

JOSEPH A. GRUNDFEST

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Employment

Stanford Law School, William A. Franke Professor of Law and Business	1997 - Present
Stanford Law School, Professor of Law and Crocker Faculty Scholar	1994-1997
Stanford Law School, Associate Professor of Law	1990-1994
Commissioner, United States Securities and Exchange Commission	1985-1990
President's Council of Economic Advisors, Counsel and Senior Economist for Legal and Regulatory Matters	1984-1985
Wilmer, Cutler & Pickering, Associate	1979-1984
The Brookings Institution, Research Associate	1978-1979
The Rand Corporation, Economist and Consultant	1973-1978

Education

Stanford Law School, J.D.	1978
Stanford University, Department of Economics, Ph.D. Candidate (A.B.D.)	1978
Yale University, B.A.	1973
London School of Economics, M.Sc. Program in Mathematical Economics and Econometrics (completed program, no degree awarded)	1971-72

Research Fellowships and Academic Appointments

Director, Roberts Program in Law, Business and Corporate Governance	1993-present
Crocker Faculty Scholar	1995-1996
National Fellow, Hoover Institution	1992-1993
John M. Olin Faculty Fellow	1991-1992
Adjunct Scholar, American Enterprise Institute	1990-present

Honors and Endowed Lectures (Selected)

Selected as Among the Nations 100 Most Influential Attorneys By the National Law Journal	1997 and 2000
Member, American Law Institute	1994-present
The Gerber Lecture, University of Maryland School of Law	1995

The Fourth Annual Fritz B. Burns Lecture, Loyola Law School	1995
Steine Memorial Lecture, Vanderbilt University ("Just Vote No")	Mar. 1993
John Bingham Hurlbut Award for Excellence in Teaching at Stanford Law School	1992
Associated Students of Stanford University Best Large Class Professor at Stanford Law, Business and Medical Schools	1990-1991
<u>Advisory Boards and Governmental Consultancies</u>	
New York Stock Exchange, Legal Advisory Committee (Chair, Subcommittee on Market Structure)	1993-1996
Board of Governors, Federal Reserve System (Over-the-Counter Derivatives)	October 1993
Commodities Futures Trading Commission Regulatory Advisory Committee	1991-1993
University of Southern California, Financial Reporting Institute	1990-present
<u>Conferences Organized (Selected)</u>	
Director's College	Mar. 1995-present (annual)
Institutional Investors' Forum	1994-present (semi-annual)
Tools for Executive Survival, Finance and the Law: Securities and Derivatives Litigation	1993-1995 (annual) Sept. 1995
Finance for the Judiciary, Stanford Law School	Oct. 1993
Corporate Governance, Center for Economic Policy Research (with Shoven, et al.)	May 1991
The New Section 16 Regulations, Stanford Law School	Feb. 1991
Executive Compensation Disclosure, Stanford Law School	1992, 1993
Securities Litigation, Stanford Law School	1991, 1992
<u>Selected Speaking Engagements and Presentations</u>	
Practicing Law Institute: SEC Speaks; Securities Litigation; Annual Securities Law Institutes; Advanced Topics in Securities Regulation; The Internet and Securities Law, (numerous presentations on litigation, derivatives, corporate governance, and market regulation matters)	1990-Present
NASD Regulations Fall Securities Conference, Sheraton Palace Hotel, San Francisco	Nov. 2000

Case Western Reserve University Law School, Leet Lecture on Private Equity	Nov. 2000
Univ. of Chicago School of Business Directors' College, Trends in Securities Fraud Litigation	Nov. 2000
General Counsel Institute, Stanford Law School, Crisis Control	Oct. 2000
UCLA Corporate Law Conference Round Table	Oct. 2000
San Francisco Bar Association, Class Action Securities Fraud Litigation	Mar. 2000
Univ. of Chicago School of Business Directors' College, Best Practices in Corporate Governance	Nov. 1999
Association of Corporate Counsel, Securities Fraud Litigation	June 1999
Cornerstone Research, Topics in Securities Fraud Litigation	May 1999
Nasdaq Conference on Corporate Governance	Feb. 1999
American Economics Assoc. and American Finance Assoc. Joint Annual Luncheon, Invited Speaker ("Incentive Compensation")	Jan. 1992
International Organization of Securities Commissioners ("International Disclosure Policy")	Sep. 1991
Council of Institutional Investors, Annual Convention ("Just Vote No")	Nov. 1990
Prentice Hall Law & Business Seminar (Presentation on securities litigation)	1991-1993
Japan Economic Foundation-American Enterprise Institute, Joint Economic and Policy Conference	1990-1993
National Investor Relations Institute	1989-1993
Tulane Conference on Corporate Governance	1990-1992
World Forum, American Enterprise Institute	1988-1992
American Bar Association, Federal Securities Regulation Committee (Invited presentation on Disimplying Private Rights)	Nov. 1993
Financial Executives Institute, Economic Value Added Conference ("Executive Termination")	Oct. 1993
Academic Advisory Board, Price Waterhouse & Co. (Litigation and Tort Reform)	Aug. 1993
College Retirement Equities Fund (Board Advisory Group on Relational Investing)	July 1993
UCLA Economics Department & Law School ("The Limited Future of Unlimited Liability")	Apr. 1993
Berkeley Program in Finance	Mar. 1993
Harvard Law School ("The Limited Future of Unlimited Liability")	Mar. 1993
Wells Fargo-Nikko Securities ("Just Vote No")	Nov. 1992

Institute of International Economics ("Market Volatility")	Oct. 1991
Financial Executives Institute, Address to Annual Convention ("Just Vote No")	Oct. 1991
Columbia Law School, Institutional Investors Conference	June 1991

Bar Memberships

United States Supreme Court Bar (admitted 1987)
Bar of the District of Columbia (admitted 1979)
State Bar of California (admitted 1978)

Professional Associations

American Bar Association
American Economics Association
American Finance Association
American Law and Economics Association
Council on Foreign Relations

Current as of March 28, 2003

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