

# The Asymptotic Behavior of Compositions of the Euler and Carmichael Functions

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## Abstract

We compare the asymptotic behavior of  $\lambda(\varphi(n))$  and  $\lambda(\lambda(n))$  on a set of positive integers  $n$  of asymptotic density 1, where  $\lambda$  is Carmichael's  $\lambda$ -function and  $\varphi$  is Euler's totient function. We prove that  $\log \lambda(\varphi(n))/\lambda(\lambda(n))$  has normal order  $\log \log n \log \log \log n$ .

## 1 Introduction

*Euler's totient function*  $\varphi(n)$  is defined to be the cardinality of the multiplicative group modulo  $n$ , for any positive integer  $n$ . *Carmichael's  $\lambda$ -function* [2] denotes the cardinality of the largest cycle in the multiplicative group modulo  $n$ . In other words,  $\lambda(n)$  is the smallest positive integer  $m$  such that  $a^m \equiv 1 \pmod{n}$  for all reduced residues  $a \pmod{n}$ . We notice that when the multiplicative group modulo  $n$  is cyclic, namely when  $n = 1, 2, 4, p^a$  or  $2p^a$  where  $p$  is an odd prime and  $a \geq 1$ , both  $\varphi(n)$  and  $\lambda(n)$  are equal.

One may compute  $\varphi(n)$  with the aid of the Chinese remainder theorem by using the formula

$$\varphi(n) = |(\mathbb{Z}/p_1^{a_1}\mathbb{Z})^\times| \times \cdots \times |(\mathbb{Z}/p_k^{a_k}\mathbb{Z})^\times| = p_1^{a_1-1}(p_1-1) \cdots p_k^{a_k-1}(p_k-1).$$

where  $n$  has the prime decomposition  $n = p_1^{a_1} \cdots p_k^{a_k}$ . For Carmichael's function we note

$$\lambda(p^a) = \begin{cases} p^{a-1}(p-1) & \text{if } p \geq 3 \text{ or } a \leq 2, \text{ and} \\ 2^{a-2} & \text{if } p = 2 \text{ and } a \geq 3, \end{cases} \quad (1)$$

together with

$$\lambda(n) = \text{lcm}(\lambda(p_1^{a_1}), \dots, \lambda(p_k^{a_k})). \quad (2)$$

In what follows we introduce the following notation. Given two functions  $f(n)$  and  $g(n)$ , we will frequently drop the outer parentheses from the expression  $f(g(n))$ , instead writing the composition as  $fg(n)$ . Additionally for  $f(n)$  denoting  $\lambda(n)$ ,  $\varphi(n)$  or  $\log(n)$ , we define  $f_1(n) = f(n)$  and  $f_{k+1}(n) = f(f_k(n))$  for  $k \geq 1$ . We will use the expression “for almost all  $n$ ” to mean for  $n$  in a set of positive integers of asymptotic density 1, and the expression “for almost all  $n \leq x$ ” to be analogous, but restricting  $n \leq x$ . We recall that for arithmetic functions  $f(n)$  and  $g(n)$ , we say  $f(n)$  has normal order  $g(n)$  if  $f(n)$  is asymptotic to  $g(n)$  for almost all  $n$ , or equivalently if  $f(n) = (1 + o(1))g(n)$  for almost all  $n$ .

The theorem that we prove in this article is:

**Theorem 1.** *The normal order of  $\log(\lambda\varphi(n)/\lambda\lambda(n))$  is  $\log_2 n \log_3 n$ .*

More precisely, we show that for almost all  $n \leq x$ ,

$$\log \frac{\lambda\varphi(n)}{\lambda\lambda(n)} = \log_2 n \log_3 n + O(\psi(x) \log_2 x), \quad (3)$$

where  $\psi(x)$  is a function tending to infinity slower than  $\log_3 x$ . We also show that the exceptional set of positive integers  $n$  for equation (3) is of asymptotic density  $O(x/\psi(x))$ . This work is part of the author’s PhD thesis (see [7]).

There has been extensive study on the asymptotic behavior of  $\varphi(n)$  and  $\lambda(n)$  and their compositions. In 1928, Schoenberg [9] established that the quotient  $n/\varphi(n)$  has a continuous distribution function. In other words:

**Proposition 2.** *The limit*

$$\Phi(t) = \lim_{N \rightarrow \infty} |\{n \leq N : n/\varphi(n) \geq t\}|/N$$

*exists and is continuous for any real  $t$ .*

Recently Weingartner [10] studied the asymptotic behavior of  $\Phi(t)$  showing that as  $t$  tends to infinity,  $\log \Phi(t) = -\exp(te^{-\gamma})(1 + O(t^{-2}))$ , where  $\gamma = 0.5722\dots$  is Euler’s constant.

We mention that higher iterates of  $\varphi(n)$  have been studied by Erdős, Granville, Pomerance and Spiro in [4]. They established:

**Proposition 3.** *The normal order of the  $\varphi_k(n)/\varphi_{k+1}(n)$  is  $ke^\gamma \log_3 n$ , for  $k \geq 1$ .*

In 1955 Erdős established the normal order of  $\log(n/\lambda(n))$  in [3]. This result was refined by Erdős, Pomerance, and Schmutz in [5] where they proved the following result.

**Proposition 4.** *For almost all  $n \leq x$ ,*

$$\log \frac{n}{\lambda(n)} = \log_2 n (\log_3 n + A + O((\log_3 n)^{-1+\varepsilon})),$$

where

$$A = -1 + \sum_{q \text{ prime}} \frac{q}{(q-1)^2} = .2269688\dots,$$

and  $\varepsilon > 0$  is fixed but arbitrarily small.

The author is undertaking the analysis of Theorem 1 to obtain a more accurate asymptotic formula of a form more closely resembling the previous proposition.

Martin and Pomerance subsequently considered the question of understanding the behavior of  $\lambda\lambda(n)$ . In [8] they proved

**Proposition 5.** *For almost all  $n$ ,*

$$\log \frac{n}{\lambda\lambda(n)} = (1 + o(1))(\log_2 n)^2 \log_3 n. \quad (4)$$

Recently Harland [6] proved a conjecture of Martin and Pomerance concerning the behavior of the higher iterates of  $\lambda(n)$ :

**Proposition 6.** *For each  $k \geq 1$ ,*

$$\log \frac{n}{\lambda_k(n)} = \left( \frac{1}{(k-1)!} + o(1) \right) (\log_2 n)^k \log_3 n,$$

for almost all  $n$ .

Banks, Luca, Saidak, and Stănică [1] studied the the compositions of  $\lambda$  and  $\varphi$ . In particular, they studied set of  $n$  on which  $\lambda\varphi(n) = \varphi\lambda(n)$ . In their paper, they also established the following:

**Proposition 7.** *For almost all  $n$ ,*

$$\log \frac{n}{\varphi\lambda(n)} = (1 + o(1)) \log_2 n \log_3 n, \text{ and} \quad (5)$$

$$\log \frac{n}{\lambda\varphi(n)} = (1 + o(1)) (\log_2 n)^2 \log_3 n. \quad (6)$$

Consequently,  $\log \frac{\varphi\lambda(n)}{\lambda\varphi(n)}$  has normal order  $(\log_2 n)^2 \log_3 n$ .

The proof of Proposition 7 uses a simple clever argument that rests on the theorem of Martin and Pomerance. It is interesting to see what we may obtain trivially from Propositions 5 and 7. Subtracting (5) from (4) gives an asymptotic formula for the comparison between  $\varphi\lambda(n)$  and  $\lambda\lambda(n)$ ,

$$\log \frac{\varphi\lambda(n)}{\lambda\lambda(n)} \sim (\log_2 n)^2 \log_3 n,$$

for almost all  $n$ . However, if we subtract (6) from (4), the main terms cancel and we are left with

$$\log \frac{\lambda\varphi(n)}{\lambda\lambda(n)} = o((\log_2 n)^2 \log_3 n),$$

for almost all  $n$ . This relation is interesting because it leads one to seek a more accurate asymptotic formula. This more accurate result is the content of Theorem 1.

## 2 Notation and Useful Results

Let  $a, n \in \mathbb{Z}$ . Then the Brun-Titchmarsh inequality is the asymptotic relationship that

$$\pi(z; n, a) \ll \frac{z}{\varphi(n) \log(z/n)} \quad (z > n), \quad (7)$$

where  $\pi(z; n, a)$  is the number of primes congruent to  $a \pmod{n}$  up to  $z$ . We will be primarily concerned with implications of the Brun-Titchmarsh inequality in the case that  $a = 1$ . For convenience, define  $\mathcal{P}_n$  to be the set of primes congruent to 1  $\pmod{n}$ , and for a given integer  $m$ , define the greatest common divisor of  $m$  and  $\mathcal{P}_n$ , denoted  $(m, \mathcal{P}_n)$ , to be the product of the primes congruent to 1  $\pmod{n}$  that divides  $m$ , or 1 if none exist. We will frequently use the following weaker form of (7) without mention.

**Lemma 8** (A Brun-Titchmarsh Inequality). *For all  $z > e^e$ ,*

$$\sum_{\substack{p \leq z \\ p \in \mathcal{P}_n}} \frac{1}{p} \ll \frac{\log \log z}{\varphi(n)}. \quad (8)$$

One may obtain (8) from (7) by partial summation. We will also use the following prime estimates stated in [8].

**Lemma 9.** *Let  $z > e$ . Then we have the following:*

$$\begin{aligned} \sum_{p \leq z} \log p &\ll z, & \sum_{p \leq z} \frac{\log p}{p} &\ll \log z, & \sum_{p \leq z} \frac{\log^2 p}{p} &\ll \log^2 z, \\ \sum_{p > z} \frac{\log p}{p^2} &\ll \frac{1}{z}, & \text{and} & & \sum_{p > z} \frac{1}{p^2} &\ll \frac{1}{z \log z}, \end{aligned}$$

These estimates follow via partial summation applied to Mertens' estimate  $M(z) = \sum_{p \leq z} (\log p)/p = \log z + O(1)$ . We illustrate the derivation of the first tail estimate. One writes the Riemann-Stieltjes integral

$$\begin{aligned} \sum_{p > z} (\log p)/p^2 &= \int_z^\infty 1/t \, dM(t) = M(t)/t \Big|_z^\infty + \int_z^\infty M(t)/t^2 \, dt \\ &= (\log z)/z + O(1/z) + \int_z^\infty (\log t)/t^2 + O(1/t^2) \, dt \\ &\ll 1/z^2, \end{aligned}$$

as required.

We remind the reader that we will be writing the composition of two arithmetic functions  $f(n)$  and  $g(n)$  as  $fg(n)$ , and subscripts will be used with functions to indicate the number of times a function will be composed with itself (ie  $\log_2 n = \log \log n$ ). The multiplicity to which a prime  $q$  divides  $n$  is denoted by  $\nu_q(n)$ . In what follows, the variables  $p, q, r$  will be reserved for primes. *Throughout, we denote  $y = y(x) = \log_2 x$ .* The function  $\psi(x)$  denotes a function tending to infinity, but slower than  $\log y$ . When we use the expression “for almost all  $n \leq x$ ”, we will mean for all positive integers  $n \leq x$  except those in an exceptional set of asymptotic density  $O(x/\psi(x))$ . We will make use of two parameters  $Y = Y(x)$  and  $Z = Z(x)$  in the course of the proof of Theorem 1 which we now define as

$$\begin{aligned} Y &= 3cy, \text{ and} \\ Z &= y^2, \end{aligned}$$

where  $c$  is the implicit constant appearing in the Brun-Titchmarsh theorem (7) and (8).

### 3 The Proof of Theorem 1

We intend to establish an asymptotic formula for

$$\log \frac{\lambda\varphi(n)}{\lambda\lambda(n)} = \sum_q (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q, \tag{9}$$

valid for  $n$  in a set of natural density 1. We will consider the “large”  $q$  and the “small”  $q$  separately. The cut-off for this distinction is the parameter  $Y$  giving the cases  $q > Y$  and  $q \leq Y$ , respectively.

For  $q > Y$ , it will be unusual for  $\nu_q(\lambda\varphi(n))$  to be strictly larger than  $\nu_q(\lambda\lambda(n))$  and so the

contribution in (9) from large  $q$  will be negligible. We bound the sum in (9) by the two cases,

$$\begin{aligned} \sum_{q>Y} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q &\leq \sum_{\substack{q>Y \\ \nu_q(\lambda\varphi(n)) \geq 2}} \nu_q(\lambda\varphi(n)) \log q \\ &+ \sum_{\substack{q>Y \\ \nu_q(\lambda\varphi(n)) = 1}} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q. \end{aligned} \quad (10)$$

We prove the two bounds:

**Proposition 10.** *For almost all  $n \leq x$ ,*

$$\sum_{\substack{q>Y \\ \nu_q(\lambda\varphi(n)) = 1}} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q \ll y\psi(x),$$

and

**Proposition 11.** *For almost all  $n \leq x$ ,*

$$\sum_{\substack{q>Y \\ \nu_q(\lambda\varphi(n)) \geq 2}} \nu_q(\lambda\varphi(n)) \log q \ll y\psi(x). \quad (11)$$

Combining Propositions 10 and 11 gives the upper bound we seek:

**Proposition 12.** *For almost all  $n \leq x$ ,*

$$\sum_{q>Y} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q \ll y\psi(x). \quad (12)$$

We now consider with those primes  $q \leq Y$ . It will turn out that the main term comes from the quantity  $\sum_{q \leq Y} \nu_q(\lambda\varphi(n))$  with the sum  $\sum_{q \leq Y} \nu_q(\lambda\lambda(n))$  sufficiently small.

**Proposition 13.** *For almost all  $n \leq x$ ,*

$$\sum_{q \leq Y} \nu_q(\lambda\lambda(n)) \log q \ll y\psi(x).$$

We are left with the final piece of establishing the asymptotic behavior of  $\sum_{q \leq Y} \nu_q(\lambda\varphi(n))$ . This will involve a case-by-case analysis of the various ways that  $q$  can divide  $\lambda\varphi(n)$  with

multiplicity. Two functions  $g(n)$  and  $h(n)$  arise from this analysis:

$$g(n) = \sum_{q \leq Y} \sum_{\substack{\alpha \geq 1 \\ q^{\alpha+1} | \varphi(n)}} \log q,$$

$$h(n) = \sum_{q \leq Y} \sum_{\substack{\alpha \geq 1 \\ \omega(n, Q_{q^\alpha}) > 0}} \log q, \text{ and}$$

$$Q_{q^\alpha} = \{r \leq x : \exists p \in P_{q^\alpha} \text{ st } r \in P_p\}.$$

We will show that  $g(n)$  is a good approximation to  $\sum_{q \leq Y} \nu_q(\lambda\varphi(n))$ . To deal with  $g(n)$ , we will choose a suitably close additive function to approximate  $g(n)$  and employ the Turán-Kubilius inequality to find the normal order of  $g(n)$ .

**Proposition 14.** *For almost all  $n \leq x$ ,*

$$g(n) = y \log y + O(y).$$

**Proposition 15.** *For almost all  $n \leq x$ ,*

$$h(n) \ll \psi(x)y.$$

We will combine these propositions to show

**Proposition 16.**

$$\sum_{q \leq Y} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q = y \log y + O(\psi(x)y).$$

Summing the results from Propositions 12 and 16 gives

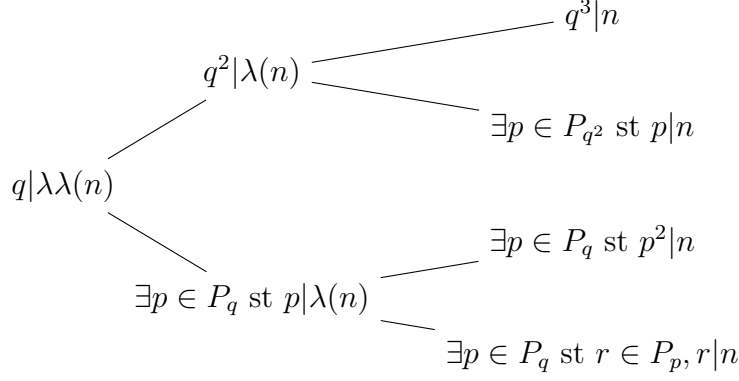
$$\sum_q (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q = y \log y + O(\psi(x)y),$$

which proves Theorem 1. In the following two sections, we will establish all of the propositions of this section except proposition 12 which we have established.

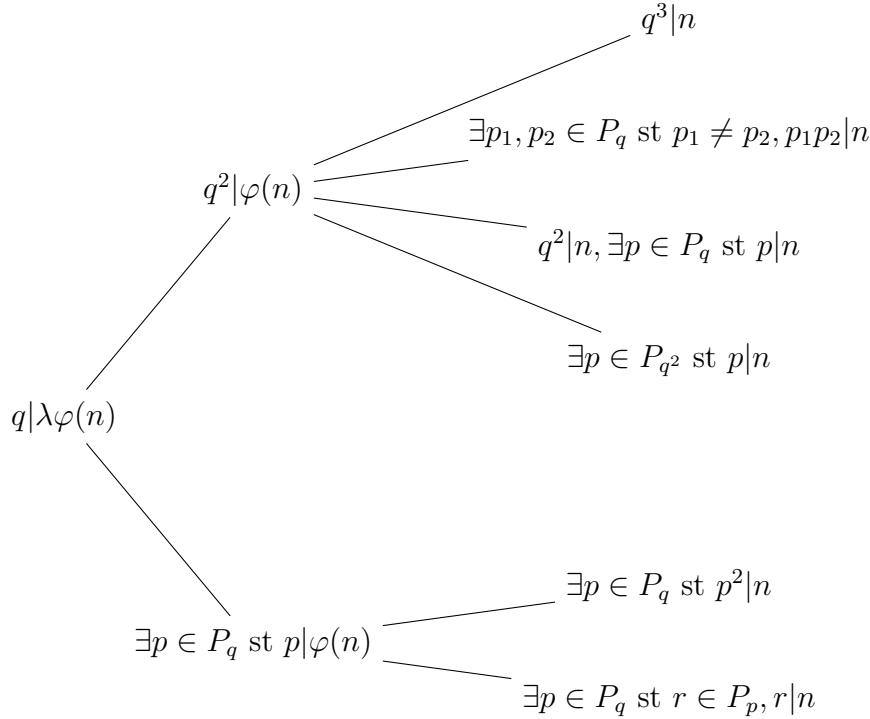
## 4 Large Primes $q > Y$

In this section we prove Propositions 10 and 11. In order to proceed, we must first understand the different ways in which prime powers can divide  $\lambda\lambda(n)$  and  $\lambda\varphi(n)$ . We assume  $Y \geq 2$  so all primes  $q$  under consideration are odd.

From the definition  $\lambda(n)$  (see (1) and (2)), one sees that  $\lambda\lambda(n)$  has  $q$  as a prime divisor if  $q^2$  divides  $\lambda(n)$  or if  $n$  is divisible by some prime in  $\mathcal{P}_q$ . We emphasize that these conditions are not exclusive. We may expand these conditions in turn. If  $q^2|\lambda(n)$ , then the higher power  $q^3$  divides  $n$ , or a prime in  $\mathcal{P}_{q^2}$  divides  $n$ ; while if some prime  $p \in \mathcal{P}_q$  divides  $\lambda(n)$ , then  $p^2|n$ , or  $(n, \mathcal{P}_p) > 1$ . We summarize these cases in the tree diagram below.



We proceed with a similar analysis on the ways that  $q$  can be a divisor of  $\lambda\varphi(n)$ . We saw that either  $q^2$  or some prime in  $\mathcal{P}_q$  must divide the argument  $\varphi(n)$  of  $\lambda\varphi(n)$ . If two copies of  $q$  divide  $\varphi(n)$ , then their presence can come from the cube  $q^3$  dividing  $n$ , two distinct primes dividing  $n$  with each prime in  $\mathcal{P}_q$  contributing one factor of  $q$ , both  $q^2|n$  and a prime  $p \in \mathcal{P}_q$  dividing  $n$ , or a single prime in  $\mathcal{P}_{q^2}$  dividing  $n$ . In the other case, if a prime  $p \in \mathcal{P}_q$  divides  $\varphi(n)$ , then  $p^2|n$  or  $(n, \mathcal{P}_p) > 1$ .





Now we turn to the proof of Proposition 10.

*Proof of Proposition 10.* One sees from the above analysis that  $q|\lambda\varphi(n)$  whenever  $q|\lambda\lambda(n)$ , so the only way  $(\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n)))$  can be nonzero is if  $q|\lambda\varphi(n)$  and  $q \nmid \lambda\lambda(n)$ . Moreover, there are only two ways that  $q$  can divide  $\lambda\varphi(n)$  but not  $\lambda\lambda(n)$ ; namely, two distinct primes  $p_1, p_2 \in P_q$  could divide  $n$ , or both  $q^2$  and a single prime  $p \in P_q$  could divide  $n$ . Thus

$$\begin{aligned} \frac{1}{x} \sum_{n \leq x} \sum_{\substack{q > Y \\ \nu_q(\lambda\varphi(n))=1}} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q &\leq \frac{1}{x} \sum_{q > Y} \sum_{\substack{p_1, p_2 \in P_q \\ p_1 p_2 | n \\ n \leq x}} \log q + \frac{1}{x} \sum_{q > Y} \sum_{\substack{n \leq x \\ p \in P_q \\ pq^2 | n}} \log q \\ &\ll \frac{1}{x} \sum_{q > Y} \left( \frac{xy^2}{q^2} + \frac{xy}{q^3} \right) \log q \\ &\ll y^2/Y, \end{aligned}$$

where we used Lemmata 8 and 9. Plugging in  $Y = 3cy$  the upper bound is  $\ll y$ . We deduce that for almost all  $n \leq x$ ,

$$\sum_{\substack{q > Y \\ \nu_q(\lambda\varphi(n))=1}} (\nu_q(\lambda\varphi(n)) - \nu_q(\lambda\lambda(n))) \log q \ll y\psi(x).$$

□

Now we would like to show that

$$\sum_{\substack{q > Y \\ \nu_q(\lambda\varphi(n)) \geq 2}} \nu_q(\lambda\varphi(n)) \log q \ll y^2\psi(x)/Y \quad (13)$$

holds normally.

*Proof of Proposition 11.* Define  $S_q = S_q(x) = \{n \leq x : q^2|n \text{ or } p|n \text{ for some } p \in P_{q^2}\}$  and  $S = \cup_{q > Y} S_q$ . A simple estimate shows that the cardinality of  $S$  is  $O(xy/(Y \log Y))$ . We will choose  $Y$  to be of asymptotic order  $\gg y$ , thus the number of elements in  $S$  is  $O(x/\psi(x))$ . As we are interested in a normality result, we may safely ignore the positive integers in  $S$ . Consequently, to establish (13) for almost all  $n$ , it suffices to establish the mean value estimate

$$\frac{1}{x} \sum_{\substack{n \leq x \\ n \notin S}} \sum_{\substack{q > Y \\ \nu_q(\lambda\varphi(n)) \geq 2}} \nu_q(\lambda\varphi(n)) \log q \ll y^2/Y. \quad (14)$$

To this end we write

$$\begin{aligned} \frac{1}{x} \sum_{\substack{n \leq x \\ n \notin S}} \sum_{\substack{q > Y \\ \nu_q(\lambda\varphi(n)) \geq 2}} \nu_q(\lambda\varphi(n)) \log q &\leq \frac{2}{x} \sum_{\substack{q > Y \\ \alpha \geq 2}} \sum_{\substack{n \leq x \\ n \notin S \\ q^\alpha | \lambda\varphi(n)}} \log q \\ &\leq \frac{2}{x} \sum_{\substack{q > Y \\ \alpha \geq 2}} \left( \sum_{\substack{n \leq x \\ p \in P_{q^\alpha} \\ p | \varphi(n)}} + \sum_{\substack{n \leq x \\ n \notin S \\ q^{\alpha+1} | \varphi(n)}} \right) \log q. \end{aligned}$$

In order for the prime  $p$  to be a divisor of  $\varphi(n)$ , one of:  $p^2$  divides  $n$ , or  $r \in P_p$  and  $r$  divides  $n$  for some prime  $r$  must occur. Thus,

$$\sum_{\substack{n \leq x \\ p \in P_{q^\alpha} \\ p | \varphi(n)}} 1 = \sum_{\substack{p \leq x \\ p \in P_{q^\alpha}}} \sum_{\substack{n \leq x \\ p | \varphi(n)}} 1 \ll \sum_{\substack{p \leq x \\ p \in P_{q^\alpha}}} \left( \frac{x}{p^2} + \sum_{\substack{r \leq x \\ r \in P_p}} \frac{x}{r} \right) \ll \sum_{p > q^\alpha} \frac{x}{p^2} + \sum_{\substack{p \leq x \\ p \in P_{q^\alpha}}} \frac{xy}{p} \ll \frac{x}{\alpha q^\alpha \log q} + \frac{xy^2}{q^\alpha}. \quad (15)$$

Summing over  $q > Y$  and  $\alpha \geq 2$  and weighting by  $\log q$  we have the asymptotic upper bound

$$\frac{1}{x} \sum_{\substack{q > Y \\ \alpha \geq 2}} \sum_{\substack{n \leq x \\ p \in P_{q^\alpha} \\ p | \varphi(n)}} \log q \ll y^2/Y.$$

Now we would like to establish

$$\frac{1}{x} \sum_{\substack{q > Y \\ \alpha \geq 2}} \sum_{\substack{n \leq x \\ n \notin S \\ q^{\alpha+1} | \varphi(n)}} \log q \ll y^2/Y.$$

We note that the contribution of prime powers of  $q$  dividing  $\varphi(n)$  for  $n \notin S$  can only come from distinct primes in  $P_q$  dividing  $n$ . We then have

$$\sum_{\substack{n \leq x \\ n \notin S \\ q^{\alpha+1} | \varphi(n)}} 1 \ll \frac{1}{(\alpha+1)!} \sum_{p_1, \dots, p_{\alpha+1} \in P_q} \sum_{p_1 \dots p_{\alpha+1} | n \leq x} 1 \ll \frac{x(cy)^{\alpha+1}}{(\alpha+1)!q^{\alpha+1}}, \quad (16)$$

where we intentionally omit the condition that the primes  $p_i \in P_q$  are distinct and where  $c$  is the constant appearing in the Brun-Titchmarsh theorem. As  $Y \geq 2cy$  we have  $cy/q \leq 1/2$ . Thus summing the LHS of (16) over  $\alpha \geq 2$  and  $q > Y$  and weighting by  $\log q$  gives

$$\sum_{q > Y} \sum_{\alpha \geq 3} \frac{xc^\alpha y^\alpha}{\alpha! q^\alpha} \log q \leq xc^2 y^2 \sum_{\alpha \geq 1} \frac{1}{\alpha! 2^\alpha} \sum_{q > Y} \frac{\log q}{q^2} \ll xy^2/Y \quad (17)$$

as required.  $\square$

## 5 Small primes $q \leq Y$

In this section we will be concerned with estimates for small primes; namely, we will prove Propositions 13, 14, 15 and 16. The main term in our asymptotic formula will come from Proposition 14 which concerns the sum

$$\sum_{q \leq Y} \nu_q(\lambda\varphi(n)) \log q. \quad (18)$$

The remaining two Propositions provide us with error terms.

We restate a Lemma 11 from [8] which we will use:

**Lemma 17.** *For a power of a prime  $q^\alpha$ , the number of positive integers  $n \leq x$  with  $q^\alpha$  dividing  $\lambda\lambda(n)$  is  $O(xy^2/q^\alpha)$ .*

*Proof of Proposition 13.* We break the summation up into two parts depending on the size of  $q^\alpha$ ,

$$\begin{aligned} \sum_{q \leq Y} \nu_q(\lambda\lambda(n)) \log q &= \sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha | \lambda\lambda(n)}} 1 \\ &\ll \sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha \leq Z}} 1 + \sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha > Z \\ q^\alpha | \lambda\lambda(n)}} 1. \end{aligned}$$

We may bound the first sum as

$$\sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha \leq Z}} 1 \ll Y \log Z / \log Y.$$

We use an average estimate to bound the second sum. Note

$$\frac{1}{x} \sum_{n \leq x} \sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha > Z \\ q^\alpha | \lambda\lambda(n)}} 1 = \frac{1}{x} \sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha > Z}} \sum_{\substack{n \leq x \\ q^\alpha | \lambda\lambda(n)}} 1. \quad (19)$$

From Lemma 17, we see (19) is

$$\ll \frac{1}{x} \sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha > Z}} \frac{xy^2}{q^\alpha} \ll \sum_{q \leq Y} \frac{y^2 \log q}{Z} \ll \frac{y^2 Y}{Z}.$$

Therefore

$$\sum_{q \leq Y} \log q \sum_{\substack{\alpha \geq 1 \\ q^\alpha > Z \\ q^\alpha | \lambda \lambda(n)}} 1 \ll y^2 Y \psi(x) / Z,$$

for almost all  $n \leq x$ . Combining our upper bounds gives

$$\sum_{q \leq Y} \nu_q(\lambda \lambda(n)) \log q \ll (Y \log Z / \log Y + y^2 Y / Z) \psi(x),$$

for almost all  $n \leq x$ . Substituting  $Y = 3cy$  and  $Z = y^2$  gives the theorem.  $\square$

Recall  $q^\alpha$  divides  $\lambda \varphi(n)$  if one of

- $q^{\alpha+1} | \varphi(n)$
- $q^\alpha | p-1, p | r-1, r | n$
- $q^\alpha | p-1, p^2 | n$

occurs. Note that these conditions are not mutually exclusive. We write (18) as

$$\sum_{q \leq Y} \nu_q(\lambda \varphi(n)) \log q = g(n) + O\left(h(n) + \sum_{q \leq Y} \sum_{\substack{p \in P_{q^\alpha} \\ p^2 | n}} \log q\right),$$

where

$$\begin{aligned} g(n) &= \sum_{q \leq Y} \sum_{\substack{\alpha \geq 1 \\ q^{\alpha+1} | \varphi(n)}} \log q, \\ h(n) &= \sum_{q \leq Y} \sum_{\substack{\alpha \geq 1 \\ \omega(n, Q_{q^\alpha}) > 0}} \log q, \text{ and} \\ Q_{q^\alpha} &= \{r \leq x : \exists p \in P_{q^\alpha} \text{ st } r \in P_p\}. \end{aligned}$$

Thus, for almost all  $n \leq x$ ,

$$\sum_{q \leq Y} \nu_q(\lambda \varphi(n)) \log q = g(n) + O(h(n) + \psi(x) \log_2 Y). \quad (20)$$

In the next two sections, we prove Propositions 14 and 15. We see that Proposition 16 follows immediately by applying these two propositions to equation (20) giving

$$\sum_{q \leq Y} \nu_q(\lambda \varphi(n)) \log q = y \log y + O(y \psi(x))$$

for almost all  $n \leq x$ , as required.

## 5.1 Normal order of $g(n)$

Our strategy is to approximate  $g(n)$  from above and below by an additive arithmetic function, thus indirectly making  $g(n)$  amenable to the Turán-Kubilius inequality. To start, write  $g(n)$  as

$$\begin{aligned}
 g(n) &= \sum_{q \leq Y} \sum_{\substack{\alpha \geq 1 \\ q^{\alpha+1} | \varphi(n)}} \log q \\
 &= \sum_{q \leq Y} (\nu_q(\varphi(n)) - 1) \log q \\
 &= \sum_{q \leq Y} \sum_{p|n} \nu_q(p-1) \log q - Y(1 + o(1)) + O\left(\sum_{q \leq Y} \nu_q(n) \log q\right), \tag{21}
 \end{aligned}$$

where we used the double inequality

$$\sum_{p|n} \nu_q(p-1) \leq \nu_q(\varphi(n)) \leq \sum_{p|n} \nu_q(p-1) + \nu_q(n).$$

We will use the Turán-Kubilius inequality:

**Lemma 18** (The Turán-Kubilius Inequality). *There exists an absolute constant  $C$  such that for all additive functions  $f(n)$  and all  $x \geq 1$  the inequality*

$$\sum_{n \leq x} |f(n) - A(x)|^2 \leq Cx B(x)^2 \tag{22}$$

holds where

$$\begin{aligned}
 A(x) &= \sum_{p \leq x} f(p)/p, \text{ and} \\
 B(x)^2 &= \sum_{p^k \leq x} |f(p^k)|^2 / p^k.
 \end{aligned}$$

*Proof of Proposition 14.* We will use Lemma 18 for the additive function  $g_0(n) = \sum_{q \leq Y} \sum_{p|n} \nu_q(p-1) \log q$ . Let  $A(x)$  and  $B(x)$  be the first and second moments:

$$\begin{aligned}
 A(x) &= \sum_{r \leq x} g_0(r)/r, \text{ and} \\
 B(x) &= \sum_{r^k \leq x} g_0(r^k)^2 / r^k.
 \end{aligned}$$

Notice that  $g_0(r^k) = g_0(r) = \sum_{q \leq Y} \nu_q(r-1) \log q$  leading to

$$\begin{aligned} A(x) &= \sum_{r \leq x} \frac{1}{r} \sum_{q \leq Y} \sum_{p|r} \nu_q(p-1) \log q = \sum_{q \leq Y} \log q \sum_{r \leq x} \frac{\nu_q(r-1)}{r} \\ &= \sum_{q \leq Y} \log q \sum_{\alpha \geq 1} \sum_{\substack{r \leq x \\ r \in \overline{P}_{q^\alpha}}} \frac{1}{r}. \end{aligned}$$

We split the sum over  $\alpha$  into

$$\sum_{1 \leq \alpha \leq w_q} \sum_{\substack{r \leq x \\ r \in \overline{P}_{q^\alpha}}} \frac{1}{r} + \sum_{\alpha > w_q} \sum_{\substack{r \leq x \\ r \in \overline{P}_{q^\alpha}}} \frac{1}{r},$$

with  $w_q$  to be determined later. The first we estimate with Page's theorem and the second we bound with the Brun-Titchmarsh bound

$$\sum_{\substack{r \leq x \\ r \equiv 1 \pmod{d}}} 1/r \ll y/\varphi(d).$$

$$\sum_{\alpha=1}^{\infty} \frac{y}{\varphi(q^\alpha)} + O\left(\frac{y}{q^{w_q}} + w_q\right) = \frac{yq}{(q-1)^2} + O\left(\frac{y}{q^{w_q}} + w_q\right) \quad (23)$$

Note used the bound  $1/q^{\lfloor w_q \rfloor + 1} = O(1/q^{w_q})$ . Taking  $w_q = \log y / \log q$  gives an error term of  $O(w_q) = O(\log y / \log q)$ . Summing (23) over  $q \leq Y$  weighted by  $\log q$  gives the asymptotic formula

$$\begin{aligned} A(x) &= y \sum_{q \leq Y} \frac{q \log q}{(q-1)^2} + O\left(\frac{Y \log y}{\log Y} + Y\right) \\ &= y \log Y + O\left(\frac{Y \log y}{\log Y} + Y\right). \end{aligned} \quad (24)$$

Expanding the square, write the second moment  $B(x)$  as

$$B(x) = \sum_{q_1, q_2 \leq Y} \log q_1 \log q_2 \sum_{r \leq x} \nu_{q_1}(r-1) \nu_{q_2}(r-1) \sum_{\substack{k \leq 1 \\ r^k \leq x}} 1/r^k.$$

Uniformly in primes  $r$ ,  $\sum_{k \geq 1} 1/r^k \ll 1/r$ . We may also express  $\nu_{q_i}(r-1)$  ( $i = 1, 2$ ) as

$$\nu_{q_i}(r-1) = \sum_{\substack{\alpha_i \geq 1 \\ r \in \overline{P}_{q_i^{\alpha_i}}}} 1,$$

giving the expanded

$$B(x) \ll \sum_{q_1, q_2 \leq Y} \log q_1 \log q_2 \sum_{\alpha_1, \alpha_2 \geq 1} \sum_{\substack{r \leq x \\ r \in P_{q_1}^{\alpha_1} \cap P_{q_2}^{\alpha_2}}} \frac{1}{r}.$$

We split the sum in  $q_1, q_2$  into the two cases:  $q_1 = q_2$  and  $q_1 \neq q_2$ . For the  $q_1, q_2$  with  $q = q_1 = q_2$  we have

$$\begin{aligned} \sum_{q \leq Y} (\log q)^2 \sum_{\alpha_1, \alpha_2 \geq 1} \sum_{\substack{r \leq x \\ r \in P_{q^{\max(\alpha_1, \alpha_2)}}}} \frac{1}{r} &= \sum_{q \leq Y} (\log q)^2 \sum_{\alpha \geq 1} \sum_{\substack{r \leq x \\ r \in P_{q^\alpha}}} \frac{\alpha}{r} \\ &\ll \sum_{q \leq Y} (\log q)^2 \sum_{\alpha \geq 1} \frac{\alpha y}{q^\alpha} \\ &\ll y \sum_{q \leq Y} \frac{(\log q)^2}{q} \\ &\ll y (\log Y)^2. \end{aligned} \tag{25}$$

If  $q_1$  and  $q_2$  are distinct then we have an upper bound (intentionally ignoring the condition that  $q_1 \neq q_2$  in the sum)

$$\begin{aligned} \sum_{q_1, q_2 \leq Y} \log q_1 \log q_2 \sum_{\alpha_1, \alpha_2 \geq 1} \sum_{\substack{r \leq x \\ r \in P_{q_1}^{\alpha_1} \cap P_{q_2}^{\alpha_2}}} \frac{1}{r} &\ll \sum_{q_1, q_2 \leq Y} \log q_1 \log q_2 \sum_{\alpha_1, \alpha_2 \geq 1} \frac{y}{q_1^{\alpha_1} q_2^{\alpha_2}} \\ &\ll y \sum_{q_1, q_2 \leq Y} \frac{\log q_1 \log q_2}{q_1 q_2} \\ &\ll y (\log Y)^2. \end{aligned} \tag{26}$$

Combining (25) and (26) gives

$$B(x) \ll y (\log Y)^2. \tag{27}$$

Using Lemma 18 we may conclude that The statement of Lemma 18 gives us the equation

$$\sum_{n \leq x} |g_0(n) - A(x)|^2 \leq Cx B(x)^2. \tag{28}$$

Thus the set of  $n \leq x$  on which  $g_0(n)$  differs from  $A(x)$  by more than  $y$  is  $O(x(\log Y)^2/y) = O(x/\psi(x))$ .

The mean value of  $\sum_{q \leq Y} \nu_q(n) \log q$  for  $n \leq x$  is  $\ll 1/x \sum_{q \leq Y} x \log q/q \ll \sum_{q \leq Y} \log q/q \sim \log Y$ , so  $\sum_{q \leq Y} \nu_q(n) \log q \ll \log^2 Y$  for almost all  $n \leq x$ . Thus from (21), we see that for

almost all  $n \leq x$ ,

$$g(n) = y \log Y + O\left(\frac{Y \log y}{\log Y} + Y\right), \quad (29)$$

Substituting  $Y = 3cy$  gives the theorem.  $\square$

## 5.2 Normal order of $h(n)$

*Proof of Proposition 15.* In order to find an upper bound on a set of asymptotic density 1, we will compute the first moment of  $h(n)$ :

$$\begin{aligned} H(x) &:= \frac{1}{x} \sum_{n \leq x} h(n) = \frac{1}{x} \sum_{\substack{q \leq Y \\ \alpha \geq 1}} \sum_{\substack{n \leq x \\ \omega(n, Q_{q^\alpha}) > 0}} \log q \\ &= \frac{1}{x} \sum_{\substack{q^\alpha \leq Z \\ q \leq Y \\ \alpha \geq 1}} \sum_{\substack{n \leq x \\ \omega(n, Q_{q^\alpha}) > 0}} \log q + \frac{1}{x} \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \sum_{\substack{n \leq x \\ \omega(n, Q_{q^\alpha}) > 0 \\ \alpha \geq 1}} \log q. \end{aligned}$$

We deal with the two sums in turn.

**Small  $q^\alpha$**  The first part is for small powers of  $q$ :

$$\frac{1}{x} \sum_{\substack{q^\alpha \leq Z \\ q \leq Y}} \sum_{\substack{n \leq x \\ \omega(n, Q_{q^\alpha}) > 0}} \log q \leq \frac{1}{x} \sum_{\substack{q^\alpha \leq Z \\ q \leq Y}} \log q \sum_{n \leq x} 1 \leq \sum_{\substack{q^\alpha \leq Z \\ q \leq Y}} \log q = \frac{Y \log Z}{\log Y}. \quad (30)$$



**Large  $q^\alpha$**  The second part is for large powers of  $q$ . In this case we use a crude estimate that is sufficient for our needs:

$$\begin{aligned}
\frac{1}{x} \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \sum_{\substack{n \leq x \\ \omega(n, Q_{q^\alpha}) > 0}} \log q &\ll \frac{1}{x} \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \log q \sum_{r \in Q_{q^\alpha}} \sum_{\substack{n \leq x \\ r|n}} 1 \\
&\ll \frac{1}{x} \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \log q \sum_{r \in Q_{q^\alpha}} \frac{x}{r} \\
&\ll \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \log q \sum_{p \in P_{q^\alpha}} \sum_{r \in P_p} \frac{1}{r} \\
&\ll y^2 \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \frac{\log q}{q^\alpha}. \tag{31}
\end{aligned}$$

The RHS of (31) is less than  $\sum_{q \leq Y} \sum_{\alpha > \log Z / \log q} \log q / q^\alpha \leq 2 \sum_{q \leq Y} \log q / q^{\log Z / \log q} \ll Y/Z$ , or alternatively  $q^\alpha \geq Z$  and  $\sum_{q \leq Y} \log q \sim Y$ .

Thus

$$\frac{1}{x} \sum_{\substack{q^\alpha > Z \\ q \leq Y}} \sum_{\substack{n \leq x \\ \omega(n, Q_{q^\alpha}) > 0}} \log q = O(y^2 Y/Z). \tag{32}$$

Summing (30) and (32) gives

$$H(x) \ll Y \log Z / \log Y + y^2 Y/Z \ll y,$$

where we substituted the values of  $Y$  and  $Z$ . Thus, for almost all  $n \leq x$ ,

$$h(n) \ll y\psi(x).$$

□

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