frac{\rho_t}{\pi(\Gamma)} \log \frac{\rho_t}{\pi(\Gamma)} dm &= \int_X \tilde{\rho}_t \log \tilde{\rho}_t dm \leq (1-t) \int_X \tilde{\rho}_0 \log \tilde{\rho}_0 dm + t \int_X \tilde{\rho}_1 \log \tilde{\rho}_1 dm \\ &\leq (1-t) \int_X \tilde{\rho}_0 \log \frac{c}{\pi(\Gamma)} dm + t \int_X \tilde{\rho}_1 \log \frac{c}{\pi(\Gamma)} dm = \log \frac{c}{\pi(\Gamma)}. \end{aligned}$$

On the other hand, from Jensen's inequality we obtain the lower bound

$$\begin{aligned} \int_{A_a} \frac{\rho_t}{\pi(\Gamma)} \log \frac{\rho_t}{\pi(\Gamma)} dm &= m(A_a) \left( \int_{A_a} \frac{\rho_t}{\pi(\Gamma)} \log \frac{\rho_t}{\pi(\Gamma)} dm \right) \\ &\geq m(A_a) \left( \int_{A_a} \frac{\rho_t}{\pi(\Gamma)} dm \right) \log \left( \int_{A_a} \frac{\rho_t}{\pi(\Gamma)} dm \right) \\ &= \log \left( \int_{A_a} \frac{\rho_t}{\pi(\Gamma)} dm \right) \geq \log \left( \int_{A_a} \frac{a}{\pi(\Gamma)} dm \right) = \log \frac{a}{\pi(\Gamma)}. \end{aligned}$$

Combining these bounds we get  $a \leq c$  and thus we have proven the claim.  $\square$

Now we are ready to prove Theorem 1.1 noting that the rest of the weak local Poincaré inequalities follow with a similar proof.

*Proof of Theorem 1.1.* Suppose that  $u, g, x$  and  $r$  are given. Let us abbreviate  $B = B(x, r)$ . Define  $M$  as the median of  $u$  in the ball  $B$ . In other words,

$$M = \inf \left\{ a \in \mathbb{R} : m(\{u > a\}) \leq \frac{m(B)}{2} \right\}.$$

Using the median  $M$  we split the ball  $B$  into two Borel sets  $B^+$  and  $B^-$  so that  $B = B^+ \cup B^-$ ,  $B^+ \cap B^- = \emptyset$ ,  $m(B^+) = m(B^-)$  and

$$u(x) \leq M \leq u(y) \quad \text{for all } (x, y) \in B^- \times B^+. \quad (3.1)$$

These sets exist because the measure  $m$  has no atoms and thus we can split the level set  $u^{-1}(M) \cap B$ , if necessary, so as to make the sets  $B^+$  and  $B^-$  have the same measure. Let

$$\pi \in \text{GeoOpt} \left( \frac{1}{m(B^+)} m|_{B^+}, \frac{1}{m(B^-)} m|_{B^-} \right)$$

be the geodesic given by Lemma 3.1 and let  $\rho_t$  be the density of  $(e_t)_\# \pi$  with respect to  $m$ . By Lemma 3.1 we have for all  $t \in [0, 1]$  at  $m$ -almost every  $y \in X$

$$\rho_t(y) \leq \frac{2}{m(B)} e^{\frac{1}{6}|K|(2r)^2} \leq \frac{2}{m(B)} e^{|K|r^2}.$$

Now observe that from (3.1) we get an equality

$$|u(\gamma(0)) - u(\gamma(1))| = |u(\gamma(0)) - M| + |M - u(\gamma(1))|$$

for  $\pi$ -almost every  $\gamma \in \text{Geo}(X)$ . Therefore

$$\begin{aligned} & \int_{\text{Geo}(X)} |u(\gamma(0)) - u(\gamma(1))| d\pi(\gamma) \\ &= \int_{\text{Geo}(X)} |u(\gamma(0)) - M| d\pi(\gamma) + \int_{\text{Geo}(X)} |M - u(\gamma(1))| d\pi(\gamma) \\ &= \frac{2}{m(B)} \int_{B^+} |u(x) - M| dm(x) + \frac{2}{m(B)} \int_{B^-} |M - u(x)| dm(x) \\ &= \frac{2}{m(B)} \int_B |u(x) - M| dm(x). \end{aligned}$$

The rest of the proof follows the same lines as the proof of [14, Theorem 2.5]. Let us repeat the key steps here for the convenience of the reader. Notice that  $\pi$ -almost every  $\gamma \in \text{Geo}(X)$  is contained in the ball  $B(x, 2r)$ . Thus

$$\begin{aligned} \int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm &\leq \frac{1}{m(B)} \iint_{B \times B} |u(x) - u(y)| dm(x) dm(y) \\ &\leq \frac{1}{m(B)} \iint_{B \times B} (|u(x) - M| + |M - u(y)|) dm(x) dm(y) \\ &= 2 \int_B |u(x) - M| dm(x) \\ &= m(B) \int_{\text{Geo}(X)} |u(\gamma(0)) - u(\gamma(1))| d\pi(\gamma) \\ &\leq 2rm(B) \int_{\text{Geo}(X)} \int_0^1 g(\gamma(t)) dt d\pi(\gamma) \\ &= 2rm(B) \int_0^1 \int_X g(x) \rho_t(x) dm(x) dt \\ &\leq 4re^{|K|r^2} \int_0^1 \int_{B(x,2r)} g(x) dm(x) dt = 4re^{|K|r^2} \int_{B(x,2r)} g dm. \end{aligned}$$

□

*Remarks 3.3.* (i) In the proof of Theorem 1.1 above we obtained only a weak version of the local Poincaré inequality because in general it might be that a geodesic between two points  $y, z \in B(x, r)$  does not stay inside the ball  $B(x, r)$ . In a metric space  $(X, d)$  where balls are convex in the sense that all the geodesics between any two points in a ball stay inside the ball the above proof immediately gives a strong local Poincaré inequality. For example in those  $CD(0, \infty)$  spaces where the balls are convex we have

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 4r \int_{B(x,r)} g dm.$$

(ii) In a doubling geodesic metric space a weak local Poincaré inequality implies a strong local Poincaré inequality. When we move from the weak inequality to the strong inequality the constant usually has to be enlarged. However, from the assumption that the entropy  $\mathcal{E}_N$  is strongly displacement convex we can directly prove a strong local Poincaré inequality with the same constant as in Corollary 1.4:

$$\int_{B(x,r)} |u - \langle u \rangle_{B(x,r)}| dm \leq 2^{N+2} r \int_{B(x,r)} g dm. \quad (3.2)$$

With two simple observations we can modify the proof of Theorem 1.1 to give this inequality. The first observation is that we do not have to use geodesics in the proof but instead we can take a collection of curves whose lengths are uniformly bounded from above. As we will shortly see, suitable curves can be constructed by combining geodesics. The second observation is that a geodesic from a point  $y \in B(x, r)$  to the center  $x$  always stays inside the ball  $B(x, r)$ .

With a similar proof as for Lemma 3.2 we can show that for a geodesic  $\pi^1$  from  $\frac{1}{m(B^+)}m|_{B^+}$  to  $\delta_x$  we have for all  $t \in [0, \frac{1}{2}]$  at  $m$ -almost every  $y \in X$  the estimate

$$\rho_t^1(y) \leq \frac{2^{N+1}}{m(B)}, \quad (3.3)$$

where  $\rho_t^1$  is the density of  $(e_t)_\# \pi^1$  with respect to  $m$ . The same argument works for a geodesic  $\pi^2$  from  $\frac{1}{m(B^-)}m|_{B^-}$  to  $\delta_x$ .

By Lemma 3.2 we know that for any  $\pi^3 \in \text{GeoOpt}((e_{\frac{1}{2}})_\# \pi^1, (e_{\frac{1}{2}})_\# \pi^2)$  we have for every  $t \in [0, 1]$  at  $m$ -almost every  $y \in X$  the same bound (3.3) for the density of  $(e_t)_\# \pi^3$  with respect to  $m$ . If we combine these three geodesics to a rectifiable curve  $\Gamma: [0, 1] \rightarrow \mathcal{P}(X)$  by defining

$$\Gamma(t) = \begin{cases} (e_{2t})_\# \pi^1 & \text{if } 0 \leq t \leq \frac{1}{4}, \\ (e_{2(t-\frac{1}{4})})_\# \pi^3 & \text{if } \frac{1}{4} < t < \frac{3}{4}, \\ (e_{2(1-t)})_\# \pi^2 & \text{if } \frac{3}{4} \leq t \leq 1, \end{cases}$$

we get a curve in  $\mathcal{P}(X)$  joining  $\frac{1}{m(B^+)}m|_{B^+}$  to  $\frac{1}{m(B^-)}m|_{B^-}$  so that the support of  $\Gamma(t)$  is always inside  $B$  and we have for all  $t \in [0, 1]$  the upper bound  $\frac{2^{N+1}}{m(B)}$  for the density of  $\Gamma(t)$  at  $m$ -almost all  $y \in X$ . Also, when we consider the curve  $\Gamma$  as a measure on the rectifiable curves of  $X$ , this measure is concentrated on curves in  $X$  that have length bounded above by  $2r$ . Therefore, the same estimates as in the proof of Theorem 1.1 give us the strong local Poincaré inequality (3.2).

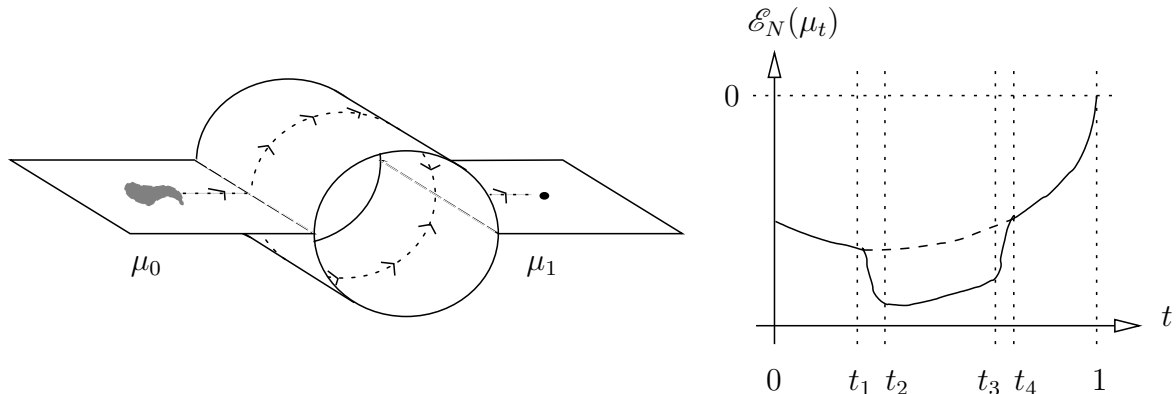


FIGURE 1. An illustration of the proof of Theorem 1.6.

#### 4. PROOF FOR THE ALMOST UNIQUENESS OF GEODESICS

Let us now turn to the proof of Theorem 1.6. We explain the idea behind the proof with the help of Figure 1. By assumption the entropy functional  $\mathcal{E}_N$  is convex along the geodesic in the space of measures which connects measure  $\mu_0$  to  $\mu_1 = \delta_x$  using only geodesics in the upper part of the space. The graph of  $\mathcal{E}_N$  along this geodesic is drawn on the right with a dashed line. Now consider a new geodesic between  $\mu_0$  and  $\mu_1$  that moves half of the measure using the upper part and half of the measure using the lower part of the space. With this geodesic the support of the transported measure is split to the upper and lower parts of the space. At the time when the measure is fully split the entropy is  $2^{1/N}$  times the entropy of the corresponding measure along the geodesic which uses only the upper part of the space. On the graph in the Figure 1 the entropy along this new geodesic is drawn with a solid line. On the time intervals  $[t_1, t_2]$  and  $[t_3, t_4]$ , when the support of the measure travels past the branching points of the space, the entropy has a dramatic change. This change contradicts the convexity of the entropy functional along the new geodesic proving that such branching space does not satisfy the strong convexity assumption.

Guided by the idea presented above we try to reduce the general case to a situation corresponding to that in Figure 1. The first step is to show that if there are multiple geodesics joining many points in the space then there are also geodesics which agree on some initial time interval and then branch out. Next we choose a subset from these geodesics for which the branching happens roughly at the same time and so that all of these geodesics branch out sufficiently. The first requirement guarantees that the large change in the entropy happens on a small enough time interval, as in Figure 1. The second requirement tells us that the supports of the two branches of the measure are really disjoint, justifying the calculations for the drop in the entropy. Let us now make these steps rigorous.

*Proof of Theorem 1.6.* Suppose that the claim is not true. Let  $x \in X$  be a point so that the set

$$A = \{y \in X : \text{there exist two distinct geodesics between } x \text{ and } y\}$$

has positive  $m$ -measure.

We already know that the measure  $m$  is doubling so in particular it is outer regular. Let us use this to show that there are lots of points  $y \in A$  where the two distinct geodesics from  $y$  to  $x$  agree on a small initial time interval.

Let  $z \in X$  and  $r > 0$  be so that

$$m(B(z, r) \cap A) \geq \frac{2}{3}m(B(z, r))$$

and  $m(S(z, r)) = 0$ . (Actually, we know from [22, Theorem 2.3] that either  $m$  is a point mass or  $m(S(z, r)) = 0$  for all  $z \in X$  and  $r > 0$ .) Take a small  $0 < s < 1$  and

$$\pi \in \text{GeoOpt} \left( m(A \cap B(z, r))^{-1} m|_{A \cap B(z, r)}, \delta_x \right).$$

From the convexity of  $\mathcal{E}_N$  we get

$$\int_X \rho_s^{1-\frac{1}{N}} dm \geq (1-s) \int_X \rho_0^{1-\frac{1}{N}} dm = (1-s)m(A \cap B(z, r))^{\frac{1}{N}},$$

where  $\rho_s m$  is the absolutely continuous part of the measure  $(e_s)_\# \pi$  with respect to  $m$ . On the other hand, we always have by Jensen's inequality

$$\begin{aligned} \int_X \rho_s^{1-\frac{1}{N}} dm &= m(\{\rho_s > 0\}) \left( \frac{1}{m(\{\rho_s > 0\})} \int_{\{\rho_s > 0\}} \rho_s^{1-\frac{1}{N}} dm \right) \\ &\leq m(\{\rho_s > 0\}) \left( \frac{1}{m(\{\rho_s > 0\})} \int_{\{\rho_s > 0\}} \rho_s dm \right)^{1-\frac{1}{N}} \leq m(\{\rho_s > 0\})^{\frac{1}{N}}. \end{aligned}$$

Combining the previous two estimates we see that

$$m(\{\rho_s > 0\}) \geq (1-s)^N m(A \cap B(z, r)) \rightarrow m(A \cap B(z, r))$$

as  $s \downarrow 0$ . We also have  $\{\rho_s > 0\} \subset B(z, r_s)$  with  $r_s \downarrow r$  as  $s \downarrow 0$ . Recalling that  $m(S(z, r)) = 0$  we get

$$m(\{\rho_s > 0\} \cap B(z, r)) \rightarrow m(A \cap B(z, r))$$

as  $s \downarrow 0$ , implying that

$$m(A \cap \{\rho_s > 0\} \cap B(z, r)) > 0$$

for sufficiently small  $s$ . Therefore also the set

$$\begin{aligned} A_1 = \{y \in B(z, r_\epsilon) : \text{there exist two distinct geodesics between } x \text{ and } y \\ \text{which agree close to } y\} \end{aligned}$$

has positive  $m$ -measure.

Now that we have established that there is enough branching away from the initial time let us make the next reduction to geodesics which branch out roughly at the same time.

The open interval  $(0, 1)$  can be covered by a countable number of intervals of the form  $[T_1, T_2]$  with the restriction

$$\max \left\{ \frac{T_2}{T_1}, \frac{1-T_1}{1-T_2} \right\} \leq 2^{\frac{1}{2N}}. \quad (4.1)$$

Therefore there exist some such  $T_1$  and  $T_2$  for which the set

$$\begin{aligned} A_2 = \{y \in A_1 : \text{there exist two distinct geodesics from } y \text{ to } x \text{ which} \\ \text{agree on the interval } [0, T_1] \text{ but not on } [0, T_2]\} \end{aligned}$$

has positive  $m$ -measure. Now let  $\delta > 0$  so that the set

$$A_3 = \{y \in A_2 : \text{there exist two distinct geodesics } \gamma, \gamma' \text{ from } y \text{ to } x \text{ which agree} \\ \text{on the interval } [0, T_1] \text{ and } d(\gamma(t), \gamma'(t)) > 2\delta \text{ for some } t \in [T_1, T_2]\}$$

has positive  $m$ -measure.

Again by going into a subset we find a time  $t_2 \in [T_1, T_2]$  so that the set

$$A_4 = \{y \in A_3 : \text{there exist two distinct geodesics } \gamma, \gamma' \text{ from } y \text{ to } x \text{ which} \\ \text{agree on the interval } [0, T_1] \text{ and } d(\gamma(t_2), \gamma'(t_2)) > \delta\}$$

has positive  $m$ -measure.

Let us write  $t_1 = T_1$ . Notice that  $t_1$  and  $t_2$  also satisfy the estimate (4.1) which the original  $T_1$  and  $T_2$  did.

Because  $m(A_4) > 0$ , there is some  $w \in X$  so that the set

$$E = \{y \in A_4 : \text{there exists } \gamma \in \text{Geo}(X) \text{ with } \gamma(0) = y, \gamma(1) = x \\ \text{and } \gamma(t_2) \in B(w, \delta/2)\}$$

has positive  $m$ -measure. Let us then select for all  $y \in E$  a pair of geodesics  $\gamma_y, \tilde{\gamma}_y$  so that  $\gamma_y(0) = \tilde{\gamma}_y(0) = y$ ,  $\gamma_y(1) = \tilde{\gamma}_y(1) = x$ ,  $\gamma_y(t) = \tilde{\gamma}_y(t)$  for all  $t \in [0, t_1]$ ,  $\gamma_y(t_2) \in B(w, \delta/2)$  and  $\tilde{\gamma}_y(t_2) \notin B(w, \delta/2)$ . Using these pairs we write  $G_1 = \{\gamma_y : y \in E\}$  and  $G_2 = \{\tilde{\gamma}_y : y \in E\}$ .

Next we define two measures  $\pi_1, \pi_2 \in \text{GeoOpt}((m(E))^{-1}m|_E, \delta_x)$ . The first one is defined as

$$\pi_1(F) = \frac{m(\{y \in E : \text{exists } \gamma \in F \cap G_1 \text{ such that } \gamma(0) = y\})}{m(E)}$$

and the second one as

$$\pi_2(F) = \frac{m(\{y \in E : \text{exists } \gamma \in F \cap G_2 \text{ such that } \gamma(0) = y\})}{m(E)}.$$

The definition of  $G_1$  and  $G_2$  guarantee that  $m(\{\rho_{1,t_2} > 0\} \cap \{\rho_{2,t_2} > 0\}) = 0$ . Here  $\rho_{1,s}m$  and  $\rho_{2,s}m$  are the absolutely continuous parts of  $(e_s)_\# \pi_1$  and  $(e_s)_\# \pi_2$  with respect to the measure  $m$ . Using the convexity of  $\mathcal{E}_N$  first to the geodesic  $(\pi_1 + \pi_2)/2$  between times 0 and  $t_2$  and then separately to the geodesics  $\pi_1$  and  $\pi_2$  between times  $t_1$  and 1, and finally using the inequality (4.1) we arrive at the contradiction

$$\begin{aligned} \int_X (\rho_{1,t_1})^{1-\frac{1}{N}} dm &\geq \frac{t_2 - t_1}{t_2} m(E)^{\frac{1}{N}} + \frac{t_1}{t_2} \left( \int_X \left(\frac{\rho_{1,t_2}}{2}\right)^{1-\frac{1}{N}} dm + \int_X \left(\frac{\rho_{2,t_2}}{2}\right)^{1-\frac{1}{N}} dm \right) \\ &> \frac{t_1}{t_2} 2^{\frac{1}{N}-1} \left( \int_X (\rho_{1,t_2})^{1-\frac{1}{N}} dm + \int_X (\rho_{2,t_2})^{1-\frac{1}{N}} dm \right) \\ &\geq \frac{t_1}{t_2} 2^{\frac{1}{N}-1} \frac{1-t_2}{1-t_1} \left( \int_X (\rho_{1,t_1})^{1-\frac{1}{N}} dm + \int_X (\rho_{2,t_1})^{1-\frac{1}{N}} dm \right) \\ &= \frac{t_1}{t_2} 2^{\frac{1}{N}} \frac{1-t_2}{1-t_1} \int_X (\rho_{1,t_1})^{1-\frac{1}{N}} dm \geq \int_X (\rho_{1,t_1})^{1-\frac{1}{N}} dm \end{aligned}$$

thus proving the claim.  $\square$



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