

# 二阶拟线性中立型差分方程的振动性 \*

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**摘要** 运用 Riccati 变换, 均法和大量的不等式技巧, 研究了二阶拟线性中立型差分方程

$$\Delta[r_n|\Delta z_n|^{\alpha-1}\Delta z_n]+q_nf(x_{n-\sigma})=0, \text{ 其中 } z_n=x_n+p_nx_{n-\tau}$$

在条件  $\alpha \geq \beta \geq 1$  或者  $\alpha \geq 1, 0 < \beta < 1$  下的振动性, 并举实例说明, 这里  $ssizebeta$  是文中条件 A(4) 中的常数.

**关键词** 振动性; 二阶; 差分方程

**MR(2000) 主题分类** 62G05; 62N01

**中图分类** O175

## 1 引言

本文讨论二阶拟线性中立型时滞差分方程

$$\Delta[r_n|\Delta z_n|^{\alpha-1}\Delta z_n]+q_nf(x_{n-\sigma})=0 \quad (1.1)$$

的振动性, 这里  $z_n = x_n + p_n x_{n-\tau}, n = 0, 1, 2, \dots$ ;  $\alpha$  是一个正常数;  $\tau$  和  $\sigma$  是非负整数;  $\Delta$  代表向前差分算子:  $\Delta x_n = x_{n+1} - x_n$ ,  $\{x_n\}_{n=0}^{\infty}$  是任意实数序列.

本文总假设以下条件成立

- (A1)  $\{r_n\}$  是一正序列,  $n = 0, 1, 2, \dots$ ;
- (A2)  $0 \leq p_n \leq 1, n = 0, 1, 2, \dots$ ;

本文 2009 年 4 月 30 日收到. 2011 年 4 月 27 日收到修改稿.

\* 广东省自然科学基金 (8451063101000730) 资助项目.

(A3)  $\{q_n\}_{n=0}^{\infty}$  是一个含有无限多正项的非负序列;

(A4)  $f \in C(\mathbb{R}, \mathbb{R})$  且存在一个函数  $\phi \in C^1(\mathbb{R}, \mathbb{R})$  和一个常数  $\beta > 0$  满足

$$\frac{f(x)}{|\phi(x)|^{\beta-1}\phi(x)} \geq k > 0, \quad \phi'(x) \geq \varepsilon > 0 \quad \text{且} \quad x\phi(x) > 0 \quad \text{当 } x \neq 0 \text{ 时},$$

这里  $k, \varepsilon$  是正常数;

$$(A5) \sum_{n=0}^{\infty} 1/r_n^{\alpha} = \infty.$$

如果一非零序列  $\{x_n\}$ ,  $n \geq -\max\{\tau, \sigma\}$  对任意的  $n = 0, 1, 2, \dots$  满足方程 (1.1), 那么我们就称  $\{x_n\}$  是方程 (1.1) 的一个解. 显然, 若给定初始条件

$$x_n = A_n, \quad n = -\max\{\tau, \sigma\}, \dots, 0, \quad (1.2)$$

则方程 (1.1) 有唯一一个满足初始条件 (1.2) 的解. 此外, 如果对任意的  $N > 0$ , 存在一个  $n > N$  满足  $x_n x_{n+1} \leq 0$ , 则称方程 (1.1) 的解  $\{x_n\}$  是振动的; 否则, 称  $\{x_n\}$  是非振动的. 如果方程 (1.1) 的每一个解都振动, 则称方程 (1.1) 是振动的.

[4] 考虑了非线性中立型差分方程

$$\Delta(r_n(\Delta z_n)^{\gamma}) + q_n f^{\beta}(x_{n-\sigma}) = 0$$

在条件

$$(1) \quad \gamma \geq \beta \geq 1 \quad \text{且} \quad \sum_{n=0}^{\infty} 1/r_n = \infty$$

或者

$$(2) \quad 0 < \beta < 1, \quad \gamma > 1 \quad \text{且} \quad \sum_{n=0}^{\infty} 1/r_n = \infty$$

下的振动性. 但是, 可以发现条件  $\sum_{n=0}^{\infty} 1/r_n = \infty$  是不合理的, 应该改成  $\sum_{n=0}^{\infty} 1/r_n^{\frac{1}{\gamma}} = \infty$ .

在 [7] 和 [9] 中, 作者分别讨论了方程

$$\Delta(r_n \Delta z_n) + q_n f(x_{n-\sigma}) = 0$$

和

$$\Delta(r_n |\Delta z_n|^{\alpha-1} \Delta z_n) + q_n f(x_{n-\sigma}) = 0$$

的振动性. 然而, 可以看到, 如果没有假设  $\alpha \geq 1$ , [9] 的结果是不成立的.

受 [10-12] 的启发, 本文采用 Riccati 变换和均法, 给出了方程 (1.1) 在条件

$$\alpha \geq \beta \geq 1 \quad \text{或者} \quad \alpha \geq 1, \quad 0 < \beta < 1$$

下的一些振动性准则. 这些准则改进和拓展了 [4,7,9] 的结果, 此外, 还改正了 [4] 中定理 4 的证明.

## 2 主要结果

本文对任意给定的正序列  $\{\rho_n\}_{n=0}^{\infty}$  和常数  $M > 0$ ,  $a > 0$ , 采用如下记号 (后文不再声明)

$$\begin{aligned} Q_n &= k\varepsilon^\beta \rho_n q_n (1 - p_{n-\sigma})^\beta, & R_n &= \frac{\rho_n 2^{1-\beta} M^{\frac{\alpha-\beta}{\alpha}}}{\rho_{n+1}^2 r_{n-\sigma}^{\frac{\beta}{\alpha}}}, \\ T_n &= \frac{\beta \rho_n [a(n+1)]^{\frac{\beta-\alpha}{\alpha}}}{\rho_{n+1}^{\frac{\alpha+1}{\alpha}} r_{n-\sigma}^{\frac{1}{\alpha}}}, & P_n &= \frac{\beta \rho_n M^{\frac{\alpha-1}{\alpha}} [a(n+1)]^{\beta-1}}{\rho_{n+1}^2 r_{n-\sigma}^{\frac{1}{\alpha}}} \end{aligned}$$

和

$$(\rho_n)_+ = \max \{0, \rho_n\}.$$

与 [10] 类似, 称序列  $\{H_{m,n}|m \geq n \geq 0\}$  属于集合  $\mathcal{H}$ , 记作  $H_{m,n} \in \mathcal{H}$ , 如果

(H1)  $H_{m,m} = 0$ ,  $m \geq 0$  且  $H_{m,n} > 0$ ,  $m > n \geq 0$ ;

(H2)  $\Delta_2 H_{m,n} = H_{m,n+1} - H_{m,n} \leq 0$ ,  $m > n \geq 0$ .

此外, 设  $\{h_{m,n}|m \geq n \geq 0\} \in \mathcal{H}$ , 满足  $\Delta_2 H_{m,n} = -h_{m,n} \sqrt{H_{m,n}}$ ,  $m > n \geq 0$ .

为了给出本文的主要结果, 先介绍以下两个引理.

**引理 2.1** 如果  $\{x_n\}$  是方程 (1.1) 的一个最终正解, 那么存在一个正整数  $n_0$ , 使得对  $\forall n \geq n_0$  有

$$z_n \geq x_n > 0, \quad \Delta z_n \geq 0 \quad \text{和} \quad \Delta(r_n |\Delta z_n|^{\alpha-1} \Delta z_n) \leq 0.$$

证 不失一般性, 假设  $x_n > 0$ ,  $x_{n-\tau} > 0$  和  $x_{n-\sigma} > 0$  对所有的  $n \geq n_0 \geq 0$  成立.

显然,  $z_n \geq x_n > 0$ . 由方程 (1.1) 和条件 (A4) 得

$$\Delta(r_n |\Delta z_n|^{\alpha-1} \Delta z_n) = -q_n f(x_{n-\sigma}) \leq 0.$$

我们断言  $\Delta z_n \geq 0$  对  $\forall n \geq n_0$  成立. 否则, 必存在  $n_1 \geq n_0$  使得  $\Delta z_{n_1} < 0$ . 因为  $\{r_n |\Delta z_n|^{\alpha-1} \Delta z_n\}$  是非增的, 所以对  $\forall n \geq n_1$ , 有

$$r_n |\Delta z_n|^{\alpha-1} \Delta z_n \leq r_{n_1} |\Delta z_{n_1}|^{\alpha-1} \Delta z_{n_1} = \xi < 0.$$

整理得

$$\Delta z_n \leq -\left(\frac{-\xi}{r_n}\right)^{\frac{1}{\alpha}}.$$

将上述不等式的两边从  $n_1$  到  $n-1$  求和并应用 (A5) 得

$$z_n \leq z_{n_1} - \sum_{i=n_1}^{n-1} \left(\frac{-\xi}{r_n}\right)^{\frac{1}{\alpha}} \rightarrow -\infty, \quad n \rightarrow \infty,$$

这与  $z_n > 0$  矛盾. 于是  $\Delta z_n \geq 0$ .

**引理 2.2** 如果  $\Delta z_n \geq 0$ ,  $\Delta r_n \geq 0$  且  $\Delta(r_n(\Delta z_n)^\alpha) \leq 0$ , 那么存在一个常数  $a > 0$  使得  $z_{n-\sigma+1} \leq a(n+1)$  对  $\forall n \geq n_0$  成立.

证 因为对  $\forall n \geq n_0$  有

$$0 \geq \Delta(r_n(\Delta z_n)^\alpha) = \Delta r_n(\Delta z_{n+1})^\alpha + r_n \Delta(\Delta z_n)^\alpha$$

和

$$r_n > 0, \quad \Delta r_n \geq 0, \quad (\Delta z_{n+1})^\alpha \geq 0,$$

所以  $\Delta(\Delta z_n)^\alpha \leq 0$ , 即  $\{(\Delta z_n)^\alpha\}$  是非增的, 就有  $(\Delta z_{n+1})^\alpha \leq (\Delta z_n)^\alpha$ , 则  $\Delta z_{n+1} \leq \Delta z_n$ . 于是, 对  $\forall n \geq n_0$  有  $z_n \leq z_{n_0} + n \Delta z_{n_0}$ . 故存在一个合适的正常数  $a$ , 使得  $z_n \leq an$ . 由此可得

$$z_{n-\sigma+1} \leq a(n-\sigma+1) \leq a(n+1)$$

对  $\forall n \geq n_0$  成立.

下面的结论拓展了 [10-12] 的结果.

**定理 2.1** 设  $\alpha \geq \beta \geq 1$ . 如果

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,0}} \sum_{n=0}^{m-1} \left[ H_{m,n} Q_n - \frac{1}{4R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \right] = \infty, \quad (2.1)$$

那么方程 (1.1) 是振动的.

证 设  $\{x_n\}$  是方程 (1.1) 的一个非振动解. 不失一般性, 假设  $\{x_n\}$  是方程 (1.1) 的一个最终正解. 我们只需考虑这种情况, 因为当  $x_n < 0$  时, 变换  $y_n = -x_n$  可将方程 (1.1) 振动的充分条件转化成与 (2.1) 相同的形式. 根据引理 2.1 知  $\Delta z_n \geq 0$ , 那么存在一个正整数  $n_0$  使得对  $\forall n \geq n_0$  有  $Z_{n-\sigma-\tau} \leq z_{n-\sigma}$ . 由此可得

$$x_{n-\sigma} = z_{n-\sigma} - p_{n-\sigma} z_{n-\sigma-\tau} \geq (1 - p_{n-\sigma}) z_{n-\sigma}.$$

根据 (A4) 有

$$f(x_{n-\sigma}) \geq k |\phi(x_{n-\sigma})|^{\beta-1} \phi(x_{n-\sigma}) \geq k (\varepsilon x_{n-\sigma})^\beta \geq k \varepsilon^\beta (1 - p_{n-\sigma})^\beta z_{n-\sigma}^\beta.$$

对  $\forall n \geq n_0$ , 由方程 (1.1) 可得

$$\Delta(r_n(\Delta z_n)^\alpha) + k \varepsilon^\beta q_n (1 - p_{n-\sigma})^\beta z_{n-\sigma}^\beta \leq 0. \quad (2.2)$$

定义

$$w_n = \rho_n \frac{r_n(\Delta z_n)^\alpha}{z_{n-\sigma}^\beta}, \quad n \geq n_0. \quad (2.3)$$

由 (2.2) 和 (2.3) 得

$$\begin{aligned}
\Delta w_n &= \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} + \rho_n \frac{\Delta(r_n(\Delta z_n)^\alpha) z_{n-\sigma+1}^\beta - r_{n+1}(\Delta z_{n+1})^\alpha \Delta(z_{n-\sigma}^\beta)}{z_{n-\sigma}^\beta z_{n-\sigma+1}^\beta} \\
&\leq \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \rho_n \frac{k\varepsilon^\beta q_n(1-p_{n-\sigma})^\beta z_{n-\sigma}^\beta z_{n-\sigma+1}^\beta + r_{n+1}(\Delta z_{n+1})^\alpha \Delta(z_{n-\sigma}^\beta)}{z_{n-\sigma}^\beta z_{n-\sigma+1}^\beta} \\
&= -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\rho_n r_{n+1}(\Delta z_{n+1})^\alpha \Delta(z_{n-\sigma}^\beta)}{z_{n-\sigma}^\beta z_{n-\sigma+1}^\beta}.
\end{aligned} \tag{2.4}$$

根据不等式 ([5] 中的定理 27)

$$(a+b)^r \geq a^r + b^r, \quad a, b \geq 0, \quad r \geq 1,$$

得

$$x^\beta \geq (x-y)^\beta + y^\beta, \quad x \geq y > 0, \quad \beta \geq 1,$$

即

$$x^\beta - y^\beta \geq (x-y)^\beta, \quad x \geq y > 0, \quad \beta \geq 1,$$

那么

$$\Delta(z_{n-\sigma}^\beta) \geq (\Delta z_{n-\sigma})^\beta. \tag{2.5}$$

由 (2.4) 和 (2.5) 得

$$\Delta w_n \leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\rho_n r_{n+1}(\Delta z_{n+1})^\alpha (\Delta z_{n-\sigma})^\beta}{z_{n-\sigma}^\beta z_{n-\sigma+1}^\beta}. \tag{2.6}$$

注意到  $\Delta(r_n(\Delta z_n)^\alpha) \leq 0$ , 则  $r_{n-\sigma}(\Delta z_{n-\sigma})^\alpha \geq r_{n+1}(\Delta z_{n+1})^\alpha$ , 即

$$\Delta z_{n-\sigma} \geq \left( \frac{r_{n+1}}{r_{n-\sigma}} \right)^{\frac{1}{\alpha}} \Delta z_{n+1}. \tag{2.7}$$

根据引理 2.1 和 (2.3), (2.6), (2.7) 得

$$\begin{aligned}
\Delta w_n &\leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\rho_n 2^{1-\beta} r_{n+1}^{\frac{\alpha+\beta}{\alpha}} (\Delta z_{n+1})^{\alpha+\beta}}{r_{n-\sigma}^{\frac{\beta}{\alpha}} z_{n-\sigma+1}^{2\beta}} \\
&= -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\rho_n 2^{1-\beta} r_{n+1}^{\frac{\beta-\alpha}{\alpha}}}{\rho_{n+1}^2 r_{n-\sigma}^{\frac{\beta}{\alpha}} (\Delta z_{n+1})^{\alpha-\beta}} w_{n+1}^2.
\end{aligned} \tag{2.8}$$

因为  $\{r_n(\Delta z_n)^\alpha\}$  为正且非增, 所以存在两个常数  $M > 0$  和  $N \geq n_0 > 0$  使得对  $\forall n \geq N$  有  $r_{n+1}(\Delta z_{n+1})^\alpha \leq 1/M$ . 由此可得

$$\Delta z_{n+1} \leq \left( \frac{1}{M r_{n+1}} \right)^{\frac{1}{\alpha}}. \tag{2.9}$$

由于  $\alpha \geq \beta$ , 结合 (2.8) 和 (2.9), 我们有

$$\Delta w_n \leq -Q_n + \frac{\Delta\rho_n}{\rho_{n+1}}w_{n+1} - R_n w_{n+1}^2.$$

在上述不等式的两边同时乘以  $H_{m,n}$  且将它从  $k$  到  $m-1$  求和可得

$$\begin{aligned} \sum_{n=k}^{m-1} H_{m,n} Q_n &\leq - \sum_{n=k}^{m-1} H_{m,n} \Delta w_n + \sum_{n=k}^{m-1} H_{m,n} \frac{\Delta\rho_n}{\rho_{n+1}} w_{n+1} - \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2 \\ &= H_{m,k} w_k + \sum_{n=k}^{m-1} w_{n+1} \Delta_2 H_{m,n} + \sum_{n=k}^{m-1} H_{m,n} \frac{\Delta\rho_n}{\rho_{n+1}} w_{n+1} - \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2 \\ &= H_{m,k} w_k - \sum_{n=k}^{m-1} \sqrt{H_{m,n}} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right) w_{n+1} - \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2, \end{aligned} \quad (2.10)$$

即

$$\begin{aligned} \sum_{n=k}^{m-1} H_{m,n} Q_n &\leq H_{m,k} w_k - \sum_{n=k}^{m-1} \left[ \sqrt{H_{m,n} R_n} w_{n+1} + \frac{1}{2\sqrt{R_n}} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right)^2 \right] \\ &\quad + \frac{1}{4} \sum_{n=k}^{m-1} \frac{1}{R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right)^2. \end{aligned}$$

易得

$$\sum_{n=k}^{m-1} H_{m,n} Q_n \leq H_{m,k} w_k + \frac{1}{4} \sum_{n=k}^{m-1} \frac{1}{R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right)^2. \quad (2.11)$$

如果取  $k = N$ , 那么

$$\sum_{n=N}^{m-1} \left[ H_{m,n} Q_n - \frac{1}{4R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right)^2 \right] \leq H_{m,N} w_N \leq H_{m,0} w_N.$$

由此可得

$$\sum_{n=0}^{m-1} \left[ H_{m,n} Q_n - \frac{1}{4R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right)^2 \right] \leq H_{m,0} \left( w_N + \sum_{n=0}^{N-1} Q_n \right).$$

因此

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,0}} \sum_{n=0}^{m-1} \left[ H_{m,n} Q_n - \frac{1}{4R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta\rho_n}{\rho_{n+1}} \right)^2 \right] \leq w_N + \sum_{n=0}^{N-1} Q_n,$$

这与 (2.1) 矛盾.

通过选择合适的序列  $\{H_{m,n}\} \in \mathcal{H}$ , 我们可以得到一系列有关方程 (1.1) 的振动准则. 例如

$$H_{m,n} = \operatorname{sgn}(m-n), \quad m \geq n \geq 0$$

或者

$$H_{m,n} = (m-n)^\mu, \quad m \geq n \geq 0, \quad \mu \geq 1.$$

容易验证  $H_{m,n} \in \mathcal{H}$ , 根据定理 2.1, 我们有如下推论:

**推论 2.1** 设  $\alpha \geq \beta \geq 1$ . 如果

$$\limsup_{m \rightarrow \infty} \left\{ \sum_{n=0}^{m-2} \left[ Q_n - \frac{1}{4R_n} \left( \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \right] + Q_{m-1} - \frac{1}{4R_{m-1}} \left( 1 - \frac{\Delta \rho_{m-1}}{\rho_m} \right)^2 \right\} = \infty,$$

那么方程 (1.1) 是振动的.

**推论 2.2** 设  $\alpha \geq \beta \geq 1$ . 如果

$$\begin{aligned} & \limsup_{m \rightarrow \infty} \frac{1}{m^\mu} \sum_{n=0}^{m-1} \left\{ (m-n)^\mu Q_n - \frac{1}{4(m-n)^{\frac{\mu}{2}} R_n} \right. \\ & \cdot \left. \left[ (m-n)^\mu \left( 1 - \frac{\Delta \rho_n}{\rho_{n+1}} \right) - (m-n-1)^\mu \right]^2 \right\} = \infty, \end{aligned}$$

那么方程 (1.1) 是振动的.

**定理 2.2** 设  $\alpha \geq \beta \geq 1$  且  $\Delta r_n \geq 0$ . 如果

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,0}} \sum_{n=0}^{m-1} \left[ H_{m,n} Q_n - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1} \right] = \infty, \quad (2.12)$$

这里

$$\eta_{m,n} = (H_{m,n} T_n)^{\frac{\alpha}{\alpha+1}}, \quad \sigma_{m,n} = H_{m,n} \left| \frac{\Delta \rho_n}{\rho_{n+1}} - \frac{h_{m,n}}{\sqrt{H_{m,n}}} \right|,$$

那么方程 (1.1) 是振动的.

证 与定理 2.1 的证明一样, 对  $\forall n \geq n_0$  有 (2.4) 成立. 利用不等式 (见 [5] 中的定理 41)

$$x^\beta - y^\beta \geq \beta y^{\beta-1}(x-y), \quad x, y > 0, \quad \beta \geq 1, \quad (2.13)$$

我们有

$$\Delta(z_{n-\sigma}^\beta) \geq \beta z_{n-\sigma}^{\beta-1} \Delta z_{n-\sigma}. \quad (2.14)$$

把 (2.14) 代入 (2.4) 得

$$\Delta w_n \leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n r_{n+1} (\Delta z_{n+1})^\alpha \Delta z_{n-\sigma}}{z_{n-\sigma} z_{n-\sigma+1}^\beta}. \quad (2.15)$$

由 (2.7) 和 (2.15) 得

$$\begin{aligned} \Delta w_n & \leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n r_{n+1}^{\frac{\alpha+1}{\alpha}} (\Delta z_{n+1})^{\alpha+1}}{r_{n-\sigma}^{\frac{1}{\alpha}} z_{n-\sigma} z_{n-\sigma+1}^\beta} \\ & = -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n}{r_{n-\sigma}^{\frac{1}{\alpha}} \rho_{n+1}^{\frac{\alpha+1}{\alpha}}} z_{n-\sigma+1}^{\frac{\beta-\alpha}{\alpha}} w_{n+1}^{\frac{\alpha+1}{\alpha}}. \end{aligned}$$

根据引理 2.2 和  $\alpha \geq \beta$  有

$$\begin{aligned}\Delta w_n &\leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n [a(n+1)]^{\frac{\beta-\alpha}{\alpha}}}{\rho_{n+1}^{\frac{\alpha+1}{\alpha}} r_{n-\sigma}^{\frac{1}{\alpha}}} w_{n+1}^{\frac{\alpha+1}{\alpha}} \\ &= -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - T_n w_{n+1}^{\frac{\alpha+1}{\alpha}}.\end{aligned}$$

在上述不等式的两边同时乘以  $H_{m,n}$  且将它从  $k$  到  $m-1$  求和, 注意到  $H_{m,n} \in \mathcal{H}$ , 可得

$$\begin{aligned}&\sum_{n=k}^{m-1} H_{m,n} Q_n + \sum_{n=k}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}} - \sum_{n=k}^{m-1} H_{m,n} \left( \frac{\Delta \rho_n}{\rho_{n+1}} - \frac{h_{m,n}}{\sqrt{H_{m,n}}} \right) w_{n+1} \\ &\leq H_{m,k} w_k.\end{aligned}\tag{2.16}$$

记

$$F_{m,k} = \sum_{n=k}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}} - \sum_{n=k}^{m-1} H_{m,n} \left( \frac{\Delta \rho_n}{\rho_{n+1}} - \frac{h_{m,n}}{\sqrt{H_{m,n}}} \right) w_{n+1},$$

则

$$F_{m,k} \geq \sum_{n=k}^{m-1} (\eta_{m,n} w_{n+1})^{\frac{\alpha+1}{\alpha}} - \sum_{n=k}^{m-1} \sigma_{m,n} w_{n+1}.$$

根据不等式 (见 [5] 中的定理 61) 得

$$\sigma_{m,n} w_{n+1} \leq (\eta_{m,n} w_{n+1})^{\frac{\alpha+1}{\alpha}} + \frac{\alpha^\alpha}{(\alpha+1)^\alpha} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1}.$$

于是

$$F_{m,k} \geq -\frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \sum_{n=k}^{m-1} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1}.$$

由 (2.16) 得

$$\sum_{n=k}^{m-1} H_{m,n} Q_n - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \sum_{n=k}^{m-1} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1} \leq H_{m,k} w_k.\tag{2.17}$$

对确定的  $N \geq n_0$ , 根据 (2.17) 得

$$\sum_{n=0}^{m-1} H_{m,n} Q_n - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \sum_{n=0}^{m-1} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1} \leq H_{m,0} \left( w_N + \sum_{n=0}^{N-1} Q_n \right).$$

因此

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,0}} \sum_{n=0}^{m-1} \left[ H_{m,n} Q_n - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1} \right] \leq w_N + \sum_{n=0}^{N-1} Q_n < \infty,$$

这与 (2.12) 矛盾.

**定理 2.3** 设  $\alpha \geq 1$ ,  $0 < \beta < 1$  且  $\Delta r_n \geq 0$ . 如果

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,0}} \sum_{n=0}^{m-1} \left[ H_{m,n} Q_n - \frac{1}{4P_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \right] = \infty, \quad (2.18)$$

那么方程 (1.1) 是振动的.

证 与定理 2.1 的证明一样, 对  $\forall n \geq n_0$  有 (2.4) 成立. 根据不等式 (见 [5] 中的定理 41)

$$x^\beta - y^\beta \geq \beta x^{\beta-1}(x-y), \quad x, y > 0, \quad 0 < \beta < 1,$$

有

$$\Delta(z_{n-\sigma}^\beta) \geq \beta z_{n-\sigma+1}^{\beta-1} \Delta z_{n-\sigma}. \quad (2.19)$$

于是, 由 (2.4) 和 (2.19) 得

$$\Delta w_n \leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n r_{n+1} (\Delta z_{n+1})^\alpha \Delta z_{n-\sigma}}{z_{n-\sigma}^\beta z_{n-\sigma+1}}.$$

结合 (2.7), 我们有

$$\begin{aligned} \Delta w_n &\leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n r_{n+1}^{\frac{\alpha+1}{\alpha}} (\Delta z_{n+1})^{\alpha+1}}{r_{n-\sigma}^{\frac{1}{\alpha}} z_{n-\sigma+1}^{\beta+1}} \\ &= -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n r_{n+1}^{\frac{1-\alpha}{\alpha}}}{\rho_{n+1}^2 r_{n-\sigma}^{\frac{1}{\alpha}} z_{n-\sigma+1}^{1-\beta} (\Delta z_{n+1})^{\alpha-1}} w_{n+1}^2. \end{aligned}$$

注意到  $\alpha \geq 1$ , 那么由 (2.9) 得

$$\Delta w_n \leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n M^{\frac{\alpha-1}{\alpha}}}{\rho_{n+1}^2 r_{n-\sigma}^{\frac{1}{\alpha}} z_{n-\sigma+1}^{1-\beta}} w_{n+1}^2.$$

根据引理 2.2 和  $0 < \beta < 1$  得到

$$\begin{aligned} \Delta w_n &\leq -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - \frac{\beta \rho_n M^{\frac{\alpha-1}{\alpha}} [a(n+1)]^{\beta-1}}{\rho_{n+1}^2 r_{n-\sigma}^{\frac{1}{\alpha}}} w_{n+1}^2 \\ &= -Q_n + \frac{\Delta \rho_n}{\rho_{n+1}} w_{n+1} - P_n w_{n+1}^2. \end{aligned}$$

余下的证明与定理 2.1 的证明过程类似, 这里省略.

**注 2.1** 在 [4] 中, 通过使用下面的不等式 (见 [4, 322 页]),

$$(\Delta^2 z_n)^\gamma = (\Delta z_{n+1} - \Delta z_n)^\gamma \leq (\Delta z_{n+1})^\gamma - (\Delta z_n)^\gamma < 0 \quad (\gamma > 1 \text{ 是两奇数之比}),$$

即  $\Delta^2 z_n < 0$ , 作者给出了与定理 2.3 类似的定理 (见 [4] 中的定理 4). 注意到不等式成立的条件是  $\Delta z_{n+1} \geq \Delta z_n$  (见 [4] 中的 (2.8) 式), 这与  $\Delta^2 z_n < 0$  矛盾. 这里, 我们的定理 2.3 和引理 2.2 改正了它且进一步拓宽了  $\gamma$  的范围.

**定理 2.4** 设  $\alpha \geq \beta \geq 1$ . 如果存在两个正序列  $\{\varphi_n\}_{n=0}^{\infty}$  和  $\{\psi_n\}_{n=0}^{\infty}$  使得对  $\forall k$  有

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} Q_n \geq \varphi_k \quad (2.20)$$

和

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} \frac{1}{R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \leq \psi_k, \quad (2.21)$$

这里  $\{\varphi_n\}$  和  $\{\psi_n\}$  满足

$$\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n \left( \varphi_{n+1} - \frac{1}{4} \psi_{n+1} \right)_+^2 = \infty, \quad (2.22)$$

那么方程 (1.1) 是振动的.

证 与定理 2.1 的证明过程一样, 有 (2.10) 和 (2.11) 成立. 对  $\forall k \geq N$ , 我们得到

$$\frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} Q_n - \frac{1}{4H_{m,k}} \sum_{n=k}^{m-1} \frac{1}{R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \leq w_k.$$

在上述不等式的两端取  $\limsup_{m \rightarrow \infty}$ , 结合 (2.20) 和 (2.21) 得

$$\varphi_k - \frac{1}{4} \psi_k \leq w_k.$$

由此可得

$$\frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n \left( \varphi_{n+1} - \frac{1}{4} \psi_{n+1} \right)_+^2 \leq \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2. \quad (2.23)$$

此外, 由 (2.10) 得

$$\begin{aligned} & \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2 + \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} \sqrt{H_{m,n}} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right) w_{n+1} \\ & \leq w_k - \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} Q_n. \end{aligned}$$

根据 (2.20) 有

$$\begin{aligned} & \liminf_{m \rightarrow \infty} \left[ \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2 + \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} \sqrt{H_{m,n}} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right) w_{n+1} \right] \\ & \leq w_k - \varphi_k \leq C_0, \end{aligned} \quad (2.24)$$

这里  $C_0$  是常数. 定义

$$u_m = \frac{1}{H_{m,N}} \sum_{n=N}^{m-1} \sqrt{H_{m,n}} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right) w_{n+1}$$

和

$$v_m = \frac{1}{H_{m,N}} \sum_{n=N}^{m-1} H_{m,n} R_n w_{n+1}^2,$$

这里  $m > N$ . 于是, 由 (2.24) 知当  $m$  充分大时有

$$v_m + u_m \leq C_0 + 1. \quad (2.25)$$

我们断言

$$\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2 < \infty. \quad (2.26)$$

如果 (2.26) 不满足, 那么存在一个  $\lim_{j \rightarrow \infty} m_j = \infty$  的序列  $\{m_j\}$ , 使得

$$\liminf_{j \rightarrow \infty} \frac{1}{H_{m_j,k}} \sum_{n=k}^{m_j-1} H_{m_j,n} R_n w_{n+1}^2 = \infty. \quad (2.27)$$

由上式和 (2.25) 得

$$\liminf_{j \rightarrow \infty} u_{m_j} = -\infty. \quad (2.28)$$

当  $j$  充分大时, 由 (2.25) 和 (2.27) 得

$$\frac{u_{m_j}}{v_{m_j}} + 1 \leq \frac{C_0 + 1}{v_{m_j}} < \frac{1}{2} \quad \text{或} \quad \frac{u_{m_j}}{v_{m_j}} < -\frac{1}{2},$$

上式结合 (2.28) 有

$$\lim_{j \rightarrow \infty} \frac{u_{m_j}^2}{v_{m_j}} = \infty. \quad (2.29)$$

另一方面, 由 Cauchy 不等式得

$$\begin{aligned} u_{m_j}^2 &\leq \left[ \frac{1}{H_{m_j,N}} \sum_{n=N}^{m_j-1} H_{m_j,n} R_n w_{n+1}^2 \right] \left[ \frac{1}{H_{m_j,N}} \sum_{n=N}^{m_j-1} \frac{1}{R_n} \left( h_{m_j,n} - \sqrt{H_{m_j,n} \frac{\Delta \rho_n}{\rho_{n+1}}} \right)^2 \right] \\ &= v_{m_j} \left[ \frac{1}{H_{m_j,N}} \sum_{n=N}^{m_j-1} \frac{1}{R_n} \left( h_{m_j,n} - \sqrt{H_{m_j,n} \frac{\Delta \rho_n}{\rho_{n+1}}} \right)^2 \right]. \end{aligned}$$

所以

$$\frac{u_{m_j}^2}{v_{m_j}} \leq \frac{1}{H_{m_j,N}} \sum_{n=N}^{m_j-1} \frac{1}{R_n} \left( h_{m_j,n} - \sqrt{H_{m_j,n} \frac{\Delta \rho_n}{\rho_{n+1}}} \right)^2. \quad (2.30)$$

由 (2.29) 知 (2.30) 的左端是无界的, 这与 (2.21) 矛盾, 于是 (2.26) 成立. 因此, 由 (2.23) 得

$$\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n (\varphi_{n+1} - \frac{1}{4} \psi_{n+1})_+^2 \leq \liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n w_{n+1}^2 < \infty,$$

这与 (2.22) 矛盾.

**定理 2.5** 设  $\alpha \geq \beta \geq 1$  且  $\Delta r_n \geq 0$ . 如果存在两个正序列  $\{\varphi_n\}_{n=0}^{\infty}$  和  $\{\psi_n\}_{n=0}^{\infty}$  使得对  $\forall k$  有

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} Q_n > \varphi_k \quad (2.31)$$

和

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} \left( \frac{\sigma_{m,n}}{\eta_{m,n}} \right)^{\alpha+1} < \psi_k, \quad (2.32)$$

这里  $\{\varphi_n\}$  和  $\{\psi_n\}$  满足

$$\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n \left( \varphi_{n+1} - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \psi_{n+1} \right)_+^{\frac{\alpha+1}{\alpha}} = \infty \quad (2.33)$$

且  $\{\eta_{m,n}\}$  和  $\{\sigma_{m,n}\}$  与定理 2.2 中的定义一样, 那么方程 (1.1) 是振动的.

证 按照定理 2.2 的证明过程有 (2.16) 和 (2.17) 成立. 那么, 对  $\forall k \geq n_0$ , 对 (2.17) 取  $\limsup_{m \rightarrow \infty}$  并结合 (2.31) 和 (2.32) 有

$$\varphi_k - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \psi_k \leq w_k.$$

由此可得

$$\begin{aligned} & \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n \left( \varphi_{n+1} - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \psi_{n+1} \right)_+^{\frac{\alpha+1}{\alpha}} \\ & \leq \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}}. \end{aligned} \quad (2.34)$$

由 (2.16) 和 (2.32) 得

$$\begin{aligned} & \liminf_{m \rightarrow \infty} \left[ \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}} - \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} \left( \frac{\Delta \rho_n}{\rho_{n+1}} - \frac{h_{m,n}}{\sqrt{H_{m,n}}} \right) w_{n+1} \right] \\ & \leq w_k - \varphi_k \leq C_1, \end{aligned} \quad (2.35)$$

这里  $C_1$  是一常数. 定义

$$a_m = \frac{1}{H_{m,n_0}} \sum_{n=n_0}^{m-1} \sigma_{m,n} w_{n+1} \quad \text{和} \quad b_m = \frac{1}{H_{m,n_0}} \sum_{n=n_0}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}},$$

这里  $m > n_0$ . 于是, 对充分大的  $m$ , 由 (2.35) 得

$$b_m - a_m \leq C_1 + 1.$$

我们断言

$$\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}} < \infty. \quad (2.36)$$

如果 (2.36) 不成立, 与定理 2.4 的证明类似, 必存在一个  $\lim_{j \rightarrow \infty} m_j = \infty$  的序列  $\{m_j\}$  使得

$$\lim_{j \rightarrow \infty} b_{m_j} = \infty \quad \text{和} \quad a_{m_j} > \frac{1}{2} b_{m_j}$$

成立. 由此可得

$$\lim_{j \rightarrow \infty} \frac{a_{m_j}^{\alpha+1}}{b_{m_j}^\alpha} = \infty. \quad (2.37)$$

另一方面, 由 Hölder 不等式得

$$\begin{aligned} a_{m_j} &\leq \left[ \frac{1}{H_{m_j, n_0}} \sum_{n=n_0}^{m_j-1} H_{m_j, n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}} \right]^{\frac{\alpha}{\alpha+1}} \left[ \frac{1}{H_{m_j, n_0}} \sum_{n=n_0}^{m_j-1} \left( \frac{\sigma_{m_j, n}}{\eta_{m_j, n}} \right)^{\alpha+1} \right]^{\frac{1}{\alpha+1}} \\ &= b_{m_j}^{\frac{\alpha}{\alpha+1}} \left[ \frac{1}{H_{m_j, n_0}} \sum_{n=n_0}^{m_j-1} \left( \frac{\sigma_{m_j, n}}{\eta_{m_j, n}} \right)^{\alpha+1} \right]^{\frac{1}{\alpha+1}}. \end{aligned}$$

于是

$$\frac{a_{m_j}^{\alpha+1}}{b_{m_j}^\alpha} \leq \frac{1}{H_{m_j, n_0}} \sum_{n=n_0}^{m_j-1} \left( \frac{\sigma_{m_j, n}}{\eta_{m_j, n}} \right)^{\alpha+1}. \quad (2.38)$$

根据 (2.37) 知 (2.38) 的左端是无界的, 这与 (2.32) 矛盾. 所以 (2.36) 成立. 因此, 由 (2.34) 得

$$\begin{aligned} &\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n \left( \varphi_{n+1} - \frac{\alpha^\alpha}{(\alpha+1)^{\alpha+1}} \psi_{n+1} \right)_+^{\frac{\alpha+1}{\alpha}} \\ &\leq \liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} T_n w_{n+1}^{\frac{\alpha+1}{\alpha}} < \infty, \end{aligned}$$

这与 (2.33) 矛盾.

**定理 2.6** 设  $\alpha \geq 1$ ,  $0 < \beta < 1$  且  $\Delta r_n \geq 0$ . 如果存在两个正序列  $\{\varphi_n\}_{n=0}^\infty$  和  $\{\psi_n\}_{n=0}^\infty$  使得对  $\forall k$  有

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} Q_n \geq \varphi_k$$

和

$$\limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} \frac{1}{P_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \leq \psi_k,$$

这里  $\{\varphi_n\}$  和  $\{\psi_n\}$  满足

$$\liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} P_n \left( \varphi_{n+1} - \frac{1}{4} \psi_{n+1} \right)_+^2 = \infty,$$

那么方程 (1.1) 是振动的.

证 该定理的证明过程与定理 2.4 的类似, 这里省略.

**注 2.2** 与定理 2.1 类似, 通过选取不同的  $H_{m,n} \in \mathcal{H}$ , 从定理 2.2 到定理 2.6, 我们可以得到一系列有关方程 (1.1) 振动的推论. 例如, 取

$$H_{m,n} = \left( \ln \frac{m+1}{n+1} \right)^\lambda, \quad \lambda \geq 1, \quad m \geq n \geq 0$$

或者

$$H_{m,n} = (m-n)^{(\lambda)}, \quad \lambda > 2, \quad m \geq n > 0,$$

这里  $(m-n)^{(\lambda)} = (m-n) \times (m-n+1) \times \cdots \times (m-n+\lambda-1)$ .

**注 2.3** 当  $\alpha = \beta$  时, 我们的结论拓展了 [9] 当  $\alpha \geq 1$  时的结果.

**注 2.4** 当  $\alpha = \beta = 1$  且  $\phi(x) = x$  时, 方程 (1.1) 化简成方程 (2.1). 因此, 我们的结论改进了 [7] 中的结果.

### 3 应用举例

在该部分, 给出三个例子来阐述我们的主要结果. 这些例子都是全新的.

**例 3.1** 考虑差分方程

$$\Delta \left[ \frac{1}{(n+2)^2} |\Delta z_n| \Delta z_n \right] + 2(n^2+n) |x_{n-1}| x_{n-1} = 0, \quad (3.1)$$

这里  $z_n = x_n + (1 - 1/(n+2)) x_{n-\tau}$ ,  $n \geq 0$ ,  $\tau \geq 0$ ,  $r_n = 1/(n+2)^2$ ,  $p_n = 1 - 1/(n+2)$ ,  $q_n = 2(n^2+n)$ ,  $\alpha = 2$ ,  $\sigma = 1$ .

根据推论 2.1, 取  $\rho_n = n+1$ ,  $\beta = 2$ ,  $\phi(x) = x$  和  $k = \varepsilon = 1$ , 对  $\forall \tau \in Z^+$ ,  $M > 0$  有

$$\begin{aligned} & \limsup_{m \rightarrow \infty} \left[ \sum_{n=0}^{m-2} \left[ Q_n - \frac{1}{4R_n} \left( \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \right] + Q_{m-1} - \frac{1}{4R_{m-1}} \left( 1 - \frac{\Delta \rho_{m-1}}{\rho_m} \right)^2 \right] \\ &= \limsup_{m \rightarrow \infty} \left[ \sum_{n=0}^{m-2} \left( 2n - \frac{1}{2(n+1)^3} \right) + 2(m-1) - \frac{1}{2m} \right] \\ &\geq \limsup_{m \rightarrow \infty} \left[ \sum_{n=0}^{m-2} (2n-1) + 2(m-1) - 1 \right] \\ &= \limsup_{m \rightarrow \infty} (m^2 - 2m) = \infty. \end{aligned}$$

由推论 2.1 知, 方程 (3.1) 是振动的.

**例 3.2** 考虑差分方程

$$\Delta \left[ \frac{1}{(n+2)^2} |\Delta z_n| \Delta z_n \right] + \sqrt{n+1} \sqrt{|x_{n-1}|} \operatorname{sgn}(x_{n-1}) = 0, \quad (3.2)$$

这里  $z_n = x_n + 1/(n+2) x_{n-\tau}$ ,  $n \geq 0$ ,  $\tau \geq 0$ ,  $r_n = 1/(n+2)^2$ ,  $p_n = 1/(n+2)$ ,  $q_n = \sqrt{n+1}$ ,  $\alpha = 2$ ,  $\sigma = 1$ .

根据定理 2.3, 取  $\phi(x) = x$ ,  $k = 1$ ,  $\varepsilon = 1$ ,  $\rho_n = \sqrt{n+1}$ ,  $M = 1$ ,  $a = 4$ ,  $\beta = 1/2$ ,  $H_{m,n} = \operatorname{sgn}(m-n)$ , 则有

$$\begin{aligned} & \limsup_{m \rightarrow \infty} \left[ \sum_{n=0}^{m-2} \left[ Q_n - \frac{1}{4P_n} \left( \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \right] + Q_{m-1} - \frac{1}{4P_{m-1}} \left( 1 - \frac{\Delta \rho_{m-1}}{\rho_m} \right)^2 \right] \\ &= \limsup_{m \rightarrow \infty} \left[ \sum_{n=0}^{m-2} \left( \sqrt{n(n+1)} + 2\sqrt{\frac{n+2}{n+1}} - \frac{n+2}{n+1} - 1 \right) + \sqrt{m(m-1)} - 1 \right] \\ &\geq \limsup_{m \rightarrow \infty} \left[ \sum_{n=0}^{m-2} (n-1) + (m-1) - 1 \right] \\ &= \limsup_{m \rightarrow \infty} \left( \frac{1}{2}m^2 - \frac{3}{2}m \right) = \infty. \end{aligned}$$

由定理 2.3 知方程 (3.2) 是振动的.

### 例 3.3 考虑差分方程

$$\Delta \left[ \frac{1}{n+3} |\Delta z_n| \Delta z_n \right] + (n+2)(x_{n-1}^5 + 2x_{n-1}^3 + x_{n-1}) = 0, \quad (3.3)$$

这里  $z_n = x_n + (1 - 1/(n+3))x_{n-\tau}$ ,  $n \geq 0$ ,  $\tau \geq 0$ ,  $r_n = 1/(n+3)$ ,  $p_n = 1 - 1/(n+3)$ ,  $q_n = n+2$ ,  $\alpha = 2$ ,  $\sigma = 1$  和  $f(x) = x^5 + 2x^3 + x$ .

根据定理 2.4, 取  $\phi(x) = x^3 + x$ ,  $k = \varepsilon = 1$ ,  $\rho_n = 1$ ,  $M = 16$ ,  $\beta = 1$ ,  $H_{m,n} = (m-n)^2$  有

$$\begin{aligned} & \limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} Q_n = \limsup_{m \rightarrow \infty} \left[ \frac{1}{(m-k)^2} \sum_{n=k}^{m-1} (m-n)^2 \right] \\ &= \limsup_{m \rightarrow \infty} \left[ \frac{m-k}{6} \left( 1 + \frac{1}{m-k} \right) \left( 2 + \frac{1}{m-k} \right) \right] \\ &\geq \limsup_{m \rightarrow \infty} \frac{m-k}{3} > 2\sqrt{k+1} := \varphi_k \end{aligned}$$

和

$$\begin{aligned} & \limsup_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} \frac{1}{R_n} \left( h_{m,n} - \sqrt{H_{m,n}} \frac{\Delta \rho_n}{\rho_{n+1}} \right)^2 \\ &= \limsup_{m \rightarrow \infty} \frac{1}{(m-k)^2} \sum_{n=k}^{m-1} \left[ \frac{1}{4} \frac{1}{\sqrt{n+2}} \left( 2 - \frac{1}{m-n} \right)^2 \right] \\ &\leq \limsup_{m \rightarrow \infty} \frac{1}{(m-k)^2} \sum_{n=k}^{m-1} \frac{1}{\sqrt{n+2}} \\ &\leq \limsup_{m \rightarrow \infty} \frac{m-k}{(m-k)^2} < 4\sqrt{k+1} := \psi_k. \end{aligned}$$

于是,

$$\begin{aligned}
& \liminf_{m \rightarrow \infty} \frac{1}{H_{m,k}} \sum_{n=k}^{m-1} H_{m,n} R_n \left( \varphi_{n+1} - \frac{1}{4} \psi_{n+1} \right)_+^2 \\
&= \liminf_{m \rightarrow \infty} \frac{4}{(m-k)^2} \sum_{n=k}^{m-1} \left[ (m-n)^2 (n+2)^{\frac{3}{2}} \right] \\
&\geq \liminf_{m \rightarrow \infty} \frac{4}{(m-k)^2} \sum_{n=k}^{m-1} (m-n)^2 \\
&= \liminf_{m \rightarrow \infty} \left[ \frac{2}{3} (m-k) \left( 1 + \frac{1}{m-k} \right) \left( 2 + \frac{1}{m-k} \right) \right] \\
&\geq \liminf_{m \rightarrow \infty} \frac{4}{3} (m-k) = \infty.
\end{aligned}$$

由定理 2.4 知方程 (3.3) 是振动的.

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## Oscillation Criteria for Second-order Quasilinear Neutral Delay Difference Equation

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**Abstract** By using Riccati transformation, averaging technique and a lot of inequality techniques, some sufficient conditions are obtained for oscillation of the second-order quasilinear neutral delay difference equations

$$\Delta[r_n|\Delta z_n|^{\alpha-1}\Delta z_n] + q_n f(x_{n-\sigma}) = 0,$$

where  $z_n = x_n + p_n x_{n-\tau}$ , under the conditions  $\alpha \geq \beta \geq 1$  or  $\alpha \geq 1$ ,  $0 < \beta < 1$ , where  $\beta$  is a constant in condition A(4) of this paper, and give some examples to explain.

**Key words** oscillation; second order; difference equation

**MR(2000) Subject Classification** 62G05; 62N01

**Chinese Library Classification** O175