

# SYNTACTIC AND LEXICAL CONSTRAINT IN PROSODIC SEGMENTATION AND GROUPING

*Jianfen Cao & Weibin Zhu\**

Institute of Linguistics, Chinese Academy of Social Sciences  
IBM China Research Lab\*

Email: jianfencao@hotmail.com; Zhuweib@cn.ibm.com\*

## ABSTRACT

This paper tries to discuss the interrelation between prosody and syntax by clarifying some syntactic constraints in Chinese prosodic segmentation and grouping. The main attention will be paid to search for (1) possible correlation between prosodic breaks and syntactic construction; (2) possible correlation between prosodic breaks and POS; and (3) the role of syntactic and lexical information in prosodic word chunking. Accordingly, an algorithm for the prediction of prosodic structure based on this information could be formed later on.

## 1. INTRODUCTION

Essentially, Prosodic segmentation and grouping is referred to prosodic structure of speech, and it has been a hot topic in the field of speech processing. TTS, for example, still suffer from somewhat unnaturalness, though a great progress has been made in this field up to date, a lot of specific trouble and problem seem to be caused by the dislocation in prosodic hierarchy. At the same time, the accuracy in speech recognition is difficult to get enhance, it seems also to be caused by lacking uses of the knowledge in prosodic structure. Consequently, it is true to say “that both for speech synthesis and speech recognition, prosody is the area in which the most progress needs to be made before such technology can be used as an acceptable replacement for human speech” [4].

Because of the development of TTS, prosody generation has become the most important part to enhance the naturalness of synthetic speech. It is especially necessary to predict a prosodic hierarchy according to grammatical information from the text, since it will be of quite benefit to the generation of prosody automatically at the back-end in a system. However, It is well known that prosodic and grammatical structure is not always directly mapping each other. Consequently, it is a critical issue to clarify the interrelation between prosody and syntax both for the theoretical approach in phonetic science and applied research in speech technology.

The main task is to segment syllable sequence into proper units and then organize them into correct prosodic layers based on text analysis. This issue is not easy to deal with. It is because that in human speech production, different prosodic units are well organized in a hierarchy, but such information in text is almost completely lost. Therefore, people have to seek a proper way to recover prosodic structural information and annotate them onto the text. Actually, here the underlying challenge is related to the interrelation between syntax and prosody.

Studying on the correlation between prosody and syntax is a classical and universal subject conducted in many languages. For satisfying this goal, a lot of efforts have been contributed in related fields [6, 3, 8]. Recently, in China, there were also several methods [5, 10] that make use of features such as part of speech(POS), position of words, cue words(like “de”, “he”), punctuation and so forth to predict the prosodic breaks, but it

is far from of realizing the whole picture, further study is needed.

Making prosodic segmentation and grouping at the front-end of Chinese TTS is a particularly difficult task due to following factors.

First of all, as many researches have found that prosodic hierarchy is not always consistent with syntactic hierarchy in a language, therefore, it is impossible to make prosodic segmentation and grouping by directly mapping from syntactic structure. This is a common issue revealed in different languages.

Second, but more important, is that Chinese has many morpho-syntactic features quite different from western languages on one hand, such as monosyllabic structure in morpheme and its flexibility and poly-syntheticism in word-formation; while on the other hand, the speech units in natural Chinese is also well-organized as a hierarchy, in stead of a discrete linear alignment. According to Cao [1,2], Tseng [11] and Qian et al [9], the prosodic hierarchy of Mandarin Chinese consists of at least three layers, i.e., prosodic word, prosodic phrase and intonation phrase. That is to say, the monosyllabic written form in Chinese is completely separated from the spoken form. Consequently, how to gather the monosyllables into prosodic chunks has become a very thorny problem.

In addition, we neither can expect to determine prosodic words directly according to lexical words, even though the monosyllabic morphemes have been combined into lexical form in the dictionary. It is because of that the lexical word may be smaller or larger than a prosodic word, and in the fact, there is only 70.71% of lexical words which is identified with prosodic word in real speech [7], even not to mention the definition and segmentation of lexical word itself is originally a controversial issue remained in Chinese linguistics. Consequently, how to make prosodic segmentation and grouping at the front-end, so that to build a prosodic hierarchy automatically at the back-end of TTS system, has become an urgent subject raised in both fields of phonetics and speech technology.

Bearing this idea in mind, the present study tries to discuss this issue by clarifying the syntactic constraints occurred in prosodic segmentation and grouping in Mandarin Chinese. The main attention will be paid to following aspects: (1) exploring possible correlation between perceived pause (i.e., prosodic break) and syntactic construction; (2) searching for possible correlation between perceived pause and the part of speech; (3) observing the role of syntactic and lexical information in prosodic word chunking.

## 2. EXPERIMENTAL MATERIALS AND METHODS

This study is based on the observation and analysis to two sets of sentences: the first set was selected randomly from a discourse corpus that is uttered by multi-speakers. Another set was extracted randomly from a large-scale speech corpus of

sentences read aloud by a male speaker. Among these materials, the length of sentence is 9-20 syllables in average, and the longest one consists of 41 syllables, so all of them are involved in the prosodic segmentation and grouping taken place within a sentence.

Experimental investigation and analysis includes four steps: (1) Text analysis, in which grammatical words segmentation were done, part of speech annotation and syntactic parsing of each sentence were conducted. (2) Perception test, in which 4 subjects were participated, they were asked to label the pause (i.e., breaks) and their strength. After that, a prosodic hierarchy was formed accordingly. (3) Acoustic analysis, through which, the suprasegmental features related to the prosodic hierarchy, like boundary marked pitch movement (i.e., tone break) and temporal variation (either the silence and syllable duration of word final) were obtained, these information are very helpful in determining the prosodic hierarchy objectively. (4) At last, to conduct a comparative analysis to the data obtained from (1) to (3), i.e., through the comparing of the perceived pause and the results of syntactic parsing, to look into possible correlation between prosody and syntax.

### 3. RESULTS AND DISCUSSION

Perceptually, prosodic hierarchy is roughly identified with perceived pause (i.e., break), so the hierarchy can be represented through pausing distribution, and we can explore the prosody-syntax interrelation by investigating the relationship between pausing distribution and syntactic construction.

Generally, there are three degrees of pause can be perceived by normal listening, but there is a fourth degree of pause, a mini-pause, could be perceived by a carefully perception test. Usually, such mini-pause is being ignored. However, it has been revealed that such mini-pause is even more important for the improving of TTS naturalness, since whether the mini-pause setting is proper or not will obviously affect not only the naturalness, but also the sound quality and intelligibility in some degree. Therefore, we try to observe four degrees of pause in the present study, that is p1-p4 from major to minor. We found that there is a high correlation between pause and silence, of course, pitch resetting and word final syllable lengthening also greatly contribute to the perceived pause and its degree. Since our attention was mainly paid to the relationship between prosodic hierarchy and syntactic structure, here we just give the part of experimental results that referred to the perceived pause distribution (see the Table on the next page of this paper).

#### 3.1 Distribution of pausing and syntactic construction

According to the results obtained from this study, we find that there does exist some correlations between pausing distribution and syntactic construction. The main points can be summarized below.

##### 3.1.1 Distribution of the first degree of pause (hereafter P1)

The first degree of pause is major break perceived from perception test, the majority of this degree of pause occur between the subject and predicate of a sentence, it is true especially in a relatively balanced sentence. Whereas, the P1 in an unbalanced sentence is usually occurred (1) between a prepositive adverbial modifier and the subject; (2) between the

clauses in a complex sentence and (3) between different modifiers in the complex attribute or adverbial modifier.

##### 3.1.2 Distribution of the second degree of pause (P2)

The data obtained here show that the majority of P2 is occurred (1) between different modifiers in the complex attribute or adverbial modifier; (2) between comment and object, including verb and object, pronoun and object, or the copulative verb and the predicative; (3) between the subject and predicate of an unbalanced sentence and (4) between the modifier and the part being modified.

##### 3.1.3 Distribution of the third degree of pause (P3)

Most of the P3 is distributed (1) between the modifier and the part being modified; (2) between the comment and object, including verb and object, pronoun and object, or the copulative verb and the predicative.

##### 3.1.4 Distribution of the fourth degree of pause (P4)

The distribution range of P4 is mainly located (1) between the words in a word compound or mini-phrase that without auxiliary word “的”; (2) after the auxiliary word “的” in a noun phrase or word compound.

In summarily, the situations described above indicate that the major prosodic breaks P1 in natural speech do correspond to most but not necessarily all major syntactic boundaries. While the minor prosodic breaks P4 usually occur within a small size syntactic phrase or word compounds. Generally, the P2 and P3 function as the most powerful boundary marker of intermediate prosodic chunks, mainly occur in the syntactic levels lower than that of P1 but higher than that of P4, but may be some overlap between P2 and P3 as well as P1, especially in an unbalanced sentence.

#### 3.2 Distribution of pausing and lexical information

According to the results obtained here, some correlation also found between pausing distribution and lexical information. That is, a pause, longer or shorter, is likely occurred in the following cases:

- (1) After a location name or person name, especially after a foreign name;
- (2) After a time or quantitative word;
- (3) After a polysyllabic verb in the case of followed by a polysyllabic structure;
- (4) After a position word like and directional verb that served as a suffix and in the case of followed by a polysyllabic structure;
- (5) Before and /or after a coordinative conjunction in the case of connecting two complex constituents, and the pause before the conjunction word is usually stronger than that after the conjunction word;
- (6) Before and/or after a turning conjunction in the case of connecting two complex constituents;
- (7) After an auxiliary word in the case of followed by a polysyllabic structure;
- (8) After a disyllabic adverb in the case of followed by a polysyllabic structure.

#### 3.3 The role of syntactic and lexical information in prosodic word chunking

Grammatically, there is a lot of monosyllabic words in Chinese, but according to the information obtained from this study, we found that such kind of words is likely tried to form as a prosodic word by self-lengthening or attaching to another

mono- or disyllabic constituent, so that to gather into a foot in order to fulfill the requirement of speech prosody.

*Table 1 Pause distribution among various syntactic components in the first set of materials (total 57 sentences)*

| Syntactic components   | P1 | P2 | P3 | P4 |
|--|----|----|----|----|
| Between(B/w) subject and predicate   | 23 | 11 | 3  | 9  |
| B/w clauses  | 3  |    |    |    |
| B/w prepositive adverbial modifier and subject   | 5  | 3  |    |    |
| B/w coordinative objects or predicatives   | 2  |    |    |    |
| B/w verb/ preposition and object, or b/w linking verb and predicative  | 2  | 16 | 29 | 8  |
| B/w modifier and the part being modified   | 2  | 10 | 48 | 3  |
| B/w different modifiers  | 3  | 17 |    |    |
| B/w coordinate predicates  | 2  |    |    |    |
| B/w prepositive object and verb  | 1  |    |    | 2  |
| B/w object and complement  | 1  | 2  | 2  |    |
| B/w subject and predicate of a clause  |    | 2  | 3  |    |
| B/w coordinate component 1 and conjunction   |    | 3  | 2  |    |
| B/w conjunction and coordinate component 2   |    | 1  |    | 2  |
| B/w verb and complement  |    | 1  | 4  | 2  |
| B/w shorter noun phrases   |    |    | 4  |    |
| B/w turning conjunction and the component being connected  |    |    | 2  |    |
| After auxiliary word “de” of a word compound with “de”   |    |    |    | 13 |
| B/w the words of a word compound without “de”h   |    |    |    | 47 |
| B/w modifier and verb in a verb phrase   |    |    |    | 10 |
| At the center of quadri-syllabic idioms  |    |    |    | 8  |
| B/w former two numerals and later two numerals in year’s name,<br>B/w numeral and quantity, or at the center of a compound numeral |    |    |    | 8  |
| B/w preposition and object in a shorter phrase   |    |    |    | 4  |
| B/w the names of year, month or season   |    |    |    | 2  |
| B/w “di” and numeral in a polysyllabic ordinal number  |    |    |    | 1  |

However, such prosodic grouping must be under certain rules, which can be summarized as follows.

- (1) Independently forms as a foot by self-lengthening when it is stressed in speech or in the case there is no any mono- or disyllabic constituent could be attached;
- (2) To form as an independent foot by attaching with another one or two monosyllabic word in the case of neighboring (before and / after) other monosyllabic word;
- (3) To form as a super-foot by pre- or post- attaching to a standard foot, i.e., a disyllabic constituent, when it is unstressed in speech.

In addition, the prosodic grouping process described above must be under certain syntactic and lexical constraint.

Specifically, for example, if the monosyllable word is a noun, then the chunking process is usually achieved by pre-attaching a mono- or disyllabic adjective/pronoun/numeral /conjunctive/verb/another noun, and / or by post-attaching a suffix /auxiliary word “de” /position and direction word /another noun. Whereas, if it is a monosyllabic verb, then the chunking process will be achieved by pre-attaching a mono- or disyllabic adverb/auxiliary verb/interrogative pronoun/prefix /another verb, and / or by post-attaching a monosyllabic noun/adjective/ noun suffix /auxiliary word 的/direction and position word /verb suffix / preposition.

Similarly, other parts of speech, like adjective, adverb, preposition and so forth, all have special lexical constraints in

their chunking process. These situations tell us that each part of speech must be under certain lexical condition when it is combined with other word to chunk into a prosodic word, otherwise, it will be served as a prosodic word independent.

#### 4. SUMMARY

According to the data obtained from this investigation, we find that the main inconsistency between prosody and syntax is in their hierarchical strength. For example, the major syntactic boundary in a sentence should be located between the subject and predicate, while the major prosodic boundary in natural speech is often occurred at some lower syntactic level. However, the data also indicate that the prosodic hierarchy is not completely inconsistent with syntactic one’s, there are do existed certain mapping relations between prosodic structure and syntactic structure. It means that people can extract a lot of prosodic structural information from available syntactic information, and then, apply them for the prediction of prosody in speech processing. In the fact, the findings obtained from this investigation have been partially applied to certain TTS system, the test is in progress, and the preliminary result sounds satisfactory. Of course, this is just an initial effort, much more work, especially for some statistical approach is needed. A possible algorithm for the prediction of prosody based on text information in TTS is ongoing, it may be reported in later papers.

## REFERENCES

- [1] Cao, J., 1999. Acoustic-phonetic characteristics on the rhythm of Standard Chinese. *The Proc. of 4<sup>th</sup> National Conference on Modern Phonetics*, Beijing, China, 155-159.
- [2] Cao, J., 2000. Rhythm grouping and speech timing. *Proc. of ICSLP'2000*, Beijing, China, 357-360.
- [3] Gee, J. P., 1983. Performance structures: A psycholinguistic and linguistic appraisal. *Cognitive Psychology*, 15.
- [4] Hirst, D; Bel, B; Campbell, N., 2001. Speech Prosody 2002 committee –Message.
- [5] Lee, L., 1988. Structural features of Chinese language – Why Chinese spoken language processing is special and where we are, *Proc. of ISCSLP'98*, Singapore.
- [6] Lehiste, I., 1972. The timing of utterances and linguistic boundaries. *JASA*, 51.
- [7] Lu, S; He, L; Yu, G; Feng, Y., 2000. A comparison between synthetic speech and natural speech of Chinese. *Proc. of ISCSLP'00*, Beijing, China, 153-157.
- [8] Mertens, P., 1993. Intonational grouping boundaries and syntactic structure in French. In *ESCA Workshop on Prosody, Lund Working Papers*.
- [9] Qian Y; Chu, M; Peng, H., 2001. Segmentating unrestricted Chinese text into prosodic words instead of lexical words. *Proc. of ICASSP'2001*, Oct. 11-13, Beijing.
- [9] Shen, X; B. Xu, 2000. A CART \_based hierarchical stochastic model for prosodic phrasing in Chinese. *Proc. of ISCSLP'00*, Beijing, China, 105-108.
- [10] Tseng, C; Chen, D., 2000. The interplay and interaction between prosody and syntax: evidence from Mandarin Chinese. *Proc. of ICSLP'2000*, Beijing, China, 95-97.

## 韵律组块分合的语法制约

曹剑芬

中国社会科学院语言研究所

[jianfencao@hotmail.com](mailto:jianfencao@hotmail.com)

## 摘要

通常，面对书面上一个较长的短语或句子，人会根据主观直觉和常识，很自然地会把它划分为若干节落说出来。然而，对于计算机（虽然号称电脑）而言，这却是一个很大的难题。过去，人们注意的只是人与人的自然交际，最多需要纠正一下“读破句子”的现象；而现代科技的发展，譬如计算机文语转换语音合成的韵律建模，就急切需要语言学工作者对自然话语的韵律切分进行深入研究，为他们提供客观规律，以便在文本阶段，就可以全面预测语句的韵律结构，从而让计算机可以合成出具有自然的抑扬顿挫的话语。这是目前国内外语言学界和言语工程界普遍关注的一个热点问题，本研究就是应这种实际需要而展开的。

本文主要通过语法标注、感知实验和声学测量，分析比较韵律与语法层面上的现象，探索下列几方面的内容：

- (一) 韵律停顿跟句法结构之间的关系；
- (二) 韵律停顿跟词性之间的关系；

- (三) 句法和词性信息在韵律词组块过程中的作用；
- (四) 句法和词性信息在语句重音分布中的作用；
- (五) 能否利用句法和词性信息预测话语的韵律结构。

主要结论：

- (一) 韵律组块虽然是以句法结构为基础的，但是，两者并不完全一致，这种不一致性主要表现为各自层级结构的不同。不过，韵律层级跟句法层级之间也并不是完全不一致，特别是在较高层次上，两者之间还是存在一定的映射关系；
- (二) 韵律停顿跟句法结构之间确实存在一定的相关性；
- (三) 韵律切分跟词性之间也具有一定的相关性；
- (四) 因此，可以利用句法和词性信息预测一般的韵律切分和重音分布。

根据初步的实验，有可能在此基础上建立一个基于文本句法和词性信息的韵律结构预测模型。这对自然语音处理是十分有用的。