Revisiting Proxy Re-Encryption: Forward Secrecy, Improved Security, and Applications

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Abstract. We revisit the notion of proxy re-encryption (PRE), an enhanced public-key encryption primitive envisioned by Blaze et al. (EUROCRYPT'98) and formalized by Ateniese et al. (NDSS'05) for delegating decryption rights from a delegator to a delegatee using a semi-trusted proxy. PRE notably allows to craft re-encryption keys in order to equip the proxy with the power of transforming ciphertexts under a delegator's public key to ciphertexts under a delegatee's public key, while not learning anything about the underlying plaintexts.
We study an attractive cryptographic property for PRE, namely that of forward secrecy. In our forward-secret PRE (fs-PRE) definition, the proxy periodically evolves the re-encryption keys and permanently erases old versions while the delegator's public key is kept constant. As a consequence, ciphertexts for old periods are no longer re-encryptable and, in particular, cannot be decrypted anymore at the delegatee's end. Moreover, delegators evolve their secret keys too, and, thus, not even they can decrypt old ciphertexts once their key material from past periods has been deleted. This, as we will discuss, directly has application in short-term data/message-sharing scenarios.
Technically, we formalize fs-PRE. Thereby, we identify a subtle but significant gap in the well-established security model for conventional PRE and close it with our formalization (which we dub fs-PRE$^+$). We present the first provably secure and efficient constructions of fs-PRE as well as PRE (implied by the former) satisfying the strong fs-PRE$^+$ and PRE$^+$ notions, respectively. All our constructions are instantiable in the standard model under standard assumptions and our central building block are hierarchical identity-based encryption (HIBE) schemes that only need to be selectively secure.

Keywords: Forward secrecy, proxy re-encryption, improved security model

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1 Introduction

The security of cryptosystems essentially relies on the secrecy of the respective secret key. For example, if for an encryption scheme a secret key is (accidentally) leaked, the confidentiality of all the data encrypted with respect to this key so far is immediately destroyed. One simple mitigation strategy for such a secret-key leakage is to frequently change secret keys such that leaking a secret key only affects a small amount of data. Implementing this in a naïve way, for instance in context of public-key encryption, means that one either has to securely and interactively distribute copies of new public keys frequently or to have huge public keys[3], which is rather inconvenient in practice. Consequently, cryptographic research focused on the design of cryptosystems that inherently provide such a property, being denoted as *forward secrecy* (or, *forward security*) [Gün89]. The goal hereby is that key leakage at some point in time does not affect the data which was encrypted before the key leakage, while mitigating the drawbacks of the naïve solution discussed before. That is, one aims at efficient non-interactive solutions that have fixed sublinear-size public keys in the number of key switches/time periods. Those (strong) properties are the minimal requirements in the de-facto standard notion of forward secrecy in the cryptographic literature.

Within the last two decades, forward secrecy has been identified as an important property of various different cryptographic primitives such as digital signatures [BM99], identification schemes [AABN02], public-key encryption [CHK03], and private-key cryptography [BY03]. Only recently, another huge step forward has been made by Green and Miers [GM15] as well as Günther, Jager, Hale, and Lauer [GHJL17] to bring forward secrecy to important practical applications in the context of asynchronous messaging and zero round-trip time (0-RTT) key exchange. Given revelations and leaks about large-scale surveillance activities of security agencies within the last years, it is of utmost importance to further develop and deploy cryptosystems that inherently provide forward secrecy. We aim at advancing the research on forward secrecy with respect to other practically important public-key primitives, ideally, to ones with slightly more functionality.

Proxy re-encryption. Proxy re-encryption (PRE), envisoned by Blaze, Bleumer, and Strauss [BBS98] and formalized by Ateniese, Fu, Green, and Hohenberger [AFGH05, AFGH06], is a cryptographic primitive that can be seen as an extension of public-key encryption. A central feature of PRE is that senders can craft so-called re-encryption keys, which are usually created using only public information of the designated delegatee and the delegators' key material. Those re-encryption keys have the power to transform ciphertexts under a delegator's public key to ciphertexts under the delegatees' public keys. Within PRE, this transformation is done by a semi-trusted[4] proxy. The widely accepted model for PRE security (i.e., the conventional or plain PRE model) [AFGH05] requires

[3] With size $O(n)$ for n key switches/time periods.

[4] A semi-trusted proxy honestly follows the protocols, i.e., stores consistent re-encryption keys and re-encrypts correctly.

that the proxy does not learn anything about the plaintexts which underlie the ciphertexts to be transformed.[5]

Proxy re-encryption is considered very useful in applications such as encrypted e-mail forwarding or access control in secure file systems, which was already discussed heavily in earlier work, e.g., in [AFGH05]. Furthermore, PRE has been object of significant research for almost two decades now, be it in a conventional setting [BBS98, AFGH05, AFGH06], PRE with temporary delegation [AFGH05, AFGH06, LV11], identity-based PRE [GA07, RGWZ10], extensions to the chosen-ciphertext setting [CH07, LV11], type-based/conditional PRE [Tan08, WYT+09], anonymous (or key-private) PRE [ABH09], traceable PRE [LV08a], or PRE from lattice-based assumptions [CCL+14, PRSV17]. Generic constructions of PRE schemes from fully-homomorphic encryption [Gen09] and from non-standard building blocks such as resplittable-threshold public key encryption as proposed in [HKK+12] are known, where different constructions of secure obfuscators for the re-encryption functionality have been given [HRSV11, CCV12, CCL+14]. Despite PRE being an object of such significant research, forward-secret constructions remain unknown.[6]

On modeling forward-secret proxy re-encryption. Forward secrecy in the context of PRE is more complex than in standard public-key primitives, as PRE involves multiple different parties (i.e., delegator, proxy, and delegatees), where delegator and delegatees all have their own secret-key material and the proxy additionally holds all the re-encryption keys. One may observe that the proxy needs to be considered as a semi-trusted (central) party being always online, and, thus, it is reasonable to assume that this party is most valuable to attack. Consequently, we model forward secrecy in the sense that the re-encryption-key material can be evolved by the proxy to new periods while past-period re-encryption keys are securely erased. Hence, ciphertexts under the delegator's public key with respect to past-periods can no longer be re-encrypted. In addition, we model forward secrecy for the delegator's key material in a way that it is consistent with the evolution of the re-encryption material at the proxy.

For now, we do not consider forward secrecy at the delegatee, who can be seen as a passive party and does not need to take any further interaction with the delegator during the life-time of the system, except providing her public key once after set-up (e.g., via e-mail or public key server). It also does not have to be online when ciphertexts are re-encrypted for her by the proxy. Nevertheless, we leave it as a path for future research to cover the third dimension, i.e., model forward secrecy for the delegator and proxy as well as forward secrecy for the delegatee with efficient non-trivial constructions. However, it seems highly non-trivial to achieve efficient constructions that support forward secrecy for the

[5] The well-established security notions for PRE leave a potentially critical gap open. To look ahead, our proposed security model for *forward-secret* PRE closes this gap (implicitly also for plain PRE) and goes even beyond.

[6] We stress that we only aim at efficient non-trivial (non-interactive) forward-secret PRE constructions that have sublinear-size public and re-encryption keys in the number of time periods.

delegatee additionally. In particular, we believe that the difficulty of achieving such strong type of forward secrecy is due to the circumstance that one has to carefully integrate three dimension of evolving key-material, one at the delegator, one at the proxy, and one at the delegatee. All dimensions seem to interfere with each other.[7] As it will be confirmed by our application, covering the two dimensions already yields an interesting tool.

Moreover, to achieve forward secrecy for delegator and proxy key material, we face the following obstacles. First, it has to be guaranteed that the honest proxy must not be able to gain any information from the ciphertexts while at the same time being able to transform such ciphertexts *and* to update re-encryption key material consistently to newer time periods *without* any interaction with the delegator. Secondly, any delegatee *must not* be able to decrypt past-period ciphertexts. In this work, we give an affirmative answer to overcome those obstacles.

A practical application of forward-secret PRE. We believe that forward secrecy is an essential topic nowadays for any application. Also PRE is increasingly popular, be it in applied cryptographic literature [BBL16, BGP+16, XXW+16, PRSV17, MS17], working groups such as the CFRG of the IRTF[8], large-scale EU-funded projects[9], and meanwhile also companies[10] that foster transition of such technologies into applications.

A practical application for forward-secret PRE is disappearing 1-to-n messaging. Here, a user encrypts a message under his public key and sends it to the proxy server that is responsible for distributing the encrypted messages to all pre-determined n receivers (note that receivers do not have to be online at the time the encrypted message is sent and an initial public-key exchange has to be done only in the beginning, but no more interactivity is needed). During setup time, the user has equipped the server with re-encryption keys (one for each receiver) while new keys can be added any time once a new receiver is present. Furthermore, the user does not need to manage a potentially huge list of public keys for each message to be sent. After a period, the data gets deleted by the proxy server, the re-encryption keys get evolved to a new period (without any interactions), and old-period re-encryption keys get deleted. The security of forward-secret PRE then guarantees that the proxy server does not learn the sensitive messages, neither can the two types of parties access disappeared messages later on. Once period-i re-encryption keys leak from the proxy server, only present and future encrypted messages (from period i onward) are compromised, while period-$(i-1)$ messages stay confidential. More generally, we believe that forward-secret PRE can be beneficially used in all kinds of settings that require access revocation, e.g., in outsourced encrypted data storage.

[7] It is currently unknown to us how to solve the problem with efficient cryptographic tools, e.g., in the bilinear-maps setting. For efficiency reasons, multilinear maps and obfuscation are out of focus.

[8] https://www.ietf.org/id/draft-hallambaker-mesh-recrypt-00.txt

[9] https://credential.eu/

[10] e.g., http://www.nucypher.com, https://besafe.io/

We also stress that within our forward-secret PRE instantiations, each user is only required to manage her own public and secret keys on her device and not a list of recipient public keys (or, identities). This deviates significantly from other primitives such as broadcast encryption (BE) [BGW05, Del07, SF07], which could also be suitable in such scenarios. However, practical BE schemes, e.g., [BW06], need large public keys and are computationally expensive.

1.1 Contribution

In this paper, we investigate forward secrecy in the field of proxy re-encryption (PRE) and term it fs-PRE. More precisely, our contributions are as follows:

- We first port the security model of PRE to the forward-secret setting (fs-PRE^{-}). Thereby, we observe a subtle but significant gap in existing (plain) security models for conventional PRE with regard to the granularity of delegations of decryption rights. In particular, existing models allow that a recipient, who has once decrypted a re-encrypted ciphertext, can potentially decrypt all re-encryptable ciphertexts of the same sender without further involvement of the proxy. In the forward-secret setting, it would essentially require to trust the delegatees to delete their re-encrypted ciphertexts whenever the period is switched, which is a problematic trust assumption.[11]
- We close this gap by introducing an additional security notion which inherently requires the involvement of a proxy in every re-encryption and in particular consider this notion in the forward-secret setting (fs-PRE^{+}). We also note that, when considering only a single time interval, this implicitly closes the aforementioned gap in the conventional PRE setting.[12] We also provide an explicit separation of the weaker fs-PRE^{-} notion (resembling existing PRE models) and our stronger notion fs-PRE^{+}.
- We then continue by constructing the first forward-secret PRE schemes (in the weaker as well as our stronger model) that are secure in the standard model under standard assumptions. On a technical side, only few approaches to forward secrecy are known. Exemplary, in the public-key-encryption (PKE) setting, we essentially have two ways to construct forward secrecy, i.e., the Canetti-Halevi-Katz (CHK) framework [CHK03] from selectively secure hierarchical identity-based encryption (HIBE) [GS02] schemes and the more abstract puncturable-encryption (PE) approaches by [GM15, GHJL17] (where both works either explicitly or implicitly use the CHK techniques). Particularly, we are not aware of any framework to achieve forward secrecy for PKE schemes based on "less-complex" primitives in comparison to selectively secure HIBE schemes. Consequently, we also base our constructions on selectively secure HIBE schemes [GS02], which we combine with linearly homomorphic encryption schemes, e.g., (linear) ElGamal.

[11] Clearly, we still have to trust that the proxy deletes past-period re-encryption key material.

[12] In the conventional PRE setting, this gap was very recently independently addressed by Cohen [Coh17].

– As a side result, we generalize the recent work of PE [GM15, CHN+16, CRRV17, GHJL17] to what we call fully puncturable encryption (FuPE) in the Appendix and show how we can use FuPE to construct fs-PRE.

1.2 Intuition and Construction Overview

To obtain more general results and potentially also more efficient instantiations, we use a relaxation of HIBEs denoted as binary-tree encryption (BTE) which was introduced by Canetti, Halevi, and Katz (CHK) in [CHK03]. As an intermediate step, we introduce the notion of a forward-secret delegatable public-key encryption (fs-DPKE) scheme and present one instantiation which we obtain by combining the results of CHK with a suitable homomorphic public-key encryption (HPKE) scheme. Loosely speaking, a fs-DPKE scheme allows to delegate the decryption functionality of ciphertexts computed with respect to the public key of some user A to the public key of some other user B. Therefore, A provides a *public* delegation key to B. B then uses the delegation key *together* with the secret key corresponding to B's public key to decrypt any ciphertext that has been produced for A. A fs-DPKE scheme moreover incorporates forward secrecy in a sense that the originator A can evolve it's secret key and the scheme additionally allows to *publicly* evolve delegation keys accordingly. Interestingly, such a scheme is already sufficient to construct a fs-PRE$^-$-secure PRE scheme. Finally, we demonstrate how to strengthen this construction to a fs-PRE$^+$-secure PRE scheme, by solely relying on a certain type of key-homomorphism of the underlying fs-DPKE scheme. The intermediate step of introducing fs-DPKE is straightforward yet interesting, since we believe fs-DPKE is the "next natural step" to lift PKE to a setting which allows for controlled delegation of decryption rights.

Instantiation. In Table 1, we present an instantiation including the resulting key and ciphertext sizes. Thereby, we only look at fs-PRE instantiations that are fs-PRE$^+$-secure and note that the asymptotic sizes for fs-PRE$^-$-secure fs-PRE schemes are identical. For our instantiation, we use the BTE (or any selectively secure HIBE) from [CHK03] and the linear encryption scheme from [BBS04] as HPKE scheme under the Bilinear Decisional Diffie-Hellman (BDDH) and decision linear (DLIN) assumption respectively.

Building Blocks	$\lvert\mathsf{pk}\rvert$	$\lvert\mathsf{rk}^{(i)}\rvert$	$\lvert\mathsf{sk}^{(i)}\rvert$	$\lvert C\rvert$	Assumption
BTE [CHK03], HPKE [BBS04]	$\mathcal{O}(\log n)$	$\mathcal{O}((\log n)^2)$	$\mathcal{O}((\log n)^2)$	$\mathcal{O}(\log n)$	BDDH, DLIN

Table 1. Our fs-PRE$^+$-secure instantiation. All parameters additionally scale asymptotically in a security parameter k which is, hence, omitted. Legend: n ... number of periods, $\lvert\mathsf{pk}\rvert$ ... public key size, $\lvert\mathsf{rk}^{(i)}\rvert$ ... size of re-encryption key for period i, $\lvert\mathsf{sk}^{(i)}\rvert$ ... size of secret key for period i, $\lvert C\rvert$ ... ciphertext size.

A note on a side result. Additionally, in the Appendix, we include the definition and a construction of a so called fully puncturable encryption (FuPE) scheme which is inspired by techniques known from HIBEs and the recent PE

schemes in [GM15, GHJL17]. We then show that FuPE schemes closely capture the essence which is required to construct fs-PRE$^+$-secure schemes by presenting a construction of a fs-PRE$^+$-secure PRE scheme from FuPE and HPKE.

1.3 Related Work and Outline

Work related to forward-secret PRE. Tang et al. [Tan08, WYT+09] introduced type-based/conditional PRE, which allows re-encryption of ciphertexts at the proxy only if a specific condition (e.g., a time period) is satisfied by the ciphertext. Furthermore, PRE with temporary delegations was proposed by Ateniese et al. [AFGH05, AFGH06] and improved by Libert and Vernaud (LV) [LV11]. All those approaches yield a weak form of forward secrecy. Notably, the LV schemes provide fixed public parameters and non-interactivity with the delegatee as well. However, in contrast to our approach, LV and Tang et al. require at least to update the re-encryption keys for each time period with the help of the delegator (i.e., one message per time period from the delegator to the proxy) and also do not allow for exponentially many time periods, which do not suit our (stronger) forward-secret scenario.

Concurrent work on PRE. There is a considerable amount of very recent independent and concurrent work on different aspects of PRE and its applications [Coh17, BL17, MS17, FL17]. The works in [BL17, MS17, FL17] are only related in that they also deal with various aspects of PRE, but not fs-PRE. Those aspects are however unrelated to the work presented in this paper. In contrast, the work presented in [Coh17] is related to one aspect of our work. It formalizes a security property for conventional PRE, which can be seen as a special case of our fs-PRE$^+$ notion which we introduce in context of fs-PRE. More precisely, our notion generalizes the notion of [Coh17] and implies it if we fix the numbers of time periods to $n = 1$.

Outline. After discussing preliminaries in Section 2, we define fs-PRE in Section 3, discuss the gap in previous models and also briefly discuss its consequences to conventional PRE. We then give the first construction of a fs-PRE scheme from binary tree encryption in Section 4. We also show a separation result for the weaker fs-PRE$^-$ (resembling existing PRE models) and our stronger notion fs-PRE$^+$.

2 Preliminaries

For $n \in \mathbb{N}$, let $[n] := \{1, \ldots, n\}$ and let $k \in \mathbb{N}$ be the security parameter. For an algorithm A, let $y \leftarrow A(1^k, x)$ be the process of running A, on input 1^k and x, with access to uniformly random coins and assigning the result to y. We assume that all algorithms take 1^k as input and we will sometimes not make this explicit in the following. To make the random coins r explicit, we write $A(1^k, x; r)$. An algorithm A is probabilistic polynomial time (PPT) if its running time is polynomially bounded in k. A function f is negligible if $\forall c \exists k_0 \forall k \geq k_0 : |f(k)| \leq 1/k^c$. For binary trees, we denote the root node with ε and all other

nodes are encoded as binary strings, i.e., for a node w we denote child nodes as $w0$ and $w1$.

Homomorphic public-key encryption. A $\mathcal{F}$-homomorphic public key encryption (HPKE) scheme is a public-key encryption (PKE) scheme that is homomorphic with respect to a class of functions $\mathcal{F}$, i.e., given a sequence of ciphertexts to messages $(M_i)_{i\in[n]}$ one can evaluate a function $f : \mathcal{M}^n \to \mathcal{M} \in \mathcal{F}$ on the ciphertexts such that the resulting ciphertext decrypts to $f(M_1, \ldots, M_n)$.

Definition 1 ($(\mathcal{F}$-$)\mathsf{HPKE})$. *A $\mathcal{F}$-homomorphic public key encryption ($\mathcal{F}$-HPKE or HPKE for short) scheme with message space $\mathcal{M}$, ciphertext space $\mathcal{C}$ and a function family $\mathcal{F}$ consists of the PPT algorithms* $(\mathsf{Gen}, \mathsf{Enc}, \mathsf{Dec}, \mathsf{Eval})$*:*

$\mathsf{Gen}(1^k)$: *On input security parameter k, outputs public and secret keys* $(\mathsf{pk}, \mathsf{sk})$.
$\mathsf{Enc}(\mathsf{pk}, M)$: *On input a public key* pk*, and a message $M \in \mathcal{M}$, outputs a ciphertext $C \in \mathcal{C}$.*
$\mathsf{Dec}(\mathsf{sk}, C)$: *On input a secret key* sk*, and ciphertext C, outputs $M \in \mathcal{M} \cup \{\perp\}$.*
$\mathsf{Eval}(f, (C_i)_{i\in[n]})$: *On input a function $f : \mathcal{M}^n \to \mathcal{M} \in \mathcal{F}$, a sequence of ciphertexts $(C_i)_{i\in[n]}$ encrypted under the same public key, outputs C.*

In addition to the standard and folklore correctness definition for public-key encryption (PKE), we further require for HPKE that for all security parameters $k \in \mathbb{N}$, all key pairs $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(1^k)$, all functions $f : \mathcal{M}^n \to \mathcal{M} \in \mathcal{F}$, all message sequences $(M_i)_{i\in[n]}$ it holds that $\mathsf{Dec}(\mathsf{sk}, \mathsf{Eval}(f, (\mathsf{Enc}(\mathsf{pk}, M_i))_{i\in[n]})) = f(M_1, \ldots, M_n)$. We are particularly interested in the case where $\mathcal{M}$ is a group and $\mathcal{F}$ is the set of all *linear functions* on products of $\mathcal{M}$. In that case, we call the HPKE scheme *linearly homomorphic*. For a HPKE, we require conventional IND-CPA security as with PKE schemes and recall an efficient instantiation of a linearly homomorphic scheme, i.e., linear ElGamal [BBS04], in Appendix B.

Hierarchical identity-based encryption. Hierarchical identity-based encryption (HIBE) [GS02] is a generalization of IBE [BF01] that organizes the identities in a tree, where identities at some level can delegate secret keys to its descendant entities, but cannot decrypt ciphertexts intended for other (hierarchical) identities.

Definition 2 (HIBE). *An hierarchical identity-based encryption (HIBE) scheme with message space $\mathcal{M}$ and identity space $\mathcal{ID}^{\leq\ell}$, for some $\ell \in \mathbb{N}$, consists of the PPT algorithms* $(\mathsf{Gen}, \mathsf{Del}, \mathsf{Enc}, \mathsf{Dec})$*:*

$\mathsf{Gen}(1^k, \ell)$: *On input security parameter k and hierarchy parameter ℓ, outputs a keypair $(\mathsf{pk}, \mathsf{sk}_\varepsilon)$, where we implicitly assume that $\mathsf{sk}_\varepsilon := (\mathsf{pk}, \mathsf{dk}_\varepsilon, \mathsf{ek}_\varepsilon)$, for decryption key dk_ε and evolution key ek_ε.*
$\mathsf{Del}(\mathsf{sk}_{id'}, id)$: *On input secret key $\mathsf{sk}_{id'} = (\mathsf{pk}, \mathsf{dk}_{id'}, \mathsf{ek}_{id'})$ and $id \in \mathcal{ID}^{\leq\ell}$, outputs a secret key $\mathsf{sk}_{id} = (\mathsf{pk}, \mathsf{dk}_{id}, \mathsf{ek}_{id})$ for id if and only if id' is a prefix of id, otherwise $\mathsf{sk}_{id'}$.*
$\mathsf{Enc}(\mathsf{pk}, M, id)$: *On input public key* pk*, message $M \in \mathcal{M}$ and identity $id \in \mathcal{ID}^{\leq\ell}$, outputs a ciphertext C_{id} for identity id.*
$\mathsf{Dec}(\mathsf{sk}_{id'}, C_{id})$: *On input a secret key $\mathsf{sk}_{id'}$ and a ciphertext C_{id}, outputs $M \in \mathcal{M} \cup \{\perp\}$.*

For all $k, \ell \in \mathbb{N}$, all $(\mathsf{pk}, \mathsf{sk}_\varepsilon) \leftarrow \mathsf{Gen}(1^k, \ell)$, all $M \in \mathcal{M}$, all $id, id' \in \mathcal{ID}^{\leq \ell}$ where id' is a prefix of id, all $\mathsf{sk}_{id} \leftarrow \mathsf{Del}(\mathsf{sk}_{id'}, id)$, all $C_{id} \leftarrow \mathsf{Enc}(\mathsf{pk}, M, id)$, we have that $\mathsf{Dec}(\mathsf{sk}_{id}, C_{id}) = M$. Discussion of the HIBE security notion is provided in Appendix C .

Proxy re-encryption. Subsequently, we define proxy re-encryption.

Definition 3 (PRE). *A proxy re-encryption (PRE) scheme with message space $\mathcal{M}$ consists of the PPT algorithms* $(\mathsf{Setup}, \mathsf{Gen}, \mathbf{Enc}, \mathbf{Dec}, \mathsf{ReGen}, \mathsf{ReEnc})$ *where* $\mathbf{Enc} = (\mathsf{Enc}^{(j)})_{j\in[2]}$ *and* $\mathbf{Dec} = (\mathsf{Dec}^{(j)})_{j\in[2]}$. *For $j \in [2]$, they are defined as follows.*

$\mathsf{Setup}(1^k)$: *On input security parameter k, outputs public parameters* pp.

$\mathsf{Gen}(\mathsf{pp})$: *On input public parameters* pp, *outputs public and secret keys* $(\mathsf{pk}, \mathsf{sk})$.

$\mathsf{Enc}^{(j)}(\mathsf{pk}, M)$: *On input a public key* pk, *and a message $M \in \mathcal{M}$ outputs a level j ciphertext C.*

$\mathsf{Dec}^{(j)}(\mathsf{sk}, C)$: *On input a secret key* sk, *and level j ciphertext C, outputs $M \in \mathcal{M} \cup \{\perp\}$.*

$\mathsf{ReGen}(\mathsf{sk}_A, \mathsf{pk}_B)$: *On input a secret key sk_A and a public key pk_B for B, outputs a re-encryption $\mathsf{rk}_{A\to B}$.*

$\mathsf{ReEnc}(\mathsf{rk}_{A\to B}, C_A)$: *On input a re-encryption key $\mathsf{rk}_{A\to B}$, and a ciphertext C_A for user A, outputs a ciphertext C_B for user B.*

Subsequently, we restate the standard security notions of proxy re-encryption schemes [AFGH05, AFGH06, LV08b]. The oracles available in the experiment are as follows. For all experiments defined in this section, the environment keeps initially empty lists of dishonest ($\mathtt{DU}$) and honest users ($\mathtt{HU}$). The oracles are defined as follows:

$\mathsf{Gen}^{(h)}(\mathsf{pp}, n)$: Run $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$, set $\mathtt{HU} \leftarrow \mathtt{HU} \cup \{(\mathsf{pk}, \mathsf{sk})\}$, and return pk.

$\mathsf{Gen}^{(d)}(\mathsf{pp}, n)$: Run $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$, set $\mathtt{DU} \leftarrow \mathtt{DU} \cup \{(\mathsf{pk}, \mathsf{sk})\}$, and return $(\mathsf{pk}, \mathsf{sk})$.

$\mathsf{ReGen}^{(h)}(\mathsf{pk}_u, \mathsf{pk})$: On input a public key pk_u and a public key pk, abort if $(\mathsf{pk}_u, \cdot) \notin \mathtt{HU}$. Otherwise, look up sk_u corresponding to pk_u from $\mathtt{HU}$. Return $\mathsf{ReGen}(\mathsf{sk}_u, \mathsf{pk})$.

$\mathsf{ReGen}^{(h')}(\mathsf{sk}, \mathsf{pk}_u)$: On input a secret key sk and a public key pk_u, abort if $(\mathsf{pk}_u, \cdot) \notin \mathtt{HU}$. Otherwise, return $\mathsf{ReGen}(\mathsf{sk}, \mathsf{pk}_u)$.

$\mathsf{ReGen}^{(d)}(\mathsf{sk}, \mathsf{pk}_d)$: On input a secret key sk and a public key pk_d, abort if $(\mathsf{pk}_d, \cdot) \notin \mathtt{DU}$. Otherwise, return $\mathsf{ReGen}(\mathsf{sk}, \mathsf{pk}_d)$.

Definition 4 (IND-CPA-1). *For a PPT adversary A, we define the advantage function in the sense of IND-CPA for level 1 ciphertexts as*

$$\mathsf{Adv}^{\mathsf{ind\text{-}cpa\text{-}1}}_{\mathsf{PRE},A}(1^k) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{ind\text{-}cpa\text{-}1}}_{\mathsf{PRE},A}(1^k) = 1\right] - \frac{1}{2} \right|.$$

Experiment $\mathsf{Exp}^{\text{ind-cpa-1}}_{\mathsf{PRE},A}(1^k)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\mathsf{pp}), b \xleftarrow{R} \{0,1\}$
$\mathcal{O} \leftarrow \{\mathsf{Gen}^h, \mathsf{ReGen}^h(\cdot, \mathsf{pk}), \mathsf{ReGen}^{h'}(\mathsf{sk}, \cdot), \mathsf{Gen}^d, \mathsf{ReGen}^d(\mathsf{sk}, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{pk})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}^{(1)}(\mathsf{pk}, M_b))$
if $b = b^*$ return 1, else return 0

Experiment 1. The IND-CPA security experiment for level 1 ciphertexts of fs-PRE schemes.

Experiment $\mathsf{Exp}^{\text{ind-cpa-2}}_{fs-\mathsf{PRE},A}(1^k)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k)$, $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\mathsf{pp})$, $b \xleftarrow{R} \{0,1\}$
$\mathcal{O} \leftarrow \{\mathsf{Gen}^h, \mathsf{ReGen}^h(\cdot, \mathsf{pk}), \mathsf{ReGen}^{h'}(\mathsf{sk}, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{pk})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}^{(2)}(\mathsf{pk}, M_b, j^*))$
if $b = b^*$ return 1, else return 0

Experiment 2. The IND-CPA security experiment for level 2 ciphertexts of fs-PRE schemes.

If for any PPT adversary A there exists a negligible function ε such that

$$\mathsf{Adv}^{\text{ind-cpa-1}}_{\mathsf{PRE},A}(1^k) < \varepsilon(k)$$

then a PRE *scheme is IND-CPA-1 secure.*

Definition 5 (IND-CPA-2). *For a polynomially bounded function n, a PPT adversary A, we define the advantage function in the sense of IND-CPA for level 2 ciphertexts as*

$$\mathsf{Adv}^{\text{ind-cpa-2}}_{\mathsf{PRE},A}(1^k) := \left| \Pr\left[\mathsf{Exp}^{\text{ind-cpa-2}}_{\mathsf{PRE},2,A}(1^k) = 1\right] - \frac{1}{2} \right|.$$

If for all polynomially bounded functions n, and any PPT adversary A there exists a negligible function ε such that

$$\mathsf{Adv}^{\text{ind-cpa-2}}_{\mathsf{PRE},A}(1^k) < \varepsilon(k)$$

then a PRE *scheme is IND-CPA-2 secure.*

Binary tree encryption. Binary tree encryption (BTE) [CHK03] is a relaxed version of hierarchical identity-based encryption (HIBE) [GS02]. Similar to a HIBE scheme, a BTE scheme has a (master) public key associated to a binary tree where each node in the tree has a corresponding secret key. To encrypt a message for some node, one uses both the public key and the name of the target node. Using the node's secret key, the resulting ciphertext can then be decrypted. Additionally, the secret key of a node can be used to derive the secret keys of its child nodes.

In contrast to BTE defined in [CHK03], we make the part of the secret key used to perform the key derivation explicit, i.e., we will have secret keys for the

decryption and derivation keys to derive secret keys. In case, an instantiation does not support a clear distinction, it is always possible to assume that the derivation key is empty and everything is contained in the secret key.

Definition 6. *A binary tree encryption (*BTE*) scheme with message space* $\mathcal{M}$ *consists of the PPT algorithms* $(\mathsf{Gen}, \mathsf{Evo}, \mathsf{Enc}, \mathsf{Dec})$ *as follows:*

$\mathsf{Gen}(1^k, \ell)$: *On input security parameter* k *and depth of the tree* ℓ*, outputs public, secret, and derivation keys* $(\mathsf{pk}, \mathsf{sk}^{(\varepsilon)}, \mathsf{dk}^{(\varepsilon)})$.

$\mathsf{Der}(\mathsf{sk}^{(w)}, \mathsf{dk}^{(w)})$: *On input secret key* $\mathsf{sk}^{(w)}$ *and derivation key* $\mathsf{dk}^{(w)}$*, for node* $w \in \{0,1\}^{<\ell}$*, outputs secret keys* $\mathsf{sk}^{(w0)}, \mathsf{sk}^{(w1)}$ *and derivation keys* $\mathsf{dk}^{(w0)}$, $\mathsf{dk}^{(w1)}$ *for the two children of* w.

$\mathsf{Enc}(\mathsf{pk}, M, w)$: *On input a public key* pk*, a message* $M \in \mathcal{M}$*, and node* $w \in \{0,1\}^{\leq \ell}$*, outputs a ciphertext* C.

$\mathsf{Dec}(\mathsf{sk}^{(w)}, C)$: *On input a secret key* $\mathsf{sk}^{(w)}$*, for node* $w \in \{0,1\}^{\leq \ell}$*, and ciphertext* C*, outputs* $M \in \mathcal{M} \cup \{\perp\}$.

For correctness, we require that for all security parameters $k \in \mathbb{N}$, all depths $\ell \in \mathbb{N}$, all key pairs $(\mathsf{pk}, (\mathsf{sk}^{(\varepsilon)}, \mathsf{ek}^{(\varepsilon)}))$ generated by $\mathsf{Gen}(1^k, \ell)$, any node $w \in \{0,1\}^{\leq \ell}$, any derived key $\mathsf{sk}^{(w)}$ derived using Der from $(\mathsf{sk}^{(\varepsilon)}, \mathsf{dk}^{(\varepsilon)})$, and all messages $M \in \mathcal{M}$, it holds that $\mathsf{Dec}(\mathsf{sk}^{(w)}, \mathsf{Enc}(\mathsf{pk}, M, w)) = M$.

The indistinguishability against selective node, chosen plaintext attacks (IND-SN-CPA) is a generalization of the standard IND-CPA security notion of PKE schemes. Essentially, the security notion requires the adversary to commit to the node to be attacked in advance. The adversary gets access to all secret keys except the secret keys for all nodes that are on the path from the root node to the targeted node.

Experiment $\mathsf{Exp}^{\mathsf{ind\text{-}sn\text{-}cpa}}_{\mathsf{BTE},A}(1^k, \ell)$

$(\mathsf{pk}, \mathsf{sk}^{(\varepsilon)}, \mathsf{dk}^{(\varepsilon)}) \leftarrow \mathsf{Gen}(1^k, \ell)$
$b \xleftarrow{R} \{0,1\}$
$(w^*, \mathsf{st}) \leftarrow A(1^k, \ell)$
Let W be the set of all nodes that are siblings to the path from the root node to w^* and (if possible) w^*0 and w^*1.
Compute $(\mathsf{sk}^{(w)}, \mathsf{dk}^{(w)})$ for all $w \in W$ from $(\mathsf{sk}^{(\varepsilon)}, \mathsf{dk}^{(\varepsilon)})$ using Der.
$(M_0, M_1, \mathsf{st}) \leftarrow A(\mathsf{st}, \mathsf{pk}, (\mathsf{sk}^{(w)}, \mathsf{dk}^{(w)})_{w \in W})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}(\mathsf{pk}, M_b, w^*))$
if $b = b^*$ return 1, else return 0

Experiment 3. The IND-SN-CPA security experiment for a BTE scheme.

Definition 7 (IND-SN-CPA). *For a polynomially bounded function* ℓ*, a PPT adversary* A*, we define the advantage function in the sense of IND-SN-CPA as*

$$\mathsf{Adv}^{\mathsf{ind\text{-}sn\text{-}cpa}}_{\mathsf{BTE},A}(1^k, \ell(k)) = \left| \Pr\left[\mathsf{Exp}^{\mathsf{ind\text{-}sn\text{-}cpa}}_{\mathsf{BTE},A}(1^k, \ell(k)) = 1\right] - \frac{1}{2} \right|.$$

If for all ℓ*, and any* A *there exists a negligible function* ε *such that* $\mathsf{Adv}^{\mathsf{ind\text{-}sn\text{-}cpa}}_{\mathsf{BTE},A}(1^k, \ell(k)) < \varepsilon(k)$*, then a* BTE *scheme is IND-SN-CPA secure.*

The CHK Compiler. The technique of Canetti et al. [CHK03] can be summarized as follows. To build a forward-secret PKE scheme with n periods, one uses a BTE of depth ℓ such that $n < 2^{\ell+1}$. Associate each period with a node of the tree and write w^i to denote the node for period i. The node for period 0 is the root node, i.e. $w^0 = \varepsilon$. If w^i is an internal node, then set $w^{i+1} = w^i 0$. Otherwise, if w^i is a leaf node and $i < N - 1$, then set $w^{i+1} = w'1$ where w' is the longest string such that $w'0$ is a prefix of w^i. The public key is simply the public key of the BTE scheme. The secret key for period i consists of the secret key for node w^i.

3 Security of (Forward-Secret) Proxy Re-Encryption

Proxy re-encryption (PRE) schemes can exhibit several important properties. In the following, we focus on the most common PRE properties in the cryptographic literature, i.e., uni-directionality (Alice is able to delegate decryption rights to Bob but not from Bob to Alice), non-interactivity (Alice can generate delegation key material without interacting with Bob), and collusion-safeness (even if Bob and other delegatees are colluding with the proxy, they cannot extract Alice' full secret key). Moreover, we consider PRE schemes that only allow a single hop, i.e., a ciphertext can be re-encrypted only a single time in contrast to multiple times in a row (multi-hop). Latter can be problematic due to unwanted transitivity.

In this work, we examine a further property of PRE schemes, namely the property of forward secrecy and propose the first uni-directional, non-interactive, collusion-safe, single hop, and forward-secret PRE scheme (dubbed fs-PRE) in the standard model from generic assumptions. Subsequently, in Section 3.1, we present the formal model for fs-PRE, while in Section 3.3 we discuss the relation and application of our stronger model to the conventional (i.e., plain) PRE security model.

3.1 Syntax of Forward-Secret Proxy Re-Encryption

To realize forward-secure PRE (fs-PRE), we lift the definitions and security models of uni-directional, single-hop, non-interactive, and collusion-safe PRE to a setting where we can have several periods. Thereby, we allow re-encryptions in every period such that re-encryption keys—in the same way as secret keys—are bound to a period. Furthermore, we align our PRE definitions with Ateniese et al. as well as Libert and Vergnaud [AFGH05, AFGH06, LV08b] such that if we only have a single period, then they are equivalent to the definitions for plain PRE in [AFGH06, LV08b].[13]

Definition 8 (fs-PRE). *A forward-secure proxy re-encryption (fs-PRE) scheme with message space $\mathcal{M}$ consists of the PPT algorithms* (Setup, Gen, Evo, **Enc**,

[13] Observe that for a single period, i.e., $n = 1$, Evo and ReEvo in Definition 8 are not defined. Dropping these algorithms and the corresponding evolution keys ek and rek yields a plain PRE scheme.

$\mathbf{Dec}, \mathsf{ReGen}, \mathsf{ReEvo}, \mathsf{ReEnc})$ *where* $\mathbf{Enc} = (\mathsf{Enc}^{(j)})_{j\in[2]}$ *and* $\mathbf{Dec} = (\mathsf{Dec}^{(j)})_{j\in[2]}$ *for levels* $j \in [2]$*. We denote level-2 ciphertext as re-encryptable ciphertexts, whereas level-1 ciphertexts are not re-encryptable.*

$\mathsf{Setup}(1^k)$: *On input security parameter* k*, outputs public parameters* pp*.*

$\mathsf{Gen}(\mathsf{pp}, n)$: *On input public parameters* pp*, and number of periods* $n \in \mathbb{N}$*, outputs public and secret keys* $(\mathsf{pk}, (\mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}))$*.*

$\mathsf{Evo}(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})$: *On input secret key* $\mathsf{sk}^{(i)}$ *and evolution key* $\mathsf{ek}^{(i)}$ *for period* $i \in \{0, \ldots, n-2\}$*, outputs a secret key* $\mathsf{sk}^{(i+1)}$ *and evolution key* $\mathsf{ek}^{(i+1)}$ *for period* $i+1$*.*

$\mathsf{Enc}^{(j)}(\mathsf{pk}, M, i)$: *On input a public key* pk*, a message* $M \in \mathcal{M}$*, and period* $i \in \{0, \ldots, n-1\}$*, outputs a level-*j *ciphertext* C*.*

$\mathsf{Dec}^{(j)}(\mathsf{sk}^{(i)}, C)$: *On input a secret key* $\mathsf{sk}^{(i)}$*, for period* $i \in \{0, \ldots, n-1\}$*, and level-*j *ciphertext* C*, outputs* $M \in \mathcal{M} \cup \{\bot\}$*.*

$\mathsf{ReGen}(\mathsf{sk}_A^{(i)}, \mathsf{ek}_A^{(i)}, \mathsf{pk}_B)$: *On input a secret key* $\mathsf{sk}_A^{(i)}$ *and a evolution key* $\mathsf{ek}_A^{(i)}$ *(or* $\bot$*) for* A *and period* $i \in \{0, \ldots, n-1\}$*, and a public key* pk_B *for* B*, outputs a re-encryption* $\mathsf{rk}_{A\to B}^{(i)}$ *and re-encryption-evolution key* $\mathsf{rek}_{A\to B}^{(i)}$ *(or* $\bot$*).*

$\mathsf{ReEvo}(\mathsf{rk}_{A\to B}^{(i)}, \mathsf{rek}_{A\to B}^{(i)})$: *On input a re-encryption key* $\mathsf{rk}_{A\to B}^{(i)}$*, and a re-encryption-evolution key* $\mathsf{rek}_{A\to B}^{(i)}$ *for period* $i \in \{0, \ldots, n-2\}$*, outputs a re-encryption key* $\mathsf{rk}_{A\to B}^{(i+1)}$ *and re-encryption evolution key* $\mathsf{rek}_{A\to B}^{(i+1)}$ *for the period* $i+1$*.*

$\mathsf{ReEnc}(\mathsf{rk}_{A\to B}^{(i)}, C_A)$: *On input a re-encryption key* $\mathsf{rk}_{A\to B}^{(i)}$*, and a (level-2) ciphertext* C_A *for user* A*, outputs a (level-1) ciphertext* C_B *for user* B*.*

Correctness. For correctness, we basically require on the one hand that every ciphertext encrypted for some period i can be decrypted with the respective secret key from period i. On the other hand—when also considering re-encryptable and re-encrypted ciphertexts—we require that level-2 ciphertexts encrypted for period i can be re-encrypted with a suitable re-encryption key for the same period and then decrypted using the (delegatee's) respective secret key for period i. More formally, for all security parameters $k \in \mathbb{N}$, all public parameters $\mathsf{pp} \leftarrow \mathsf{Setup}(1^k)$, any number of periods $n \in \mathbb{N}$ and users $U \in \mathbb{N}$, all key tuples $(\mathsf{pk}_u, \mathsf{sk}_u^{(0)}, \mathsf{ek}_u^{(0)})_{u\in[U]}$ generated by $\mathsf{Gen}(1^k, n)$, any period $i \in \{0, \ldots, n-1\}$, for any $u \in [U]$, any evolved key $\mathsf{sk}_u^{(i+1)}$ generated by $\mathsf{Evo}(\mathsf{sk}_u^{(i)})$, for all $u' \in [U], u \neq u'$, any (potentially evolved) re-encryption and re-encryption-evolution keys $\mathsf{rk}_{u\to u'}^{(i)}$ and $\mathsf{rek}_{u\to u'}^{(i)}$, respectively, for period i generated using ReGen from (potentially evolved) secret and evolution keys as well as the target public key, and all messages $M \in \mathcal{M}$, it holds that

$$\forall j \in [2]\ \exists j' \in [2]:\ \mathsf{Dec}^{(j')}(\mathsf{sk}_u^{(i)}, \mathsf{Enc}^{(j)}(\mathsf{pk}_u, M, i)) = M,$$
$$\mathsf{Dec}^{(1)}(\mathsf{sk}_{u'}^{(i)}, \mathsf{ReEnc}(\mathsf{rk}_{u\to u'}^{(i)}, \mathsf{Enc}^{(2)}(\mathsf{pk}_u, M, i))) = M.$$

3.2 Security of Forward-Secret Proxy Re-Encryption

The security notions for fs-PRE are heavily inspired by the security notions of (plain) PRE [AFGH05, AFGH06, LV08b] and forward-secret PKE [CHK03]. We

will discuss multiple notions, combine them carefully, and introduce forward-secret indistinguishably under chosen-plaintext attacks for level-1 and level-2 ciphertexts (termed fs-IND-CPA-1 and fs-IND-CPA-2, respectively) which we argue to be reasonable notions in our setting. Additionally, we define a new (stronger) variant of indistinguishably-under-chosen-plaintext-attacks security for fs-PRE (dubbed fs-RIND-CPA) that focuses on malicious users in the face of honest proxies. In particular, the latter strengthen the folklore PRE security notion.

For all experiments defined in this section, the environment keeps initially empty lists of dishonest (DU) and honest users (HU). The oracles are defined as follows:

$\mathsf{Gen}^{(h)}(\mathsf{pp}, n)$: Run $(\mathsf{pk}, \mathsf{sk}, \mathsf{ek}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$, set $\mathtt{HU} \leftarrow \mathtt{HU} \cup \{(\mathsf{pk}, \mathsf{sk}, \mathsf{ek})\}$, and return pk.

$\mathsf{Gen}^{(d)}(\mathsf{pp}, n)$: Run $(\mathsf{pk}, \mathsf{sk}, \mathsf{ek}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$, set $\mathtt{DU} \leftarrow \mathtt{DU} \cup \{(\mathsf{pk}, \mathsf{sk}, \mathsf{ek})\}$, and return $(\mathsf{pk}, \mathsf{sk}, \mathsf{ek})$.

$\mathsf{ReGen}^{(h)}(j, \mathsf{pk}_u, \mathsf{pk})$: On input a period j, a public key pk_u and a public key pk, abort if $(\mathsf{pk}_u, \cdot, \cdot) \notin \mathtt{HU}$. Otherwise, look up $\mathsf{sk}_u^{(0)}$ and $\mathsf{ek}_u^{(0)}$ corresponding to pk_u from HU. If $j > 0$ set $(\mathsf{sk}_u^{(i)}, \mathsf{ek}_u^{(i)}) \leftarrow \mathsf{Evo}(\mathsf{sk}_u^{(i-1)}, \mathsf{ek}_u^{(i-1)})$ for $i \in [j]$. Return $\mathsf{ReGen}(\mathsf{sk}_u^{(j)}, \mathsf{ek}_u^{(j)}, \mathsf{pk})$.

$\mathsf{ReGen}^{(h')}(j, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \mathsf{pk}_u)$: On input a period j, secret key $\mathsf{sk}^{(0)}$, evolution key $\mathsf{ek}^{(0)}$, and a public key pk_u, abort if $(\mathsf{pk}_u, \cdot, \cdot) \notin \mathtt{HU}$. Otherwise, if $j > 0$ set $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(i-1)}, \mathsf{ek}^{(i-1)})$ for $i \in [j]$. Return $\mathsf{ReGen}(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}, \mathsf{pk}_u)$.

$\mathsf{ReGen}^{(d)}(j, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \mathsf{pk}_d)$: On input a period j, secret key $\mathsf{sk}^{(0)}$, evolution key $\mathsf{ek}^{(0)}$, and a public key pk_d, abort if $(\mathsf{pk}_d, \cdot, \cdot) \notin \mathtt{DU}$. Otherwise, if $j > 0$ set $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(i-1)}, \mathsf{ek}^{(i-1)})$ for $i \in [j]$. Return $\mathsf{ReGen}(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}, \mathsf{pk}_d)$.

fs-IND-CPA-i security. We start with the definition of fs-IND-CPA-1 and fs-IND-CPA-2 security for fs-PRE. Inspired by the work on forward secrecy due to Canetti, Halevi, and Katz [CHK03], our experiments lift standard PRE security notions as defined in Ateniese et al. [AFGH05] (AFGH) to the forward-secrecy setting. More concretely, after the selection of a target period j^* by the adversary A, A gets access to the secret and the evolution key $(\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$ of the target period j^*, while the challenge ciphertext for A-chosen message M_b is generated for period $j^* - 1$, for uniform $b \leftarrow \{0, 1\}$. Eventually, A outputs a guess on b. We say A is valid if A only outputs equal-length messages $|M_0| = |M_1|$ and $1 \leq j^* \leq n$.

Furthermore, we adapted the AFGH security experiment such that A has access to re-encryption and re-encryption-evolution keys for period $j^* - 1$. Analogously to previous work on PRE, we present two separate notions for level-1 and level-2 ciphertexts. The corresponding security experiments are given in Experiment 4 and Experiment 5. The only difference in Experiment 4 is that for level-1 ciphertexts, i.e., the ones which can no longer be re-encrypted, the adversary

gets access to more re-encryption and re-encryption-evolution keys (obviously, the challenge ciphertext in that experiment is a level-1 ciphertext).

Experiment $\mathsf{Exp}^{\mathsf{fs\text{-}ind\text{-}cpa\text{-}1}}_{\mathsf{fs\text{-}PRE},A}(1^k, n)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n), b \xleftarrow{R} \{0,1\}$
$(j^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, n, \mathsf{pk})$
$(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(j-1)}, \mathsf{ek}^{(j-1)})$ for $j \in [j^*]$.
$\mathcal{O} \leftarrow \{\mathsf{Gen}^{(h)}, \mathsf{ReGen}^{(h)}(j^* - 1, \cdot, \mathsf{pk}), \mathsf{ReGen}^{(h')}(j^* - 1, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \cdot), \mathsf{Gen}^{(d)}, \mathsf{ReGen}^{(d)}(j^* - 1, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{st}, \mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}^{(1)}(\mathsf{pk}, M_b, j^* - 1))$
if $b = b^*$ return 1, else return 0

Experiment 4. The fs-IND-CPA-1 security experiment for level-1 ciphertexts of fs-PRE schemes.

Experiment $\mathsf{Exp}^{\mathsf{fs\text{-}ind\text{-}cpa\text{-}2}}_{\mathsf{fs\text{-}PRE},A}(1^k, n)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n), b \xleftarrow{R} \{0,1\}$
$(j^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, n, \mathsf{pk})$
$(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(j-1)}, \mathsf{ek}^{(j-1)})$ for $j \in [j^*]$.
$\mathcal{O} \leftarrow \{\mathsf{Gen}^{(h)}, \mathsf{ReGen}^{(h)}(j^* - 1, \cdot, \mathsf{pk}), \mathsf{ReGen}^{(h')}(j^* - 1, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{st}, \mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}^{(2)}(\mathsf{pk}, M_b, j^* - 1))$
if $b = b^*$ return 1, else return 0

Experiment 5. The fs-IND-CPA-2 security experiment for level-2 ciphertexts of fs-PRE schemes.

Definition 9 (fs-IND-CPA-i). *For a polynomially bounded function $n(\cdot) > 1$, a PPT adversary A, we define the advantage function for A in the sense of fs-IND-CPA-i for level-i ciphertexts as*

$$\mathsf{Adv}^{\mathsf{fs\text{-}ind\text{-}cpa\text{-}i}}_{fs-\mathsf{PRE},A}(1^k, n(k)) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{fs\text{-}ind\text{-}cpa-i}}_{fs-\mathsf{PRE},A}(1^k, n(k)) = 1\right] - \frac{1}{2} \right|.$$

*A fs-*PRE *scheme is fs-IND-CPA-i secure if for all polynomially bounded $n(\cdot) > 1$ and any valid PPT A there exists a negligible function ε such that* $\mathsf{Adv}^{\mathsf{fs\text{-}ind\text{-}cpa\text{-}i}}_{fs-\mathsf{PRE},A}(1^k, n(k)) < \varepsilon(k)$*, where* $\mathsf{Exp}^{\mathsf{fs\text{-}ind\text{-}cpa-i}}_{fs-\mathsf{PRE},A}$*, for all $i \in [2]$, are defined in Experiment 4 and Experiment 5, respectively.*

Master-secret security. As discussed in [LV08b], the security notion for level-1 (i.e., non re-encryptable) ciphertexts already implies classical master-secret security notion for PRE [AFGH05].[14] However, this must not be the case in the forward-secret setting. To formally close this gap, we give a trivial lemma (cf. Lemma 1) which states that fs-IND-CPA-1 implies master-secret security in the sense of Experiment 6 in the forward-secrecy setting. Essentially, master-secret

[14] As we will discuss below, this notion seems to suggest false guarantees and leaves a critical gap in the security model open.

security ensures collusion safeness such that re-encryption keys in period j do not leak the secret key corresponding to level-1 ciphertexts which can not be re-encrypted in period $j-1$. In Experiment 6, we lift master-secret security in the classical PRE sense to the forward-secret setting. In the experiment, the adversary A selects an target period j^* and receives the secret and evolution keys $(\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$ for the target period in return. Within the experiment, A has access to several oracles, e.g., to obtain re-encryption and re-encryption-evolution keys for period j^*. Eventually, A outputs secret and evolutions keys $(\mathsf{sk}^*, \mathsf{ek}^*)$ and the experiment returns 1 (i.e., A wins) if $(\mathsf{sk}^*, \mathsf{ek}^*) = (\mathsf{sk}^{(j^*-1)}, \mathsf{ek}^{(j^*-1)})$. We say A is valid if A only outputs $1 \leq j^* \leq n$.

Experiment $\mathsf{Exp}^{\mathsf{fs\text{-}msk}}_{fs-\mathsf{PRE},A}(1^k, n)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$
$(j^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, n, \mathsf{pk})$
$(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(j-1)}, \mathsf{ek}^{(j-1)})$ for $j \in [j^*]$.
$\mathcal{O} \leftarrow \{\mathsf{Gen}^{(h)}, \mathsf{ReGen}^{(h)}(j^*, \cdot, \mathsf{pk}), \mathsf{ReGen}^{(h')}(j^*, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \cdot), \mathsf{Gen}^{(d)}, \mathsf{ReGen}^{(d)}(j^*, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \cdot)\}$
$(\mathsf{sk}^*, \mathsf{ek}^*) \leftarrow A^{\mathcal{O}}(\mathsf{st}, \mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$
if $(\mathsf{sk}^*, \mathsf{ek}^*) = (\mathsf{sk}^{(j^*-1)}, \mathsf{ek}^{(j^*-1)})$ return 1, else return 0

Experiment 6. The forward secure master secret security experiment for fs-PRE schemes.

Definition 10 (fs-master-secret security). *For a polynomially bounded function $n(\cdot) > 1$ and a PPT adversary A, we define the advantage function for A in the sense of fs-master-secret security as*

$$\mathsf{Adv}^{\mathsf{fs\text{-}msk}}_{fs-\mathsf{PRE},A}(1^k, n(k)) := \Pr\left[\mathsf{Exp}^{\mathsf{fs\text{-}msk}}_{fs-\mathsf{PRE},A}(1^k, n(k)) = 1\right].$$

A fs-PRE scheme is fs-master-secret secure if for all polynomially bounded $n(\cdot) > 1$ and any valid PPT A there exists a negligible function ε such that $\mathsf{Adv}^{\mathsf{fs\text{-}msk}}_{fs-\mathsf{PRE},A}(1^k, n(k)) < \varepsilon(k)$, where $\mathsf{Exp}^{\mathsf{fs\text{-}msk}}_{fs-\mathsf{PRE},A}$ is defined in Experiment 6.

We now show that this notion in the sense of Definition 10 is trivially implied by fs-IND-CPA-1 security for fs-PRE in the sense of Definition 9.

Lemma 1. *If a fs-PRE scheme is fs-IND-CPA-1 secure in the sense of Definition 9, then the same fs-PRE scheme is fs-master-secret secure in the sense of Definition 10.*

Proof sketch. It is trivial to see that any successful PPT adversary on the fs-master-secret security of a fs-PRE scheme can be transformed into a PPT adversary on the fs-IND-CPA-1 security of that fs-PRE scheme. (Essentially, any PPT adversary that is able to gain access to the secret key of the prior period can trivially distinguish ciphertexts for the same period.)

The problem with (fs-)PRE security. A problem with the notion of standard (i.e., IND-CPA and master secret) security for (plain) PRE and also our fs-PRE

notions so far is that the secret keys used for level-1 (i.e., non re-encryptable) and level-2 (i.e., re-encryptable) ciphertexts can be independent. Consequently, although ciphertexts on both levels can be shown to be indistinguishable, this does not rule out the possibility that ciphertexts on level-2 reveal the respective level-2 secret key of the sender to an legitimate receiver. This is exactly the reason for the gap in the plain PRE model which allows to leak a "level-2 secret key" once a re-encryption has been performed while all security properties are still satisfied (we provide an example for such a scheme in Section 4.4). In particular, this allows the receiver to potentially decrypt *any* level-2 ciphertext. We provide a solution in form of a stronger security notion which we term fs-RIND-CPA security in the following.

fs-RIND-CPA security. We observe that existing PRE notions only consider that (1) as long as the users are honest, the proxy learns nothing about any plaintext, and (2) if proxies and users collude they do not learn anything about the ciphertexts which are not intended to be re-encrypted. We go a step further and consider malicious users in the face of an honest proxy in the forward-secret and, hence, also in the plain PRE sense. That is, we want to enforce that a malicious user can only read the ciphertexts which were actually re-encrypted by the proxy and can not tell anything about the ciphertexts which can potentially be re-encrypted. We capture this via the notion of fs-RIND-CPA security. In this scenario, an adversary receives re-encrypted ciphertexts generated by an honest proxy, that it is able to decrypt. Nevertheless, for all other level-2 ciphertexts, the adversary should still be unable to recover the plaintext. In Experiment 7, we model this notion where the adversary gets access to a ReEnc-oracle which is in possession of the re-encryption key from the target user to the adversary. We say A is valid if A only outputs $1 \leq j^* \leq n$ and equal length messages $|M_0| = |M_1|$.

Experiment $\mathsf{Exp}^{\mathsf{fs\text{-}rind\text{-}cpa}}_{fs-\mathsf{PRE},A}(1^k, n)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n), b \xleftarrow{R} \{0,1\}$
$(j^*, \mathsf{pk}^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, n, \mathsf{pk})$
$(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(j-1)}, \mathsf{ek}^{(j-1)})$ for $j \in [j^*]$
$\mathsf{rk} \leftarrow \mathsf{ReGen}(\mathsf{sk}^{(j^*)}, \bot, \mathsf{pk}^*)$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\{\mathsf{ReEnc}(\mathsf{rk},\cdot)\}}(\mathsf{st})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}^{(2)}(\mathsf{pk}, M_b, j^*))$
if $b = b^*$ return 1, else return 0

Experiment 7. The fs-RIND-CPA security experiment for fs-PRE schemes.

Definition 11 (fs-RIND-CPA). *For a polynomially bounded function $n(\cdot)$ and a PPT adversary A, we define the advantage function for A in the sense of fs-RIND-CPA as*

$$\mathsf{Adv}^{\mathsf{fs\text{-}rind\text{-}cpa}}_{fs-\mathsf{PRE},A}(1^k, n(k)) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{fs\text{-}rind\text{-}cpa}}_{fs-\mathsf{PRE},A}(1^k, n(k)) = 1\right] - \frac{1}{2} \right|.$$

*A fs-*PRE *scheme is fs-RIND-CPA if for all polynomially bounded $n(\cdot)$ and any valid PPT A there exists a negligible function ε such that* $\mathsf{Adv}^{\text{fs-rind-cpa}}_{fs-\mathsf{PRE},A}(1^k, n(k)) < \varepsilon(k)$*, where* $\mathsf{Exp}^{\text{fs-rind-cpa}}_{fs-\mathsf{PRE},A}$ *is defined in Experiment 7.*

We distinguish fs-PRE schemes based on this last notion:

Definition 12 (fs-PRE^--security). *If a fs-*PRE *scheme is fs-IND-CPA-1 and fs-IND-CPA-2 secure, then we say this fs-*PRE *scheme is fs-*PRE^-*-secure.*

Definition 13 (fs-PRE^+-security). *If a fs-*PRE *scheme is fs-IND-CPA-1, fs-IND-CPA-2, and fs-RIND-CPA secure, then we say this fs-*PRE *scheme is fs-*PRE^+*-secure.*

3.3 Stronger Security for Proxy Re-Encryption

To conclude the discussion of the security model of fs-PRE schemes, we first observe that it is interesting to consider the notion of fs-RIND-CPA security in the classical setting for PRE, i.e., Experiment 7 with fixed $n = 1$ and no call to the Evo algorithm. The notion again ensures involvement of the proxy for the re-encryption of every ciphertext, and can, thus, enforce that malicious users cannot learn anything beyond the explicitly re-encrypted ciphertexts. This immediately leads to a stronger security model for classical PRE (given in the full version), which we denote as PRE^+. In particular, it extends the classical model [AFGH05], dubbed PRE^-, which covers standard (IND-CPA) and master-secret security definitions, by our fs-RIND-CPA security notion ported to the PRE setting. As our fs-IND-CPA-i notions for fs-PRE are generalizations of the established standard security notions of PRE as defined in [AFGH05], we consequently obtain a PRE^+-secure PRE scheme from any fs-PRE^+-secure fs-PRE scheme. We formalize this observation via Lemma 2.

Lemma 2. *Any fs-*PRE^+*-secure fs-*PRE *scheme yields a* PRE^+*-secure* PRE *scheme.*

Before proving this lemma, we state the definition of RIND-CPA in in the context of PREs.

Experiment $\mathsf{Exp}^{\text{rind-cpa}}_{\mathsf{PRE},A}(1^k)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\mathsf{pp}), b \xleftarrow{R} \{0,1\}$
$(\mathsf{pk}^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, \mathsf{pk})$
$\mathsf{rk} \leftarrow \mathsf{ReGen}(\mathsf{sk}, \mathsf{pk}^*)$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\{\mathsf{ReEnc}(\mathsf{rk},\cdot)\}}(\mathsf{st})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}^{(2)}(\mathsf{pk}, M_b))$
if $b = b^*$ return 1, else return 0

Experiment 8. The RIND-CPA security experiment for PRE schemes.

Definition 14 (RIND-CPA). *For a PPT adversary A, we define the advantage function for A in the sense of RIND-CPA as*

$$\mathsf{Adv}^{\mathsf{rind\text{-}cpa}}_{\mathsf{PRE},A}(1^k) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{rind\text{-}cpa}}_{\mathsf{PRE},A}(1^k) = 1\right] - \frac{1}{2} \right|.$$

A PRE *scheme is RIND-CPA if for any valid PPT A there exists a negligible function ε such that $\mathsf{Adv}^{\mathsf{rind\text{-}cpa}}_{\mathsf{PRE},A}(1^k) < \varepsilon(k)$, where $\mathsf{Exp}^{\mathsf{rind\text{-}cpa}}_{\mathsf{PRE},A}$ is defined in Experiment 8.*

Proof (of Lemma 2). To prove the Lemma, we first present a black-box construction of a PRE scheme from any fs-PRE scheme in Scheme 1.

Let $(\mathsf{Setup}_{fs\text{-}\mathsf{PRE}}, \mathsf{Gen}_{fs\text{-}\mathsf{PRE}}, \mathsf{Evo}_{fs\text{-}\mathsf{PRE}}, \mathsf{Enc}_{fs\text{-}\mathsf{PRE}}, \mathsf{Dec}_{fs\text{-}\mathsf{PRE}}, \mathsf{ReGen}_{fs\text{-}\mathsf{PRE}}, \mathsf{ReEvo}_{fs\text{-}\mathsf{PRE}}, \mathsf{ReEnc}_{fs\text{-}\mathsf{PRE}})$ be fs-PRE scheme with adaption of ciphertexts and delegation keys.

$\underline{\mathsf{Setup}(1^k)}$: Return $\mathsf{pp} \leftarrow \mathsf{Setup}_{fs\text{-}\mathsf{PRE}}(1^k)$.
$\underline{\mathsf{Gen}(\mathsf{pp})}$: Set $(\mathsf{pk}_{\mathsf{PRE}}, \mathsf{sk}^{(0)}_{\mathsf{PRE}}, \mathsf{ek}^{(0)}_{\mathsf{PRE}}) \leftarrow \mathsf{Gen}_{fs\text{-}\mathsf{PRE}}(\mathsf{pp}, 1)$, and return $(\mathsf{pk}_{\mathsf{PRE}}, \mathsf{sk}^{(0)}_{\mathsf{PRE}})$.
$\underline{\mathsf{Enc}^{(j)}(\mathsf{pk}, M)}$: Return $\mathsf{Enc}^{(j)}_{fs\text{-}\mathsf{PRE}}(\mathsf{pk}, M, 0)$.
$\underline{\mathsf{Dec}^{(j)}(\mathsf{sk}, C)}$: Return $\mathsf{Dec}^{(j)}_{fs\text{-}\mathsf{PRE}}(\mathsf{sk}, M, 0)$.
$\underline{\mathsf{ReGen}(\mathsf{sk}_A, \mathsf{pk}_B)}$: Return $\mathsf{ReGen}_{fs\text{-}\mathsf{PRE}}(\mathsf{sk}_A, \bot, \mathsf{pk}_B)$.
$\underline{\mathsf{ReEnc}(\mathsf{rk}_{A\to B}, C_A)}$: Return $\mathsf{ReEnc}_{fs\text{-}\mathsf{PRE}}(\mathsf{rk}_{A\to B}, C_A)$.

Scheme 1. PRE scheme from a fs-PRE scheme.

Based on this, we show that fs-IND-CPA-i security of the underlying fs-PRE implies IND-CPA-i security of the PRE. Let B be an IND-CPA-i adversary. A fs-IND-CPA-i adversary A can be built in a straightforward manner:

- When A is started on pp, n and pk, output 1 as A's target period.
- When A is started on the state and the secret key for period 1, start B on pk and return the challenge plaintexts and the state st. All oracle queries by B are simply forwarded to the respective oracles of the fs-IND-CPA-i experiment.
- When A is started on the challenge ciphertext, first note that this ciphertext is a level i ciphertext for period 0. Thus we can again forward the challenge ciphertext to B. Once B outputs its guess b', A forwards b' as its own guess to the fs-IND-CPA-i challenger.

Note that all values are consistently distributed. Thus it follows that if B has a non-negligible advantage in the IND-CPA-i-game, then A has a non-negligible advantage in winning the fs-IND-CPA-i game.

Additionally, with a similar reduction as above, fs-RIND-CPA of the fs-PRE straightforwardly implies RIND-CPA of the PRE scheme. □

4 Constructing fs-PRE from Binary Tree Encryption

In this section we present our construction of fs-PRE which is based on BTEs. Along the way, we introduce the notion of forward-secret delegatable PKE (fs-DPKE) as intermediate step. Such a fs-DPKE scheme then directly gives us a

first fs-PRE satisfying fs-PRE$^-$ security. To extend our construction to satisfy the stronger fs-PRE$^+$ notion generically, we require a relatively mild homomorphic property of the fs-DPKE. This property is in particular satisfied by our fs-DPKE instantiation, which yields the first fs-PRE scheme with strong security.

4.1 Forward-Secret Delegatable Public-Key Encryption

We now formalize fs-DPKE. In such a scheme decryption rights within a public-key encryption scheme can be delegated from a delegator to a delegatee and secret keys of delegators can be evolved so that a secret key for some period e_i is no longer useful to decrypt ciphertexts of prior periods e_j with $j < i$.

Definition 15 (fs-DPKE). *A forward-secret delegatable* PKE *(fs-*DPKE*) scheme with message space $\mathcal{M}$ consists of the PPT algorithms* (Setup, Gen, Evo, Del, Enc, Dec, DelEvo, DelDec) *as follows:*

$\mathsf{Setup}(1^k)$: *On input security parameter k, outputs public parameters* pp.

$\mathsf{Gen}(\mathsf{pp}, n)$: *On input public parameters* pp*, and maximum number of periods n, outputs public, secret and evolution keys* $(\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)})$.

$\mathsf{Evo}(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})$: *On input secret key* $\mathsf{sk}^{(i)}$*, and evolution key* $\mathsf{ek}^{(i)}$ *for period $i \in \{0, \ldots, n-2\}$, outputs secret key* $\mathsf{sk}^{(i+1)}$ *and evolution key* $\mathsf{ek}^{(i+1)}$ *for period $i+1$.*

$\mathsf{Del}(\mathsf{sk}_A^{(i)}, \mathsf{ek}_A^{(i)}, \mathsf{pk}_B)$: *On input secret key* $\mathsf{sk}_A^{(i)}$ *and evolution key* $\mathsf{ek}_A^{(i)}$ *(or $\perp$) for A and period $i \in \{0, \ldots, n-1\}$, and public key* pk_B *for B, outputs delegated key* $\mathsf{dk}^{(i)}$ *and delegated evolution key* $\mathsf{dek}^{(i)}$ *(or $\perp$) for period i.*

$\mathsf{Enc}(\mathsf{pk}, M, i)$: *On input a public key* pk*, a message $M \in \mathcal{M}$, and period $i \in \{0, \ldots, n-1\}$, outputs a ciphertext C.*

$\mathsf{Dec}(\mathsf{sk}^{(i)}, C)$: *On input a secret key* $\mathsf{sk}^{(i)}$*, for period $i \in \{0, \ldots, n-1\}$, and ciphertext C, outputs $M \in \mathcal{M} \cup \{\perp\}$.*

$\mathsf{DelEvo}(\mathsf{dk}^{(i)}, \mathsf{dek}^{(i)})$: *On input a delegation key* $\mathsf{dk}^{(i)}$ *and delegated evolution key* $\mathsf{dek}^{(i)}$ *for period $i \in \{0, \ldots, n-2\}$, output delegation key* $\mathsf{dk}^{(i+1)}$ *and delegated evolution key* $\mathsf{dek}^{(i+1)}$ *for period $i+1$.*

$\mathsf{DelDec}(\mathsf{sk}_B^{(i)}, \mathsf{dk}_{A\to B}^{(i)}, C_A)$: *On input secret key* $\mathsf{sk}_B^{(i)}$ *for B and period $i \in \{0, \ldots, n-1\}$, delegation key* $\mathsf{dk}_{A\to B}^{(i)}$ *from A for B and period i, and ciphertext C_A for A, outputs $M \in \mathcal{M} \cup \{\perp\}$.*

We note that the existence of the DelEvo algorithm is entirely optional. If provided, it allows the user in possession of a delegation key to evolve it for later periods without additional interaction with the delegator.

Correctness. For correctness we require that period i ciphertexts encrypted for user u can be decrypted if one is in possession of the secret key of u evolved to that period or one possess a delegation key of u to another user u' and the secret key for u' for that period. More formally, we require that for all security parameters $k \in \mathbb{N}$, all public parameters pp generated by $\mathsf{Setup}(1^k)$, all number of periods $n \in \mathbb{N}$, all users $U \in \mathbb{N}$, all key tuples $(\mathsf{pk}_u, \mathsf{sk}_u^{(0)}, \mathsf{ek}_u^{(0)})_{u\in[U]}$ generated

by $\mathsf{Gen}(\mathsf{pp}, n)$, any period $i \in \{0, \ldots, n-1\}$, for any $u \in [U]$, any evolved keys $(\mathsf{sk}_u^{(i)}, \mathsf{ek}_u^{(i)})$ generated by Evo from $(\mathsf{sk}_u^{(0)}, \mathsf{ek}_u^{(0)})$, for all $u' \in [U], u \neq u'$, any (potentially evolved) delegation key $\mathsf{dk}_{u \to u'}^{(i)}$ for period i generated using Del from a (potentially evolved) secret key and the target public key, and all messages $M \in \mathcal{M}$ it holds that

$$\mathsf{Dec}(\mathsf{sk}_u^{(i)}, \mathsf{Enc}(\mathsf{pk}_u, M, i)) = \mathsf{DelDec}(\mathsf{sk}_{u'}^{(i)}, \mathsf{dk}_{u \to u'}^{(i)}, \mathsf{Enc}(\mathsf{pk}_u, M, i)) = M.$$

Security notions. The forward-secret IND-CPA notion is a straight-forward extension of the typical IND-CPA notion: the adversary selects a target period and gets access to secret and evolution keys of the targeted user for the selected period and is able to request delegation keys with honest and dishonest users for that period. The adversary then engages with an IND-CPA style challenge for the previous period. For the experiment, which is depicted in Experiment 9, the environment keeps a list of an initial empty list of honest users $\mathtt{HU}$.

$\mathsf{Gen}^{(h)}(\mathsf{pp}, n)$: Run $(\mathsf{pk}, \mathsf{sk}, \mathsf{ek}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$, set $\mathtt{HU} \leftarrow \mathtt{HU} \cup \{(\mathsf{pk}, \mathsf{sk}, \mathsf{ek})\}$, and return pk.

$\mathsf{Del}^{(h)}(j, \mathsf{pk}_u, \mathsf{pk})$: On input a period j, a public key pk_u and a public key pk, abort if $(\mathsf{pk}_u, \cdot) \notin \mathtt{HU}$. Otherwise, look up $\mathsf{sk}_u^{(0)}, \mathsf{ek}_u^{(0)}$ corresponding to pk_u from $\mathtt{HU}$, set $(\mathsf{sk}_u^{(i)}, \mathsf{ek}_u^{(i)}) \leftarrow \mathsf{Evo}(\mathsf{sk}_u^{(i-1)}, \mathsf{ek}_u^{(i-1)})$ for $i \in [j]$ if $j > 0$, and return $\mathsf{Del}(\mathsf{sk}_u^{(j)}, \mathsf{ek}_u^{(j)}, \mathsf{pk})$.

$\mathsf{Del}^{(h')}(j, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \mathsf{pk}_u)$: On input a period j, a secret key $\mathsf{sk}^{(0)}$, a evolution key $\mathsf{ek}^{(0)}$, and a public key pk_u, abort if $(\mathsf{pk}_u, \cdot) \notin \mathtt{HU}$. Otherwise, set $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)}) \leftarrow \mathsf{Evo}(\mathsf{sk}^{(i-1)}, \mathsf{ek}^{(i-1)})$ for $i \in [j]$ if $j > 0$, and return $\mathsf{Del}(\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)}, \mathsf{pk}_u)$.

Experiment $\mathsf{Exp}^{\mathsf{fs\text{-}ind\text{-}cpa}}_{fs-\mathsf{DPKE},A}(1^k, n)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n), b \xleftarrow{R} \{0,1\}$
$(j^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, n, \mathsf{pk})$
$\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)} \leftarrow \mathsf{Evo}(\mathsf{sk}^{(j-1)}, \mathsf{ek}^{(j-1)})$ for $j \in [j^*]$.
$\mathcal{O} \leftarrow \{\mathsf{Gen}^{(h)}, \mathsf{Del}^{(h)}(j^* - 1, \cdot, \mathsf{pk}), \mathsf{Del}^{(h')}(j^* - 1, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{st}, \mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)}))$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}(\mathsf{pk}, M_b, j^* - 1))$
if $b = b^*$ return 1, else return 0

Experiment 9. The fs-IND-CPA security experiment for a fs-DPKE scheme.

Definition 16 (fs-IND-CPA). *For a polynomially bounded function $n(\cdot) > 1$, a PPT adversary A, we define the advantage function in the sense of fs-IND-CPA as*

$$\mathsf{Adv}^{\mathsf{fs\text{-}ind\text{-}cpa}}_{fs-\mathsf{DPKE},A}(1^k, n(k)) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{fs\text{-}ind\text{-}cpa}}_{fs-\mathsf{DPKE},A}(1^k, n(k)) = 1\right] - \frac{1}{2} \right|.$$

If for all $n(\cdot) > 1$, and any A there exists a negligible function ε such that $fs-\mathsf{DPKE}, A(1^k, n(k)) < \varepsilon(k)$, then a fs-$\mathsf{DPKE}$ scheme is fs-IND-CPA secure.

4.2 Constructing fs-DPKE from BTE

Now we construct a fs-DPKE scheme from a BTE scheme by applying the CHK compiler to a BTE and combining it with an $\mathcal{F}$-HPKE scheme for handling the delegation keys, i.e., the fs-DPKE key contains a BTE and an $\mathcal{F}$-HPKE key. The evolution key contains the secret and derivation keys for all right siblings on the path from the root node to w^i as well as the evolution key for w^i. The evolution algorithms traverse the tree in a depth-first manner, hence the evolution keys are viewed as stack and when visiting a node, the derived secret and derivation keys are pushed onto the stack. To simplify the presentation of the scheme, we define an algorithm DFEval that performs the stack manipulation on a stack of pairs:

$\mathsf{DFEval}(s_1^{(w^i)}, s, \mathsf{Eval})$: On input the stack s and first element $s_1^{(w^i)}$ of the pair for node w^i, an algorithm Eval, perform the following steps:

- Pop the topmost element, $(\perp, s_2^{(w^i)})$, from the stack s.
- If w^i is an internal node, set $s^{(w^i 0)}, s^{(w^i 1)} \leftarrow \mathsf{Eval}(s_1^{(w^i)}, s_2^{(w^i)})$ and push $s^{(w^i 1)}, s^{(w^i 0)}$ onto s.
- Replace the topmost element, $(s_1^{(w^{i+1})}, s_2^{(w^{i+1})})$, with $(\perp, s_2^{(w^{i+1})})$.
- Return $s_1^{(w^{i+1})}$ and the new stack s.

The overall idea is now to encrypt the BTE secret key of the current period using the $\mathcal{F}$-HPKE scheme's public key of the target user. Using the homomorphic property of the encryption scheme, we are able to evolve the delegation keys in the same way as the secret keys of the nodes. In particular, we will require that the key derivation algorithm of the BTE can be represented by functions in $\mathcal{F}$, i.e., $\mathsf{Der}_{\mathsf{BTE}} = (f_i)_{i \in [m]}$. For notional simplicity, we will write $\mathsf{Eval}_{\mathsf{HPKE}}(\mathsf{Der}_{\mathsf{BTE}}, \cdot)$ instead of repeating it for each f_i that represents $\mathsf{Der}_{\mathsf{BTE}}$.

For our fs-DPKE scheme we need keys of different users to live in compatible key spaces. To that end, we introduce Setup algorithms for both schemes that fix the key spaces and we change the key generation algorithms to take the public parameters instead of the security parameter as argument. Note that when using the BTE from [CHK03], linear ElGamal [BBS04] as $\mathcal{F}$-HPKE to encrypt the BTE keys suffices for our needs.

Our construction. The fs-DPKE scheme is detailed in Scheme 2. We note that only the definition of DelEvo relies on the homomorphic properties of the HPKE scheme. So to obtain a fs-DPKE scheme without DelEvo algorithm, a compatible PKE scheme is sufficient. Yet, we will require the homomorphic properties later to achieve a suitable notion of adaptability regardless of the availability of DelEvo.

Similar to Canetti et al.'s construction, our fs-DPKE scheme inherits the fs-IND-CPA security from the BTE's IND-SN-CPA security.

Theorem 1. *If instantiated with an IND-SN-CPA secure* BTE *scheme and a IND-CPA secure* HPKE *scheme, then Scheme 2 is a fs-IND-CPA secure fs-*DPKE*.*

Let $(\mathsf{Setup}_{\mathsf{BTE}}, \mathsf{Gen}_{\mathsf{BTE}}, \mathsf{Der}_{\mathsf{BTE}}, \mathsf{Enc}_{\mathsf{BTE}}, \mathsf{Dec}_{\mathsf{BTE}})$ be a BTE scheme and $(\mathsf{Setup}_{\mathsf{HPKE}}, \mathsf{Gen}_{\mathsf{HPKE}}, \mathsf{Enc}_{\mathsf{HPKE}}, \mathsf{Dec}_{\mathsf{HPKE}}, \mathsf{Eval}_{\mathsf{HPKE}})$ a compatible $\mathcal{F}$-HPKE scheme with $\mathsf{Der}_{\mathsf{BTE}} \in \mathcal{F}$.

$\underline{\mathsf{Setup}(1^k)}$: Set $\mathsf{pp}_{\mathsf{BTE}} \leftarrow \mathsf{Setup}_{\mathsf{BTE}}(1^k)$, $\mathsf{pp}_{\mathsf{HPKE}} \leftarrow \mathsf{Setup}_{\mathsf{HPKE}}(1^k)$, and return $(\mathsf{pp}_{\mathsf{BTE}}, \mathsf{pp}_{\mathsf{HPKE}})$.

$\underline{\mathsf{Gen}(\mathsf{pp}, n)}$: Parse pp as $(\mathsf{pp}_{\mathsf{BTE}}, \mathsf{pp}_{\mathsf{HPKE}})$. Choose ℓ such that $n < 2^{\ell+1}$, set $(\mathsf{pk}_{\mathsf{BTE}}, \mathsf{sk}_{\mathsf{BTE}}^{(\varepsilon)}, \mathsf{dk}_{\mathsf{BTE}}^{(\varepsilon)}) \leftarrow \mathsf{Gen}_{\mathsf{BTE}}(\mathsf{pp}_{\mathsf{BTE}}, \ell)$ and $(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{HPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{HPKE}}(\mathsf{pp}_{\mathsf{HPKE}})$, and return $((\mathsf{pk}_{\mathsf{BTE}}, \mathsf{pk}_{\mathsf{HPKE}}), (\mathsf{sk}_{\mathsf{BTE}}^{(\varepsilon)}, \mathsf{sk}_{\mathsf{HPKE}}), (\perp, \mathsf{dk}_{\mathsf{BTE}}^{(\varepsilon)}))$.

$\underline{\mathsf{Evo}(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}_{\mathsf{BTE}}^{(w^i)}, \mathsf{sk}_{\mathsf{HPKE}})$ and view $\mathsf{ek}^{(i)}$ organized as a stack of secret key and evolution keys pairs. Set $\mathsf{sk}_{\mathsf{BTE}}^{(w^{i+1})}, \mathsf{ek}^{(i+1)} \leftarrow \mathsf{DFEval}(\mathsf{sk}_{\mathsf{BTE}}^{(w^i)}, \mathsf{ek}^{(i)}, \mathsf{Der}_{\mathsf{BTE}})$, and $\mathsf{sk}^{(i+1)} \leftarrow (\mathsf{sk}_{\mathsf{BTE}}^{(w^{i+1})}, \mathsf{sk}_{\mathsf{HPKE}})$. Return $\mathsf{sk}^{(i+1)}, \mathsf{ek}^{(i+1)}$.

$\underline{\mathsf{Enc}(\mathsf{pk}, M, i)}$: Parse pk as $(\mathsf{pk}_{\mathsf{BTE}}, \cdot)$, and return $\mathsf{Enc}_{\mathsf{BTE}}(\mathsf{pk}_{\mathsf{BTE}}, M, w^i)$.

$\underline{\mathsf{Dec}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}_{\mathsf{BTE}}^{(w^i)}, \cdot)$, and return $\mathsf{Dec}_{\mathsf{BTE}}(\mathsf{sk}_{\mathsf{BTE}}^{(w^i)}, C)$.

$\underline{\mathsf{Del}(\mathsf{sk}_A^{(i)}, \mathsf{ek}_A^{(i)}, \mathsf{pk}_B)}$: Parse $\mathsf{sk}_A^{(i)}$ as $(\mathsf{sk}_{\mathsf{BTE}}^{(w^i)}, \cdot)$ and pk_B as $(\cdot, \mathsf{pk}_{\mathsf{HPKE}})$. If $\mathsf{ek}_A^{(i)} = \perp$, return $\mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{BTE}}^{(w^i)})$. Otherwise parse $\mathsf{ek}_A^{(i)}$ as $(\mathsf{sk}_{\mathsf{BTE}}^{(w)}, \mathsf{dk}_{\mathsf{BTE}}^{(w)})_{w \in W}, (\cdot, \mathsf{dk}_{\mathsf{BTE}}^{(w^i)})$, and set $\mathsf{dk}^{(w)} \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{BTE}}^{(w)})$ and $\mathsf{dek}^{(w)} \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{dk}_{\mathsf{BTE}}^{(w)})$ for $w \in W \cup \{w^i\}$. Set $\mathsf{dk}^{(i)} \leftarrow \mathsf{dk}^{(w^i)}$ and $\mathsf{dek}^{(i)} \leftarrow (\mathsf{dk}^{(w)}, \mathsf{dek}^{(w)})_{w \in W}, (\perp, (\mathsf{dek}^{(w^i)}))$ and return $\mathsf{dk}^{(i)}, \mathsf{dek}^{(i)}$.

$\underline{\mathsf{DelEvo}(\mathsf{dk}_{A\to B}^{(i)}, \mathsf{dek}_{A\to B}^{(i)})}$: Parse $\mathsf{dk}_{A\to B}^{(i)}$ as $\mathsf{dk}_{A\to B}^{(w^i)}$ and view $\mathsf{dek}_{A\to B}^{(i)}$ organized as a stack of encrypted evolution keys. Set $\mathsf{dk}_{A\to B}^{(w^{i+1})}, \mathsf{dek}_{A\to B}^{(i+1)} \leftarrow \mathsf{DFEval}(\mathsf{dk}_{A\to B}^{(w^i)}, \mathsf{dek}_{A\to B}^{(i)}, \mathsf{Eval}_{\mathsf{HPKE}}(\mathsf{Der}_{\mathsf{BTE}}, \cdot))$, and $\mathsf{dk}^{(i+1)} \leftarrow \mathsf{dk}_{\mathsf{BTE}}^{(w^{i+1})}$. Return $\mathsf{dk}^{(i+1)}, \mathsf{dek}^{(i+1)}$.

$\underline{\mathsf{DelDec}(\mathsf{sk}_B^{(i)}, \mathsf{dk}_{A\to B}^{(i)}, C_A)}$: Parse $\mathsf{sk}_B^{(i)}$ as $(\cdot, \mathsf{sk}_{\mathsf{HPKE}})$, set $\mathsf{sk}_{\mathsf{BTE}}^{(w^i)} \leftarrow \mathsf{Dec}_{\mathsf{HPKE}}(\mathsf{sk}_{\mathsf{HPKE}}, \mathsf{dk}_{A\to B}^{(i)})$, and return $\mathsf{Dec}_{\mathsf{BTE}}(\mathsf{sk}_{\mathsf{BTE}}^{(w^i)}, C_A)$.

Scheme 2. fs-DPKE scheme from BTE scheme and a compatible HPKE scheme.

Proof. We prove the theorem using a sequence of games. We denote by W all the relevant nodes in the binary tree for period j. We note that the size of W is bounded by $\log_2(n)$. We index W as w_i for $i \in [|W|]$.

Game 0: The original game.

Game $1_{i,j}$ **$(1 \le i \le q_{\mathsf{Del}^h}, 1 \le j \le 2|W|)$:** As the previous game, but we replace all HPKE ciphertexts up to the j-th one in the i-th query with ciphertexts encrypting random plaintexts. That is, we modify the $\mathsf{Del}^{h'}$ in the i-th query as follows:

$\mathsf{Del}^{h'}(j, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \mathsf{pk}_i)$: Up to the j-th call to $\mathsf{Enc}_{\mathsf{HPKE}}$, encrypt a uniformly random value.

Transition$^{0\to 1_{1,1}}$, Transition$^{1_{i,j}\to 1_{i,j+1}}$, Transition$^{1_{i,2|W|}\to 1_{i+1,1}}$: A distinguisher $\mathcal{D}^{0\to 1_{1,1}}$ (respectively $\mathcal{D}^{1_{i,j}\to 1_{i,j+1}}$ or $\mathcal{D}^{1_{i,2|W|}\to 1_{i+1,1}}$) is an IND-CPA adversary against the HPKE scheme. We construct a reduction where we let $\mathcal{C}$ be a IND-CPA challenger. We modify $\mathsf{Del}^{h'}$ in the i-th query in the following way:

$\mathsf{Del}^{h'}(j, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}, \mathsf{pk}_{i'})$: Simulate everything honestly, but on the j-th query choose $\boxed{r}$ uniformly at random and run

$$\boxed{c \leftarrow \mathcal{C}(\mathsf{sk}_{BTE}^{(w_{(j/2)-1})}, r)} \text{ if } j \text{ is odd and } \boxed{c \leftarrow \mathcal{C}(\mathsf{ek}_{BTE}^{(w_{j/2})}, r)} \text{ if } j \text{ is even,}$$

where $c \leftarrow \mathcal{C}(m_0, m_b)$ denotes a challenge ciphertext with respect to m_0 and m_1.

Now, the bit b chosen by $\mathcal{C}$ switches between the distributions of the Games.

In Game $1_{q_{\mathsf{Del}^h}, 2|W|}$ all ciphertexts obtainable from $\mathsf{Del}^{h'}$ are with respect to random values. Now, an adversary B winning Game $1_{q_{\mathsf{Del}^h}, 2|W|}$ can be transformed into a IND-SN-CPA adversary A against the underlying BTE scheme:

1. When A is first started on $1^k, \ell$, choose $i^* \xleftarrow{R} [n]$ and output $w^{(i^*-1)}$.
2. When A is started on $\mathsf{pk}_{\mathsf{BTE}}, (\mathsf{sk}^{(w)}, \mathsf{dk}^{(w)})_{w \in W}$, compute $(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{HPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{HPKE}}(1^k)$. The secret key $\mathsf{sk}_{\mathsf{HPKE}}$ is stored in the state st and we extend the public key to $\mathsf{pk} \leftarrow (\mathsf{pk}_{\mathsf{BTE}}, \mathsf{pk}_{\mathsf{HPKE}})$. Now start B on the extended public key, i.e. $(j^*, \mathsf{st}) \leftarrow B(1^k, n, \mathsf{pk})$. If $i^* \neq j^*$, output a random bit and halt. Otherwise we have the secret-derivation key pairs of all nodes that are right siblings on the path from the root node to $w^{(j^*-1)}$ and (if they exist) all child nodes of $w^{(j^*-1)}$, hence we are able to simulate all oracle queries from B honestly. Similarly, we can compute $(\mathsf{sk}^{(j^*)}, \mathsf{dk}^{(j^*)})$ from the given keys. Thus we run $B^{\mathcal{O}}(\mathsf{st}, \mathsf{sk}^{(j^*)}, \mathsf{dk}^{(j^*)})$ and forward its result.
3. When A is finally started on the challenge ciphertext, the ciphertext is simply forwarded to B and when B outputs the bit b, A returns b and halts.

When B is running within A and $j^* = i^*$, B has exactly the same view as in Game $1_{q_{\mathsf{Gen}^h}, 2|W|}$. In this case the probability of A to win is exactly the same as the winning probability of B, and Game $1_{q_{\mathsf{Gen}^h}, 2|W|}$ is computationally indistinguishable from the initial game. The random guess of i^* so that $i^* = j^*$ induces a loss of $\frac{1}{n}$, which is however bounded by a polynomial in the security parameter. □

4.3 Constructing fs-PRE from fs-DPKE

Now we present a construction of a fs-PRE$^+$-secure fs-PRE scheme from a fs-DPKE scheme. Therefore, we define additional properties of fs-DPKE and show that a fs-PRE can be directly obtained from a fs-DPKE. For our transformation to work, we need to define an additional algorithm that allows us to homomorphically shift ciphertexts and delegation keys. That is, ciphertexts and delegation keys are modified in such a way that the delegation keys look like randomly distributed fresh keys, which are only useful to decrypt ciphertexts adapted to this key. Formally, we introduce an algorithm Adapt that enables this adaption:

$\mathsf{Adapt}(\mathsf{dk}, C)$: On input a delegation key dk, a ciphertext C, outputs an adapted delegation key dk' and ciphertext C'.

Since the delegation keys in our construction are encrypted BTE secret keys, we essentially adapt secret keys and ciphertexts from a BTE. We will see that this adaption is possible as long as the HPKE scheme used to encrypt the BTE keys provides a suitable homomorphism on the message space.

To adapt ciphertexts and delegation keys we extend correctness to additionally require that for any message M encrypted under the public key of A, any delegation key $\mathsf{dk}^{(i)}_{A\to B}$, and any adapted delegation key-ciphertext pairs $(\mathsf{dk}', C') \leftarrow \mathsf{Adapt}(\mathsf{dk}^{(i)}_{A\to B}, C_A)$, it holds that $M = \mathsf{DelDec}_{\mathsf{DPKE}}(sk^{(i)}_B, \mathsf{dk}', C')$.

As security notion we introduce the fs-ADAP-IND-CPA notion, where the adversary may see multiple adapted delegation keys and ciphertexts, but the adversary should be unable to win an IND-CPA game for non-adapted ciphertexts. We give the formal definition of the security experiment in Experiment 10. This notion gives the delegator more control over the ciphertexts that should be readable for the delegatee. If given the delegation key, the delegatee can always decrypt all ciphertexts, but if just given an adapted delegation key, only a selected subset of ciphertexts is decryptable.

Experiment $\mathsf{Exp}^{\mathsf{fs\text{-}adap\text{-}ind\text{-}cpa}}_{fs-\mathsf{DPKE},A}(1^k, n)$

$\mathsf{pp} \leftarrow \mathsf{Setup}(1^k), (\mathsf{pk}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n), b \xleftarrow{R} \{0,1\}$
$(j^*, \mathsf{pk}^*, \mathsf{st}) \leftarrow A(\mathsf{pp}, n, \mathsf{pk})$
$\mathsf{sk}^{(j)}, \mathsf{ek}^{(j)} \leftarrow \mathsf{Evo}(\mathsf{sk}^{(j-1)}, \mathsf{ek}^{(j-1)})$ for $j \in [j^*]$, $\mathsf{dk} \leftarrow \mathsf{Del}(\mathsf{sk}^{(j^*)}, \perp, \mathsf{pk}^*)$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\{\mathsf{Adapt}(\mathsf{dk},\cdot)\}}(\mathsf{st})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}(\mathsf{pk}, M_b, j^*))$
if $b = b^*$ return 1, else return 0

Experiment 10. The fs-ADAP-IND-CPA security experiment for a fs-DPKE scheme.

Definition 17 (fs-ADAP-IND-CPA). *For a polynomially bounded function $n(\cdot) > 1$, a PPT adversary A, we define the advantage function in the sense of fs-IND-CPA as*

$$\mathsf{Adv}^{\mathsf{fs\text{-}adap\text{-}ind\text{-}cpa}}_{fs-\mathsf{DPKE},A}(1^k, n(k)) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{fs\text{-}adap\text{-}ind\text{-}cpa}}_{\mathsf{DPKE},A}(1^k, n(k)) = 1\right] - \frac{1}{2} \right|.$$

If for all $n(\cdot) > 1$, and any A there exists a negligible function ε such that $\mathsf{Adv}^{\mathsf{fs\text{-}adap\text{-}ind\text{-}cpa}}_{fs-\mathsf{DPKE},A}(1^k, n(k)) < \varepsilon(k)$, then a fs-DPKE scheme is fs-ADAP-IND-CPA secure.

For Scheme 2, this adaption can be achieved solely from key-homomorphic properties of the BTE and homomorphic properties of the HPKE, respectively. Subsequently, we define the required homomorphisms. Our definitions are inspired by [AHI11, TW14]. We focus on schemes where the secret/derived key pairs, and public keys live in groups $(\mathbb{G}, +)$, and $(\mathbb{H}, \cdot)$, respectively. We will require two different properties: first, the public key is the image of the secret key under a group homomorphism, and second, given two secret keys with a known difference, we can map the binary tree of derived keys from one key to the other key. In other words, the difference in the keys propagates to the derived keys.

Definition 18. *Let Ω be a* BTE *scheme with secret/derived key space $(\mathbb{G}, +)$ and public key space $(\mathbb{H}, \cdot)$.*

1. *The scheme Ω provides a secret-key-to-public-key homomorphism, if there exists an efficiently computable group homomorphism $\mu : \mathbb{G} \to \mathbb{H}$ such that for all $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}$, it holds that $\mathsf{pk} = \mu(\mathsf{sk})$.*
2. *The scheme Ω provides a derived-key homomorphism, if there exists a family of efficiently computable group homomorphisms $\nu^{(w)} : \mathbb{G} \to \mathbb{G}^2$ such that for all $(\mathsf{pk}, \mathsf{sk}^{(\varepsilon)}) \leftarrow \mathsf{Gen}$, all nodes w it holds that $(\mathsf{sk}^{(w0)}, \mathsf{sk}^{(w1)}) = \nu^{(w)}(\mathsf{sk}^{(w)})$ and for all messages M it holds that $\mathsf{Dec}(\mathsf{sk}^{(w)}, \mathsf{Enc}(\mathsf{pk}, M, w)) = M$.*

We denote by Φ^+ the set of all possible secret key differences in $\mathbb{G}$. Alternatively, it is possible to view Φ^+ as set of functions representing all linear shifts in $\mathbb{G}$ and we simply identify each shift by an element $\Delta \in \mathbb{G}$.

Definition 19. *A* BTE *scheme Ω is called Φ^+-key-homomorphic, if it provides both a secret-key-to-public-key homomorphism and a derived key homomorphism and an additional PPT algorithm* Adapt*, defined as:*

$\mathsf{Adapt}(\mathsf{pk}, C, \Delta)$: *On input a delegation key* dk*, a ciphertext C and a secret key difference Δ, outputs a public key pk' and a ciphertext C'.*

such that for all $\Delta \in \Phi^+$, and all $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\ldots)$, all message M, and all $C \leftarrow \mathsf{Enc}(\mathsf{pk}, M)$, and $(\mathsf{pk}', C') \leftarrow \mathsf{Adapt}(\mathsf{pk}, C, \Delta)$ it holds that $\mathsf{pk}' = \mathsf{pk} \cdot \mu(\Delta)$ and $\mathsf{Dec}(\mathsf{sk}^{(w)} + \nu^{(w)}(\Delta), C') = M$.

Definition 20 (Adaptability of ciphertexts). *A Φ^+-key-homomorphic* BTE *scheme provides adaptability of ciphertexts, if for every security parameter $k \in \mathbb{N}$, any public parameters $\mathsf{pp} \leftarrow \mathsf{Setup}(1^k)$, every message M and every period j, it holds that $\mathsf{Adapt}(\mathsf{pk}, \mathsf{Enc}(\mathsf{pk}, M, j), \Delta)$ and $(\mathsf{pk} \cdot \mu(\Delta), \mathsf{Enc}(\mathsf{pk} \cdot \mu(\Delta), M, j))$ as well as $(\mathsf{sk}, \mathsf{pk})$ and $(\mathsf{sk}', \mu(\mathsf{sk}'))$ are identically distributed, where $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$, $\mathsf{sk}' \xleftarrow{R} \mathbb{G}$ and $\Delta \leftarrow \Phi^+$.*

Next, we discuss the BTE from [CHK03] with respect to our notion of ciphertext adaptability. We first recall the BTE scheme in Scheme 3 where BGGen is a bilinear group generator. By [CHK03, Proposition 1] this scheme is IND-SN-CPA secure if the decisional BDH assumption holds relative to BGGen.

Now we show that Scheme 3 also provides adaptability of ciphertexts:

Lemma 3. *Scheme 3 provides adaptability of ciphertexts under shared H.*

Proof. We show the existence of the homomorphisms and give the Adapt algorithm. Note that the master secret key can easily be viewed as containing α, hence, the secret-to-public-key homomorphism is simply $\mu : \alpha \mapsto \alpha P$. As the Der algorithm simply computes sums, the existence of the homomorphism is clear.

We now show the existence of Adapt:

$\mathsf{Adapt}(\mathsf{pk}, C, \Delta)$: Parse pk as (Q, ℓ, H) and C as $(U_0, \ldots, U_t, V)$. Let $Q' \leftarrow Q + \Delta \cdot P$ and set $\mathsf{pk}' \leftarrow (Q', \ell, H)$. Let $V' \leftarrow Ve(U_0, \Delta \cdot H(\varepsilon))$ and set $C' \leftarrow (U_0, \ldots, U_t, V')$ and return (pk', C').

$\mathsf{Setup}(1^k)$: Run to $\mathsf{BGGen}_p(1^k)$ to generate groups $\mathbb{G}_1, \mathbb{G}_2$ of prime order q and a bilinear map e and select a random generator $P \in \mathbb{G}_1$. Set $\mathsf{pp} \leftarrow (\mathbb{G}_1, \mathbb{G}_2, e, q, P)$ and return pp.

$\mathsf{Gen}(\mathsf{pp}, \ell)$: Choose $\alpha \leftarrow \mathbb{Z}_q$ and set $Q \leftarrow \alpha \cdot P$. Set $\mathsf{sk}^{(\varepsilon)} \leftarrow \alpha H(\varepsilon)$ and $\mathsf{pk} \leftarrow (Q, H)$. Return $(\mathsf{pk}, \mathsf{sk}^{(\varepsilon)})$.

$\mathsf{Der}(\mathsf{sk}^{(i)})$: Parse $\mathsf{sk}^{(w)}$ as $(R_{w|1}, \ldots, R_w, S_w)$. Choose $r_0, r_1 \xleftarrow{R} \mathbb{Z}_q$ and set $R_{wi} \leftarrow r_i P$ and $S_{wi} \leftarrow S_w + r_i \cdot H(wi)$ for $i \in [2]$ and return $((R_{w|1}, \ldots, R_w, R_{w0}, S_{w0}), (R_{w|1}, \ldots, R_w, R_{w1}, S_{w1})))$.

$\mathsf{Enc}(\mathsf{pk}, M, i)$: Choose $\gamma \leftarrow \mathbb{Z}_q$ and set $C \leftarrow (\gamma \cdot P, \gamma \cdot H(w|1), \ldots, \gamma \cdot H(w), M \cdot e(Q, \gamma \cdot H(\varepsilon)))$. Return C.

$\mathsf{Dec}(\mathsf{sk}^{(w)}, C)$: Parse $\mathsf{sk}^{(w)}$ as $(R_{w|1}, \ldots, R_w, S_w)$ and $\mathcal{C}$ as $(U_0, \ldots, U_t, V)$. Return $M = V/d$ where

$$d = \frac{e(U_0, S_w)}{\prod_{i=1}^{t} e(R_{w|i}, U_i)}.$$

Scheme 3. BTE scheme from [CHK03]

The adapted C' ciphertext is an encryption of the original message under the public key $Q' = Q + \Delta \cdot P$. □

Now, given any Φ^+-key-homomorphic BTE scheme, it can be turned into an adaptable fs-DPKE by defining Adapt in a publicly computable way as follows:

$\mathsf{Adapt}(\mathsf{dk}^{(i)}_{A \to B}, C)$: Sample $\Delta \xleftarrow{R} \Phi^+$ and compute $\mathsf{dk}_\Delta \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}_B, \nu^{(w^i)}(\Delta))$, and then $\mathsf{dk}' \leftarrow \mathsf{Eval}_{\mathsf{HPKE}}(+, \mathsf{dk}^{(i)}_{A \to B}, \mathsf{dk}_\Delta)$. Set $(\cdot, C') \leftarrow \mathsf{Adapt}_{\mathsf{BTE}}(\mathsf{pk}_A, C, \Delta)$. Return (dk', C').

Theorem 2. *If in addition to the premise in Theorem 1 the* BTE *scheme also provides adaptability of ciphertexts, then Scheme 2 is a fs-ADAP-IND-CPA secure fs-*DPKE *scheme.*

Proof. We prove this theorem with a sequence of games.

Game 0: The original game.

Game 1: We modify the simulation of the Adapt oracle as follows, where we denote the modified oracle by Adapt':

$\mathsf{Adapt}'(\boxed{\mathsf{sk}^{(i)}, \mathsf{pk}, \mathsf{pk}^*}, C)$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}^{(w^i)}_{\mathsf{BTE}}, \cdot)$, pk as $(\mathsf{pk}_{\mathsf{BTE}}, \cdot)$, and pk^* as $(\cdot, \mathsf{pk}^*_{\mathsf{HPKE}})$. Choose $\Delta \leftarrow \Phi^+$, run

$$\boxed{\mathsf{dk}' \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}^*_{\mathsf{HPKE}}, \mathsf{sk}^{(w^i)}_{\mathsf{BTE}} + \nu^{(w^i)}(\Delta))} \text{ and}$$

$$\boxed{C' \leftarrow \mathsf{Enc}_{\mathsf{BTE}}(\mathsf{pk} \cdot \mu(\Delta), \mathsf{Dec}_{\mathsf{BTE}}(\mathsf{sk}^{(i)}, C), i)}. \text{ Return } (\mathsf{dk}', C').$$

Transition$^{0 \to 1}$: The distributions of Game 0 and Game 1 are indistinguishable under the BTE's adaptability of ciphertexts.

Game 2: We further modify the simulation of Adapt' as follows:

$\mathsf{Adapt}'(\boxed{\mathsf{sk}^{(i)}, \mathsf{pk}^*}, C)$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}^{(w^i)}_{\mathsf{BTE}}, \cdot)$, pk as $(\mathsf{pk}_{\mathsf{BTE}}, \cdot)$, and pk^* as $(\cdot, \mathsf{pk}^*_{\mathsf{HPKE}})$. Choose $\boxed{\mathsf{pk}'_{\mathsf{BTE}}, \mathsf{sk}^{'(\varepsilon)}_{\mathsf{BTE}}, \mathsf{ek}^{'(\varepsilon)}_{\mathsf{BTE}} \leftarrow \mathsf{Gen}_{\mathsf{BTE}}}$ and evolve the secret

key to period i, run

$\boxed{\mathsf{dk}' \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}^*_{\mathsf{HPKE}}, \mathsf{sk}^{',(w^i)}_{\mathsf{BTE}})}$ and

$\boxed{C' \leftarrow \mathsf{Enc}_{\mathsf{BTE}}(\mathsf{pk}'_{\mathsf{BTE}}, \mathsf{Dec}_{\mathsf{BTE}}(\mathsf{sk}^{(i)}, C), i)}$. Return (dk', C').

Transition$^{1\to2}$: The change is conceptual.

In Game 2 all the secret BTE keys the adversary gets are chosen independently from the challenge key. Hence, Game 2 is a standard IND-CPA game and thus the success probability of Game 2 is negligible by Theorem 1. □

Now, given an adaptable fs-DPKE scheme, we use the Adapt algorithm to obtain a fs-PRE$^+$ secure fs-PRE scheme. While the algorithms Setup, Gen, Evo, Enc$^{(i)}$, and Dec$^{(i)}$ can simply be lifted from the fs-DPKE scheme, we note that for each period j in the fs-PRE scheme, we use two periods, i.e., $2j-1$ and $2j$, of the fs-DPKE scheme. The period $2j-1$ is used for level 1 ciphertexts whereas the period $2j$ is used for level 2 ciphertexts[15]. We use $\mathsf{Del}_{\mathsf{DPKE}}$ and $\mathsf{DelEvo}_{\mathsf{DPKE}}$ for ReGen and ReEvo, respectively. For the re-encryption algorithm ReEnc, we apply Adapt. Dec$^{(1)}$ for re-encrypted ciphertexts then decrypts the ciphertext by running $\mathsf{DelDec}_{\mathsf{DPKE}}$ on the adapted delegation key and ciphertext. The full scheme is presented in Scheme 4.

We prove that our scheme is both fs-IND-CPA-1 and fs-IND-CPA-2 secure. Both security notions follow from the fs-IND-CPA security of the underlying fs-DPKE scheme. In contrast, to achieve fs-RIND-CPA, we require an fs-ADAP-IND-CPA fs-DPKE scheme.

Theorem 3. *If instantiated with a fs-IND-CPA and fs-ADAP-IND-CPA secure fs-*DPKE *scheme, Scheme 4 is a fs-*PRE$^+$*-secure fs-*PRE *scheme.*

Proof. Informally speaking, the security experiment for fs-IND-CPA-2 with a fixed period j^* corresponds to the fs-IND-CPA experiment for fs-DPKE for period $2j^*$. We can build a straightforward reduction from an adversary against fs-IND-CPA-2, A_2 to fs-IND-CPA for fs-DPKE:

- When started on pp, n and pk, run $(j^*, \mathsf{st}) \leftarrow A_2(\mathsf{pp}, \lceil \frac{n}{2}+1 \rceil, \mathsf{pk})$. Set $j' \leftarrow 2j^*$ and return (j', st).
- When started on $\mathsf{st}, \mathsf{sk}^{(j')}_{\mathsf{DPKE}}, \mathsf{ek}^{(j')}_{\mathsf{DPKE}}$, we simulate the ReGen$^{(h)}$ and ReGen$^{(h')}$ oracles using Del$^{(h)}$ and Del$^{(h')}$. Indeed, Del$^{(h)}$ and Del$^{(h')}$ return delegation keys for period $j'-1 = 2j^*-1$, which are re-encryption keys for period j^*-1. Using Evo we evolve $\mathsf{sk}^{(j')}_{\mathsf{DPKE}}, \mathsf{ek}^{(j')}_{\mathsf{DPKE}}$ to period $j'+1$. Set $(\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)}) \leftarrow ((\mathsf{sk}^{(j')}_{\mathsf{DPKE}}, \mathsf{sk}^{(j'+1)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(j')}_{\mathsf{DPKE}}, \mathsf{ek}^{(j'+1)}_{\mathsf{DPKE}}))$ and start A_2 on $\mathsf{st}, (\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$ and simply forward the result.
- Finally, when started on st and $C_{j'-1}$, $C_{j'-1}$ is a level 2 ciphertext for j^*-1. Hence we start A_2 on the ciphertext and return its' result.

[15] One can see the keys for period $2j$ as weak keys in the sense of [AFGH05, Third Attempt] whereas the keys for period $2j-1$ constitute the master secret keys.

Let $(\mathsf{Setup}_{\mathsf{DPKE}}, \mathsf{Gen}_{\mathsf{DPKE}}, \mathsf{Evo}_{\mathsf{DPKE}}, \mathsf{Del}_{\mathsf{DPKE}}, \mathsf{Enc}_{\mathsf{DPKE}}, \mathsf{Dec}_{\mathsf{DPKE}}, \mathsf{Adapt}_{\mathsf{DPKE}})$ be fs-DPKE scheme with adaption of ciphertexts and delegation keys.

$\underline{\mathsf{Setup}(1^k)}$: Return $\mathsf{Setup}_{\mathsf{DPKE}}(1^k)$.

$\underline{\mathsf{Gen}(\mathsf{pp}, n)}$: Set $(\mathsf{pk}_{\mathsf{DPKE}}, \mathsf{sk}^{(0)}_{\mathsf{DPKE}}, \mathsf{ek}^{(0)}_{\mathsf{DPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{DPKE}}(\mathsf{pp}, 2n+1)$, obtain $(\mathsf{sk}^{(1)}_{\mathsf{DPKE}}, \mathsf{ek}^{(1)}_{\mathsf{DPKE}}) \leftarrow \mathsf{Evo}_{\mathsf{DPKE}}(\mathsf{sk}^{(0)}_{\mathsf{DPKE}}, \mathsf{ek}^{(0)}_{\mathsf{DPKE}})$, and return $(\mathsf{pk}_{\mathsf{DPKE}}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)})$, where

$$\mathsf{sk}^{(0)} \leftarrow (\mathsf{sk}^{(0)}_{\mathsf{DPKE}}, \mathsf{sk}^{(1)}_{\mathsf{DPKE}}),\ \mathsf{ek}^{(0)} \leftarrow (\mathsf{ek}^{(0)}_{\mathsf{DPKE}}, \mathsf{ek}^{(1)}_{\mathsf{DPKE}}).$$

$\underline{\mathsf{Evo}(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})}$: Parse $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})$ as $((\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(2i)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+1)}_{\mathsf{DPKE}}))$ and return $(\mathsf{sk}^{(i+1)}, \mathsf{ek}^{(i+1)}) = (\mathsf{sk}^{(2i+2)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+3)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(2i+2)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+3)}_{\mathsf{DPKE}}))$, where

$$(\mathsf{sk}^{(2i+1+j)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+1+j)}_{\mathsf{DPKE}}) \leftarrow \mathsf{Evo}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+j)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+j)}_{\mathsf{DPKE}}) \text{ for } j \in [2].$$

$\underline{\mathsf{Enc}^{(1)}(\mathsf{pk}, M, i)}$: Return $\mathsf{Enc}_{\mathsf{DPKE}}(\mathsf{pk}, M, 2i)$.

$\underline{\mathsf{Enc}^{(2)}(\mathsf{pk}, M, i)}$: Return $\mathsf{Enc}_{\mathsf{DPKE}}(\mathsf{pk}, M, 2i+1)$.

$\underline{\mathsf{Dec}^{(1)}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}})$ and return $\mathsf{Dec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i)}, C)$ if C was not re-encrypted. Otherwise parse C as (C_1, rk) and return $\mathsf{DelDec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+1)}, \mathsf{rk}, C_1)$.

$\underline{\mathsf{Dec}^{(2)}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}})$ and return $\mathsf{Dec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+1)}, C)$.

$\underline{\mathsf{ReGen}(\mathsf{sk}^{(i)}_A, \mathsf{ek}^{(i)}_A, \mathsf{pk}_B)}$: Parse $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})$ as $((\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(2i)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+1)}_{\mathsf{DPKE}}))$, and $\mathsf{Del}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+1)}_A, \mathsf{ek}^{(2i+1)}_A, \mathsf{pk}_B)$.

$\underline{\mathsf{ReEvo}(\mathsf{rk}^{(i)}_{A\to B}, \mathsf{rek}^{(i)}_{A\to B})}$: Return $\mathsf{DelEvo}_{\mathsf{DPKE}}(\mathsf{DelEvo}_{\mathsf{DPKE}}(\mathsf{rk}^{(i)}_{A\to B}, \mathsf{rek}^{(i)}_{A\to B}))$.

$\underline{\mathsf{ReEnc}(\mathsf{rk}^{(i)}_{A\to B}, C_A)}$: Choose $\tau \xleftarrow{R} \mathbb{G}$ and return $\mathsf{Adapt}_{\mathsf{DPKE}}(\mathsf{rk}^{(i)}_{A\to B}, C_A, \tau)$.

Scheme 4. fs-PRE scheme from an adaptable fs-DPKE scheme.

To show fs-IND-CPA-1 security, we perform a similar reduction:

- When started on pp, n and pk, run $(j^*, \mathsf{st}) \leftarrow A_1(\mathsf{pp}, \lceil \frac{n}{2} + 1 \rceil, \mathsf{pk})$. Set $j' \leftarrow 2j^* - 1$ and return (j', st).
- When started on $\mathsf{st}, \mathsf{sk}^{(j')}_{\mathsf{DPKE}}, \mathsf{ek}^{(j')}_{\mathsf{DPKE}}$, we simulate the $\mathsf{ReGen}^{(h)}$ and $\mathsf{ReGen}^{(h')}$ oracles using $\mathsf{Del}^{(h)}$ and $\mathsf{Del}^{(h')}$ and by running DelEvo on the result. Indeed, $\mathsf{Del}^{(h)}$ and $\mathsf{Del}^{(h')}$ return delegation keys for period $j'-1 = 2j^*-2$, hence after applying DelEvo we obtain re-encryption keys for period j^*-1. $\mathsf{ReGen}^{(d)}$ is simulated honestly by delegating $\mathsf{sk}^{(j')}_{\mathsf{DPKE}}, \mathsf{ek}^{(j')}_{\mathsf{DPKE}}$ to a dishonest user. Using Evo we evolve $\mathsf{sk}^{(j')}_{\mathsf{DPKE}}, \mathsf{ek}^{(j')}_{\mathsf{DPKE}}$ to period $j'+2$. Set $(\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)}) \leftarrow ((\mathsf{sk}^{(j'+1)}_{\mathsf{DPKE}}, \mathsf{sk}^{(j'+2)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(j'+1)}_{\mathsf{DPKE}}, \mathsf{ek}^{(j'+2)}_{\mathsf{DPKE}}))$ and start A_1 on $\mathsf{st}, (\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$ and simply forward the result.
- Finally, when started on st and $C_{j'-1}$, $C_{j'-1}$ is a level 1 ciphertext for j^*-1. Hence we start A_1 on the ciphertext and return its' result.

To show receiver-IND-CPA security we build an fs-ADAP-IND-CPA adversary against the fs-DPKE scheme. The fs-RIND-CPA adversary is denoted as A_r.

- When started on pp, n and pk, run $(j^*, \mathsf{st}) \leftarrow A_r(\mathsf{pp}, \lceil \frac{n}{2} + 1 \rceil, \mathsf{pk})$. Set $j' \leftarrow 2j^* + 1$ and return (j', st).

– When started on st, we can simulate ReEnc honestly using Adapt.
– Wen started on st and C, the ciphertext is a level 2 ciphertext for period $j*$, hence we return $A_r(\mathsf{st}, C)$.

Note that all values are consistently distributed in all three reductions. □

4.4 Separating fs-PRE$^-$ from fs-PRE$^+$

To expand on the gap between fs-PRE$^+$ and fs-PRE$^-$ schemes and to provide an explicit separation, we construct a counterexample. In particular, it is clear that every scheme that satisfies fs-PRE$^+$ also satisfies fs-PRE$^-$. For our separation we now present a scheme that is fs-PRE$^-$ but trivially violates fs-PRE$^+$. The scheme is also built from a fs-DPKE scheme and presented in Scheme 5. In this scheme however, ReEnc simply embeds the delegation key in the re-encrypted ciphertext. The shortcomings of this construction compared to Scheme 4 are obvious: once the receiver is presented with one valid re-encrypted ciphertext, it can recover the delegation key from that ciphertext and can decrypt all level 2 ciphertexts for this period.

Let $(\mathsf{Setup}_{\mathsf{DPKE}}, \mathsf{Gen}_{\mathsf{DPKE}}, \mathsf{Evo}_{\mathsf{DPKE}}, \mathsf{Del}_{\mathsf{DPKE}}, \mathsf{Enc}_{\mathsf{DPKE}}, \mathsf{Dec}_{\mathsf{DPKE}})$ be fs-DPKE scheme.

$\underline{\mathsf{Setup}(1^k)}$: Return $\mathsf{Setup}_{\mathsf{DPKE}}(1^k)$.

$\underline{\mathsf{Gen}(\mathsf{pp}, n)}$: Set $(\mathsf{pk}_{\mathsf{DPKE}}, \mathsf{sk}^{(0)}_{\mathsf{DPKE}}, \mathsf{ek}^{(0)}_{\mathsf{DPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{DPKE}}(\mathsf{pp}, 2n+1)$, obtain $(\mathsf{sk}^{(1)}_{\mathsf{DPKE}}, \mathsf{ek}^{(1)}_{\mathsf{DPKE}}) \leftarrow \mathsf{Evo}_{\mathsf{DPKE}}(\mathsf{sk}^{(0)}_{\mathsf{DPKE}}, \mathsf{ek}^{(0)}_{\mathsf{DPKE}})$, and return $(\mathsf{pk}_{\mathsf{DPKE}}, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)})$, where

$$\mathsf{sk}^{(0)} \leftarrow (\mathsf{sk}^{(0)}_{\mathsf{DPKE}}, \mathsf{sk}^{(1)}_{\mathsf{DPKE}}),\ \mathsf{ek}^{(0)} \leftarrow (\mathsf{ek}^{(0)}_{\mathsf{DPKE}}, \mathsf{ek}^{(1)}_{\mathsf{DPKE}}).$$

$\underline{\mathsf{Evo}(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})}$: Parse $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})$ as $((\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(2i)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+1)}_{\mathsf{DPKE}}))$ and return $(\mathsf{sk}^{(i+1)}, \mathsf{ek}^{(i+1)}) = (\mathsf{sk}^{(2i+2)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+3)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(2i+2)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+3)}_{\mathsf{DPKE}}))$, where

$$(\mathsf{sk}^{(2i+1+j)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+1+j)}_{\mathsf{DPKE}}) \leftarrow \mathsf{Evo}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+j)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+j)}_{\mathsf{DPKE}}) \text{ for } j \in [2].$$

$\underline{\mathsf{Enc}^{(1)}(\mathsf{pk}, M, i)}$: Return $\mathsf{Enc}_{\mathsf{DPKE}}(\mathsf{pk}, M, 2i)$.

$\underline{\mathsf{Enc}^{(2)}(\mathsf{pk}, M, i)}$: Return $\mathsf{Enc}_{\mathsf{DPKE}}(\mathsf{pk}, M, 2i+1)$.

$\underline{\mathsf{Dec}^{(1)}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}})$ and return $\mathsf{Dec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i)}, C)$ if C was not re-encrypted. Otherwise parse C as (C_1, rk) and return $\mathsf{DelDec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+1)}, \mathsf{rk}, C_1)$.

$\underline{\mathsf{Dec}^{(2)}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}})$ and return $\mathsf{Dec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+1)}, C)$.

$\underline{\mathsf{ReGen}(\mathsf{sk}^{(i)}_A, \mathsf{ek}^{(i)}_A, \mathsf{pk}_B)}$: Parse $(\mathsf{sk}^{(i)}, \mathsf{ek}^{(i)})$ as $((\mathsf{sk}^{(2i)}_{\mathsf{DPKE}}, \mathsf{sk}^{(2i+1)}_{\mathsf{DPKE}}), (\mathsf{ek}^{(2i)}_{\mathsf{DPKE}}, \mathsf{ek}^{(2i+1)}_{\mathsf{DPKE}}))$, and return $(\mathsf{rk}^{(i)}_{A\to B}, \mathsf{rek}^{(i)}_{A\to B})$, where

$$(\mathsf{rk}^{(i)}_{A\to B}, \mathsf{rek}^{(i)}_{A\to B}) \leftarrow \mathsf{Del}_{\mathsf{DPKE}}(\mathsf{sk}^{(2i+1)}_A, \mathsf{ek}^{(2i+1)}_A, \mathsf{pk}_B).$$

$\underline{\mathsf{ReEvo}(\mathsf{rk}^{(i)}_{A\to B}, \mathsf{rek}^{(i)}_{A\to B})}$: Return $\mathsf{DelEvo}_{\mathsf{DPKE}}(\mathsf{DelEvo}_{\mathsf{DPKE}}(\mathsf{rk}^{(i)}_{A\to B}, \mathsf{rek}^{(i)}_{A\to B}))$.

$\underline{\mathsf{ReEnc}(\mathsf{rk}^{(i)}_{A\to B}, C_A)}$: Return $(C_A, \mathsf{rk}^{(i)}_{A\to B})$.

Scheme 5. fs-PRE scheme from a fs-DPKE scheme without adaption.

In the following Theorem, we first show that Scheme 5 is indeed fs-PRE^- secure, i.e., satisfies fs-IND-CPA-1 and fs-IND-CPA-2 security, but trivially does not satisfy fs-RIND-CPA security and thus is not fs-PRE^+ secure.

Theorem 4. *Scheme 5 when instantiated with a fs-IND-CPA secure fs-*DPKE *scheme satisfies fs-IND-CPA-1 and fs-IND-CPA-2 security, but not fs-RIND-CPA security.*

Proof. We follow the same strategy as for Theorem 3 to show fs-IND-CPA-2.

- When started on pp, n and pk, run $(j^*, \mathsf{st}) \leftarrow A_2(\mathsf{pp}, \lceil \frac{n}{2}+1 \rceil, \mathsf{pk})$. Set $j' \leftarrow 2j^*$ and return (j', st).
- When started on $\mathsf{st}, \mathsf{sk}_{\mathsf{DPKE}}^{(j')}, \mathsf{ek}_{\mathsf{DPKE}}^{(j')}$, we simulate the ReGen^h and $\mathsf{ReGen}^{h'}$ oracles using Del^h and $\mathsf{Del}^{h'}$. Indeed, Del^h and $\mathsf{Del}^{h'}$ return delegation keys for period $j'-1 = 2j^*-1$, which are re-encryption keys for period j^*-1. Using Evo we evolve $\mathsf{sk}_{\mathsf{DPKE}}^{(j')}, \mathsf{ek}_{\mathsf{DPKE}}^{(j')}$ to period $j'+1$. Set $(\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)}) \leftarrow ((\mathsf{sk}_{\mathsf{DPKE}}^{(j')}, \mathsf{sk}_{\mathsf{DPKE}}^{(j'+1)}), (\mathsf{ek}_{\mathsf{DPKE}}^{(j')}, \mathsf{ek}_{\mathsf{DPKE}}^{(j'+1)}))$ and start A_2 on $\mathsf{st}, (\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$ and simply forward the result.
- Finally, when started on st and $C_{j'-1}$, $C_{j'-1}$ is a level 2 ciphertext for j^*-1. Hence we start A_2 on the ciphertext and return its' result.

To show fs-IND-CPA-1 security, we perform a similar reduction:

- When started on pp, n and pk, run $(j^*, \mathsf{st}) \leftarrow A_1(\mathsf{pp}, \lceil \frac{n}{2}+1 \rceil, \mathsf{pk})$. Set $j' \leftarrow 2j^*-1$ and return (j', st).
- When started on $\mathsf{st}, \mathsf{sk}_{\mathsf{DPKE}}^{(j')}, \mathsf{ek}_{\mathsf{DPKE}}^{(j')}$, we simulate the ReGen^h and $\mathsf{ReGen}^{h'}$ oracles using Del^h and $\mathsf{Del}^{h'}$ and by running DelEvo on the result. Indeed, Del^h and $\mathsf{Del}^{h'}$ return delegation keys for period $j'-1 = 2j^*-2$, hence after applying DelEvo we obtain re-encryption keys for period j^*-1. ReGen^d is simulated honestly by delegating $\mathsf{sk}_{\mathsf{DPKE}}^{(j')}, \mathsf{ek}_{\mathsf{DPKE}}^{(j')}$ to a dishonest user. Using Evo we evolve $\mathsf{sk}_{\mathsf{DPKE}}^{(j')}, \mathsf{ek}_{\mathsf{DPKE}}^{(j')}$ to period $j'+2$. Set $(\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)}) \leftarrow ((\mathsf{sk}_{\mathsf{DPKE}}^{(j'+1)}, \mathsf{sk}_{\mathsf{DPKE}}^{(j'+2)}), (\mathsf{ek}_{\mathsf{DPKE}}^{(j'+1)}, \mathsf{ek}_{\mathsf{DPKE}}^{(j'+2)}))$ and start A_1 on $\mathsf{st}, (\mathsf{sk}^{(j^*)}, \mathsf{ek}^{(j^*)})$ and simply forward the result.
- Finally, when started on st and $C_{j'-1}$, $C_{j'-1}$ is a level 1 ciphertext for j^*-1. Hence we start A_1 on the ciphertext and return its' result.

Following the initial observation on the recoverability of delegation keys, an receiver-IND-CPA adversary is straightforward to define:

- When started on pp, n and pk, honestly generate a key $(\mathsf{pk}^*, \mathsf{sk}^{(0)}, \mathsf{ek}^{(0)}) \leftarrow \mathsf{Gen}(\mathsf{pp}, n)$ and store it in st. Choose $j^* \xleftarrow{R} [n]$ and store it together with pk in st, and return $(j^*, \mathsf{pk}^*, \mathsf{st})$.
- When started on st to output the challenge messages, choose $M_0, M_1, M_2 \xleftarrow{R} \mathcal{M}$. Invoke the ReEnc oracle as $(\cdot, \mathsf{dk}) \leftarrow \mathsf{ReEnc}(\mathsf{rk}, \mathsf{Enc}^{(2)}(\mathsf{pk}, M_2, j^*))$ and store M_0, M_1, dk in st. Return M_0, M_1, st.

– Now when started on st and the challenge ciphertext C, use dk stored in st and obtain $M \leftarrow \mathsf{DelDec}_{\mathsf{DPKE}}(\mathsf{sk}^{(2j^*+1)}, \mathsf{dk}, C)$. Check for which $i \in \{0,1\}$ $M = M_i$ and return i.

Regardless of the chosen period the adversary always wins, rendering the scheme insecure with respect to the fs-RIND-CPA notion. □

From this theorem we obtain the following corollary:

Corollary 1. *fs-PRE$^+$ is a strictly stronger notion than fs-PRE$^-$.*

Note that this also shows that for conventional PRE scheme there is a separation between the classical security notion of PRE (PRE^-) as defined by Ateniese et al. and the PRE^+ notion.

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A Cryptographic Assumptions

First, we formally define a bilinear group generator.

Definition 21 (Prime-order bilinear group). *A bilinear-group generation algorithm* BGGen_p *is a PPT algorithm that takes a security parameter* k *and outputs a bilinear group description* $\mathsf{BG} = (q, \mathbb{G}, \mathbb{G}_T, e, g, \mathbf{g})$ *with* $\mathbb{G} = \langle g \rangle$ *and* $\mathbb{G}_T = \langle \mathbf{g} \rangle$*, both of order* q *being a prime of bitlength* k *and a pairing* $e \colon \mathbb{G} \times \mathbb{G} \to \mathbb{G}_T$*.*

Definition 22 (Composite-order bilinear group). *A bilinear-group generation algorithm* BGGen_n *is a PPT algorithm that takes a security parameter* k *and outputs a bilinear group description* $\mathsf{BG} = (n, \mathbb{G}, \mathbb{G}_T, e, g, \mathbf{g})$ *with* $\mathbb{G} = \langle g \rangle$ *and* $\mathbb{G}_T = \langle \mathbf{g} \rangle$*, both of composite order* $n = pq$ *of bitlength* k *with* p *and* q *primes of equal length and a pairing* $e \colon \mathbb{G} \times \mathbb{G} \to \mathbb{G}_T$*.*

Subsequently, we recall the decision linear assumption as well as the bilinear decisional Diffie-Hellman assumption, both standard assumption in the bilinear setting.

Definition 23 (DLIN). *The decisional linear assumption holds relative to* BGGen*, if for all PPT adversaries A there is a negligible function ε such that*

$$\Pr\left[\mathsf{Exp}^{\mathsf{dlin}}_{\mathsf{BGGen},A}(k)=1\right]-\frac{1}{2}<\varepsilon(k).$$

Experiment $\mathsf{Exp}^{\mathsf{dlin}}_{\mathsf{BGGen},A}(k)$

$\mathsf{BG}\leftarrow\mathsf{BGGen}(1^k)$
$g_1,g_2\xleftarrow{R}\mathbb{G},\ r,s,t\xleftarrow{R}\mathbb{Z}_q,\ \ b\xleftarrow{R}\{0,1\}$
$b^*\leftarrow A(\mathsf{BG},g_1,g_2,g_1^r,g_2^s,g^{b\cdot(r+s)+(1-b)t})$
if $b=b^*$ return 1, else return 0

Experiment 11. The DLIN experiment for BGGen.

Definition 24 (BDDH). *The bilinear decisional Diffie-Hellman assumption holds relative to* BGGen*, if for all PPT adversaries A there is a negligible function ε such that*

$$\Pr\left[\mathsf{Exp}^{\mathsf{bddh}}_{\mathsf{BGGen},A}(k)=1\right]-\frac{1}{2}<\varepsilon(k).$$

Experiment $\mathsf{Exp}^{\mathsf{bddh}}_{\mathsf{BGGen},A}(\mathsf{BG})$

$\mathsf{BG}\leftarrow\mathsf{BGGen}(1^k)$
$r,s,t,u\xleftarrow{R}\mathbb{Z}_q,\ \ b\xleftarrow{R}\{0,1\}$
$b^*\leftarrow A(\mathsf{BG},g^r,g^s,g^t,\mathbf{g}^{b\cdot rst+(1-b)u})$
if $b=b^*$ return 1, else return 0

Experiment 12. The BDDH experiment for BGGen.

B A Linearly Homomorphic PKE Scheme

In the following we sketch linear encryption as introduced by Boneh et al. in [BBS04] in prime order groups.

Linear Encryption. Let $\mathbb{G}$ be a group of prime order p with generator g where the DLIN assumption holds. Then the following scheme is an IND-CPA secure linearly homomorphic PKE scheme.

$\mathsf{Gen}(1^k)$: On input security parameter k, choose a group $\mathbb{G}$ or order p of bitlength k generated by g and denote the group parameters by pp. Choose $\xi,\mu\xleftarrow{R}(\mathbb{Z}_p)^2$, choose $h\xleftarrow{R}\mathbb{G}$ compute $u\leftarrow h^{1/\xi}$ and $v\leftarrow h^{1/\mu}$ and output public key $\mathsf{pk}=(\mathsf{pp},u,v,h)$ and secret key $\mathsf{sk}=(\mathsf{pp},\xi,\mu)$.

$\mathsf{Enc}(\mathsf{pk},\mathcal{M})$: Parse public key $\mathsf{pk}=(\mathsf{pp},u,v,h)$, and message $M\in\mathbb{G}$, choose $\alpha,\beta\xleftarrow{R}(\mathbb{Z}_p)^2$ and output $C=(C_1,C_2,C_2)\leftarrow(u^\alpha,v^\beta,Mh^{\alpha+\beta})$.

$\mathsf{Dec}(\mathsf{sk},\mathcal{C})$: Parse $\mathsf{sk}=(\mathsf{pp},\xi,\mu)$, ciphertext $\mathcal{C}=(C_1,C_2,C_3)$ and output $M\leftarrow C_3/(C_1^\xi C_2^\mu)$.

$\mathsf{Eval}(f, (C_i)_{i\in[n]})$: Parse function $f : \mathcal{M}^n \to \mathcal{M} \in \mathcal{F}$ as $(w_1, \ldots, w_n)$ and pp, and the sequence of ciphertexts $C_i = (C_1, C_2, C_3)_{i\in[n]}$ output

$$C \leftarrow (\prod_{i\in[n]} C_{1,i}^{w_i}, \prod_{i\in[n]} C_{2,i}^{w_i}, \prod_{i\in[n]} C_{3,i}^{w_i}).$$

C Security of Hierarchical Identity-Based Encryption

HIBE-IND-CPA-security. A HIBE scheme defined as above is HIBE-IND-CPA-secure if and only if any PPT adversary A succeeds in the following experiment only with probability at most negligibly larger than $1/2$. First, A receives an honestly generated public key pk. Let Ext be a PPT auxiliary key-extraction oracle that, given sk_ε and an identity $id \in \mathcal{ID}^{\leq \ell}$, outputs a secret key sk_{id} for id. During the experiment, A may adaptively query an $\mathsf{Ext}(\mathsf{sk}_\varepsilon, \cdot)$-oracle, for corresponding secret key sk_ε to pk. At some point, A outputs two equal-length messages M_0, M_1 and a target identity id^*, and receives a target ciphertext $C^*_{id^*} \leftarrow \mathsf{Enc}(\mathsf{pk}, M_b, id^*)$ in return, for uniform $b \leftarrow \{0, 1\}$. Eventually, A outputs a guess b^*. We say that A is valid if and only if A never queried the Ext-oracle on a prefix of id^* and only outputs equal-length messages. We say that A succeeds if and only if A is valid and $b = b^*$. More formally, the previous described experiment is given in Experiment 13.

Experiment $\mathsf{Exp}^{\mathsf{hibe\text{-}ind\text{-}cpa}}_{\mathsf{HIBE},A}(1^k, \ell)$

$(\mathsf{pk}, \mathsf{sk}_\varepsilon) \leftarrow \mathsf{Gen}(1^k, \ell)$
$(M_0, M_1, id^*, \mathsf{st}) \leftarrow A^{\mathsf{Ext}(\mathsf{sk}_\varepsilon, \cdot)}(\mathsf{pk})$
$b \xleftarrow{R} \{0, 1\}$
$C^* \leftarrow \mathsf{Enc}(\mathsf{pk}, M_b, id^*)$
$b^* \leftarrow A^{\mathsf{Ext}(\mathsf{sk}_\varepsilon, \cdot)}(\mathsf{st}, C^*)$
if $b = b^*$ and A is valid return then 1, else return 0

Experiment 13. The HIBE-IND-CPA-security experiment for a HIBE scheme.

Definition 25. *For any PPT adversary A, we define the advantage function in the sense of HIBE-IND-CPA as*

$$\mathsf{Adv}^{\mathsf{hibe\text{-}ind\text{-}cpa}}_{\mathsf{HIBE},A}(1^k, \ell) := \left| \Pr\left[\mathsf{Exp}^{\mathsf{hibe\text{-}ind\text{-}cpa}}_{\mathsf{HIBE},A}(1^k, \ell) = 1\right] - \frac{1}{2} \right|,$$

for integer $\ell \in \mathbb{N}$.

D Fully Puncturable Encryption

The concept of puncturable encryption (PE) was introduced by Green and Miers (GM) in [GM15]. Loosely speaking, a PE scheme is a tag-based public-key encryption scheme [MRY04], where each ciphertext can be encrypted with respect to one or more tags. In addition, and most importantly, PE needs to provide a

Puncture algorithm that takes a secret key and a tag τ as input and produces an updated secret key that is able to decrypt all ciphertexts *except* those tagged with τ. We call such a puncturing a *negative* puncturing. Recently, inspired by this work of GM, Günther, Hale, Jager and Lauer (GHJL) [GHJL17] constructed puncturable forward-secret key encapsulation. While the work of GM requires a BTE scheme (or selectively secure HIBE scheme) together with an attribute-based encryption (ABE) scheme [GPSW06] for non-monotonic (NM) formulas with specific properties[16], GHJL provide a construction solely based on any selectively secure HIBE scheme.[17] In contrast to GM, who puncture a key with respect to a tag (and, thus, to a potentially unknown set of ciphertexts), GHJL puncture a secret key with respect to a specific ciphertext which is required as input to the Puncture algorithm.[18]

In addition to the concept of negative puncturing as described above, we introduce the concept of *positive* puncturing. Latter allows to puncture a secret key with respect to a tag τ in a way that the punctured secret key can *only* decrypt ciphertexts tagged with τ. We merge both functionalities into what we term *fully puncturable encryption* (FuPE).

Definition 26 (FuPE)**.** *A fully puncturable encryption (*FuPE*) scheme with message space $\mathcal{M}$, positive tag space $\mathcal{T}_+$ and negative tag space $\mathcal{T}_-$, consists of the PPT algorithms* $(\mathsf{Gen}, \mathsf{Enc}, \mathsf{PPunc}, \mathsf{NPunc}, \mathsf{Dec})$*:*

$\mathsf{Gen}(1^k)$: *On input security parameter k, output public and secret keys* $(\mathsf{pk}, \mathsf{sk})$*, where we implicitly assume that* sk *contains* pk *and that* pk *determines $\mathcal{M}, \mathcal{T}_+$, and $\mathcal{T}_-$.*

$\mathsf{Enc}(\mathsf{pk}, M, \tau_+, \tau_-)$: *On input a public key* pk*, a message $M \in \mathcal{M}$, a positive tag τ_+, and a negative tag τ_-, output a ciphertext C.*

$\mathsf{PPunc}(\mathsf{sk}, \tau_+)$: *On input a secret key* sk *and a positive tag τ_+, output a positively punctured key* $\mathsf{sk}_+^{(\tau_+)}$ *punctured at τ_+.*

$\mathsf{NPunc}(\mathsf{sk}, \tau_-)$: *On input a secret key* sk *and a negative tag τ_-, output a negatively punctured key* $\mathsf{sk}_-^{(\tau_-)}$ *punctured at τ_-.*

$\mathsf{Dec}(\mathsf{sk}, C, \tau_+, \tau_-)$: *On input a secret key* sk*, a ciphertext C, a positive tag τ_+, and a negative tag τ_-, output $M \in \mathcal{M} \cup \{\bot\}$.*

Correctness. For all $k \in \mathbb{N}$, all $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}(1^k)$, all $M \in \mathcal{M}$, all $\tau_+ \in \mathcal{T}_+$, all $\tau_- \in \mathcal{T}_-$, all $C \leftarrow \mathsf{Enc}(\mathsf{pk}, M, \tau_+, \tau_-)$, we have that $\mathsf{Dec}(\mathsf{sk}, C, \tau_+, \tau_-) = M$. Moreover, for all $n \in [|\mathcal{T}_-| - 1]$, all $i \in [n]$, all $\{\tau_{1,-}, \ldots, \tau_{n,-}\} \in \mathcal{P}(\mathcal{T}_- \setminus \{\tau_-\})$, and $\mathsf{sk}_-^{(\tau_{i,-})} \leftarrow \mathsf{NPunc}(\mathsf{sk}_-^{(\tau_{i-1,-})}, \tau_{i,-})$ where $\mathsf{sk}_-^{(\tau_{0,-})} = \mathsf{sk}$, we have that $\mathsf{Dec}(\mathsf{sk}_-^{(\tau_{n,-})}, C, \tau_+, \tau_-) = M$. Additionally, for all $\mathsf{sk}_+^{(\tau_+)} \leftarrow \mathsf{PPunc}(\mathsf{sk}_-^{(\tau_{n,-})}, \tau_+)$, we have that $\mathsf{Dec}(\mathsf{sk}_+^{(\tau_+)}, C, \tau_+, \tau_-) = M$.

[16] The ABE scheme needs to provide a mechanism to enhance existing secret keys with additional *NOT*-gates, which works with the NM-ABE scheme by Ostrovsky, Sahai and Waters [OSW07].

[17] For completeness, we also want to mention PE from iO in [CHN+16, CRRV17].

[18] Assuming that one uses a unique tag for every encryption, i.e., ciphertext, these two notions are identical in a selective setting (while GM achieve adaptive security).

Note that we explicitly allow secret keys as input to Dec that are not punctured. In that case the Dec algorithm can always run PPunc and NPunc internally as it has all the required information available.

Security notions. Next, we define security notions for FuPE we dub FPuE-IND-CPA and FPuE-IND-CCA. In the FPuE-IND-CPA security experiment, a PPT adversary A gets an honestly generated public key pk and selects a positive target tag, a sequence of negative tags as well as a negative target tag (which must be contained in the sequence of negative tags). Then, A may adaptively query PPunc and NPunc oracles, outputs two message and receives a target ciphertext encrypted with respect to the selected negative and positive target tags. We call an adversary A valid if it never queries the PPunc oracle on the positive target tag and only outputs equal-length messages. Note that both negatively and positively punctured keys were first punctured with the sequence of negative tags. In Experiment 14, we formally state the FPuE-IND-CPA experiment.

Experiment $\mathsf{Exp}^{\mathsf{fpue\text{-}ind\text{-}cpa}}_{\mathsf{FuPE},A}(1^k)$

$(\mathsf{pk}, \mathsf{sk}^{(\tau^*_{0,-})}) \leftarrow \mathsf{Gen}(1^k), b \xleftarrow{R} \{0,1\}$
$(\tau^*_+, (\tau^*_{i,-})_{i\in[n]}, i^*, \mathsf{st}) \leftarrow A(\mathsf{pk})$
$\mathsf{sk}^{\tau^*_{i,-}}_- \leftarrow \mathsf{NPunc}(\mathsf{sk}^{(\tau^*_{i-1,-})}_-, \tau^*_{i,-})$ for all $i \in [n]$
$\mathcal{O} \leftarrow \{\mathsf{PPunc}(\mathsf{sk}^{(\tau^*_{n,-})}_-, \cdot), \mathsf{NPunc}(\mathsf{sk}^{(\tau^*_{n,-})}_-, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{st})$
$b^* \leftarrow A(\mathsf{st}, \mathsf{Enc}(\mathsf{pk}, M_b, \tau^*_+, \tau^*_{i^*,-}))$
if $b = b^*$ and A is valid return then 1, else return 0

Experiment 14. The FPuE-IND-CPA-security experiment for a FuPE scheme.

Definition 27. *For any PPT adversary A, we define the advantage function in the sense of FPuE-IND-CPA as*

$$\mathsf{Adv}^{\mathsf{fpue\text{-}ind\text{-}cpa}}_{\mathsf{FuPE},A}(1^k) := \left|\Pr\left[\mathsf{Exp}^{\mathsf{fpue\text{-}ind\text{-}cpa}}_{\mathsf{FuPE},A}(1^k) = 1\right] - \frac{1}{2}\right|.$$

The FPuE-IND-CCA experiment follows the same outline as the FPuE-IND-CPA experiment, but additionally gives access to a Dec-oracle. In this case, the adversary A is considered valid if A never queries the Dec oracle on the challenge ciphertext and the target tags, never queries the PPunc on the positive target tag, and only outputs equal-length messages. In Experiment 15, we formally state the FPuE-IND-CCA experiment.

Definition 28. *For any PPT adversary A, we define the advantage function in the sense of FPuE-IND-CCA as*

$$\mathsf{Adv}^{\mathsf{fpue\text{-}ind\text{-}cca}}_{\mathsf{FuPE},A}(1^k) := \left|\Pr\left[\mathsf{Exp}^{\mathsf{fpue\text{-}ind\text{-}cca}}_{\mathsf{FuPE},A}(1^k) = 1\right] - \frac{1}{2}\right|.$$

D.1 FuPE from HIBE

Our approach to construct a FuPE scheme from any HIBE scheme can be seen as variation of the work from [GHJL17]. Implicitly, we arrange positively and

Experiment $\mathsf{Exp}^{\mathsf{fpue\text{-}ind\text{-}cca}}_{\mathsf{FuPE},A}(1^k)$

$(\mathsf{pk}, \mathsf{sk}^{(\tau^*_{0,-})}) \leftarrow \mathsf{Gen}(1^k), b \xleftarrow{R} \{0,1\}$
$(\tau^*_+, (\tau^*_{i,-})_{i\in[n]}, i^*, \mathsf{st}) \leftarrow A(\mathsf{pk})$
$\mathsf{sk}^{\tau^*_{i,-}}_- \leftarrow \mathsf{NPunc}(\mathsf{sk}^{(\tau^*_{i-1,-})}_-, \tau^*_{i-1,-})$ for all $i \in [n]$
$\mathcal{O} \leftarrow \{\mathsf{PPunc}(\mathsf{sk}^{(\tau^*_{n,-})}_-, \cdot), \mathsf{NPunc}(\mathsf{sk}^{(\tau^*_{n,-})}_-, \cdot), \mathsf{Dec}(\mathsf{sk}^{(\tau^*_{0,-})}_-, \cdot, \cdot, \cdot)\}$
$(M_0, M_1, \mathsf{st}) \leftarrow A^{\mathcal{O}}(\mathsf{st})$
$b^* \leftarrow A^{\mathcal{O}}(\mathsf{st}, \mathsf{Enc}(\mathsf{pk}, M_b, \tau^*_+, \tau^*_{i^*,-}))$
if $b = b^*$ and A is valid then return 1, else return 0

Experiment 15. The FPuE-IND-CCA-security experiment for a FuPE scheme.

negatively punctured secret keys as well as positive and negative tags of the FuPE scheme in a complete binary tree, where the root of the tree is associated with the secret key sk of FuPE (where sk is output by $\mathsf{Gen}_{\mathsf{HIBE}}$). More concretely, negatively punctured keys are assigned to inner tree nodes (and, hence, not to the leafs) while positively punctured keys are associated to the leafs of the tree. Intuitively, the negatively punctured keys can be seen as HIBE secret keys (that have decryption and evolution abilities) and the positively keys are HIBE decryption keys (without the evolution ability). Furthermore, for set $\mathcal{ID}$, the negative and positive tags are of size $\ell \cdot |\mathcal{ID}|$ and $|\mathcal{ID}|$, respectively, i.e., we set $\mathcal{T}_- = \mathcal{ID}^\ell$ and $\mathcal{T}_+ = \mathcal{ID}$, where ℓ is an integer polynomially bounded by the security parameter and $\mathcal{ID}^{\ell+1}$ is the identity space of the HIBE scheme.[19]

We define an additional PPT algorithm Trunc within a FuPE scheme as follows. Trunc can be seen as efficient algorithm to truncate the binary tree and output a negatively punctured FuPE secret key that corresponds to a given negative tag. The negatively punctured secret key can contain several HIBE secret keys. Notation-wise, we define $\overline{\mathcal{T}[i]} := \mathcal{ID} \setminus \{\tau[i]\}$, for some $i \in [\ell]$ and $\tau_j = (\tau_j[1], \tau_j[2], \dots) \in \mathcal{ID}^{\leq \ell+1}$, for some integer j.

$\mathsf{Trunc}(\mathsf{sk}^{(\tau')}_-, \tau_-)$: Trunc, on input secret key $\mathsf{sk}^{(\tau')}_- =: (\mathsf{sk}'_{\tau_1}, \dots, \mathsf{sk}'_{\tau_m})$, for some integer m bounded by a polynomial in k, and negative tag $\tau_- \in \mathcal{T}_-$, successively choose $\tau'_{j'} := (\tau_j[1], \cdots, \tau_j[i-1])$ or $\tau'_{j'} := (\tau_j[1], \cdots, \tau_j[i-1], \overline{\tau_j[i]}, \cdots)$, for all $\overline{\tau_j[i]} \in \overline{\mathcal{T}[i]}$, for all $|\tau_j| < i \leq \ell$ until $\tau'_{j'}$ is no prefix of τ_-, and runs $\mathsf{sk}''_j \leftarrow \mathsf{Del}(\mathsf{sk}'_{\tau_j}, \tau'_{j'})$, for all $(j, j') \in [m] \times [m']$, for some integer m' bounded by a polynomial in k. Finally, Trunc outputs $(\mathsf{sk}''_1, \cdots, \mathsf{sk}''_{m'})$.

The full scheme is detailed in Scheme 6.

Theorem 5. *If the* HIBE *scheme is HIBE-IND-CPA-secure, then* FuPE *from Scheme 6 is FPuE-IND-CPA-secure.*

Proof. We prove this theorem with a reduction. An adversary B against FPuE-IND-CPA of the FuPE scheme can be transformed into a HIBE-IND-CPA-adversary A:

[19] Recall that in a HIBE scheme, secret keys are associated with identities. The same holds in FuPE schemes with negative and positive tags.

Let $(\mathsf{Gen}_{\mathsf{HIBE}}, \mathsf{Del}_{\mathsf{HIBE}}, \mathsf{Enc}_{\mathsf{HIBE}}, \mathsf{Dec}_{\mathsf{HIBE}})$ be a HIBE scheme with identity space $\mathcal{ID}^{\ell+1}$, for some $\ell \in \mathbb{N}$ polynomially bounded by k. We set $\mathcal{T}_- := \mathcal{ID}^{\ell}$ and $\mathcal{T}_+ := \mathcal{ID}$.

$\underline{\mathsf{Gen}(1^k)}$: Return $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Gen}_{\mathsf{HIBE}}(1^k, \ell+1)$.
$\underline{\mathsf{Enc}(\mathsf{pk}, M, \tau_+, \tau_-)}$: Return $C \leftarrow \mathsf{Enc}_{\mathsf{HIBE}}(\mathsf{pk}, M, (\tau_-, \tau_+))$.
$\underline{\mathsf{PPunc}(\mathsf{sk}_{\tau'_-}, \tau_+)}$: Return $\mathsf{sk}_+^{(\tau_+)}$, where $(\cdot, \mathsf{sk}_+^{(\tau_+)}, \cdot) \leftarrow \mathsf{Del}(\mathsf{sk}_{\tau'_-}, (\tau'_-, \tau_+))$.
$\underline{\mathsf{NPunc}(\mathsf{sk}_-^{(\tau'_-)}, \tau_-)}$: Return $\mathsf{sk}_-^{(\tau_-)} \leftarrow \mathsf{Trunc}(\mathsf{sk}_-^{(\tau'_-)}, \tau_-)$.
$\underline{\mathsf{Dec}(\mathsf{sk}, C, \tau_+, \tau_-)}$: Return $\mathsf{Dec}_{\mathsf{HIBE}}(\mathsf{sk}, C)$.

Scheme 6. FuPE scheme from a HIBE scheme.

- A is started on pk and A obtains $(\tau_+^*, (\tau_{i,-}^*)_{i\in[n]}, i^*) \leftarrow B(\mathsf{pk})$.
- Next, A queries its HIBE challenger on $\mathsf{Del}(\mathsf{sk}, \tau_{1,-}^*)$, receives $\mathsf{sk}_-^{(\tau_{1,-}^*)}$, and computes secret keys $\mathsf{sk}_-^{(\tau_{i,-}^*)} \leftarrow \mathsf{NPunc}(\mathsf{sk}_-^{(\tau_{i-1,-}^*)})$, for all $i \in [n] \setminus \{1\}$.
- Further, A answers B-queries to NPunc and to PPunc using $\mathsf{sk}_-^{\tau_{n,-}^*}$.
- A receives (M_0, M_1) from B and forwards (M_0, M_1) to its HIBE-IND-CPA challenger with target identity $(\tau_{i^*,-}^*, \tau_+^*)$.
- The received challenge ciphertext is forwarded to B. Eventually, B outputs a guess b' which is forward as A's guess to its own HIBE-IND-CPA challenger.

Note that all values are consistently distributed. It follows that if B has a non-negligible advantage in the FPuE-IND-CPA-game, then A has a non-negligible advantage in winning the HIBE-IND-CPA-game. □

Theorem 6. *If the* HIBE *scheme is HIBE-IND-CCA-secure, then* FuPE *from Scheme 6 is FPuE-IND-CCA-secure.*

Proof. This proof is very similar to the proof of FPuE-IND-CPA for FuPE above except that A sends decryption-oracle queries to its own HIBE-IND-CCA challenger and returns the answer to the FPuE adversary. All values are consistently distributed. Hence, if the FPuE adversary B has a non-negligible advantage in the FPuE-IND-CCA-game, then A has a non-negligible advantage in winning the HIBE-IND-CCA-game. □

D.2 FuPE to fs-PRE

Starting from a FuPE scheme, we define two additional algorithms PPunc' and NPunc' that are compatible with PPunc and NPunc of the FuPE scheme but work on encrypted secret keys:

$\mathsf{PPunc}'(C_{\mathsf{sk}}, \tau_+)$: Computes positively punctured $C_{\mathsf{sk}'}$ using the tag τ_+.
$\mathsf{NPunc}'(C_{\mathsf{sk}}, \tau_-)$: Computes negatively punctured $C_{\mathsf{sk}'}$ using the tag τ_-.

We require this PPunc' algorithm to be compatible with PPunc in the sense that for all valid inputs sk, τ_+ to PPunc it holds that for all $k \in \mathbb{N}$, for all $(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{HPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{HPKE}}(1^k)$, for all $C_{\mathsf{sk}} \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk})$ we have

$$\mathsf{Dec}_{\mathsf{HPKE}}(\mathsf{sk}_{\mathsf{HPKE}}, \mathsf{PPunc}'(C_{\mathsf{sk}}, \tau_+)) = \mathsf{PPunc}(\mathsf{sk}, \tau_+).$$

Analogously, we require such a functionality for NPunc'. From a theoretical viewpoint, this means no additional assumption on the FuPE scheme, since one can use a fully-homomorphic encryption (FHE) scheme as HPKE. From a practical viewpoint, we observe that many suitable candidate HIBE schemes for FuPE only require linear operations for PPunc and NPunc, and thus PPunc' as well as NPunc' may be instantiated very efficiently using linear encryption [BBS04].

HIBE with public delegation. To generically implement the PPunc' and NPunc' algorithms, we introduce another property of HIBE schemes. In particular, we define a delegation algorithm PDel which operates on encryptions of the actual secret key instead of the secret key itself. Using this algorithm, one can then straight forwardly implement the PPunc' and NPunc' algorithms analogous to PPunc and NPunc in Scheme 6, but replacing every call to Del by PDel.

More formally, let $\mathsf{HPKE} = (\mathsf{Gen}_{\mathsf{HPKE}}, \mathsf{Enc}_{\mathsf{HPKE}}, \mathsf{Dec}_{\mathsf{HPKE}})$ be a HPKE scheme as defined above, and $(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{HPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{HPKE}}(1^k)$. A publicly delegateable HIBE scheme HIBE with message space $\mathcal{M}$ and identity space $\mathcal{ID}^{\leq \ell}$ is a HIBE scheme as defined in Section 3 with a additional delegation algorithm as follows (and we further need that the message space of HPKE is the secret-key space of HIBE):

$\mathsf{PDel}(C_{\mathsf{HPKE},id'}, id)$: On input a HPKE ciphertext $C_{\mathsf{HPKE},id'} \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{id'})$ and identity id, output an encryption $\mathsf{Enc}(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{id})$ of a secret key sk_{id} if and only if id' is a prefix of id (encryption is component-wise).

Correctness of delegation. For all $k, \ell \in \mathbb{N}$, all $(\mathsf{pk}, \mathsf{sk}_\varepsilon) \leftarrow \mathsf{Gen}(1^k, \ell)$, all $M \in \mathcal{M}$, all $id, id' \in \mathcal{ID}^{\leq \ell}$ where id' is a prefix of id, all $\mathsf{sk}_{id} \leftarrow \mathsf{Del}(\mathsf{sk}_{id'}, id)$, all $C_{id} \leftarrow \mathsf{Enc}(\mathsf{pk}, M, id)$, for all $(\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{HPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{HPKE}}(1^k)$, for all $C_{\mathsf{HPKE},id'} \leftarrow \mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{pk}, sk_{id'})$, all $C_{\mathsf{HPKE},id} \leftarrow \mathsf{PDel}(C_{\mathsf{HPKE},id'}, id)$, all $\mathsf{sk}_{id} := \mathsf{Dec}_{\mathsf{HPKE}}(\mathsf{sk}_{\mathsf{HPKE}}, C_{\mathsf{HPKE},id})$, we have $\mathsf{Dec}(\mathsf{sk}_{id}, C_{id}) = M$.

Example of HIBE with public delegation. Consider the adaptively secure HIBE scheme of Chen and Wee [CW14] for depth ℓ — which is similar to the HIBE schemes of Boneh, Boyen, Goh [BBG05] and Lewko, Waters [LW10] — and a linearly homomorphic HPKE scheme. We choose the message space of the HPKE scheme to be the secret-key space of the Chen-Wee HIBE. The HIBE scheme uses BGGen_n to generate a composite-order bilinear group $\mathsf{BG} = (n, \mathbb{G}, \mathbb{G}_T, e, g, \mathbf{g})$. The secret keys and the first two parts of the ciphertext lie in $\mathbb{G}$ while the third element of the ciphertext lies in $\mathbb{G}_T$.

Concretely, the public and secret keys of the Chen-Wee HIBE are given by

$$\mathsf{pk} := (g, u_1, \ldots, u_\ell, u_{\ell+1}, e(g,g)^\alpha),$$
$$\mathsf{sk}_{id'} := (g^r, g^\alpha (u_{\ell+1} \cdot u_1^{id'_1} \cdots u_{\ell'}^{id'_{\ell'}})^r, u_{\ell'+1}^r, \ldots, u_\ell^r)$$

for secret key g^α with exponent $\alpha \xleftarrow{R} \mathbb{Z}_n$, for $(u_0, \ldots, u_{\ell+1}) \xleftarrow{R} \mathbb{G}^{\ell+2}$, for exponent $r \xleftarrow{R} \mathbb{Z}_n$, and identity $id' = (id'_1, \ldots, id'_{\ell'}) \in \mathcal{ID}^{\ell'}$, for $\ell' \in [\ell]$. The ciphertext is

$$C := (g^s, (u_{\ell+1} u_1^{id_1} \cdots u_{\ell'}^{id_{\ell'}})^s, e(g,g)^{\alpha \cdot s} \cdot M),$$

for $s \xleftarrow{R} \mathbb{Z}_n$ and identity $id = (id_1, \ldots, id_{\ell'}) \in \mathcal{ID}^{\ell'}$, for some integer $\ell' \in [\ell]$. The key delegation is as follows: For a secret key $\mathsf{sk}_{id'} = (K_0, K_1, K_{\ell'+1}, \ldots, K_\ell) = (g^r, g^\alpha (u_{\ell+1} \cdot u_1^{id'_1} \cdots u_{\ell'}^{id'_{\ell'}})^r, u_{\ell'+1}^r, \ldots, u_\ell^r)$, we sample $r' \xleftarrow{R} \mathbb{Z}_n$ and compute

$$\mathsf{sk}_{id} \leftarrow (K_0 \cdot g^{r'}, K_1 \cdot u_{\ell+1}^{r'} \cdot u_1^{id'_1 \cdot r'} \cdots u_{\ell'}^{id'_{\ell} \cdot r'} \cdot u_{\ell'+1}^{id_{\ell'+1} \cdot r'} \cdots u_{\ell''}^{id_{\ell''} \cdot r'}, K_{\ell'+1} \cdot u_{\ell'+1}^{r'}, \ldots, K_\ell \cdot u_\ell^{r'}),$$

for some identity $id = (id_1, \ldots, id_{\ell''})$, where $id' = (id'_1, \ldots, id'_{\ell'})$ is a prefix of id, for some $\ell'' \in [\ell]$. It is easy to see that this yields a correctly distributed secret key for identity id in the sense of the Chen-Wee HIBE.

Let $(\mathsf{Setup}_{\mathsf{FuPE}}, \mathsf{Gen}_{\mathsf{FuPE}}, \mathsf{Enc}_{\mathsf{FuPE}}, \mathsf{Dec}_{\mathsf{FuPE}}, \mathsf{NPunc}_{\mathsf{FuPE}}, \mathsf{PPunc}_{\mathsf{FuPE}})$ be a FuPE scheme and $(\mathsf{Setup}_{\mathsf{HPKE}}, \mathsf{Gen}_{\mathsf{HPKE}}, \mathsf{Enc}_{\mathsf{HPKE}}, \mathsf{Dec}_{\mathsf{HPKE}}, \mathsf{Eval}_{\mathsf{HPKE}})$ be a $\mathcal{F}$-HPKE scheme such that the secret-key space of the FuPE scheme is contained in the message space of the HPKE scheme and a compatible PPunc' algorithm exists.

$\underline{\mathsf{Setup}(1^k)}$: Set $\mathsf{pp}_{\mathsf{FuPE}} \leftarrow \mathsf{Setup}_{\mathsf{FuPE}}(1^k)$, $\mathsf{pp}_{\mathsf{HPKE}} \leftarrow \mathsf{Setup}_{\mathsf{HPKE}}(1^k)$, and return $(\mathsf{pp}_{\mathsf{FuPE}}, \mathsf{pp}_{\mathsf{HPKE}})$.

$\underline{\mathsf{Gen}(\mathsf{pp}, n)}$: Choose an injective map $h : [0, 2n+1] \to \mathcal{T}_-$, set $\tau_- \leftarrow h(0)$ and return $(\mathsf{pk}_{\mathsf{FuPE}}, h, \mathsf{pk}_{\mathsf{HPKE}}), (\mathsf{sk}_{\mathsf{FuPE}}, \mathsf{sk}'_{\mathsf{FuPE}}, \mathsf{sk}_{\mathsf{HPKE}}), \bot)$, where

$$(\mathsf{pk}_{\mathsf{FuPE}}, \mathsf{sk}_{\mathsf{FuPE}}) \leftarrow \mathsf{Gen}_{\mathsf{FuPE}}(\mathsf{pp}_{\mathsf{FuPE}}),\ (\mathsf{pk}_{\mathsf{HPKE}}, \mathsf{sk}_{\mathsf{HPKE}}) \leftarrow \mathsf{Gen}_{\mathsf{HPKE}}(\mathsf{pp}_{\mathsf{HPKE}}),\ \mathsf{sk}'_{\mathsf{FuPE}} \leftarrow \mathsf{NPunc}(\mathsf{sk}_{\mathsf{FuPE}}, \tau_-).$$

$\underline{\mathsf{Evo}(\mathsf{sk}^{(i)})}$: Parse as $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}_{\mathsf{FuPE}}^{(2i)}, \mathsf{sk}_{\mathsf{FuPE}}^{(2i+1)}, \mathsf{sk}_{\mathsf{HPKE}})$. Set $\tau_{1,-} \leftarrow h(2i)$ and $\tau_{2,-} \leftarrow h(2i+1)$ and return $\mathsf{sk}^{(i+1)} = (\mathsf{sk}_{\mathsf{FuPE}}^{(2i+2)}, \mathsf{sk}_{\mathsf{FuPE}}^{(2i+3)}, \mathsf{sk}_{\mathsf{HPKE}})$, where

$$\mathsf{sk}_{\mathsf{FuPE}}^{(2i+2)} \leftarrow \mathsf{NPunc}(\mathsf{sk}_{\mathsf{FuPE}}^{(2i+1)}, \tau_{1,-}),\ \mathsf{sk}_{\mathsf{FuPE}}^{(2i+3)} \leftarrow \mathsf{NPunc}(\mathsf{sk}_{\mathsf{FuPE}}^{(2i+2)}, \tau_{2,-}).$$

$\underline{\mathsf{Enc}^{(1)}(\mathsf{pk}, M, i)}$: Choose $\tau_+ \xleftarrow{R} \mathcal{T}_+$ and return $\mathsf{Enc}_{\mathsf{FuPE}}(\mathsf{pk}, M, \tau_+, h(2i))$.

$\underline{\mathsf{Enc}^{(2)}(\mathsf{pk}, M, i)}$: Choose $\tau_+ \xleftarrow{R} \mathcal{T}_+$ and return $\mathsf{Enc}_{\mathsf{FuPE}}(\mathsf{pk}, M, \tau_+, h(2i+1))$.

$\underline{\mathsf{Dec}^{(1)}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\mathsf{sk}_{\mathsf{FuPE}}^{(2i)}, \cdot, \mathsf{sk}_{\mathsf{HPKE}})$ and return $\mathsf{Dec}_{\mathsf{FuPE}}(\mathsf{sk}_{\mathsf{FuPE}}^{(2i)}, C, \tau_+, h(2i))$ if C was not re-encrypted. Otherwise parse C as (C_1, rk) and return $\mathsf{Dec}_{\mathsf{FuPE}}(\mathsf{Dec}_{\mathsf{HPKE}}(\mathsf{sk}_{\mathsf{HPKE}}, \mathsf{rk}), C_1, \tau_+, h(2i+1))$.

$\underline{\mathsf{Dec}^{(2)}(\mathsf{sk}^{(i)}, C)}$: Parse $\mathsf{sk}^{(i)}$ as $(\cdot, \mathsf{sk}_{\mathsf{FuPE}}^{(2i+1)}, \cdot)$ and return $\mathsf{Dec}_{\mathsf{FuPE}}(\mathsf{sk}_{\mathsf{FuPE}}^{(2i+1)}, C, \tau_+, h(2i+1))$.

$\underline{\mathsf{ReGen}(\mathsf{sk}_A^{(i)}, \mathsf{pk}_B)}$: Parse $\mathsf{sk}^{(i)}$ as $(\cdot, \mathsf{sk}_{\mathsf{FuPE}}^{(2i+1)}, \cdot)$, and return $\mathsf{Enc}_{\mathsf{HPKE}}(\mathsf{sk}_A^{(2i+1)}, \mathsf{pk}_B)$.

$\underline{\mathsf{ReEvo}(\mathsf{rk}_{A\to B}^{(i)})}$: Return $\mathsf{NPunc}'(\mathsf{NPunc}'(\mathsf{rk}_{A\to B}^{(i)}, h(2i)), h(2i+1))$.

$\underline{\mathsf{ReEnc}(\mathsf{rk}_{A\to B}^{(i)}, C_A)}$: Let $\tau_+ \in \mathcal{T}_+$ be the tag for C_A. Compute $\mathsf{rk}' \leftarrow \mathsf{PPunc}'(\mathsf{rk}_{A\to B}^{(i)}, \tau_+)$ and return rk', C_A.

Scheme 7. fs-PRE scheme from a FuPE scheme.

Since the delegation operation uses only linear operations on group elements, we can use any linearly homomorphic HPKE where the message space suits the secret-key space of the respective HIBE scheme. Then, one can perform the same operations on ciphertexts in a component-wise manner, i.e, each secret-key component is encrypted separately under the public key of the HPKE.

Hence, we observe that the Chen-Wee HIBE scheme together with a linear HPKE scheme exhibit the publicly delegatable property of a HIBE scheme in the sense of the definition above.

fs-PRE instantiation. Now given a FuPE scheme and a HPKE scheme that allow compatible PPunc' and NPunc' algorithm as outlined above, the fs-PRE be constructed by combining these two schemes. Forward secrecy is achieved by selecting a mapping of periods to negative tags. The re-encryption keys are composed of encrypted FuPE keys and the re-encryption performs positive puncturing of the encrypted keys using PPunc'. The NPunc'-algorithm is used for the evolution of the re-encryption keys. The full scheme is given in Scheme 7.

Remark 1. When instantiating the fs-PRE scheme with the HIBE-based FuPE scheme, we choose h so that it preserves the ordering on the elements. This reduces the size of the keys to $\mathcal{O}(\log n)$.

Theorem 7. *If instantiated with FPuE-IND-CPA secure* FuPE *and IND-CPA* HPKE *schemes, Scheme 7 is a fs-*PRE^+*-secure fs-*PRE *scheme.*

Proof. fs-IND-CPA-1 security follows with a direct reduction from a fs-IND-CPA-1 adversary A_1:

- When started on pp and pk, we select h and the HPKE keys honestly. We extend pk with the public HPKE key and h, and start A_1 on the extended pk. We choose $\tau_+ \xleftarrow{R} \mathcal{T}_+$ and from the period j^* chosen by the adversary compute $\tau_{i,-} \leftarrow h(i)$ for $i \in [0, 2j^* - 2]$. We return the computed tags and request the challenge ciphertext for $\tau_{2j^*-2,-}$.
- Now, when started to select the challenge messages, all oracles of the fs-IND-CPA game that involve the target secret key, can be simulated using h and the access to the NPunc oracle. The secret keys given to the adversary can be computed in the same vein. The challenge messages returned by A_1 are simply forwarded.
- Now when given the challenge ciphertext, it is a ciphertext for $\tau_{2j^*-2,-}$, which is a level 1 ciphertext for $j^* - 1$ hence we can simply forward the challenge ciphertext to A_1 and return the result.

To show fs-RIND-CPA security we build again an adversary against FPuE-IND-CPA. The fs-RIND-CPA adversary is denoted as A_r.

- When started on pp, and pk, we set up the HPKE keys and h as above. We start A_r and compute $\tau_{i,-} \leftarrow h(i)$ for $i \in [0, 2j^* + 1]$ where j^* is the targeted period chosen by the adversary. Choose $\tau_+ \leftarrow \mathcal{T}_+$ and return τ_+, the sequence of negative tags and choose $\tau_{2j^*+1,-}$ as target negative tag.
- When started on st, we can simulate ReEnc honestly using PPunc'.
- When started on st and C, the ciphertext is a level 2 ciphertext for period j^*, hence we return $A_r(\mathsf{st}, C)$.

For fs-IND-CPA-2 security we first replace all encrypted secret keys with random values using the IND-CPA security of the HPKE scheme. This game changes

requires the same game changes as in Theorem 1, hence we skip them here for the sake of brevity. Since the re-encryption keys are now encryptions of random values, we only need to simulate secret keys of the target period. The reduction is as follows from a fs-IND-CPA-2 adversary A_2:

- When started on pp and pk, we select h and the HPKE keys honestly. We extend pk with the public HPKE key and h, and start A_1 on the extended pk. We choose $\tau_+ \xleftarrow{R} \mathcal{T}_+$ and from the period j^* chosen by the adversary compute $\tau_{i,-} \leftarrow h(i)$ for $i \in [0, 2j^* - 1]$. We return the computed tags and request the challenge ciphertext for $\tau_{2j^*-1,-}$.
- Now, when started on select the challenge messages, the secret keys given to the adversary can be simulated using NPunc. The challenge messages returned by A_2 are simply forwarded.
- Now when given the challenge ciphertext, it is a ciphertext for $\tau_{2j^*-1,-}$, which is a level 2 ciphertext for period $j^* - 1$ hence we can simply forward the challenge ciphertext to A_2 and return the result.

The adversaries succeed if and only if A_1, A_2 and A_r, respectively, succeed. □

fs-PKE from FuPE. Finally, we also note that one can construct fs-PKE from the negative puncturing functionality of FuPE. This can be straight forwardly done using similar ideas as in the construction above, which is why we do not present an explicit construction.