Research article

Prior Knowledge of Trial Number Influences the Incidence of Plateau at VO₂max

Dan Gordon ¹⊠, Oliver Caddy ¹, Viviane Merzbach ¹, Marie Gernigon ², James Baker ¹, Adrian Scruton ¹, Don Keiller ¹ and Richard Barnes ³

¹Sport and Exercise Sciences Research Group, Anglia Ruskin University, UK; ² Laboratory for Vascular Investigations, University Hospital, Angers, France; ³Department of Physiology, Development and Neuroscience, University of Cambridge, UK

Abstract

The purpose of this study was to assess the VO₂max plateau response at VO₂max during a series of pre-determined trials. METHODS: Ten male well-trained athletes (age, 23.0 ± 3.2 ; height, 183.3 \pm 5.5 cm; mass 77.5 \pm 11.1 Kg; VO₂max 66.5 \pm 5.0 ml kg⁻¹,min⁻¹), but who were VO₂max testing naïve and with prior-knowledge of trial number completed four incremental tests to volitional exhaustion, separated by ~72-h for the determination of VO₂max and gas exchange threshold. Throughout all trials VO2max was recorded on a breath-by-breath basis using a pre-calibrated metabolic cart, using a plateau criterion of $\Delta \text{VO}_2 \leq 1.5 \text{ ml} \text{kg}^{-1} \text{min}^{-1}$ over the final 2 consecutive 30 s sampling periods. A significant difference was observed between trial-1 and trial-4 for plateau incidence (p = 0.0285) rising from 20% in trial-1 to a 70% response rate in trial-4. Furthermore a significant difference was observed for VO_{2dif} (difference between criterion value and Δ VO₂) in trial-1, 1.02 ± 1.69 ml kg⁻ ¹·min⁻¹ (p = 0.038), with non-significant differences observed for all other trials, despite a non-significant difference for VO₂max across all trials (p > 0.05). Finally, a significant difference was observed for effort perception (RPE) at volitional exhaustion between trial-1 (17.7 \pm 1.3) and trial-4 (19.0 \pm 1.4) (p = 0.0052). These data indicate that prior-knowledge of trial number can influence the manifestation of the VO₂ plateau in a group of well-trained male athletes, thereby suggesting that a form of effort control is established in order to preserve the finite anaerobic capacity.

Key words: Maximal oxygen uptake; effort control; anaerobic capacity; experience.

Introduction

The classical outcome of a maximal oxygen uptake test (VO_2max) is the manifestation of a plateau-like response in VO_2 , in spite of a continued increase in exercise intensity, the so called 'true' VO_2max . First identified and defined by Hill and Lupton (1923) VO_2max represents the uppermost boundary for aerobic metabolism and reflects the integrated response of the cardiovascular, respiratory and muscular systems to take-up and utilise oxygen. The conventional understanding for the generation of the plateau in VO_2 , towards the end of such a test, is that an imbalance ensues between the demand for oxygen at the engaged muscle, as expressed by the arterio-venous oxygen difference (a- vO_{2dif}) and the ability of the cardiorespiratory system to supply oxygenated blood to the

muscle to meet the imposed demand, which at sea-level is primarily limited by the cardiac output (\dot{Q}). As volitional exhaustion approaches, both \dot{Q} and a-vO_{2dif} exhibit a plateauing response (Calbet et al., 2007) with VO₂ as measured directly at the mouth, also levelling-out. Accordingly the plateau continues to be considered as the primary criteria in establishing VO₂max (Hill and Lupton, 1923; Shephard et al., 1968). However there is an increasing body of evidence which suggests that there are significant variances in reported plateau incidence manifest as a function of athlete ability, ergometer selection, VO₂ sampling rates and exercise protocol (Astorino, 2009; Doherty et al., 2003; Gordon et al., 2012).

A possible contributor to this variance in plateau incidence is the differential ability to recruit type II muscle fibres and hence regulate anaerobic substrate metabolism as recently proposed (Hawkins et al., 2007; Gordon et al., 2011). Thus it has been suggested that the levelling off in VO₂ is dependent on the size of the finite anaerobic capacity, with a significant negative relationship being observed between the ΔVO_2 during the final 60 s of the incremental test and the surrogate measure of anaerobic capacity, maximally accumulated oxygen deficit (MAOD) (Gordon et al., 2011). Further support for these conclusions has come from work showing that when the VO₂max trial was preceded by a bout of prior-priming exercise, in the heavy or severe exercise domains, plateau incidence increased by 50 and 35%, respectively, from a baseline response rate of 50% in the un-primed state (Gordon et al., 2012). The proposed rationale for this response being that such prior-priming spares the finite anaerobic capacity at the onset of exercise by reducing the size of the O_2 deficit.

During closed-loop exercise, such as time-trialling in cycling, it is generally accepted that the participant adopts a pacing strategy in order to optimise performance (Ansley et al., 2004; Foster et al., 2004; Hettinga et al., 2006). Pacing strategies have been attributed to maximising substrate metabolism whilst compensating for the consequences of fatigue (Noakes and St Clair Gibson, 2004; St Clair Gibson et al., 2006). Accordingly it is proposed that exercise intensity is modulated in response to afferent signals from biological and psychological systems, which relay the responses of the exercise challenge to the brain where appropriate efferent, homeostaticorientated responses are issued. The rationale for these modulations in pace is to ensure that the finite anaerobic capacity never becomes fully depleted (Foster et al., 2004; Stone et al., 2012). A primary facet of this model is that regulation of effort is the product of an algorithm whereby an individuals' conscious perception of effort (rating of perceived exertion, RPE) is continuously compared to a sub-conscious template which is, in turn derived from previous exposure to the sensations of pain and fatigue and the expectation of the exercise duration (Billaut et al., 2011; Tucker, 2011). In this connection, recent work by (Green et al., 2010) established that pacing is a product of training status with those individuals, who were more experienced and well-trained, showing a greater propensity for adopting a suitable effort-control response during a close-looped exercise than less experienced counterparts.

This situation contrasts with traditional VO₂max tests where the participant is unaware of the end-point thereby creating an open-looped condition. Open-looped exercise poses a potential conflict to the pacing model, as it has been suggested that in order to regulate pace and thereby effort, an endpoint is needed (Mauger and Sculthorpe, 2012). Given that the pacing/effort paradigm is based upon the establishment of a perceptual-based template, the contention is that such a template could be developed purely in response to the sensations of pain and fatigue established during initial experience of these conditions. Hence during a series of repeated trials there would be a regulation of force-output and substrate utilisation through anaerobic pathways in response to the previously established sub-conscious template (Stone et al., 2012). Thus the *a-priori* hypothesis was that in a group of VO₂max testing naïve participants the incidence of plateau would increase across a series of trials and be highest in the final trial due to the development of the perceptual-based template derived from the need to conserve the finite anaerobic capacity in earlier trials. Accordingly the purpose of this study was to examine if prior knowledge of a VO₂max test influences the manifestation of the plateau at VO₂max in a series of subsequent incremental tests to volitional exhaustion in a group of well-trained individuals.

Methods

Participants

Following local institutional ethical approval (Anglia Ruskin University, UK) and having provided written and informed consent a total of 10 male trained cyclists volunteered to participate in the study (age, 23.0 ± 3.2 yrs; height, 1.83 ± 0.06 m; mass 77.5 ± 11.1 Kg). If any participant indicated a contraindication to exercise such as asthma, recent infection, or hypertension, they were excluded from the study. The criterion for classification of trained was a VO₂max \geq 60 mlkg⁻¹ min⁻¹ and participation in aerobic endurance training > 3 times per week for > 3years. An additional key inclusion criterion was imposed, that the participants had not undertaken any form of VO₂max testing prior to this study. Throughout the course of the study the participants were encouraged to maintain their normal daily and training routines, but to refrain from any physical activity in the 24-h period preceding any laboratory test. All participants were instructed to report to the laboratory fully hydrated and having consumed a balanced meal at least 3-h prior to the test.

Study design

Each participant reported to the laboratory on four separate occasions to undertake an incremental test to exhaustion, with all trials being at the same time of day so as to minimise diurnal variation, with each visit separated by at least 48-h, but no longer than 96-h. Four trials were selected as they would allow for an understanding of the effect of prior-knowledge but would not have a large enough time frame between the first and last trial to be significantly affected by training and de-training responses. During each visit the participants completed an incremental test to exhaustion on an electronically controlled cycle ergometer (Lode Excalibur Sport, Groningen, Netherlands) for the determination of VO₂max and gaseous exchange threshold (GET). The geometry of the cycle ergometer was established for each participant during the first trial and then maintained for all subsequent trials. The participants were not made aware of the primary rationale for the study and were simply informed that the focus of the research was on VO₂max repeatability.

VO₂max protocol: For the determination of both GET and VO₂max the participants undertook an incremental ramp test to volitional exhaustion, from an initial workload of 100 W for 1 min followed by a ramped increase in resistance of 0.42 W s⁻¹. For all trials the participants were asked to maintain a constant cadence of 80 rpm and the test was terminated when the cadence decreased by > 5 rpm from that prescribed, or when they reached volitional exhaustion. During each trial, pulmonary gas exchange variables (VO₂, VCO₂, VE and RER) were recorded on a breath-by-breath basis using a precalibrated metabolic cart (MSX 671; Ferraris Respiratory, Middlesex, UK). Heart rate responses were also recorded, continuously, throughout the course of all trials (Polar 810s, Kemple, Finland), with the data averaged on a 15 s basis. Additionally RPE was ascertained using the 6-20 scale and was collected both pre and immediately upon completion of the exercise challenge. For trial-1 the incremental test was preceded by a self-selected warm-up which was also monitored. To ensure consistency across the remaining trials (2-4) the initial warm-up was standardised for each individual using that adopted for trial-1. Throughout all of the trials verbal encouragement was regulated in accordance with previous work (Andreacci et al., 2002). No verbal encouragement was given until 6 min of the test had elapsed and here it was applied in the form of a chosen phrase such as "you're doing well". Similarly no further encouragement was given until an RER of 1.0 was observed when a reminder to the participant to maintain their selected cadence was provided. Finally no information was provided to the participant regarding their performance for trials 1-3, however upon completion of trial 4 they were given a full debrief as to what they had achieved.

For all trials a VO₂max was confirmed according to previously established methods (Doherty et al., 2003; Lucia et al., 2006) of a Δ VO₂ over the final 2 30 s sampling periods $\leq 1.5 \text{ ml·kg}^{-1} \text{ min}^{-1}$, which was designated as a plateau response. In the absence of a plateau, a maximal effort was established and a VO₂peak confirmed according to previously established 'secondary' criteria: RER ≥ 1.15 , $\Delta RER \geq 0.4$, peak blood lactate (pBLa) ≥ 8.0 mM, a RPE >19 (Gordon et al., 2012) and a maximal heart rate (HRmax) 205.8-0.685(age) $\pm 3 \text{ bmin}^{-1}$ (Inbar et al., 1994). If neither the primary (plateau), nor secondary criteria were met, the test was deemed a non-maximal effort and discarded. Additionally GET was determined according to the excess CO₂ method (ExCO₂) (Volkov et al., 1975), where ExCO₂ reflects an exercise intensity where the production of CO₂ exceeds that witnessed under steady-state conditions and is expressed as ((VCO₂² / VO₂) - VCO₂).

Prior to all trials commencing, baseline capillary blood samples were collected for the automated analysis of haemoglobin (5 μ l) (β -haemoglobin, HemoCue, UK), haematocrit (10 μ l) and erythrocytes (10 μ l) (Dr LP20 Miniphotometer, Dr Lange, Germany). Additionally blood lactate samples were recorded both pre and immediately post exercise (10 μ l) (GM7 Micro-Stat analyser, Analox Instruments, UK). All equipment was calibrated according to the manufacturers' instructions.

Pulmonary gas exchange variables: During all of the incremental trials respiratory volumes and flow were determined with the participant breathing through a low resistance mouthpiece and turbine assembly. Expired gas concentrations (O₂, CO₂, N₂ and Ar) were analysed continuously at a rate of 60 ml^{-min⁻¹} via a fine-wire capillary line of 2 m and a bore of 0.5 mm connected to the mouthpiece assembly. Using custom metabolic cart software, respiratory volumes and gas concentrations were aligned and processed to obtain respiratory gas exchange variables (VO₂, VCO₂, VE, RER). Prior to each trial, the metabolic cart was calibrated in line with manufacturers' specifications and in accordance with previous studies. In accordance with previous studies (Astorino and White 2010; Midgley et al., 2006) the coefficient of variation within our laboratory for athletes of a similar age and training status using the same protocol as adopted for this study is 3.4%.

Statistical analysis

The plateau in VO_2 was calculated along with all of the aforementioned secondary data, means and standard deviations were derived for all variables. Using Levene's test for homogeneity of variance the data was shown to be both normally distributed and homogenous. As this was the case a repeated measures ANOVA was applied to assess the null hypothesis that athlete experience has no influence on the indices of VO2max, GET and associated sub-maximal responses. To determine the presence, or absence, of a plateau the slope in VO_2 during the final 60 s of the incremental test was determined using least squares regression. Confirmation of plateau manifestation was evaluated using a non-parametric binomial test, where $\Delta~VO_2 \ge \!\! 1.5~ml^{-1}min^{-1}$ =0 (no plateau) and <1.5 $mlkg^{-1}min^{-1} = 1$ (plateau). A binomial test was also used to assess VO_{2dif} = the difference between the derived ΔVO_2 and the prescribed ΔVO_2 criterion value for a plateau response of 1.5 mlkg⁻¹min⁻¹, where Trial 1 > Trial 4 = 1 and Trial 1 < Trial 4 = 0.

Plateau incidence was also confirmed using a repeated measures ANOVA which was applied to the regression slope of the VO₂ data to determine if there was any treatment x participant interaction. For the RPE data, a non-parametric Kruskal-Wallis ANOVA was applied. For all statistical analysis the alpha level was set at p < 0.05 and all analyses completed using SPSS version 20 (SPSS, Chicago, IL).

Results

Of the 10 participants only 2 met the primary criteria for a plateau in VO₂ at VO_{2max} ($<1.5 \text{ mlkg}^{-1}\text{min}^{-1}$) for trial-1. For trial-2 the response rate was 5 out of 10 which was also repeated for trial-3 while for trial-4 the response rate was 7 out of 10 participants. This indicates a significant difference between trials 1 and 4 (p = 0.028) and a trend towards increasing plateau attainment from trial-1 to- 4. Although not showing a significant difference, the ΔVO_2 across the final 2 30 s sampling periods demonstrated a distinct negative trend of 1.23 mlkg⁻¹min⁻¹ between trials-1 and -4. This trend is illustrated in Figure 1, where 9 out of 10 participants exhibited a VO_{2dif} in trial-4 that was less than those in trial-1. Here a binomial test revealed a significant difference (p = 0.02) in VO₂ between trials-1 and -4. Additionally when the $\Delta \text{ VO}_2$ response during the final 60 s of the test was directly compared to the plateau criteria of 1.5 mlkg⁻¹ min⁻¹ (VO_{2dif}) a significant difference was observed for trial-1, 1.02 ± 1.69 (p = 0.04), with non-significant differences revealed for trial-2, 0.17 \pm 1.11, trial-3, 0.18 \pm 1.24 and trial-4 of -0.22 \pm 0.78 mlkg ¹·min⁻¹ (p > 0.05). Non-significant differences were observed for all other trial interactions (p > 0.05).



Figure 1. ΔVO_2 over the final two 30 s sampling periods of the incremental test to exhaustion, where VO_{2dif} = the difference between the derived ΔVO_2 and the prescribed ΔVO_2 criterion value for a plateau response of 1.5 ml.kg⁻¹·min⁻¹, where TR 1-4 refer to trials 1-4.

The time orientated responses across the four trials are presented in Table 2, there were however no significant changes in any of the time-based responses across

| able 1. 1 hystological and performance derived responses across the rout trials. Data are means (±5D). | | | | | | |
|--|----------------|----------------|----------------|----------------|--|--|
| | Trial 1 | Trial 2 | Trial 3 | Trial 4 | | |
| VO ₂ max (l·min ⁻¹) | 4.98 (.55) | 4.97 (.46) | 4.94 (.47) | 5.12 (.57) | | |
| VO ₂ max (ml·kg ⁻¹ ·min ⁻¹) | 66.45 (5.02) | 66.42 (5.09) | 65.95 (5.03) | 68.78 (7.05) | | |
| $\Delta \text{ VO}_2 (\text{ml·kg}^{-1} \cdot \text{min}^{-1})$ | 1.16 (1.94) | 1.29 (1.75) | 1.59 (1.27) | .86 (1.33) | | |
| VCO ₂ max (l·min ⁻¹) | 5.32 (.52) | 5.31 (.64) | 5.43 (.41) | 5.31 (.47) | | |
| VCO ₂ max (ml·kg ⁻¹ ·min ⁻¹) | 71.16 (5.49) | 70.70 (5.79) | 72.69 (6.40) | 71.42 (6.79) | | |
| ΔVCO ₂ (ml·kg ⁻¹ ·min ⁻¹) | 2.27 (2.03) | 2.46 (1.82) | 2.87 (1.25) | 1.72 (1.48) | | |
| RER _{max} | 1.08 (.07) | 1.08 (.08) | 1.11 (.06) | 1.05 (.06) | | |
| VE _{max} (l·min ⁻¹) | 184.15 (17.22) | 185.36 (18.74) | 189.82 (20.52) | 188.39 (22.81) | | |
| GET %VO _{2max} | 59.36 (5.38) | 59.38 (7.79) | 59.73 (6.33) | 59.36 (4.35) | | |
| HR _{max} | 184.2 (10.3) | 183.1 (7.8) | 181.5 (8.9) | 183.5 (10.8) | | |
| BLa max | 9.80 (2.30) | 9.29 (2.75) | 8.72 (1.49) | 9.56 (2.61) | | |
| W _{max} | 422.75 (22.39) | 425.63 (18.95) | 421.85 (14.72) | 426.05 (14.93) | | |

able 1. Physiological and performance derived responses across the four trials. Data are means (±SD).

Where $\Delta VO_2max =$ change in VO₂ over the final two consecutive 30 s sampling periods, $\Delta VCO_2 =$ change in VCO₂ during the final two consecutive 30 s sampling periods, RER_{max} = respiratory exchange ratio obtained at VO₂max, VEmax = minute ventilation at VO₂max, GET% VO₂max = gas exchange threshold expressed as a % VO₂max, HR_{max} = heart rate recorded at VO₂max, BLa_{max} = blood lactate concentration recorded at the point of volitional exhaustion, W_{max} = power output derived at volitional exhaustion.

trial (p > 0.05). The RPE's recorded at the point of test termination across the four trials were 17.7 \pm 1.3, 18.3 \pm 1.4, 18.7 \pm 1.1 and 19.0 \pm 1.4 for trials -1 to -4 respectively. These data revealed a highly significant difference for effort perception between trial-1 and trial-4 (p = 0.005). Additionally when considering the RPE within trials it was shown to be significantly, but negatively correlated against VO_{2dif} for trial-1, r = -0.658 (p = 0.04) and positively correlated for trial-4, r = 0.654 (p = 0.04).

The haematological responses obtained prior to trial-1 were 144.3 \pm 13.8 gdl⁻¹ (Hb), 44.7 \pm 5.2 % (HcT) and (Ery) 4.81 \pm 0.56 (mio·µl⁻¹), with no significant changes in any of these variables across the 4 trials (p > 0.05). All performance and physiologically derived responses to the four incremental test trials are presented in Table 1, which shows that there were for the majority of indices no-significant differences across trial.

Discussion

The purpose of this study was to explore whether during a series of repeated, traditionally orientated VO_{2max} trials, a controlled-effort was employed. An *a-priori* hypothesis was established based on the predication of pacing being employed as a response to the need to modulate substrate metabolism across trials, to ensure that the finite anaerobic capacity never becomes fully depleted (Foster et al., 2004; Tucker, 2011). Since the plateau in VO₂ at VO_{2max} is a function of the same finite anaerobic capacity (Hawkins et al., 2007; Gordon et al., 2011), it was further hypothesised that plateau incidence would increase with repeated exercise trials. The reported findings support this hypothesis showing an increased incidence of plateau response between trial-1 and trial-4, coupled with a sig-

nificant difference in VO_{2dif} between trial-1 and trial-4. These differences were manifest despite no other changes in measured responses, including exercise time to exhaustion, VO_{2max} or GET.

During an incremental test to exhaustion, using a similar protocol to that adopted for the current study, it has previously been demonstrated that there was a significant decrease in the finite high energy phosphate capacity (Green and Patla, 1992). Indeed Green et al., (1992) indicated that upon arrival at VO_{2max} and hence volitional exhaustion, the PCr concentration decreased by 86% in approximate proportion to the increase in free Pi. As a consequence of the increasing exercise intensity and associated decline in the PCr concentration, there was a notable decrease in the intramuscular glycogen concentration, coupled with an increase in muscle lactate and IMP, resulting in a significant decrease in muscle pH (Bertuzzi et al., 2013; Cooke et al., 1988; Green and Patla, 1992). Previous work suggests that effort-control strategies are adopted through a conscious and/or subconscious desire to limit the onset of premature fatigue through a modulation of work over the desired task duration (Billaut et al., 2011; Tucker 2011). By integrating this modulation of work to the metabolic changes associated with fatigue, $(H^+, pH, decreasing muscle glycogen, PCr etc.)$ skeletal muscle mass recruitment can be regulated to match mechanical output against performance (Billaut et al., 2011; Foster et al., 2004; St Clair Gibson et al., 2006). Such a strategy would be established at the onset of the exercise challenge, based upon previous associated sensations of pain and fatigue (Billaut et al., 2011; St Clair Gibson et al., 2006), current physiological (substrate availability, metabolic by-products etc.) and psychological state (motivation, arousal etc.), together with a perceptually

Table 2. Time orientated responses across the four trials. Data are means (±SD).

| | Trial 1 | Trial 2 | Trial 3 | Trial 4 | | |
|------------------------------|--------------|--------------|--------------|--------------|--|--|
| Total (s) | 834.6 (53.7) | 841.5 (45.5) | 832.4 (35.3) | 842.5 (35.8) | | |
| VO ₂ max-arr (s) | 813.6 (52.2) | 817.5 (38.7) | 811.4 (33.3) | 818.5 (32.9) | | |
| VO ₂ max-Tlim (s) | 21.0 (12.6) | 24.0 (14.5) | 21.0 (12.6) | 24.0 (14.5) | | |
| GET (s) | 479.0 (52.2) | 484.9 (65.3) | 485.2 (58.9) | 481.8 (44.4) | | |
| GET – VO ₂ max(s) | 337.2 (51.0) | 332.6 (71.3) | 326.3 (49.7) | 341.5 (34.1) | | |

Where Total = total exercise time from trial onset to volitional exhaustion, VO_2max -arr = time taken from exercise onset to the onset of VO_2max , VO_2max -Tlim = time from VO_2max arrival to volitional exhaustion, GET = time taken from exercise onset to the gas exchange threshold and GET – VO_2max = time taken from gas exchange threshold to the point of volitional exhaustion.

regulated response to the perceived exertion (Tucker, 2011).

However, in the present study the participants were VO_{2max} testing naïve so for trial-1 had no previously established perceptual template against which to modulate their effort, but were fully aware of the total study duration (4-trials). Accordingly for the initial exercise challenge (trial-1), participants had no perception of the endpoint against which work could be modulated, hence rendering the feedback from the engaged muscles redundant in this context. In this connection it is interesting that trial-1 exercise time was, on average, 8 s shorter than that of trial-4 (longest), supporting the contention that for trial-4 there was a perceptual template against which work could be modulated.

Of course the participants in the present study were not totally naïve to the nature of the protocol employed as they were both well-trained and aware of the total number of trials which they needed to perform. The latter factor is significant to an effort-control paradigm, which projects that an exercise end-point is a fundamental component to the perceptual template in regards to the allocation of both physiological and psychological assets (Billaut et al 2011; St Clair Gibson et al., 2006). The paradigm based upon the notion of effort modulation which is a function of previously established homeostatic or reference sensations (Damasio et al., 2000; 2001), which becomes a permanent set-point against which all subsequent exposures to the same activity are compared. The contention being that where the exposure is not immediate the perceptual regulation of effort ensues.

When an exercise end-point is not known (number of trials) there is a down regulation of muscle activity in order to reduce the metabolic cost and thereby spare the finite anaerobic capacity (Billaut et al., 2011). It is contended that the plateau variance, observed in the present study, is a function of the prior knowledge of the number of trials to be completed, so a maximal effort could be applied in the final (fourth) trial. Trial-1 (two) and -3 both showed an identical but increased (50%) plateau incidence and by association a greater reliance on the finite anaerobic capacity than for trial-1, suggesting a rationing of the finite anaerobic resources as a consequence of prior knowledge from trial-1 but a recognition that the final trial (4th) beyond which there was no requirement for sparing the anaerobic reserve had not been reached.

Recent work (Gordon et al., 2013), studying the effects of blood donation, emphasise the process of sparing the anaerobic capacity during incremental testing. Here, despite a reduction in blood volume of ~450 mm³ and associated decrease in O₂ carrying capacity of ~9%, there was no change in plateau incidence, suggesting that during an incremental test to exhaustion the finite anaerobic capacity is still not fully depleted. So although in the present study the participants could not modulate mechanical force output the data would suggest that they adopted a metabolically orientated control of effort, in response to prior knowledge of both trial number and exposure to the sensations of pain and fatigue.

The training status of the participants in the study is also of importance to the outcomes observed. Previous

work, (Green et al., 2010) highlights that pacing is a function of training volume and experience. All the cyclists in the present study were well-trained endurance athletes, $(VO_{2max}: 66.5 \pm 5.0 \text{ ml} \text{kg}^{-1} \text{min}^{-1}$ and training history of >3 years). Hence whilst the detailed training history of the participants was not known, it is accepted that in order to enhance endurance capability the athlete would need to undertake both low intensity and interval-based training (Billat , 2001; Seiler et al., 2006). Since the latter would typically be in excess of the GET the athlete would be subject to the sensations of pain and fatigue, similar to those experienced during an incremental exercise test.

In a recent study Scharhag-Rosenberger et al., (2014) demonstrated that with just 1.5-h recovery between VO_{2max} trials, four incremental tests could be completed with no-significant effect on either VO_{2max} or Wmax. This was in a group of trained endurance athletes, displaying similar physical characteristics to those completing the present study. The lack of change in VO_{2max} reported by Scharhag-Rosenberger et al. (2014) is in agreement with that shown in the present study. These findings along with others (Hawkins et al., 2007; Wagner, 2000) suggest that although VO_{2max} is primarily limited by cardiac output and O2 extraction/utilisation at the muscle, the plateau is independent of these responses. Indeed recent works (Calbet et al., 2007) suggest that Q_{max} is attained at ~86% W_{max} as a function of a levelling off in stroke volume (SV) at ~64% W_{max}. These findings suggest that the maintenance of force generation in response to the continual increase in exercise intensity is a consequence of the reliance on the finite reserves of the high energy phosphates and intramuscular glycogen. Although the relevance of the VO_{2max} plateau in establishing a maximal effort has been challenged (Alpert, 1992; Noakes, 2008) its significance should not be under-estimated. For as Hill and Lupton, (1923) first projected the existence of the plateau is central to the notion of a maximal rate of oxygen uptake and conforms to the concept of VO_{2max} being dependent on Q_{max}. Of note is that in this population group of VO_{2max} naïve participants the plateau response rate was lower than reported values in the literature for athletes of equitable fitness (Astorino 2009; Doherty et al., 2003; Gordon et al., 2011). Given that the VO₂ plateau is considered the primary criterion in determination of a maximal effort these findings lend support to the need for such approaches as a verification trial, particularly when the participant is naïve to the exercise challenge. Accordingly debate continues as to the variance in plateau incidence with potential contributors being ergometer type (Gordon et al., 2012), protocol (Kon-Yoon et al., 2007), sampling and analysis methods (Astorino, 2009; Robergs et al., 2010) and population group (Doherty et al., 2003; Lucia et al., 2006). However in order for the debate to be framed and the generation of a series of industry recognised guidelines there needs to be recognition of what the plateau is and represents.

Conclusion

This study has demonstrated that in a group of well-

trained male endurance cyclists, a closed-loop condition is established with prior knowledge of trial number which triggers the sparing of the finite anaerobic capacity when exposed to the sensations of pain and fatigue which are evident during such trials. It is proposed that by establishing the closed-loop condition prior to commencement of data collection that a metabolically orientated control of effort ensues which prevents both a depletion of the anaerobic energy reserves and resultant prolonged exposure to the sensations of pain and fatigue. Future work should address whether plateau manifestation shows a similar response pattern in both un-trained individuals who have not had significant exposure to the sensations of exerciseinduced pain and fatigue, or in female participants as the majority of research to date focuses on responses in male participants.

References

- Alpert, B,S. (1992) Is a VO2 plateau necessary? Chest 101, 301-302.
- Andreacci, J.L., LeMura, L.M., Cohen, S.L., Urbansky, E.A., Chellan, S.A. and Von Duvillard, S.P. (2002) The effects of frequency of encouragement on performance during maximal exercise testing. *Journal of Sports Sciences* 20, 345-352.
- Ansley, L., Robson, P.J., St Clair Gibson, A. and Noakes, T.D. (2004) Anticipatory pacing strategies during supramaximal exercise lasting longer than 30 s. *Medicine and Science in Sports and Exercise* 36, 309-314.
- Astorino, T.A. (2009) Alterations in VO_{2max} and the VO₂ plateau with manipulation of sampling interval. *Journal of Clinical Physiol*ogy and Functional Imaging **29**, 60-67.
- Astorino, T.A. and White, A.C. (2010) Assessment of anaerobic power to verify VO2max attainment. *Clinical Physiologyand Functional Imaging* **30(4)**, 294-300.
- Balsom, P.D., Ekblom, B., Soderlund, K., Sjodin, B. and Hultman, E. (1993) Creatine supplementation and dynamic high-intensity intermittent exercise. *Scandinavian Journal of Medicine and Science in Sports* 3, 143-149.
- Bertuzzi, R., Nascimento, E., Urso, R.P., Damasceno, M. and Lima-Silva, A.E. (2013) Energy system contributions during incremental exercise test. *Journal of Sport Science and Medicine* 12, 454-460.
- Billat, V.L. (2001) Interval training for performance: A scientific and empirical practice. Sports Medicine 31, 13-31
- Billaut, F., Bishop, D.J., Schaerz, S. and Noakes, T.D. (2011) Influence of knowledge of sprint number on pacing during repeated-sprint exercise. *Medicine and Science in Sports and Exercise* 43, 665-672.
- Calbet, A.L., Gonzalez-Alonso, J., Helge, J.W., Sondergaard, H., Munch-Anderson, T., Boushel, R. and Saltin, B. (2007) Cardiac output and leg and arm blood flow during incremental exercise to exhaustion on the cycle ergometer. *Journal of Applied Physiology* **103**, 969-978.
- Cooke, R.K., Franks, K., Luciani, B. and Pate, E. (1988) The inhibition of rabbit skeletal muscle contraction by hydrogen ions and phosphate. *Journal of Physiology* 395, 77-97.
- Damasio, A.R., Grabowski, Tj., Bechara, A., Damasio, H., Ponto, L.L., Parvizi, J. and Hicava, R.D. (2000) Subcortical and cortical brain activity during the feeling of self-generated emotions. *Nature Neuroscience* 3, 1049-1056.
- Damasio H, Grabowski TJ, Tranel D, Ponto LL, Hichwa RD, Damasio AR. (2001) Neural correlates of naming actions and of naming spatial relations. *Neuroimage* 2001 13(6 Pt 1), 1053-1064.
- Doherty, M., Nobbs, L. and Noakes, T. (2003) Low frequency of the "plateau phenomenon" during maximal exercise in elite British athletes. *European Journal of Applied Physiology* 89, 619-623.
- Foster, C., Schrager, M., Snyder, A.C. and Thompson, N.N. (1994) Pacing strategy and athletic performance. *Sports Medicine* 17, 77-85.
- Foster, C., De Koning, J.J., Hettinga, F. and Lampen, J. (2004) Effect of competitive distance on energy expenditure during simulated competition. *International Journal of Sports Medicine* 25, 198-

204.

- Gaitanos, G.C., Williams, C., Boobis, L.H. and Brooks, S. (1993) Human muscle metabolism during intermittent maximal exercise. *Journal of Applied Physiology* 75, 712-719.
- Glaister, M. (2005) Multiple sprint work: physiological responses, mechanisms of fatigue and the influence of aerobic fitness. *Sports Medicine* 35, 757-777.
- Gordon, D., Hopkins, S., King, C. and Barnes, R. (2011) Incidence of the plateau at VO_{2max} is dependent on the anaerobic capacity. *International Journal of Sports Medicine* 32, 1-6.
- Gordon, D., Mehter, M., Gernigon, M., Caddy, O., Keiller, D. and Barnes, R. (2012) The effects of exercise modality on the incidence of plateau at VO_{2max}. *Journal of Clinical Physiology and Functional Imaging* **32**, 394-399.
- Gordon, D., Schaitel, K., Pennefather, A., Gernigon, M., Keiller, D. and Barnes, R. (2012) The incidence of plateau at VO_{2max} is affected by a bout of prior-priming exercise. *Journal of Clinical Physi*ology and Functional Imaging **32**, 39-44.
- Gordon, D., Wood, M., Porter, M., Vetrivel, V., Gernigon, M., Caddy, O., Merzbach, V., Keiller, D., Baker, J. and Barnes, R. (2013) Influence of blood donation on the incidence of plateau at VO_{2max}. European Journal of Applied Physiology **114**, 121-127.
- Green, H.J. and Patla, A.E. (1992) Maximal aerobic power: Neuromuscular and metabolic considerations. *Medicine and Science in Sports and Exercise* 24, 38-46.
- Green, M.J., Sapp, A.L., Pritchett, R.C. and Bishop, P.A. (2010) Pacing accuracy in collegiate and recreational runners. *European Jour*nal of Applied Physiology **108**, 567-572.
- Hawkins, M.N., Raven, P.B., Snell, P.G., Stray-Gunderson, J. and Levine, B.D. (2007) Maximal oxygen uptake as a parametric measure of cardiorespiratory capacity. *Medicine and Science in Sports and Exercise* **39**, 103-107.
- Hettinga, F.J., De Konning, J.J., Broersen, F.T., Van Geffen, P. and Foster, C. (2006) Pacing strategy and the occurrence of fatigue in 4000-m cycling time trials. *Medicine and Science in Sports* and Exercise 38, 1484-1491.
- Hill, A,V., Lupton, H. (1923) Muscular exercise, lactic acid and the supply and utilisation of oxygen.*Quarterly Journal of Medicine* 16, 135-171
- Inbar, O., Oten, A., Scheinowitz, M., Ronstein, A., Dlin, R. and Casaburi, R. (1994) Normal cardiopulmonary responses during incremental exercise in 20-70 yr old men. *Medicine and Science in Sports and Exercise* 26, 528-546.
- Kon Yoon, B., Kravitz, L. and Robergs, R. (2007) VO_{2max} protocol duration and the VO₂ plateau. *Medicine and Science in Sports* and Exercise 7, 1186-1192.
- Lucia, A., Rabadan, M., Hoyos, J., Hernandez-Capilla, M., Perez, M., San Jaun, A.F., Earnest, C.F. and Chicharro, J.L (2006) Frequency of the VO_{2max} plateau phenomenon in world class cyclists. *International Journal of Sports Medicine* . 27, 984-992
- Mauger, A.R. and Sculthorpe, N. (2012) A new VO_{2max} protocol allowing self-pacing in maximal incremental exercise. *British Jour*nal of Sports Medicine 46, 59-63.
- Midgley, A. W., McNaughton, L. R. and Wilkinson, M. (2006) Is there an Optimal Training Intensity for Enhancing the Maximal Oxygen Uptake of Distance Runners? Empirical Research Findings, Current Opinions, Physiological Rationale and Practical Recommendations. Sports Medicine 36(2), 117-132.
- Noakes, T,D., St Clair Gibson, A. (2004) Logical limitations to the 'catastrophe' models of fatigue during exercise in humans. *British Journal of Sports Medicine* **38**, 648-649.
- Noakes, T.D. (2008) How did AV. Hill understand the VO_{2max} and the "plateau phenomenon"? Still no clarity? *British Journal of* Sports Medicine. 42, 574-580
- Robergs, R.A., Dwyer, D. and Astorino, T. (2010) Recommendations for improved data processing from expired gas analysis indirect calorimetry. *Sports Medicine* 40, 85-111.
- Robinson, J,M., Stone, M.H., Johnson, R.L., Penland, C.M., Warren, B.J. and Lewis, D.R. (1995) Effects of different weight training exercise/rest intervals on strength, power and high intensity exercise endurance. *Journal of Strength and Conditioning Re*search 9, 216-221.
- Scharhag-Rosenberger, F., Carlshon, A., Lundby, C., Schuler, S., Mayer, F. and Scharhag, J. (2014) Can more than one incremental cycling test be performed in one day? *European Journal of Sports Science* 14(5), 459-467.

- Seiler, S.K. and Kjerland, G.O. (2006) Quantifying training intensity distribution in elite endurance athletes: Is there evidence for an 'optimal' distribution. *Scandinavian Journal of Medicine and Science in Sports* 16, 49-56.
- Shephard, R.J., Allen, C., Benade, A.J.S., Davies, C.T.M., di Prampero, P.E., Hedman, R., Merriman, J.E., Myhre, K. and Simmons, R. (1968) The maximum oxygen intake: An international reference standard of cardiorespiratory fitness. *Bull World Health Organisation* 38,757-764.
- St Clair Gibson, A., Lambert, E.V., Rauch, L.H., Tucker, R., Baden, D.A., Foster, C. and Noakes, T.D. (2006) The role of information processing between the brain and peripheral physiological systems in pacing and perception of effort. *Sports Medicine* 36, 705-722.
- Stone, M.R., Thomas, K., Wilkinson, M., Jones, A.M., St Clair Gibson, A. and Thompson, K.G. (2012) Effects of deception on exercise performance: Implications for determinants of fatigue in humans. *Medicine and Science in Sports and Exercise* 44, 534-541.
- Tucker, R. (2011) The anticipatory regulation of performance: the physiological basis for pacing strategies and the development of a perception-based model for exercise performance. *British Journal of Sports Medicine* 43, 392-400.
- Volkov, N,I.E., Shirkovets, E.A. and Borilkevich, V.E. (1975) Assessment of aerobic and anaerobic capacity of athletes in treadmill running tests. *European Journal of Applied Physiology and Occupational Physiology* 34, 121-130.
- Wagner, P.D. (2000) New ideas on limitations to VO_{2max}. *Exercise and Sports Science Reviews* **28**, 10-14.

Key points

- In well-trained athletes the incidence of plateau at VO_{2max} increases in conjunction with an increase in trial number and the associated sensations of pain and fatigue.
- By informing the participant of the number of trials to be completed a closed-loop condition is developed whereby effort in all trials is compared to a perceptually developed template.
- Closed-loop condition leads to a sparing of the finite anaerobic capacity during incremental tests when the number of trials to be completed is known.

AUTHORS BIOGRAPHY

Dan GORDON

Employment Principal Lecturer exercise physiology and Co-Director of Sport and Exercise Sciences Research Group, Anglia Ruskin

University, UK **Degrees**

MSc. PhD

Research interests

Limitations to VO_{2max}, endurance performance, physiological testing modalities

E-mail: dan.gordon@anglia.ac.uk

Oliver CADDY

Employment

Postgraduate researcher Sport and Exercise Sciences Research Group, Anglia Ruskin University, UK

Degree

BSc

Research interests

Cycling mechanics and physiology, oxygen uptake kinetics **E-mail:** oliver.caddy@anglia.ac.uk

Viviane MERZBACH

Employment

Research Assistant Sport and Exercise Sciences Research Group, Anglia Ruskin University, UK Degree

BSc

Research interests

Limitations to maximal oxygen uptake, nutritional implications for exercise testing

E-mail: Viviane.merzbach@anglia.ac.uk

Marie GERNIGON

Employment

Researcher at Laboratory for Vascular Investigations, University Hospital, Angers, France

Degree

MSc

Research interests

Claudication in walking, limitations to circulation and oxygen consumption

E-mail: marie@gernigon.fr

James BAKER

Employment

Research Assistant Sport and Exercise Sciences Research Group, Anglia Ruskin University, UK

Degree

BSc

Research interests

Pacing during exercise, plateau responses at VO_{2max} , soccer physiology

E-mail: james.baker@anglia.ac.uk

Adrian SCRUTON

Employment

Course group leader: Sport and Exercise Sciences, Department of Life Sciences, Anglia Ruskin University, UK Degree

BSc

Research interests

Inferential analysis in sport, body composition analysis **E-mail:** Adrian.scruton@anglia.ac.uk

Don KEILLER

Employment

Principal Lecturer cell and molecular biology, Department of Life Sciences, Anglia Ruskin University, UK

Degrees

MA, PhD Research interests

Genomics and proteomics, stress physiology E-mail: Don.keiller@anglia.ac.uk

Richard BARNES

Employment

Senior Tutor Department of Physiology, Development and Neuroscience, University of Cambridge, UK Degrees

PhD, MD

Research interests

Cardiovascular systems physiology and the limitations to human athletic performance

E-mail: rjb4@emma.cam.ac.uk

🖾 Dr Dan Gordon

Sport and Exercise Sciences Research Group, Anglia Ruskin University, East Road, Cambridge, UK, CB1 1PT