Standing waves with critical frequency for nonlinear Schrödinger equations

!!!!!!! Happy Paul's 70th Birthday !!!!!!

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We consider the following equation

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(1)
$$\varepsilon^2 \Delta u - V(x)u + f(u) = 0, \quad u > 0$$

with $\lim_{|x|\to\infty} u(x) = 0$ and sufficiently small $\varepsilon > 0$.

 \mathbf{R}^n

on

$$V \in C(\mathbf{R}^n), \quad f \in C(\mathbf{R}), \quad V \ge 0$$

Nonlinear Schrödinger equation

$$i\hbar \frac{\partial \psi}{\partial t} + \frac{\hbar^2}{2} \Delta \psi - V(x)\psi + f(\psi) = 0, \ (t, x) \in \mathbf{R} \times \mathbf{R}^n$$

Standing wave

$$\psi(x,t) = \exp(-\frac{iEt}{\hbar})u(x), u(x) \in \mathbb{R}$$

Corresponding equation for u when $f(e^{i\theta}t) = e^{i\theta}f(t)$

$$\frac{\hbar^2}{2}\Delta u - (V(x) - E)u + f(u) = 0 \quad \text{in} \quad \mathbf{R}^n$$

Semiclassical states

$$\varepsilon^2 \Delta u - (V(x) - E)u + f(u) = 0$$
 in \mathbf{R}^n

$$\varepsilon^2 \equiv \hbar^2/2 \to 0$$

Normalization $u(x) \to u(\varepsilon x)$

$$\Downarrow$$

$$\Delta u - (V(\varepsilon x) - E)u + f(u) = 0, u > 0 \text{ on } \mathbf{R}^n \text{ with } \lim_{|x| \to \infty} u(x) = 0$$

For each d > 0,

$$V(\varepsilon x) - E \rightarrow V(x_0) - E$$

uniformly on $B(\frac{x_0}{\varepsilon}, d)$ as $\varepsilon \to 0$.

If u_{ε} is a solution satisfying $\frac{1}{C} \leq ||u_{\varepsilon}||_{C^{1,\alpha}(B(\frac{x_0}{\varepsilon},d))} \leq C$ for each d > 0,

$$u_{\varepsilon}(\cdot + x_0/\varepsilon) \to u \text{ in } C^1_{loc} \text{ and }$$

$$\Delta u - (V(x_0) - E)u + f(u) = 0, u > 0$$

Almost optimal existence result by Berestycki-Lions.

Some criticality of V + some conditions on f

(stronger than or equal to Berestycki-Lions conditions on f)

Existence of solution concentrating around critical points of V.

Liapunov-Schmidt reduction method

Pioneering work and development by

Floer-Weinstein, Yonggeun Oh, Ambrosetti, Vieri Benci, B., Yanyan Li, Zhaoli Liu, Juncheng Wei, Oshita,

Variational approach

Pioneering work and development by

Paul H. Rabinowitz, Patricio Felmer, del Pino, Gui, B. Zhi-Qiang Wang, Kazunaga Tanaka, Jeanjean, Oshita, ...

Typical nonlinearity $f(u) = u^p$, 1

No solutions for small $\varepsilon > 0$ if $\inf_{x \in \mathbf{R}^n} V(x) - E < 0$ No solutions for limiting problem if $V(x_0) - E = 0$ [Gidas-Spruck]

A unique(up to a translation) solution U of limiting problem for $V(x_0) - E > 0$

If $V(x_0) - E = 0$, no solution u_{ε} for small $\varepsilon > 0$ such that $\frac{1}{C} \leq ||u_{\varepsilon}||_{C^{1,\alpha}(B(\frac{x_0}{\varepsilon},d))} \leq C$

(We change $V(x) - E \to V$ for simplicity)

If $V(x) \ge V(x_0) = 0$, the functional

$$\Gamma_{\varepsilon}(u) = \frac{1}{2} \int_{\mathbf{R}^n} |\nabla u|^2 + V(\varepsilon x) u^2 dx - \frac{1}{p+1} \int_{\mathbf{R}^n} u^{p+1} dx$$

has a (local) mountain pass structure.

Indeed, for each isolated zero set $A \subset \mathbf{R}^n$ and $\delta > 0$, there exists a local mountain pass solution u_{ε} such that $\lim_{\varepsilon \to \infty} \|u_{\varepsilon}\|_{L^{\infty}} = 0$, $\lim\inf_{\varepsilon \to \infty} \varepsilon^{-\frac{2}{p+1}} \|u_{\varepsilon}\|_{L^{\infty}} > 0$ and $u_{\varepsilon}(x) \leq C \exp(-\frac{c}{\varepsilon} \operatorname{dist}(\varepsilon x, A^{\delta}))$, where $A^{\delta} = \{x \mid \operatorname{dist}(x, A) \leq \delta\}$.

? Asymptotic profile of u_{ε} as $\varepsilon \to 0$

$$\Delta u_{\varepsilon} - V(\varepsilon x)u_{\varepsilon} + (u_{\varepsilon})^p = 0$$

Direct limit

$$\Delta U + U^p = 0, \quad U > 0 \text{ in } \mathbf{R}^n$$

No solutions

$\Delta u_{\varepsilon} - V(\varepsilon x)u_{\varepsilon} + (u_{\varepsilon})^p = 0$

$$\Delta u_{\varepsilon} - V(\varepsilon x)u_{\varepsilon} + (u_{\varepsilon})^{P} =$$

 $\Delta w_{\varepsilon} - V(a(\varepsilon)x)(\frac{a(\varepsilon)}{\varepsilon})^2 w_{\varepsilon} + (w_{\varepsilon})^p = 0$

$$\Delta u_{\varepsilon} - v (\varepsilon x) u_{\varepsilon} + (u_{\varepsilon}) -$$

$$\psi$$
 lization, $w_{arepsilon}(x) \equiv (rac{a(arepsilon)}{arepsilon})^{2/(p-1)} u_{arepsilon}$

(renormalization,
$$w_{\varepsilon}(x) \equiv (\frac{a(\varepsilon)}{\varepsilon})^{2/(p-1)} u_{\varepsilon}(\frac{a(\varepsilon)}{\varepsilon}x)$$
)
 \downarrow

Take an appropriate $a(\varepsilon)$ so that $V(a(\varepsilon)x)(\frac{a(\varepsilon)}{\varepsilon})^2 \to V_0$ as $\varepsilon \to 0$ and there exists a solution of

$$\Delta U - V_0 U + U^p = 0$$

Expamples

Flat cases

$$V = 0 \text{ on } \overline{\Omega} \Rightarrow a(\varepsilon) = 1$$

 $\Delta U + U^p = 0 \text{ in } \Omega, U = 0 \text{ on } \partial \Omega$

Infinite cases

$$V(x) \approx \exp(-|x|^{-\alpha}) \text{ near } 0 \Rightarrow a(\varepsilon) = (\log \varepsilon^{-2})^{-1/\alpha}$$

$$\Delta U + U^p = 0 \text{ in } B(0,1), \quad U = 0 \text{ on } \partial B(0,1)$$

Finite cases

$$V(x) \approx |x|^{\alpha} \text{ near } 0 \Rightarrow a(\varepsilon) = \varepsilon^{2/(\alpha+2)}$$

$$\Delta U - |x|^{\alpha} U + U^{p} = 0 \text{ in } \mathbf{R}^{n}$$

Very slow cases

$$V(x) \approx (-\log|x|)^{-1} \text{ near } 0 \Rightarrow a(\varepsilon) = g^{-1}(\varepsilon^2), g(x) = -x^2/\log x$$

$$\Delta U - U + U^p = 0 \text{ in } \mathbf{R}^n$$

A rich variety of solutions following from a rich variety of decaying behavior of V near zeros

Algebraically small solutions whose interaction is exponentially small



It should be possible to glue together the localized solutions

[B. and Oshita, 2004] Yes for general critical points if $f(t) = t^p \in C^4(\mathbb{R})$ and there exist *limiting problems* whose solutions are nondegenerate

(Lyapunov-Schmidt reduction method)

[Ding and Tanaka, 2003], [Cao and Noussair, 2004]

flat cased - variational method

[Cao and Peng,2006] exponentially (same order) decaying case - variational method

[Cao, Noussair and Yan, 2008]

different scales without the restriction $f(t) = t^p \in C^4(\mathbb{R})$

- Lyapunov-Schmidt reduction method

[Sato, 2007] different scales (no requiring the existence of limiting profile) for local minimum points of V and $f(t) = t^p$ - minimization on infinite dimensional torus

Aim

Develop a variational method and a hybrid method combining the variational method and reduction method to glue together localized solutions with different energy scales in a possibly general setting

- (V1) $V \in C(\mathbb{R}^n)$; $\liminf_{|x| \to \infty} V(x) > 0 = \min_{x \in \mathbb{R}^n} V(x)$;
- **(V2-1)** there exist disjoint bounded open sets Ω_i with smooth boundary $\partial \Omega_i$, $i = 1, \dots, l$, satisfying $0 = \inf_{x \in \Omega_i} V(x) < \min_{x \in \partial \Omega_i} V(x)$;
- (f1) $f \in C^1(\mathbb{R})$, f(t) = 0 for $t \leq 0$ and there exist some $\mu > 1$ and C > 0 satisfying $|f(t)| \leq Ct^{\mu}$ for $t \in (0,1)$;
- (f2-1) there exists some $p \in (1, \frac{n+2}{n-2})$ for $n \geq 3$ and $p \in (1, \infty)$ for n = 1, 2 such that $\liminf_{t \to 0+} \frac{1}{t^{p+1}} \int_0^t f(s) \, ds > 0$;
- (f3) there exists $\mu_2 > 1$ such that $(\mu_2 + 1) \int_0^t f(s) ds \le f(t) t$ for t > 0.

[B. and Wang, 2003] Suppose that (V1),(V2-1),(f1), (f2-1), (f3) hold. Then, for sufficiently small $\varepsilon > 0$, there exists a positive solution u_{ε}^{i} , $i = 1, \dots, l$ such that for any sufficiently small d > 0, there exist C, c > 0 satisfying

$$u_{\varepsilon}^{i}(x) \leq C \exp(-\frac{c}{\varepsilon} \operatorname{dist}(x, (A_{i})^{d}))$$

(Here
$$B^d \equiv \{x \in \mathbf{R}^n | \operatorname{dist}(x, B) \le d\}$$
) satisfying

$$\lim_{\varepsilon \to 0} \|u_{\varepsilon}\|_{L^{\infty}(\Omega_{i})} = 0, \qquad \lim_{\varepsilon \to 0} \varepsilon^{-2/(\mu - 1)} \|u_{\varepsilon}\|_{L^{\infty}(\Omega_{i})} > 0.$$

[A related work of Sirakov]

[B. and Oshita] Suppose that (V1),(V2-1),(f1), (f2-1), (f3) hold. Then, for sufficiently small $\varepsilon > 0$, there exists a positive solution u_{ε} such that

(i) for any sufficiently small d > 0, there exist C, c > 0 satisfying

$$u_{\varepsilon}(x) \leq C \exp(-\frac{c}{\varepsilon} \operatorname{dist}(x, (A_1 \cup \cdots \cup A_l)^d));$$

(Here
$$A^d \equiv \{x \in \mathbf{R}^n | \operatorname{dist}(x, A) \le d\}$$
)

(ii) for $i = 1, \dots, l$, it holds that

$$\lim_{\varepsilon \to 0} \|u_{\varepsilon}\|_{L^{\infty}(\Omega_{i})} = 0, \qquad \lim_{\varepsilon \to 0} \varepsilon^{-2/(\mu - 1)} \|u_{\varepsilon}\|_{L^{\infty}(\Omega_{i})} > 0.$$

(V2-2) there exist disjoint bounded open sets Ω_i with smooth boundary $\partial \Omega_i$, $i = 1, \dots, k$, satisfying

$$m_i = \inf_{x \in \Omega_i} V(x) < \min_{x \in \partial \Omega_i} V(x)$$

and

$$0 = m_1 = \cdots = m_l < m_{l+1}, \cdots, m_k;$$

(f2-2) there exists some $p \in (1, \frac{n+2}{n-2})$ for $n \geq 3$ and $p \in (1, \infty)$ for n = 1, 2 such that $\limsup_{t \to \infty} \frac{|f(t)| + |f'(t)t|}{t^p} < \infty$.

[B. and Oshita] Suppose that (V1) and (V2-2) hold, and that (f1), (f2-1), (f2-2), (f3-1) hold. Then, for sufficiently small $\varepsilon > 0$, there exists a positive solution u_{ε} such that

(i) for any sufficiently small d > 0, there exist C, c > 0 satisfying

$$u_{\varepsilon}(x) \leq C \exp(-c \operatorname{dist}(x, (A_1 \cup \cdots \cup A_k)^d)/\varepsilon);$$

(ii) for $i = 1, \dots, l$, it holds that

$$\lim_{\varepsilon \to 0} \|u_{\varepsilon}\|_{L^{\infty}(\Omega_{i})} = 0, \qquad \lim_{\varepsilon \to 0} \varepsilon^{-2/(\mu - 1)} \|u_{\varepsilon}\|_{L^{\infty}(\Omega_{i})} > 0;$$

and for $i = l + 1, \dots, k$, it holds that for a least energy solution U of $\Delta U - m_i U + f(U) = 0$ and some $x_{\varepsilon}^i \in \Omega_i$ with $\lim_{\varepsilon \to 0} x_{\varepsilon}^i = x_i$, a transformed solution $u_{\varepsilon}(\varepsilon x + x_{\varepsilon}^i)$ converges (up to a subsequence) uniformly to U(x) on each bounded set in \mathbb{R}^n .

- (V3) there are $x_1, \dots, x_m \in \mathbb{R}^n$ and disjoint bounded open sets $\Omega_{k+1}, \dots, \Omega_{k+m}$ with smooth boundary $\partial \Omega_{k+i}$ such that $x_i \in \Omega_{k+i}, V \in C^2(\Omega_{k+i}), \nabla V(x) \neq 0$ for $x \in$ $\Omega_{k+i}\setminus\{x_i\}$, $\inf_{x\in\Omega_{k+i}}V(x)>0$ and x_i is a non-degenerate critical point of V for $j \in \{1, \dots, m\}$;
- (f4) for any $a \in \{V(x_1), \dots, V(x_m)\}$, the problem

$$\Delta u - au + f(u) = 0, \quad u > 0 \quad \text{in } \mathbb{R}^n, \quad u \in H^{1,2}(\mathbb{R}^n)$$

has a radially symmetric solution U_a which is non-degenerate in $H_{r}^{1,2}(\mathbb{R}^n) \equiv \{w \in H^{1,2}(\mathbb{R}^n); w(x) = w(|x|)\},$ and

 $f \in C^{1,\gamma}_{loc}(\mathbb{R})$ for some $\gamma \in (0,1)$.

[B. and Oshita] We assume that (V1), (V2-2) and (V3) hold. Suppose that (f1), (f2-1),(f2-2), (f3) and (f4) hold. Then for sufficiently small $\varepsilon > 0$, there exists a positive solution u_{ε} such that

(i) for any sufficiently small d > 0, there exist C, c > 0 satisfying

$$u_{\varepsilon}(x) \leq C \exp(-\frac{c}{\varepsilon} \operatorname{dist}(x, (A_1 \cup \cdots \cup A_k \cup \{x_1, \cdots x_m\})^d));$$

(ii) the same behavior around zero A_i , $i = 1, \dots, k$;

(iii) for each $i = 1, \dots, m$, there exist $y_{\varepsilon}^i \in \mathbb{R}^n$ such that $\lim_{\varepsilon \to 0} y_{\varepsilon}^i = x_i$ and that $u_{\varepsilon}(\varepsilon x + y_{\varepsilon}^i)$ converges uniformly (up to a subsequence) to $U_{V(x_i)}$ on each bounded set in \mathbb{R}^n . Here $U_{V(x_i)}$ is a function given in (f4).

Setting and preliminaries

 $\mathcal{Z} := \{x \in \mathbf{R}^n \mid V(x) = 0\}, A_0 := \mathcal{Z} \setminus \bigcup_{i=1}^k A_i \text{ and } \Omega_0 \supset A_0$ a bounded open set with a smooth boundary such that for $\delta > 0$ and $\Omega_i^{\delta} = \{x \in \mathbf{R}^n \mid \operatorname{dist}(x, \Omega_i) \leq \delta\}, \ \Omega_i^{2\delta} \cap \Omega_j^{2\delta} = \emptyset$ for each $0 \leq i \neq j \leq k+m$, and that $\partial \Omega_i^{\delta'}$ is smooth for each $0 \leq i \leq k+m$ and $\delta' \in [0, 2\delta]$.

For $\lambda \ll 1$, we define g(x,t) so that $|g(x,t) - g(x,t')| \leq \lambda |t - t'|$ for $x \notin \bigcup_{i=0}^{k+m} \Omega_{i,\varepsilon}$, g(x,t) = f(t) for small t > 0 and some more properties.

$$G(x,t) \equiv \int_0^t g(x,s) ds$$
, $||u||_{\varepsilon}^2 \equiv \int_{\mathbb{R}^n} |\nabla u|^2 + V_{\varepsilon}(x)u^2 dx$.

For
$$u \in H_{\varepsilon} \equiv \overline{(C_0^{\infty}, \|\cdot\|_{\varepsilon})}$$
,

$$\Gamma_{\varepsilon}(u) \equiv \frac{1}{2} \|u\|_{\varepsilon}^2 - \int_{\mathbb{R}^n} G(x, u) \, dx, \quad \Gamma_{\varepsilon} \in C^2(H_{\varepsilon})$$

For $u_i \in H^1(\Omega_{i,\varepsilon})$ (here $\Omega_{i,\varepsilon} = \frac{1}{2}\Omega_i$), let

or
$$u_i \in H$$
 ($\mathfrak{U}_{i,\varepsilon}$) (here $\mathfrak{U}_{i,\varepsilon} = \frac{\varepsilon}{\varepsilon}\mathfrak{U}_i$), let $X_i^{\varepsilon}(u_i) = \{u \in H^1_0(\Omega_{i,\varepsilon}^{\delta}) \mid u = u_i \text{ on } \Omega_{i,\varepsilon}\}.$

Proposition 0.1. For each $u_i \in H^1(\Omega_{i,\varepsilon}), i \in \{0, \dots, k + 1\}$ m}, there exists a unique minimizer $P_i(u_i)$ of Γ_{ε} on $X_i^{\varepsilon}(u_i)$, which satisfies the following:

(i)
$$w = P_i(u_i) \in H_0^1(\Omega_{i,\varepsilon}^{\delta})$$
 solves

$$\begin{cases} \Delta w - V_{\varepsilon}w + f_{\lambda}(w) = 0 & in \ \Omega_{i,\varepsilon}^{\delta} \setminus \Omega_{i,\varepsilon} \\ w = u_{i} & on \ \partial \Omega_{i,\varepsilon} \\ w = 0 & on \ \partial \Omega_{i,\varepsilon}^{\delta}, \end{cases}$$

(ii)
$$P_i: H^1(\Omega_{i,\varepsilon}) \to H^1_0(\Omega_{i,\varepsilon}^{\delta})$$
 is of class C^1 ,

(iii) there exists a positive constant C, independent of small $\varepsilon > 0$ such that

$$||P_i(u_i)||_{\varepsilon} \leq C||u_i||_{\varepsilon,\Omega_{i,\varepsilon}} \text{ for all } u_i \in H^1(\Omega_{i,\varepsilon}), i \in \{0,\cdots,k+m\}.$$

For $\vec{u} = (u_0, \dots, u_{k+m}), u_i \in H^1(\Omega_{i,\epsilon})$, let

$$X_*^{\varepsilon}(\vec{u}) = \{ u \in H_{\varepsilon} \mid u = u_i \text{ on } \Omega_{i,\varepsilon}, i = 0, \cdots, k+m \}.$$

Proposition 0.2. For each $\vec{u} = (u_0, \dots, u_{k+m}) \in H^1(\Omega_{0,\varepsilon}) \times \dots \times H^1(\Omega_{k+m,\varepsilon})$, there exists a unique minimizer $\varphi(\vec{u})$ of Γ_{ε} on $X_*^{\varepsilon}(\vec{u})$, which satisfies the following:

(i)
$$w = \varphi(\vec{u})$$
 solves

$$\begin{cases} \Delta w - V_{\varepsilon}w + f_{\lambda}(w) = 0 & in \ (\Omega_{0,\varepsilon} \cup \cdots \cup \Omega_{k,\varepsilon})^{c} \\ w = u_{i} & on \ \partial \Omega_{i,\varepsilon} \ (i = 0, \cdots, k), \end{cases}$$

(ii)
$$\varphi: H^1(\Omega_{0,\varepsilon}) \times \cdots \times H^1(\Omega_{k,\varepsilon}) \to H_{\varepsilon} \text{ is of class } C^1,$$

(iii) there exists a positive constant C, independent of small $\varepsilon > 0$ such that

$$\|\varphi(\vec{u})\|_{\varepsilon} \le C \|\vec{u}\|_{\varepsilon}.$$

Let $\tilde{\varphi}(\vec{u}) = \varphi(\vec{u}) - \sum_{i=0}^{k+m} P_i(u_i)$. Then it follows that $\tilde{\varphi}(\vec{u}) \in X_*^{\varepsilon}(\vec{0})$. Now we obtain the following estimates for $\tilde{\varphi}(\vec{u})$.

Proposition 0.3. For any R > 0 and $\varepsilon_0 > 0$, there exist constants C, c > 0 such that

$$\|\tilde{\varphi}(\vec{u})\|_{\varepsilon} \leq Ce^{-c/\varepsilon}$$

for $\varepsilon \in (0, \varepsilon_0)$ and $\|\vec{u}\|_{\varepsilon} \leq R$.

Let $I_{\varepsilon}(\vec{u}) = \Gamma_{\varepsilon}(\varphi(\vec{u}))$. Then from Proposition 0.3, we conclude that for any $R, \varepsilon_0 > 0$, there exist constants C, c > 0 such that

$$\left|I_{\varepsilon}(\vec{u}) - \sum_{i=0}^{n+m} \Gamma_{\varepsilon}(P_i(u_i))\right| \leq Ce^{-c/\varepsilon}$$

for $\|\vec{u}\|_{\varepsilon} \leq R$ and $\varepsilon \in (0, \varepsilon_0)$.

Proposition 0.4. The following hold.

- (i) A vector function $\vec{u} = (u_0, \dots, u_{k+m}) \in H^1(\Omega_{0,\varepsilon}) \times \dots \times$ $H^1(\Omega_{k+m,\varepsilon})$ is a critical point of I_{ε} if and only if $\varphi(\vec{u})$ is a critical point of Γ_{ϵ} .
- (ii) The functional $\vec{u} \mapsto I_{\varepsilon}(\vec{u})$ satisfies (PS) condition if Γ_{ε} does.
- (iii) For any R > 0, $i = 0, \dots, k+m$ and $\varepsilon_0 > 0$, there exist constants C, c > 0 such that

$$\left| \frac{\partial I_{\varepsilon}}{\partial u_{i}}(u_{0}, \cdots, u_{k+m}) - \frac{d\Gamma_{\varepsilon}(P_{i}(u_{i}))}{du_{i}} \right| \leq Ce^{-c/\varepsilon} \text{ for } \varepsilon \in (0, \varepsilon_{0})$$
and $\|\vec{u}\|_{\varepsilon} < R$.

We define $\Gamma_{\varepsilon}^{j}(u) = \Gamma_{\varepsilon}(P_{j}(u))$ for $u \in H^{1}(\Omega_{j,\varepsilon})$ and $j \in \{1, \dots, k+m\}$.

Proposition 0.5. For each $j \in \{1, \dots, k+m\}$, the following hold.

- (i) A function u_j is a critical point of Γ^j_{ε} if and only if $P_j(u_j) \in H^1_0(\Omega^{\delta}_{j,\varepsilon})$ is a critical point of Γ_{ε} on $H^1_0(\Omega^{\delta}_{j,\varepsilon})$.
- (ii) The functional Γ^j_{ε} on $H^1(\Omega_{j,\varepsilon})$ satisfies (PS) condition if Γ_{ε} on $H^1_0(\Omega^{\delta}_{j,\varepsilon})$ does.

Let $\alpha = 2\frac{\mu+1}{\mu-1}$. By Proposition 0.1 (iii), we can choose a constant M > 1, independent of small $\varepsilon > 0$, such that

$$||u_i||_{\varepsilon,\Omega_{i,\varepsilon}} \le ||P_i(u_i)||_{\varepsilon} \le M||u_i||_{\varepsilon,\Omega_{i,\varepsilon}} \text{ for all } u_i \in H^1(\Omega_{i,\varepsilon})$$

and $i \in \{0,\dots,k+m\}$.

Proposition 0.6. Let $i \in \{0, \dots, l\}$. For sufficiently small $\varepsilon > 0$, it holds that $\Gamma_{\varepsilon}(u) \geq 6M^2 \varepsilon^{2\alpha}$ for all $u \in H_0^1(\Omega_{i,\varepsilon}^{\delta})$ with $||u||_{\varepsilon} = 4M\varepsilon^{\alpha}$.

Proposition 0.7. Let $i \in \{0, \dots, l\}$. For sufficiently small $\varepsilon > 0$, it holds that $|\Gamma_{\varepsilon}(u)| \leq 5M^2\varepsilon^{2\alpha}$ for all $u \in H_0^1(\Omega_{i,\varepsilon}^{\delta})$ with $||u||_{\varepsilon} \leq 3M\varepsilon^{\alpha}$, and $\Gamma_{\varepsilon}(u) \geq 0$ for all $u \in H_0^1(\Omega_{i,\varepsilon}^{\delta})$ with $||u||_{\varepsilon} \leq 5M\varepsilon^{\alpha}$.

Note that we take $\alpha = 2\frac{\mu+1}{\mu-1}$.

Proposition 0.8. For sufficiently small $\varepsilon > 0$,

$$||I_{\varepsilon}'(\vec{u})|| \ge \frac{1}{2}\varepsilon^{\alpha}$$

if $2\varepsilon^{\alpha} \leq ||P_i(u_i)||_{\varepsilon} \leq 3M\varepsilon^{\alpha}$ for some $i \in \{0, \dots, k\}$.

Proposition 0.9. Let E > 0 be a given constant. Then for sufficiently large $R_1 > 0$, there exists a constant ε_0 such that if $I_{\varepsilon}(\vec{u}) \leq E$, $\varepsilon \in (0, \varepsilon_0)$, $\frac{R_1}{4M} \leq ||P_i(u_i)||_{\varepsilon} \leq R_1$ for some $i = 1, \dots, k$, then $||I'_{\varepsilon}(\vec{u})|| > 1$.

Scheme of the Proof for $m_1 = \cdots = m_k = 0$.

Let $G_{\varepsilon}^{i} = \{ \gamma \in C([0,1], H_{0}^{1}(\Omega_{i,\varepsilon}^{\delta})) \mid \gamma(0) = 0, \gamma(1) = h_{i} \},$ $\Gamma_{\varepsilon}(h_{i}) < 0, \text{ and}$

$$c_{\varepsilon}^{i} := \inf_{\gamma \in G_{\varepsilon}^{i}} \max_{t \in [0,1]} \Gamma_{\varepsilon}(P_{i}(\gamma(t))) \ge 6M^{2} \varepsilon^{2\alpha}, i \in \{1, \cdots, k\}$$

We find a good path $\gamma_i \in C([0,1], H_0^1(\Omega_{i,\varepsilon}^{\delta}))$ so that

$$\max_{t \in [0,1]} \Gamma(\gamma_i(t)) \le c_{\varepsilon}^i + \exp(-\frac{1}{\varepsilon}).$$

Now we define $\vec{\gamma}(s) = (0, \gamma_{\varepsilon}^{1}(s_{1}), \dots, \gamma_{\varepsilon}^{k}(s_{k}))$ with $s = (s_{1}, \dots, s_{k}) \in [0, 1]^{k}$. We define

$$d_{\varepsilon} := \max_{s \in [0,1]^k} I_{\varepsilon}(\vec{\gamma}(s)).$$

Then $d_{\varepsilon} = c_{\varepsilon}^1 + \dots + c_{\varepsilon}^k + O(e^{-c/\varepsilon})$ for some c > 0.

Proposition 0.10. For sufficiently small $\varepsilon > 0$, there exists a critical point $u_{\varepsilon} \in H_{\varepsilon}$ of Γ_{ε} such that $u_{\varepsilon} \in \left(\Gamma_{\varepsilon}^{d_{\varepsilon}} \setminus \Gamma_{\varepsilon}^{d_{\varepsilon} - \varepsilon^{2\alpha}}\right)$

$$u_{\varepsilon} \in \left\{ \|P_0(u)\|_{\varepsilon} \le 5M\varepsilon^{\alpha}, \varepsilon^{\alpha} \le \|P_i(u)\|_{\varepsilon} \le 2R, i = 1, \cdots, k \right\}.$$

PROOF

If not, through by the gradient flow, we can find paths

$$\vec{\gamma_*} = (\gamma_*^0, \cdots, \gamma_*^k) \in C([0, 1]^k, \Pi_{i=0}^k H_0^1(\Omega_{i, \varepsilon}))$$

such that

$$I(\vec{\gamma_*}) \leq d_{\varepsilon} - \varepsilon^{2\alpha}/2.$$

On the other hand,

$$I(\vec{\gamma_*}) \ge \sum_{i=1}^{\kappa} \Gamma(P_i(\gamma_*^i)) + O(\exp(-\frac{c}{\varepsilon})) \ge c_{\varepsilon}^1 + \dots + c_{\varepsilon}^k + O(\exp(-\frac{c}{\varepsilon}))$$
$$= d_{\varepsilon} + O(\exp(-\frac{c}{\varepsilon}); \quad \text{contradiction}$$

Scheme of the Proof for the last gluing result

Simple case. $x_1 = 0$ and $\Omega_{k+1} = B_{\sigma}(0)$. $(\mathbf{u}, u_{k+1}) \in H^1(\Omega_{0,\varepsilon}) \times \cdots \times H^1(\Omega_{k+1,\varepsilon})$, $\mathbf{u} = (u_0, \cdots, u_k) \in H^1(\Omega_{0,\varepsilon}) \times \cdots \times H^1(\Omega_{k,\varepsilon})$. We write $\Omega_{\varepsilon} = \Omega_{k+1,\varepsilon}$, $P = P_{k+1}$. For a given \mathbf{u} , we define $Q_{\varepsilon}(u_{k+1}) = I_{\varepsilon}(\mathbf{u}, u_{k+1})$, $\psi(u_{k+1}) = \varphi(\mathbf{u}, u_{k+1})$, $K_{\varepsilon}(u_{k+1}) = \Gamma_{\varepsilon}(P(u_{k+1}))$.

The first step: we find a solution Ψ_{ε} of the localized problem $K'_{\varepsilon}(u) = 0$.

The second step: we find a solution $u_{k+1} = \Phi_{\varepsilon}(\mathbf{u})$ of $Q'_{\varepsilon}(u_{k+1}) = 0$ in an exponentially small neighborhood of the solution Ψ_{ε} to the localized problem.

The third step: to find a critical point of $I_{\varepsilon}(\mathbf{u}, \Phi_{\varepsilon}(\mathbf{u}))$, we consider the following functional

$$\overline{I}_{\varepsilon}(\mathbf{u}) \equiv I_{\varepsilon}(\mathbf{u}, \Phi_{\varepsilon}(\mathbf{u})) - K_{\varepsilon}(\Psi_{\varepsilon}).$$

The last step : we apply the variational method as before to find a critical point of $\overline{I}_{\varepsilon}$.

What happen when V vanishes at infinity

$$\varepsilon^2 \Delta u - Vu + u^p = 0, \quad u > 0, \quad \text{in } \mathbf{R}^n$$

[Ambrosetti-Felli-Malchiodi, Ambrosetti-Malchiodi-Ruiz, B.-Wang] If $\liminf_{|x|\to\infty}V(x)|x|^2>0$, we can construct standing waves with finite energy.

If V has compact support, for $p \in (1, n/(n-2)]$, there exists no positive solution for

$$\varepsilon^2 \Delta u - Vu + u^p = 0, \quad u > 0, \quad \text{in } \mathbf{R}^p$$

[Chang-Yin, Moroz-Van Schaftingen] No decay restriction on $V \ge 0$ when $p \in (n/(n-2), (n+2)/(n-2)), n \ge 3$

What is an optimal threshold of decay rate of V for existence and nonexistence

[Ambrosetti and Malchiodi, 2007, Concentration phenomena for NLS: recent results and new perspectives, Contem. Math.]

[Bae-B.] Suppose that

$$\lim_{|x| \to \infty} V(x)|x|^2 = 0$$

for $1 if <math>n \ge 3$ and p > 1 if n = 1, 2, and that $\lim_{|x| \to \infty} V(x)|x|^2 \ln |x| = 0$ for p = n/(n-2) and $n \ge 3$, then there exist no positive solutions for any small $\varepsilon > 0$.

Moreover there exists a potential V satisfying $\lim_{|x|\to\infty} V(x)|x|^2 \ln|x| > 0$ such that for some small $\varepsilon > 0$, there exists a solution of the problem

$$\varepsilon^2 \Delta u - Vu + u^{n/(n-2)} = 0, \quad u > 0 \quad \text{in } \mathbf{R}^n \setminus B(0,1).$$

A goal on the standing waves through by development of variational methods

Construct solutions under the Beresticki-Lions condition on nonlinearity and the optimal decay condition on V