DYCK'S SURFACES, SYSTOLES, AND CAPACITIES

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ABSTRACT. We prove an optimal systolic inequality for nonpositively curved Dyck's surfaces. The extremal surface is flat with eight conical singularities, six of angle ϑ and two of angle $9\pi - 3\vartheta$ for a suitable ϑ with $\cos(\vartheta) \in \mathbb{Q}(\sqrt{19})$. Relying on capacity estimates, we also show that the extremal surface is not conformally equivalent to the hyperbolic surface with maximal systole, yielding a first example of systolic extremality with this behavior.

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1. Introduction

The systole of a metric space M is the least length of a loop in M which cannot be contracted to a point. Only a small number of optimal systolic inequalities relating systole and the volume of M are available

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in the literature. Loewner's torus inequality asserts that every Riemannian torus $\mathbb T$ satisfies

$$\operatorname{sys}(\mathbb{T}^2)^2 \le \frac{2}{\sqrt{3}} \operatorname{area}(\mathbb{T}^2), \tag{1.1}$$

see Pu's paper [39]. Pu's inequality asserts that every real projective plane \mathbb{RP}^2 satisfies

$$\operatorname{sys}(\mathbb{RP}^2)^2 \le \frac{\pi}{2} \operatorname{area}(\mathbb{RP}^2). \tag{1.2}$$

Bavard's inequality [7] for the Klein bottle K is the bound

$$\operatorname{sys}(K)^2 \le \frac{\pi}{2\sqrt{2}} \operatorname{area}(K). \tag{1.3}$$

The Burago-Ivanov-Gromov inequality relates the stable systole of an n-torus to its volume:

$$\operatorname{stsys}_{1}(\mathbb{T}^{n}) \leq \sqrt{\gamma_{n}} \operatorname{vol}_{n}(\mathbb{T}^{n})^{\frac{1}{n}}, \tag{1.4}$$

where γ_n is the Hermite constant, see Burago and Ivanov [13], [14], Gromov [23], and [28, p. 155].

Bangert et al. proved the following optimal inequality for orientable Riemannian n-manifolds M:

$$\operatorname{stsys}_{1}(M)\operatorname{sys}_{n-1}(M) \leq \gamma_{b}'\operatorname{vol}_{n}(M) \tag{1.5}$$

where $b = b_1(M)$ is the first Betti number, and γ'_b is the Bergé-Martinet constant, see Bangert et al. [5], [6], and [28, p. 135].

In the context of nonpositively curved metrics, we proved the following optimal inequality in [29]: every nonpositively curved genus two surface Σ_2 satisfies the bound

$$\operatorname{sys}(\Sigma_2)^2 \le \frac{1}{3}(\sqrt{2} + 1) \operatorname{area}(\Sigma_2). \tag{1.6}$$

Furthermore, the equality case is attained by a piecewise flat metric with 16 conical singularities of angle $\frac{9\pi}{4}$. The conformal class of the extremal surface coincides with that of the hyperbolic metric of maximal systole, namely the Bolza surface, see [25].

In the present article, we develop an analogous optimal systolic inequality for nonpositively curved metrics on Dyck's surface. Here Dyck's surface $3\mathbb{RP}^2$ is the nonorientable closed surface of Euler characteristic -1, homeomorphic to the connected sums

$$3\mathbb{RP}^2 = \mathbb{RP}^2 \# \mathbb{RP}^2 \# \mathbb{RP}^2$$
$$= \mathbb{RP}^2 \# \mathbb{T}^2.$$

By convention, a point on Dyck's surface will be referred to as a Weierstrass point if it is the image of a Weierstrass point of the orientable double cover.

Theorem 1.1. Every nonpositively curved metric on Dyck's surface $3\mathbb{RP}^2$ satisfies the following optimal systolic inequality:

$$sys(3\mathbb{RP}^2)^2 \le \frac{12}{12 + (169 - 38\sqrt{19})^{\frac{1}{2}}} \operatorname{area}(3\mathbb{RP}^2). \tag{1.7}$$

Furthermore, the equality case is attained by a piecewise flat surface composed of a flat Möbius band and three identical symmetric nonregular flat hexagons centered at its Weierstrass points.

Observe that the optimal constant $\frac{1}{3}(\sqrt{2}+1) \simeq 0.80473$ in (1.6) is less than the one in (1.7), which is approximately 0.86745.

We will refer to the extremal surface as the extremal nonpositively curved Dyck's surface and denote it $\mathcal{D}_{\leq 0}$. We present two constructions, one in Section 2 and the other in Section 6. Theorem 1.1 is proved in Section 7.

The hyperbolic Dyck's surface of maximal systole, denoted by \mathcal{D}_{-1} , was described by Parlier [37] and then by Gendulphe [22]. It has the same symmetry group as the extremal nonpositively curved Dyck's surface.

Proposition 1.2. The conformal types of the extremal nonpositively curved Dyck's surface $\mathcal{D}_{\leq 0}$ and the extremal hyperbolic Dyck's surface \mathcal{D}_{-1} are distinct.

More precisely, they can be distinguished by the capacities of the associated annuli $\mathcal{A}_{\leq 0}$ and \mathcal{A}_{-1} obtained by cutting open their orientable double covers:

$$\operatorname{Cap} \mathcal{A}_{\leq 0} < 2.29 < \operatorname{Cap} \mathcal{A}_{-1}$$

This yields a first example of systolic extremality with this behavior. As we already mentioned, this situation contrasts with the situation in genus 2. By comparison, it is unknown whether or not in genus 3, the extremal hyperbolic surface and the extremal surface of nonpositive curvature belong to the same conformal type.

We also show that the orientable double cover of $\mathcal{D}_{\leq 0}$ is not conformally equivalent to Bolza's curve, *cf.* Proposition 8.1.

Recent publications in systolic geometry include Ambrosio & Katz [1], Babenko & Balacheff [2], Balacheff et al. [3], Belolipetsky [9], Dranishnikov, Katz, & Rudyak [18], El Mir [19], Fetaya [21], Katz et al. [26, 27, 31, 32], Makover & McGowan [34], Parlier [38], Ryu [40], and Sabourau [41].

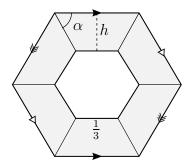


FIGURE 2.1. The hexagonal annulus \mathcal{H}

2. Construction of the extremal surface

The extremal Dyck's surface $\mathcal{D}_{\leq 0}$ is flat with eight singularities: six of angle ϑ and two of angle $9\pi - 3\vartheta$, with $\cos \vartheta \in \mathbb{Q}(\sqrt{19})$. We describe below their positions in terms of ramifications points of some ramified covers.

The double cover of $\mathcal{D}_{\leq 0}$ is a genus two surface which is a branched double cover over S^2 with six branch points. The branch points correspond to the vertices of an inscribed antiprism on an equilateral triangle. When the side triangles are equilateral (rather than just isosceles), the antiprism is the regular octahedron and the resulting surface is the Bolza surface.

The Weierstrass points of the extremal surface are actually smooth, therefore the quotient metric on S^2 has conical singularities of angle π at the six branch points, in addition to the singular points arising from the extremal metric.

The singular points of the extremal metric correspond to the vertices of the cube dual to the octahedron (more precisely, parallelepiped dual to the antiprism). The ones corresponding to the north (or south) pole have conical singularity of angle $9\pi - 3\vartheta$. The ones corresponding to the non-equilateral sides of the antiprism have conical singularity of angle ϑ .

Construction of the extremal surface. In complement to the description below, we present an alternative construction of the extremal surface at the end Section 6. We normalize the systole to 1. Consider

real numbers α and h specified by the relations

$$\begin{cases} \alpha = \frac{1}{2} \left(\pi - \arctan \sqrt{\frac{8 - \sqrt{19}}{2}} \right) > \frac{\pi}{3} \\ h = \frac{1}{2} \cos \alpha \end{cases}$$
 (2.1)

The piecewise flat surface defining the extremal nonpositively curved Dyck's surface $\mathcal{D}_{\leq 0}$ can be constructed as follows.

- (1) Take a flat isosceles trapezoid of height h and acute angle α with the shorter (internal) side of length $\frac{1}{3}$, where parameters α and h satisfy the relations (2.1);
- (2) Form a nonplanar hexagonal annulus \mathcal{H} composed of six identical trapezoids (see Figure 2.1), where the inner boundary component of \mathcal{H} is of length 2;
- (3) Consider the torus with a disk removed, obtained by identifying the opposite sides of the outer boundary component of the hexagonal annulus \mathcal{H} as in Figure 2.1;
- (4) Attach a flat Möbius band of width 1-2h and boundary length 2 to the torus with a disk removed as in step (3).

Conical singularities. The extremal surface $\mathcal{D}_{\leq 0}$ so defined is of nonpositive curvature in the sense of Alexandrov, since $6\alpha > 2\pi$. More precisely, it has eight conical singularities:

- two of angle 6α corresponding to the vertices of the outer boundary component of \mathcal{H} , and
- six of angle $3\pi 2\alpha$ corresponding to the vertices of the inner boundary component of \mathcal{H} .

The angle ϑ of six of the eight conical singularities satisfies $\vartheta = 3\pi - 2\alpha$, which leads to the following expression

$$\cos \vartheta = \frac{1 + \sqrt{19}}{2} \in \mathbb{Q}(\sqrt{19}).$$

Weierstrass points. The Weierstrass points of $\mathcal{D}_{\leq 0}$ correspond to the midpoints of the sides of the outer boundary component of \mathcal{H} after completion of the steps (1-4) of the construction of the extremal surface.

Automorphism group. The automorphism group of the extremal surface $\mathcal{D}_{\leq 0}$ viewed as a Klein surface, which agrees with its symmetry group, is isometric to $D_3 \times \mathbb{Z}/2\mathbb{Z}$, cf. Proposition 8.1. In a more constructive way, the orientable double cover of $\mathcal{D}_{\leq 0}$ is a genus two Riemann surface Σ_2 which can be thought of as an holomorphic ramified

double cover

$$\Sigma_2 \to \mathbb{CP}^1 \simeq S^2$$

whose branched points (i.e. the projections of the Weierstrass points of Σ_2) agree with the 6 vertices of a nonuniform triangular antiprism. The two main opposite faces of this antiprism are formed of two equilateral triangles symmetric with respect to the center of S^2 . The triangles of the antiprism around these two faces are isosceles but not equilateral which yields an isometry group isomorphic to $D_3 \times \mathbb{Z}/2\mathbb{Z}$ for the antiprism. In turn, this leads to a group of holomorphic and antiholomorphic automorphisms on the double cover Σ_2 isomorphic to $D_3 \times (\mathbb{Z}/2\mathbb{Z})^2$. We observe that Σ_2 lies in the complex family of Riemann surfaces with holomorphic automorphism group $D_3 \times \mathbb{Z}/2\mathbb{Z}$ given by Bolza's classification [10]. Note that for the extremal nonpositively curved genus two surface, cf. [29], a similar description holds with a uniform antiprism, that is, a regular octahedron, which leads to a holomorphic automorphism group isomorphic to $S_4 \times \mathbb{Z}/2\mathbb{Z}$ given precisely by Bolza's curve, cf. [10]. This shows that $\mathcal{D}_{\leq 0}$ is not a quotient of the extremal nonpositively curved genus two surface. The optimal configuration for $\mathcal{D}_{\leq 0}$ follows from an equilibrium between the systolic area contribution of the Möbius band and the one of the Voronoi cells centered at the Weierstrass points.

Systolic loops. There are three types of systolic loops on the extremal surface:

- the soul of the flat Möbius band,
- the loops orthogonal to a short base of one of the trapezoids of \mathcal{H} ,
- the loops orthogonal to a leg of one of the isosceles trapezoids of \mathcal{H} .

Note that the last type of systolic loops contains loops joining any pair of Weierstrass points.

Extremality. Since the extremal surface admits regions at every point of which exactly one systolic loop passes, it is not extremal for the curvature-free systolic inequality on $3\mathbb{RP}^2$, cf. [17, Lemma 2.1]. Actually, a direct application of the characterization of conformally extremal surfaces established by Bavard in [8] shows that $\mathcal{D}_{\leq 0}$ is not even extremal in its conformal class for the curvature-free systolic inequality. Thus, an extremal metric for the curvature-free systolic inequality on $3\mathbb{RP}^2$ necessarily admits regions of both positive and negative curvature.

3. Conformal data

In this section, we review some conformal constructions and results that we will need for the proofs of Theorem 1.1 and Proposition 1.2.

From a complex point of view, a surface homeomorphic to $3\mathbb{RP}^2$ can be described as the antipodal quotient $3\mathbb{RP}^2 = \Sigma_2/\tau$ of a genus two Riemann surface Σ_2 by a fixed point-free antiholomorphic involution τ . Recall that every genus two Riemann surface Σ_2 is hyperelliptic and that its affine part can be represented by a quadratic equation

$$y^2 = p(x) \tag{3.1}$$

in \mathbb{C}^2 , where p is a degree 6 complex polynomial with six distinct roots, which correspond to the Weierstrass points of Σ_2 . In this presentation, the hyperelliptic involution

$$J:\Sigma_2\to\Sigma_2$$

is given by the transformation $(x, y) \mapsto (x, -y)$ on the affine part of the surface in \mathbb{C}^2 . It is the only holomorphic involution of Σ_2 with six fixed points (the six Weierstrass points). By uniqueness, every holomorphic or antiholomorphic involution of Σ_2 commutes with J. In particular,

$$\tau \circ J = J \circ \tau. \tag{3.2}$$

Thus, the hyperelliptic involution J on Σ_2 descends to an involution, denoted $J_{\mathcal{D}}$, on $3\mathbb{RP}^2$:

$$J_{\mathcal{D}}: 3\mathbb{RP}^2 \to 3\mathbb{RP}^2. \tag{3.3}$$

The projection of the locus of the equation (3.1) to the x-coordinate induces a holomorphic double cover

$$Q: \Sigma_2 \to \mathbb{CP}^1 \tag{3.4}$$

ramified at the Weierstrass points of Σ_2 . The presence of the real structure τ entails that the polynomial p in (3.1) may be assumed to have real coefficients, and that the involution $\tau: \Sigma_2 \to \Sigma_2$ restricts to the complex conjugation on the affine part of the surface in \mathbb{C}^2 , namely

$$\tau(x,y) = (\bar{x},\bar{y}).$$

Since the upperhalf plane in \mathbb{C} is a fundamental domain for the action of the complex conjugation, the points on $3\mathbb{RP}^2 = \Sigma_2/\tau$ can be represented by points in the closure of the upperhalf plane. More precisely, consider the northern hemisphere

$$\hat{\mathbb{C}}^+ \subset \mathbb{CP}^1 = \mathbb{C} \cup \{\infty\} = S^2,$$

with the equator included. We will think of the surface $3\mathbb{RP}^2$ as the ramified double cover

$$3\mathbb{RP}^2 \to \hat{\mathbb{C}}^+ \tag{3.5}$$

induced by (3.4). Such a cover is branched along the equator of the hemisphere $\hat{\mathbb{C}}^+$ as well as at three additional branch points, namely the projections to $\hat{\mathbb{C}}^+$ of the Weierstrass points of Σ_2 .

Let $Y \subset \Sigma_2$ be the preimage of the northern hemisphere $\hat{\mathbb{C}}^+$ under the double cover Q of (3.4). Then Y is a torus with an open disk removed.

Let τ_{∂} be the restriction of the involution τ of Σ_2 to the boundary circle ∂Y . The map τ_{∂} is the antipodal map on the boundary circle ∂Y . The original surface $3\mathbb{RP}^2$ can be viewed as the quotient space of Y by τ_{∂} :

$$Y \to 3\mathbb{RP}^2 = Y/\tau_{\partial}. \tag{3.6}$$

By construction, the subsurface $Y \subset \Sigma_2$ is J-invariant and its boundary ∂Y is the fixed point set of the involution $J \circ \tau$ on Σ_2 .

4. Area lower bound for some collars

We will reduce the problem to symmetric metrics, and then establish an optimal lower bound for the area of some collars in $3\mathbb{RP}^2$.

Definition 4.1. The average metric \bar{g} of a Riemannian metric g on $3\mathbb{RP}^2$ by the hyperelliptic involution (3.3) is defined as

$$\bar{g} = \frac{g + J_{\mathcal{D}}^*(g)}{2}.$$

We will need the following results regarding the average metric.

Lemma 4.2 ([29], Lemma 4.2). The average metric \bar{g} of a nonpositively curved Riemannian metric g on $3\mathbb{RP}^2$ is similarly nonpositively curved.

Lemma 4.3 ([28]). Let g be a Riemannian metric on $3\mathbb{RP}^2$. The average metric \bar{g} has a better systolic area than g, that is,

$$\frac{\operatorname{area}(\bar{g})}{\operatorname{sys}(\bar{g})^2} \le \frac{\operatorname{area}(g)}{\operatorname{sys}(g)^2}.$$

By Lemmas 4.2 and 4.3, the nonpositively curved metric on $3\mathbb{RP}^2$ (and so its lift to $\Sigma = \Sigma_2$) may be assumed invariant under the hyperelliptic involution. We normalize the metric by rescaling it to unit systole, so that $\text{sys}(3\mathbb{RP}^2) = 1$. These assumptions on the metric will be implicit throughout the article.

Consider the surface $Y \subset \Sigma$ defined in Section 3. Recall that Y is a torus with an open disk removed. Here, Y is endowed with the J-and τ -invariant nonpositively curved metric inherited from Σ . As the fixed-point set of the isometric involution $J \circ \tau$, the boundary ∂Y of Y is geodesic.

Lemma 4.4. Relative to the normalisation $sys(3\mathbb{RP}^2) = 1$, the level curves of Y at distance less than $\frac{1}{2}$ from ∂Y are loops freely homotopic to ∂Y . Furthermore, they are of length at least 2.

Proof. By Morse theory, the level curve at distance r from ∂Y deforms to ∂Y if the function $f(p) = \operatorname{dist}(p, \partial Y)$ has no singular value between 0 and r. Let r be the least value for which this is not the case.

Since Y is nonpositively curved, there exist two length-minimizing paths of length r joining ∂Y to the same critical point of f on $f^{-1}(r)$. Furthermore, these two length-minimizing paths form a geodesic arc γ with endpoints in ∂Y which induces a nontrivial class in $\pi_1(Y, \partial Y)$. Note that γ orthogonally meets ∂Y at its endpoints.

Now, the hyperelliptic involution J on Y induces the homomorphism -id on $\pi_1(Y, \partial Y)$. Thus, the arcs γ and $-J\gamma$ lie in the same relative homotopy class in $\pi_1(Y, \partial Y)$. From the flat strip theorem, these two geodesic arcs are parallel and bound with some arcs of ∂Y a J-invariant flat rectangle. The center x of this rectangle is clearly a Weierstrass point and

$$length \gamma = 2r = 2 \operatorname{dist}(x, \partial Y). \tag{4.1}$$

Now, the segment joining ∂Y to x forms with its image by J a geodesic arc c with opposite endpoints on ∂Y . This arc c projects to a noncontractible loop of $3\mathbb{RP}^2$. Hence the length of c, which is twice $\operatorname{dist}(x,\partial Y)$, is at least 1. Combined with (4.1), we derive the first part of the lemma, namely

$$r \ge \frac{1}{2}$$
.

Each of the two arcs of ∂Y joining a pair of opposite points projects to a noncontractible loop of $3\mathbb{RP}^2$. Therefore, the length of ∂Y is at least 2. Since Y is nonpositively curved and ∂Y is a closed geodesic, every loop of Y freely homotopic to ∂Y is of length at least 2, and so are the level curves of Y at distance less than r from ∂Y .

From the coarea inequality, we obtain a first lower bound on the systolic area of a nonpositively curved metric on $3\mathbb{RP}^2$.

Proposition 4.5. Consider a normalized nonpositively curved metric on $3\mathbb{RP}^2 = Y/\tau_{\partial}$ invariant by the hyperelliptic involution and let $\delta \in$

 $(0,\frac{1}{2})$. The δ -tubular neighborhood U_{δ} of ∂Y in Y is a topological cylinder which satisfies

$$area(U_{\delta}) \geq 2\delta$$
.

In particular,

$$\operatorname{sys}(3\mathbb{RP}^2)^2 \le \operatorname{area}(3\mathbb{RP}^2). \tag{4.2}$$

5. Decomposition of nonpositively curved Dyck's surfaces

We introduce a decomposition of Dyck's surface leading to the description of the extremal metric in Section 6. This decomposition will also allow us to estimate the systolic area of nonpositively curved metrics.

The decomposition is motivated by the following observation. If the systolic inequality (4.2) was optimal, the extremal surface could be defined from a flat cylinder of circumference 2 and height $\frac{1}{2}$ by identifying pairs of opposite points on one of its boundary component (which leads to a Möbius band) and by gluing the other boundary component onto itself so as to obtain the right topological type for the surface. It turns out this is impossible without decreasing the systole, which shows that the inequality (4.2) is not optimal.

Actually, we will see that the extremal surface decomposes into a flat Möbius band and a torus with a disk removed. The flat Möbius band is defined as previously from a flat cylinder of circumference 2 and height $\delta < \frac{1}{2}$, while the torus with a disk removed is made of three isometric flat hexagons.

The conical singularities will correspond to the points where the various flat regions meet. The sizes of the cylinder and the hexagons must be chosen to make the gluing possible while minimizing the systolic area. The comparison with nonpositively curved metrics will be carried out afterwards.

Let us introduce some quantities related to the sizes of the cylinder and hexagons, whose geometric interpretations will appear in Proposition 6.2.

Fix h > 0 and $\theta \in (0, \frac{\pi}{2})$ such that

$$\begin{cases}
2h = \sin\frac{\theta}{2} \\
6h = \tan\theta
\end{cases}$$
(5.1)

Note that

$$\tan\frac{\theta}{2} = \frac{2h}{\sqrt{1-4h^2}}. (5.2)$$

More explicitly, we have

$$\begin{cases} h = \sqrt{\frac{8-\sqrt{19}}{72}} \simeq 0.2248796 \\ \theta = \arctan\sqrt{\frac{8-\sqrt{19}}{2}} < \frac{\pi}{3} \end{cases}$$

Let $\delta \in (0, \frac{1}{2})$ defined as $\delta = \frac{1}{2} - h$.

We will use the notations and assumptions from the previous section. In particular, we assume that $3\mathbb{RP}^2 = Y/\tau_{\partial}$ is endowed with a normalized nonpositively curved metric invariant under the hyperelliptic involution. We want to decompose Y into U_{δ} and three Voronoi cells centered at the three Weierstrass points of Y. The main theorem will then follow from a comparison between the areas of these Voronoi cells and those of some Euclidean polygons. In order to describe the Voronoi cells and their comparison Euclidean polygons, it is convenient to proceed as follows.

Since Σ is nonpositively curved, the open collar C_{δ} of width δ around the closed geodesic ∂Y of Σ is convex. Removing this collar and gluing back the boundary components of $\Sigma \setminus C_{\delta}$ yields a new CAT(0) genus two surface Σ_0 . We will identify the regions of Σ_0 with those of $\Sigma \setminus C_{\delta}$.

Let $\tilde{\Sigma}_0$ be the universal cover of Σ_0 . The Voronoi cell of $\tilde{\Sigma}_0$ centered at a lift of a Weierstrass point of Σ_0 is the region of $\tilde{\Sigma}_0$ formed of the points closer to this lift than to any other lift of a Weierstrass point. The Voronoi cells on $\tilde{\Sigma}_0$ are polygons whose edges are arcs of the equidistant curves between a pair of lifts of Weierstrass points. Note that these edges are not necessarily geodesics. Since the metric is nonpositively curved, the Voronoi cells on $\tilde{\Sigma}_0$ are topological disks, while their projections to Σ or $3\mathbb{RP}^2$, still called Voronoi cells, may have more complicated topology. Note that the Voronoi cells on $\tilde{\Sigma}_0$ have the same area as their projections to Σ or $3\mathbb{RP}^2$. This is because the *interior* of a Voronoi cell projects injectively.

Furthermore, since the metric on Σ_0 is J-invariant, the Voronoi cells on $\tilde{\Sigma}_0$ are symmetric with respect to their centers, and since it is also $(J \circ \tau)$ -invariant, the projections of their boundaries to Σ contain the boundary components of C_{δ} .

Now assume $y \in \Sigma_0$ is the lift of a Weierstrass point, and let $y' \in \Sigma_0$ be the lift of another Weierstrass point. Denote by $x' \in T_y$ the preimage of y' by the exponential map \exp_y from the tangent plane T_y to $\tilde{\Sigma}_0$ at y.

Define $L_{x'}$ as the equidistant line in T_y between the origin $x \in T_y$ and x'. For every $x'' \in L_{x'}$, set $y'' = \exp_y(x'')$. By the Rauch comparison theorem, the exponential map \exp_y does not decrease distances. Thus,

$$\operatorname{dist}_{\tilde{\Sigma}_0}(y, y'') = \operatorname{dist}_{T_y}(x, x'') = \operatorname{dist}_{T_y}(x', x'') \le \operatorname{dist}_{\tilde{\Sigma}_0}(y', y''). \tag{5.3}$$

Consider the Euclidean polygon in the tangent plane T_y , obtained as the intersection of the halfspaces containing the origin, defined by the lines $L_{x'}$, as y' runs over all Weierstrass points distinct from y. This Euclidean polygon will be referred to as the comparison Euclidean polygon corresponding to the Voronoi cell centered at y. It follows from the inequality (5.3) that the exponential image of this polygon is contained in the Voronoi cell of y. Since the exponential map does not decrease distances, we obtain the following proposition.

Proposition 5.1. The area of a Voronoi cell is bounded from below by the area of its comparison Euclidean polygon.

By construction, Y decomposes into U_{δ} and three Voronoi cells centered at the three Weierstrass points of Y.

We conclude this section with some distance estimates on the centers of the Voronoi cells, that is, on the Weierstrass points. Every minimizing segment between a pair of isolated branch points of the double cover $3\mathbb{RP}^2 \to \hat{\mathbb{C}}^+$ lifts to a noncontractible loop of $3\mathbb{RP}^2$. Thus, the distance between two Weierstrass points of Y is at least $\frac{1}{2}$. Similarly, every minimizing segment between an isolated branch point of $3\mathbb{RP}^2 \to \hat{\mathbb{C}}^+$ and the equator lifts to a noncontractible loop of $3\mathbb{RP}^2$. Thus, every Weierstrass point of Y is at distance at least $\frac{1}{2}$ from ∂Y and so at distance at least h from U_{δ} .

6. The extremal nonpositively curved Dyck's surface

In this section, we bound from below the area of the Voronoi cells in some special case and describe the extremal nonpositively curved Dyck's surface using the previous constructions and notations.

As previously, we assume that $3\mathbb{RP}^2$ is endowed with a normalized nonpositively curved metric invariant uner the hyperelliptic involution. This metric descends to a singular metric on $\hat{\mathbb{C}}^+$ under the ramified cover (3.5).

Definition 6.1. Let Γ on $\hat{\mathbb{C}}^+$ be the connected graph given by the projections of the edges of the Voronoi cells to the hemisphere, see Proposition 5.1.

Denote by $\hat{\mathbb{C}}^+_{\delta}$ the spherical cap of $\hat{\mathbb{C}}^+$ bounded by the level curve c_{δ} of $\hat{\mathbb{C}}^+$ at distance δ from the equator. From Lemma 4.4, the length of c_{δ} is at least 1.

By construction, the graph Γ lies in $\hat{\mathbb{C}}^+_{\delta}$, contains c_{δ} and bounds f=3 faces. From the formula v-e+f=1, where v and e are the numbers of vertices and edges of Γ , and the well-known inequality $3v \leq 2e$, we derive that Γ has at most 6 edges and 4 vertices.

Suppose that Γ has three vertices lying in c_{δ} and a fourth one in the interior of $\hat{\mathbb{C}}^+_{\delta}$ from which arise three edges connecting the three other vertices on c_{δ} , cf. Figure 6.1. In other words, Γ bounds three triangles in $\hat{\mathbb{C}}^+_{\delta}$.

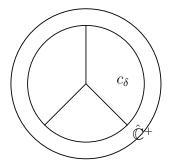


FIGURE 6.1. Triangular decomposition of $\hat{\mathbb{C}}_{\delta}^+$

By construction, each of these triangles lifts to a hexagonal Voronoi cell in $\tilde{\Sigma}_0$ whose comparison Euclidean polygon is a (symmetric) hexagon. Furthermore, the center of this Euclidean hexagon H is at distance at least $\frac{1}{4}$ from two pairs of opposite sides and at distance at least h from the other pair of opposite sides.

The following result provides a sharp lower bound on the area of the comparison Euclidean hexagon and therefore on the hexagonal Voronoi cells.

Proposition 6.2. Let H be the symmetric Euclidean hexagon which is the comparison hexagon of the Voronoi cell as above. Then

$$area(H) \ge h\sqrt{1-4h^2}$$
.

Furthermore, the equality case is attained by a symmetric Euclidean hexagon composed of six pairwise opposite isosceles triangles based at its center: four of them have height $\frac{1}{4}$ and main angle θ , and two of

them have height h and base $\frac{1}{3}$, cf. Figure 6.2. Here, h and θ are defined in (5.1).

Note that the angles between two sides of length other than $\frac{1}{3}$ are equal to $\pi - \theta > \frac{2\pi}{3}$

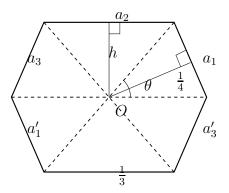


FIGURE 6.2. The hexagon H

Proof. Let O be the center of H. Denote by a_1 , a_2 , a_3 , a'_1 , a'_2 and a'_3 the sides of H (in this order) with a'_i opposite to a_i such that $d(O, a_1) \ge \frac{1}{4}$, $d(O, a_2) \ge h$ and $d(O, a_3) \ge \frac{1}{4}$, cf. Figure 6.2. The area of the triangle T_i with vertex O and side a_i is bounded from below by

$$d(O, a_i)^2 \tan \frac{\alpha_i}{2}$$

where α_i is the angle of T_i at O. Thus, from the relation $\alpha_1 + \alpha_2 + \alpha_3 = \pi$, we have

$$\operatorname{area} H \geq 2\left(\frac{1}{4}\right)^2 \tan\frac{\alpha_1}{2} + 2h^2 \tan\frac{\alpha_2}{2} + 2\left(\frac{1}{4}\right)^2 \tan\frac{\alpha_3}{2}$$
$$\geq 4\left(\frac{1}{4}\right)^2 \tan\frac{\alpha}{2} + 2\frac{h^2}{\tan\alpha},$$

where $\alpha = \frac{\alpha_1 + \alpha_3}{2}$. The second inequality comes from Jensen's inequality applied to the convex function $\tan(x/2)$ between α_1 and α_3 . Using a classical relation between $\tan(\alpha)$ and $\tan(\alpha/2)$, we observe that this lower bound is minimal when

$$\tan^2 \frac{\alpha}{2} = \frac{4h^2}{1 - 4h^2},$$

that is, when $\alpha = \theta$ from (5.2). The minimal lower bound is

$$h\sqrt{1-4h^2}. (6.1)$$

Furthermore, the equality case occurs only if T_1 and T_3 are isosceles triangles of height $\frac{1}{2}$ and main angle θ , and T_2 is an isosceles triangle of

height h and main angle $\pi - 2\theta$. From our choice of h and θ , cf. (5.1), the sides arising from the main vertices of these isosceles triangles have the same length, namely

$$\frac{1/4}{\cos\frac{\theta}{2}} = \frac{h}{\sin\theta}.$$

This shows that it is possible to put these isosceles triangles together in order to obtain an hexagon satisfying the desired constraints with area (6.1). In this case, the base of T_2 is of length

$$2\frac{h}{\tan\theta} = \frac{1}{3}.$$

From Proposition 4.5 and Proposition 6.2, the nonpositively curved Dyck's surface $3\mathbb{RP}^2$, which decomposes into the δ -tubular neighborhood U_{δ} and three hexagonal Voronoi cells, satisfies the following area lower bound

$$\operatorname{area}(3\mathbb{RP}^2) \geq 2\delta + 3h\sqrt{1 - 4h^2}$$

 $\geq 1 + \frac{1}{12}\sqrt{169 - 38\sqrt{19}}$ (6.2)

This area lower bound is optimal. It is attained by the nonpositively curved (in Alexandrov's sense) piecewise flat Dyck's surface $\mathcal{D}_{\leq 0}$ obtained as follows. Glue three copies of the optimal flat hexagon described in Proposition 6.2 and identify the opposite sides of lengths other than $\frac{1}{3}$, cf. Figure 6.3.

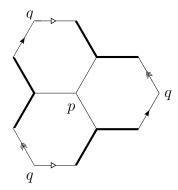


FIGURE 6.3. Three hexagonal Voronoi cells

The resulting surface is a boundary length 2. Now attach a flat cylinder of circumference 2 and height δ to it and identify the opposite boundary points. We obtain a nonpositively curved (in Alexandrov's

sense) piecewise flat Dyck's surface $\mathcal{D}_{\leq 0}$ with two conical singularities p and q of angle $3(\pi - \theta) > 2\pi$ where the three hexagons meet and six conical singularities of angle $2\pi + \theta$ at the other vertices of the hexagons.

Its area satisfies

area
$$\mathcal{D}_{\leq 0} = 1 + \frac{1}{12} \sqrt{169 - 38\sqrt{19}} \simeq 1.15279.$$
 (6.3)

Proposition 6.3. The surface $\mathcal{D}_{\leq 0}$ has unit systole.

Proof. By construction, the extremal surface $\mathcal{D}_{\leq 0}$ decomposes into an open flat Möbius band \mathcal{M} and six trapezoids, cf. Section 2. The Möbius band has unit systole. Hence, the systole of $\mathcal{D}_{\leq 0}$ is at most 1. Furthermore, every geodesic arc of \mathcal{M} with endpoints on $\partial \mathcal{M}$ is of length at least $2\delta = 1 - 2h$. Similarly, every geodesic arc of $\mathcal{D}_{\leq 0} \setminus \mathcal{M}$ with endpoints on $\partial \mathcal{M}$ is of length at least 2h. Thus, a noncontractible geodesic loop of $\mathcal{D}_{\leq 0}$ intersecting \mathcal{M} is of length at least 1.

Consider now a systolic loop γ of $\mathcal{D}_{\leq 0}$ which do not intersect \mathcal{M} . Denote by c its projection to $\hat{\mathbb{C}}^+$ by the ramified cover (3.5). By construction, the loop c lies in the spherical cap $\hat{\mathbb{C}}^+_{\delta}$ of $\hat{\mathbb{C}}^+$, cf. Definition 6.1. Here, the hemisphere $\hat{\mathbb{C}}^+$ is endowed with the quotient metric from $\mathcal{D}_{\leq 0}$. Furthermore, the loop c surrounds more than one branch point in $\hat{\mathbb{C}}^+$, otherwise its lift γ would be contractible in $\mathcal{D}_{\leq 0}$.

Suppose that c is not simple. Every arc of c forming a simple loop surrounds exactly one branch point of (3.5) in $\hat{\mathbb{C}}^+$. Indeed, if it surrounded exactly two branch points, it would lift to a noncontractible loop of $\mathcal{D}_{\leq 0}$ shorter than γ , which is impossible. If it surrounded three branch points, it would be double covered by a loop of $\mathcal{D}_{\leq 0} \setminus \mathcal{M}$ homotopic to $\partial \mathcal{M}$ and so of length at least 1, which is impossible since the systole of $\mathcal{D}_{\leq 0}$ is at most 1. Now, since c surrounds more than one branch point, there exist two arcs of c forming two simple loops surrounding two different branch points. From these two simple loops, we can form with the shorter path of c joining them a loop in $\hat{\mathbb{C}}^+$ homotopic to a simple loop surrounding exactly two branch points. By smoothing out its corners, the loop we just formed can be made shorter than c. This yields a contradiction as it lifts to a noncontractible loop of $\mathcal{D}_{\leq 0}$.

In conclusion, the loop c is simple and surrounds at least two branch points. Now, if it surrounds three branch points, we already showed that it is of length at least 1. If it surrounds exactly two branch points, its lift γ is homotopic to one of the three geodesic loops of length 1 made of the two segments connecting a pair of Weierstrass points. In this

case, the length of γ is also equal to 1 since the metric is nonpositively curved.

7. Other decompositions are not optimal

In this section, we complete the proof of Theorem 1.1 by showing that the other configurations for Γ , cf. Definition 6.1, correspond to nonpositively curved Dyck's surfaces with larger area.

Let us start with some area estimates on the Voronoi cells of a non-positively curved Dyck's surface $3\mathbb{RP}^2$ with unit systole, whose metric is invariant by its hyperelliptic involution.

Lemma 7.1. Every Voronoi cell of $3\mathbb{RP}^2$ has area at least

$$\pi h^2 \simeq 0.15887.$$

Proof. We noted at the end of Section 5 that the centers of the Voronoi cells are at distance at least $\frac{1}{2}$ from each other. In particular, every Voronoi cell contains an embedded disk of radius $h < \frac{1}{4}$. Since the metric is nonpositively curved the area of this disk is at least πh^2 . \square

Lemma 7.2. A Voronoi cell of $3\mathbb{RP}^2$ whose projection to $\hat{\mathbb{C}}^+$ has an edge of length x lying in c_{δ} has area at least 2hx.

Proof. The comparison Euclidean polygon of such a Voronoi cell is a convex polygon of \mathbb{R}^2 , symmetric with respect to its center O, with two opposite sides of length x at distance at least h from O. These two opposite sides span a parallelogram lying in the Euclidean polygon, which clearly satisfies the desired area lower bound.

Lemma 7.3. A Voronoi cell of $3\mathbb{RP}^2$ whose projection to $\hat{\mathbb{C}}^+$ is bounded by exactly two edges has area at least h.

Proof. The comparison Euclidean polygon of such a Voronoi cell has four sides. It is a parallelogram with two opposite sides at distance at least 2h from each other; the other two sides are at distance at least 1 from each other. Hence its area is at least h.

Recall that the graph Γ (see Section 6, Definition 6.1) has at most four vertices. Clearly, the valence of each vertex is at least 3 and at least one of the vertices lies in c_{δ} . We will consider four cases based on the number of vertices lying in c_{δ} .

Before starting our discussion, we observe that a face of $\hat{\mathbb{C}}^+_{\delta}$ cannot be bounded by a single edge of Γ , otherwise its comparison Euclidean polygon would be bounded by two halfspaces, which is impossible. This

observation and the restriction on the number of faces of $\hat{\mathbb{C}}_{\delta}^+$ will be implicitely used in the description of the different cases below.

<u>Case 1:</u> Suppose that only one vertex lies in c_{δ} . By assumption, there is a Voronoi cell of $3\mathbb{RP}^2$ whose projection to $\hat{\mathbb{C}}^+$ has c_{δ} as an edge. From Lemma 7.2, the area of this cell is at least

$$2h \operatorname{length}(c_{\delta}) \geq 2h$$
.

Since the area of each of the other two cells of $3\mathbb{RP}^2$ is at least πh^2 , cf. Lemma 7.1, we obtain using Proposition 4.5 that

$$area(3\mathbb{RP}^2) \ge 2\delta + 2h + 2\pi h^2 = 1 + 2\pi h^2 > area \mathcal{D}_{<0}$$
.

<u>Case 2:</u> Suppose that exactly two vertices lie in c_{δ} . The two edges of c_{δ} , of length x and y, are part of two different faces of $\hat{\mathbb{C}}_{\delta}^+$. From Lemma 7.2, the total area of the two corresponding Voronoi cells of $3\mathbb{RP}^2$ is at least 2hx + 2hy = 2h. Since the area of the third cell of $3\mathbb{RP}^2$ is at least πh^2 , cf. Lemma 7.1, we conclude using Proposition 4.5 that

$$\operatorname{area}(3\mathbb{RP}^2) \ge 2\delta + 2h + \pi h^2 = 1 + \pi h^2 > \operatorname{area} \mathcal{D}_{<0}$$
.

Case 3: Suppose that exactly three vertices lie in c_{δ} .

If a fourth vertex lies in the interior of $\hat{\mathbb{C}}_{\delta}^+$, then we are in the situation already described in Section 6.

If there is no fourth vertex, two faces of $\hat{\mathbb{C}}^+_{\delta}$ are bounded by exactly two edges. By Lemma 7.3, the total area of the two corresponding Voronoi cells in $3\mathbb{RP}^2$ is at least 2h. We conclude as in Case 2.

Case 4: Suppose that four vertices lie in c_{δ} , which is the maximal number of vertices of Γ . In this case, two faces of $\hat{\mathbb{C}}_{\delta}^+$ are bounded by exactly two edges and we conclude as in Case 3.

This proves that the optimal systolic inequality for nonpositively curved Dyck's surfaces is given by (6.2), where the equality case is attained by the surface $\mathcal{D}_{<0}$ described at the end of Section 6.

8. Conformal classes of extremal Dyck's surfaces

In this section, we compare the conformal classes of the extremal nonpositively curved Dyck's surface $\mathcal{D}_{\leq 0}$ and the extremal hyperbolic Dyck's surface \mathcal{D}_{-1} .

Let us start with a description of the automorphism group of $\mathcal{D}_{\leq 0}$ viewed as a Klein surface and its symmetry group. We also present the corresponding groups for its orientable double cover $\hat{\mathcal{D}}_{\leq 0}$.

Proposition 8.1.

(1) The automorphism group and the symmetry group of $\mathcal{D}_{\leq 0}$ are both isomorphic to

$$D_3 \times \mathbb{Z}/2\mathbb{Z}$$
.

(2) The holomorphic automorphism group and the orientation-preserving symmetry group of $\hat{\mathcal{D}}_{<0}$ are also both isomorphic to

$$D_3 \times \mathbb{Z}/2\mathbb{Z}$$
.

In particular, the orientable double cover of $\mathcal{D}_{\leq 0}$ is not conformally equivalent to Bolza's curve.

Proof. The natural homomorphism between the automorphism (resp. symmetry) group of this surface and the permutation group D_3 of its Weierstrass points is surjective. Its kernel is composed of dianalytic (i.e. locally holomorphic or antiholomorphic) automorphisms preserving the Weierstrass points. The only two automorphisms with this property are the identity map and the hyperelliptic involution (which is an isometry). Since they commute with every holomorphic map (and so every isometry), cf. Section 3, we deduce that the automorphism (resp. symmetry) group of $\mathcal{D}_{\leq 0}$ is isomorphic to

$$D_3 \times \mathbb{Z}/2\mathbb{Z}$$
.

Every isometry of $\hat{\mathcal{D}}_{\leq 0}$ preserves the flat cylinder corresponding to the orientable double cover of the flat Möbius band. It follows that the orientation-preserving symmetry group of $\hat{\mathcal{D}}_{\leq 0}$ is isomorphic to

$$D_3 \times \mathbb{Z}/2\mathbb{Z}$$
.

Now, the metric on $\hat{\mathcal{D}}_{\leq 0}$ averaged by the holomorphic automorphism group of $\hat{\mathcal{D}}_{\leq 0}$ descends to a metric g on $3\mathbb{RP}^2$. The proofs of Lemmas 4.2 and 4.3 show that this new metric g is nonpositively curved with a systolic ratio at least as good as the one of $\mathcal{D}_{\leq 0}$. Since $\hat{\mathcal{D}}_{\leq 0}$ is extremal, we deduce from the equality case between the systolic ratios that g agrees with the extremal metric on $\mathcal{D}_{\leq 0}$. Thus, the holomorphic automorphism group of $\hat{\mathcal{D}}_{\leq 0}$ is contained in its orientation-preserving symmetry group. As the opposite inclusion is clear, we derive the desired isomorphism.

Definition 8.2. The *capacity* of a Riemannian collar C, with boundary components ∂C_- and ∂C_+ , is defined as

$$\operatorname{Cap} \mathcal{C} = \inf_{u} \int_{\mathcal{C}} |\nabla u|^2 \tag{8.1}$$

where u runs over piecewise smooth functions on \mathcal{C} with u = 0 on $\partial \mathcal{C}_{-}$ and u = 1 on $\partial \mathcal{C}_{+}$.

The infimum is attained by the unique harmonic function satisfying the boundary conditions. The capacity is a conformal invariant.

We will need the following lower bound on the capacity of a collar. This bound was established by B. Muetzel [36, Lemma 2.1] in a more general form. We include a proof for the reader's convenience.

Lemma 8.3 (B. Muetzel). Consider a hyperbolic collar C around a closed geodesic loop of length ℓ parametrized in Fermi coordinates by

$$\{(t,s) \mid t \in [0,\ell), s \in (a(t),b(t))\}.$$

Then

$$\operatorname{Cap} \mathcal{C} \ge \int_0^\ell \frac{1}{H(b(t)) - H(a(t))} dt$$

where $H(s) = 2\arctan(\exp(s))$.

Proof. In Fermi coordinates, the hyperbolic metric on \mathcal{C} can be expressed as $g = \cosh(s)^2 dt^2 + ds^2$, cf. [15]. Let ξ be the unit vector field on \mathcal{C} induced by $\frac{\partial}{\partial s}$. For every piecewise smooth function u on \mathcal{C} with u = 0 on $\partial \mathcal{C}_{-}$ and u = 1 on $\partial \mathcal{C}_{+}$, we have

$$\int_{\mathcal{C}} |\nabla u|^2 \ge \int_{\mathcal{C}} g(\nabla u, \xi)^2 = \int_0^{\ell} \int_{a(t)}^{b(t)} \left(\frac{\partial u}{\partial s}\right)^2 \cosh(s) \, ds \, dt.$$

Given a continuous function $h:[a,b]\to (0,\infty)$ (in our case, $h(s)=\cosh(s)$), we want to minimize the integral

$$\int_a^b f'(s)^2 h(s) \, ds$$

where $f:[a,b]\to\mathbb{R}$ is a piecewise smooth function with f(a)=0 and f(b)=1. Let H be a primitive of $\frac{1}{h}$. Making the change of variables $\tau=H(s)$, we have

$$\int_{a}^{b} f'(s) h(s) ds = \int_{H(a)}^{H(b)} \left[f'(H^{-1}(\tau)) \cdot h(H^{-1}(\tau)) \right]^{2} d\tau$$

$$= \int_{H(a)}^{H(b)} (f \circ H^{-1})'(\tau)^{2} d\tau$$
(8.2)

since $(H^{-1})'(\tau) = h(H^{-1}(\tau))$. By the Cauchy-Schwarz inequality, we obtain

$$\int_{a}^{b} f'(s) h(s) ds \ge \frac{1}{H(b) - H(a)}.$$

Therefore,

$$\int_{\mathcal{C}} |\nabla u|^2 \ge \int_0^\ell \frac{dt}{H(b(t)) - H(a(t))}.$$

The extremal hyperbolic Dyck's surface \mathcal{D}_{-1} described in [37] and [22] is obtained by identifying opposite pairs of points on the boundary component of the maximal hyperbolic surface of signature (1,1) with boundary length

$$\ell = 2 \operatorname{arccosh}\left(\frac{5+\sqrt{17}}{2}\right) \simeq 4.397146,$$

cf. [43, p. 578]. The term "maximal" refers to a hyperbolic surface with fixed geodesic boundary length whose systole is maximal. The systole of this maximal hyperbolic surface of signature (1,1) is equal to $\ell/2$. Furthermore, \mathcal{D}_{-1} has the same isometry group G as $\mathcal{D}_{\leq 0}$, which is isomorphic to $D_3 \times \mathbb{Z}/2\mathbb{Z}$, cf. Proposition 8.1.

Consider a G-invariant conformal structure on Dyck's surface $3\mathbb{RP}^2$. The orientable double cover of $3\mathbb{RP}^2$ is a genus two Riemann surface denoted by Σ_2 . The equator decomposing the sphere $S^2 = \mathbb{CP}^1$ into the northern and southern hemispheres lifts to a simple loop C on Σ_2 under the ramified cover (3.4). The geodesic Y-shape of the northern hemisphere obtained by connecting the north pole to each of the three Weierstrass points of \mathbb{C}^+ lifts to a graph on $3\mathbb{RP}^2$ under the ramified cover (3.5). In Figure 6.3, this graph is composed of the rays joining the center p of the picture to q and splitting each Voronoi cell into two isometric regions. Now, cut open $3\mathbb{RP}^2$ along the graph. The orientable double cover of the resulting surface is an open collar around C denoted by C. We will refer to it as the collar corresponding to the conformal structure of $3\mathbb{RP}^2$ since it only depends on this structure. Recall that the capacity of the collar corresponding to the conformal structure of $3\mathbb{RP}^2$ is a conformal invariant of the surface.

Proposition 8.4. Let $C_{\leq 0}$ and C_{-1} be the collars corresponding to the conformal structure of the extremal Dyck's surface in the nonpositively curved case and in the hyperbolic case. Then

$$\operatorname{Cap} \mathcal{C}_{\leq 0} \leq 2.28308$$
 and $\operatorname{Cap} \mathcal{C}_{-1} \geq 2.29461$.

In particular, the extremal nonpositively curved Dyck's surface $\mathcal{D}_{\leq 0}$ is not conformally equivalent to the extremal hyperbolic Dyck's surface \mathcal{D}_{-1} .

Proof of Proposition 8.4. The surface $\mathcal{D}_{\leq 0}$ is tiled with three flat "hexagons" (flat hexagons with two flat rectangles attached to them). Similarly, the surface \mathcal{D}_{-1} is tiled with three hyperbolic hexagons, also centered at the Weierstrass points. By construction, the collars $\mathcal{C}_{\leq 0}$ and \mathcal{C}_{-1} are made of half hexagons with one side lying in C and have piecewise geodesic boundary components.

Each tiling hyperbolic hexagon of \mathcal{D}_{-1} decomposes into four isometric trirectangles with an acute angle equal to $\frac{\pi}{3}$. The sides a and b opposite to the acute angle are of length $\ell/4$ and $\ell/12$, with the shorter side b lying in C. Observe that \mathcal{C}_{-1} is composed of exactly 24 such trirectangles T. From the hyperbolic formula [15, p. 454, 2.3.1(iv)] for trirectangles, the geodesic arc of T orthogonal to b at the point at distance t from a, cf. Figure 8.1, is of length

$$\operatorname{arctanh}\left[\cosh(t) \tanh\left(\frac{\ell}{4}\right)\right].$$
 (8.3)

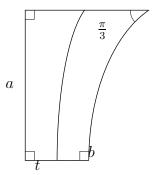


Figure 8.1. The trirectangle T

In Fermi coordinates, the collars \mathcal{C}_{-1} is parametrized by

$$\{(t,s) \mid t \in [0,\ell), s \in (-a(t), a(t))\}$$

where a(t) agrees with (8.3) for $t \in [0, \frac{\ell}{12})$ (the other values can be derived by symmetry). From Muetzel's Lemma 8.3, we have

Cap
$$C_{-1} \ge 12 \int_0^{\frac{\ell}{12}} \frac{1}{H(a(t)) - H(-a(t))} dt$$

 ≥ 2.29461

where $H(s) = 2\arctan(\exp(s))$.

On the other hand, the simple loop C decomposes the collar $\mathcal{C}_{\leq 0}$ into two regions $\mathcal{C}_{\leq 0}^+$ and $\mathcal{C}_{\leq 0}^-$. We define a piecewise smooth function u

on $\mathcal{C}_{\leq 0}$ as follows

$$u(x) = \begin{cases} \min\{\frac{1}{2} + d(x, C), 1\} & \text{if } x \in \mathcal{C}_{\leq 0}^+\\ \max\{\frac{1}{2} - d(x, C), 0\} & \text{if } x \in \mathcal{C}_{\leq 0}^- \end{cases}$$

Since the points on the boundary components of $\mathcal{C}_{\leq 0}$ are at distance at least $\frac{1}{2}$ from C, the function u is a test function for the capacity of $\mathcal{C}_{\leq 0}$, cf. (8.1). Thus,

$$\operatorname{Cap} \mathcal{C}_{\leq 0} \leq \int_{\mathcal{C}_{\leq 0}} |\nabla u|^{2}$$

$$\leq \operatorname{area} \{ x \in \mathcal{C}_{\leq 0} \mid d(x, C) \leq \frac{1}{2} \}. \tag{8.4}$$

There is a unique minimizing ray r_x from every point x of $\mathcal{C}_{\leq 0}$ to C. The points x of $\mathcal{C}_{\leq 0}$ such that r_x passes through a given conical singularity x_0 form a symmetric flat quadrilateral Q_{x_0} , with two right angles from which two edges of length h meeting at x_0 with an angle θ arise, cf. Figure 8.2.

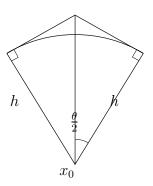


FIGURE 8.2. The quadrilateral Q_{x_0}

The points of Q_{x_0} outside the disk of radius h centered at x_0 are at distance at least $\frac{1}{2}$ from C. They form a region of area

$$\left[\tan\left(\frac{\theta}{2}\right) - \frac{\theta}{2}\right] h^2.$$

Furthermore, the quadrilaterals Q_{x_0} are disjoint as x_0 runs over the conical singularities of $\mathcal{C}_{\leq 0}$. Continuing with (8.4), we obtain the following upper bound for the capacity of $\mathcal{C}_{\leq 0}$

Cap
$$C_{\leq 0} \leq 2 \operatorname{area} \mathcal{D}_{\leq 0} - 12 \left[\tan \left(\frac{\theta}{2} \right) - \frac{\theta}{2} \right] h^2$$

 $\leq 2.28308.$

Remark 8.5. Simpler bounds on the capacities of the collars can be derived both for C_{-1} and $C_{\leq 0}$ as follows. The collar C_{-1} can be isometrically embedded into the bi-infinite hyperbolic cylinder with C as a simple geodesic loop. In this cylinder, the collar C_{-1} is contained in the tubular neighborhood U of C of width the length of the side opposite to a in the trirectangle T, cf. Figure 8.1. We deduce that the capacity of the collar C_{-1} is bounded from below by the capacity of U for which a formula has been established by Buser and Sarnak [16, p. 37]. Even more directly, the capacity of the collar $C_{\leq 0}$ is bounded from above by twice the area of $\mathcal{D}_{\leq 0}$. However, none of these estimates is precise enough for our purpose. This explains why we made use of finer estimates.

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